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Efficacy of Esomeprazole for Treatment of Poorly Controlled Asthma; a Randomized Controlled Trial

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Abstract

Background—Gastroesophageal reflux (GER) is common in asthma patients but often has mild or no symptoms. It is not known whether treatment of GER with proton pump inhibitors (PPIs) in poorly-controlled asthmatics without GER symptoms can substantially improve asthma control.

Methods—402 asthmatics with inadequate asthma control despite inhaled corticosteroids and absent or minimal GER symptoms were randomly assigned to either esomeprazole 40mg b.i.d. or matching placebo in a parallel-group double-masked clinical trial. Participants were followed for 24 weeks with daily asthma diaries, every 4-week spirometry, and asthma symptom questionnaires. Participants were classified with respect to GER status with ambulatory pH probe monitoring. The primary outcome was the rate of episodes of poor asthma control (EPACs) based on asthma diaries.

Results—Episodes of poor asthma control occurred with similar frequency in the placebo and esomeprazole treatment groups (2.3 vs 2.5 events/person-year, respectively, $P=0.66$). There was no treatment effect with respect to components of the EPACs, or secondary outcomes including pulmonary function, airways reactivity, asthma control, symptom scores, nocturnal awakenings, or quality of life. GER documented by pH probe studies in 40% of participants with absent or minimal symptoms did not identify a subgroup benefitting from PPI treatment.

Conclusion—Despite a high prevalence of asymptomatic GER in patients with poorly controlled asthma, treatment with proton pump inhibitors does not improve control. Silent GER is not a likely cause of poorly controlled asthma.

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INTRODUCTION

Gastroesophageal reflux (GER) and asthma, both common conditions, often co-exist in the same patient. Persons with asthma are particularly prone to asymptomatic GER. Esophageal pH probe studies have documented that 32–84% of asthmatics have abnormal acid reflux.^{1, 2, 3, 4, 5} About half of asthma patients who have reflux have no symptoms.^{3, 6, 7, 8} However, the extent that GER plays an important role in causing or maintaining asthma symptoms is not known. Symptoms of asthma – cough and chest discomfort – may overlap those of GER, making it difficult to distinguish between the two conditions.⁹ Moreover, the causal relationship between asthma and GER is complex. Acid reflux causes bronchoconstriction by microaspiration into the airways as well as by reflex-mediated effects of acid on the esophagus or upper airway.^{10,11,12, 13,14, 15, 16, 17, 18} Alternatively, asthmatic bronchoconstriction can induce acid reflux. Descent of the diaphragm with hyperinflation increases the pressure gradient between the abdomen and thorax and may cause the lower esophageal sphincter (LES) to herniate into the chest where its barrier function is diminished.^{19, 20} This may be exacerbated by the accentuated negative inspiratory pleural pressure in acute asthma which opposes the tone of the LES. Furthermore, beta-agonist and methylxanthine bronchodilators may decrease LES tone, but it has been difficult to demonstrate that these agents actually worsen reflux.²¹

Proton pump inhibitors (PPIs) are effective in suppressing gastric acid production and reducing GER symptoms whether or not asthma is present.^{22, 23} Previous trials have shown conflicting results regarding beneficial effects of PPI treatment in patients with asthma who have frequent symptoms of GERD.^{23, 24} Whether PPIs are effective in improving asthma control in patients with minimal or absent GER symptoms is unknown, and whether objective measurement of acid reflux can personalize PPI treatment has not been established.^{25, 26} Current guidelines recommend consideration of evaluation for GERD in patients who have poorly controlled asthma, especially with nighttime symptoms, even in the absence of suggestive GERD symptoms; If GER is present, treatment recommendations include use of a PPI.²⁷ Moreover, asthma patients treated for GER have substantially higher diagnostic and treatment costs than those of similar severity who do not have this diagnosis.²⁸

Accordingly, we conducted a randomized placebo-controlled double-masked trial of esomeprazole (Nexium®, AstraZeneca, Wilmington, DE) in patients with inadequately controlled asthma despite inhaled corticosteroid therapy. We excluded patients with a history of GER symptoms who would already have an indication for PPIs on that basis. The primary objective of the trial was to determine whether acid suppression therapy improved asthma symptoms. A secondary objective was to determine whether ambulatory esophageal pH probe monitoring would distinguish individuals with absent or minimal GER symptoms who might respond to treatment.

METHODS

Participant Selection

Eligible participants had inadequately controlled asthma despite the use of moderate or higher doses of inhaled corticosteroids. Inclusion criteria were: age 18 years or older with a physician diagnosis of asthma supported by either a positive methacholine challenge test or a 12% increase in FEV₁ with bronchodilators; 8 weeks of stable use of an inhaled corticosteroid equivalent to 400 ug/day or greater of fluticasone;²⁷ poor asthma control defined by either: a Juniper Asthma Control Questionnaire score of 1.5 or greater²⁹ or more than one acute episode of asthma requiring unscheduled medical care in the past year. Participants were excluded if they had smoked cigarettes within six months or had 10 or more pack-years of smoking; had an FEV₁ less than 50% predicted³⁰; had anti-reflux or peptic ulcer surgery; or had clinical indications for acid suppression treatment (i.e. two or more episodes per week of heartburn

requiring antacids). Participants were also ineligible if they had used anti-reflux medication within 1 month, or were taking drugs that could interact with PPIs such as theophylline, iron supplements, warfarin, anti-fungal drugs (“azoles”), or digitalis. Participants were also excluded if they were pregnant, intolerant of PPIs, or had any serious illness that would interfere with participation in the trial. Participants signed written consent statements approved by the local institutional review board.

Study Design

The study was conducted at 19 clinical centers between October, 2004 and May 2008. Data were analyzed by the Coordinating Center at Johns Hopkins University. The study was designed as a two-arm parallel design randomized clinical trial. Participants meeting eligibility criteria were enrolled in a 2–8 week run-in period during which time they completed daily baseline asthma diaries and had pH probe testing performed. Participants were randomly assigned in equal allocation to either esomeprazole 40mg twice daily or a similar-appearing placebo. Participants and study staff were masked to the treatment assignment. The randomization schedule was stratified by clinic using a permuted block design with concealed allocation. After randomization, participants returned to the clinic every 4 weeks for 24 weeks for assessment of outcome measures.

Outcome Measures

For the duration of the trial participants maintained diaries to record morning peak expiratory flow (PEF), asthma symptoms, nocturnal awakenings, and use of beta-agonists. The primary outcome measure was the rate of Episodes of Poor Asthma Control (Type I EPAC). These were defined by the occurrence of either: decrease in morning PEF 30% or more on two consecutive days compared to personal best during the run-in period; unscheduled health care visit for asthma symptoms; or addition of oral prednisone for treatment of asthma. Beta-agonist use was excluded from this definition because of the possibility that participants might use beta-agonists for treatment of GER-related symptoms. A secondary analysis added the use of beta-agonist (4 or more inhalations in one day above baseline) for asthma symptoms to the above definition (Type II EPAC).³¹ Other asthma symptoms recorded on daily diaries were considered secondary outcomes.

Secondary outcomes recorded at each visit were: spirometry before and after 180 mcg of inhaled albuterol³², the Juniper Asthma Control Questionnaire (ACQ)²⁹, the Asthma Symptom Utility Index (ASUI)³³, the Asthma Quality of Life Questionnaire (AQLQ)³⁴, and the MOS-SF36 generic quality of life questionnaire.³⁵ Methacholine airways reactivity, expressed as the concentration causing a 20% fall in FEV1 (PC20), was measured at baseline and at 24 weeks for participants with an FEV1 > 70% predicted.³⁶

The presence or absence of esophageal reflux was ascertained by ambulatory pH probe monitoring.³⁷ Studies were reviewed at a central reading center for technical quality. A technically satisfactory study required the total recording time to be 16 or more hours, with at least one meal and two hours of recumbency. Reflux was considered present if the percent of time with pH less than 4.0 was more than 5.8% of the total time, or more than 8.2% of the upright time, or more than 3.5% of the supine time.³⁸ Symptoms of GER were assessed with the Gastroesophageal Reflux Disease Symptom Assessment Scale (GSAS) – Distress Version, which measures both the number and severity of symptoms.³⁹

Statistical Analysis

The target sample size of 400 participants was calculated to provide 77–97% power with 5% type I error (2-sided) and 10% data loss to detect a relative difference of 33% in the proportion of participants experiencing one or more EPACs assuming a rate of 40–60% in the control

group. All analyses were performed based on treatment assignment and all available data were included in the evaluations regardless of treatment received (modified intention to treat analysis). Negative binomial regression models were used to evaluate the difference in EPAC rate and the individual component rates.⁴⁰ Linear regression techniques were used to evaluate the mean differences from baseline during follow-up, robust variance estimates were calculated with GEE.⁴¹ Treatment effect modification for key covariates such as pH probe test results, age, race, self-reported GERD and asthma severity were performed by creating an interaction term and evaluating the term's significance in a model with the main effects (treatment and covariate). Analyses presented are not adjusted for baseline covariates unless there was evidence of an imbalance across the treatment groups at baseline. Statistical significance was inferred when $p < 0.05$. P-values are not adjusted for multiple comparisons.

RESULTS

Participant Characteristics (Table 1, Figure 1)

412 patients were randomized to treatment in this study. Because of Hurricane Katrina, data from 10 patients in New Orleans were incomplete and these cases were not included in the analysis. As a group, the patients were predominantly female, had low normal lung function and very poor asthma control evidenced by an ACQ score of 1.9.²⁹ Approximately 15% of the participants reported that they had a history of GER but the mean symptom scores were low. GER evidenced by ambulatory pH probe monitoring was present in 41% of the placebo group and 39% of the esomeprazole group. The asthma characteristics were similar between the two treatment groups.

Defining an adherent participant as one who takes both doses of drug on 80% or more of days on treatment, more participants were adherent with placebo (86%) than esomeprazole (84%) ($P = 0.53$). Participants took one or more doses of study drug on 80% or more days in 94% and 91% of the placebo and esomeprazole groups respectively. ($P = 0.21$) Esomeprazole was generally very well tolerated, but slightly more participants discontinued treatment in the esomeprazole group than the placebo group because of adverse effects, 9 vs 3, respectively. However, the esomeprazole group had fewer serious adverse events than the placebo group (11 vs 16, $P = 0.29$) including 3 asthma hospitalizations in the esomeprazole group and 4 in the placebo group. One patient in the esomeprazole group died following surgery for a bronchial carcinoid discovered during the study.

Episodes of Poor Asthma Control (Table 2)

Overall, the enrollees had persistent, poorly controlled asthma. Approximately 42% of the participants had a type I event and 61% had a type II event. Over the 24 weeks follow-up, about 18% of the patients required an urgent care visit or a course of prednisone. The annualized rates for EPACs, and the individual components (fall in PEF, urgent care visits, courses of steroids, or increased use of beta-agonists) did not differ between treatment groups. Night awakening due to asthma occurred on 1 or more occasions in about half of the participants, and this did not differ between treatment groups.

Secondary Outcomes (Table 3)

Spirometry, bronchodilator response, PEF, and airways reactivity did not change during the study and was not different between treatment groups. Asthma symptoms, asthma control, and quality of life, assessed by questionnaires all improved slightly during the trial but did not differ by treatment assignment. GER symptom scores, by design, were low at baseline, showed small improvements during the study, but were not different by treatment group.

Subgroup Analyses

Pre-specified subgroup analyses were performed to determine whether we could identify a subgroup likely to benefit. For all outcomes, there was no statistical interaction between abnormal GER by pH probe and treatment assignment indicating that patients with documented GER did not respond differently to PPIs. Neither BMI nor the presence of night awakenings was indicative of a response to PPIs. Additionally there was no interaction of treatment effect with: age, race, gender, former smoking status, asthma control or severity scores, use of long-acting beta agonists, self-reported GER, or GSAS distress score.

DISCUSSION

The purpose of this trial was to determine whether the addition of a PPI, esomeprazole, in doses large enough to suppress gastric acid, would improve asthma control in patients with inadequately controlled asthma, who did not have frequent GER symptoms. Moreover, we performed ambulatory esophageal pH probe studies to establish whether individuals with documented acid reflux might benefit more from PPI therapy. After six months of treatment in 402 patients, we were not able to demonstrate any treatment benefit with respect to the primary outcome, the rate of episodes of poor asthma control, or secondary outcomes measuring asthma symptoms, nocturnal awakenings, quality of life, or lung function. Moreover, there was no difference in asthma outcomes between patients with documented reflux compared to those without.

A systematic review of twelve small trials concluded that most studies have shown improvement in asthma outcomes with PPIs, but the studies were marred by design flaws and did not show consistent improvement in the same asthma outcomes.²⁵ More recently, Littner and colleagues reported a six-month placebo-controlled trial in 207 moderate-severe asthmatics who had definite GER symptoms. Lansoprazole 30 mg twice daily did not improve the primary outcome of daily asthma symptoms, but caused a reduction in exacerbations and improvement in asthma-related quality of life.²³ The reduction in exacerbations was greatest in those taking more than one class of asthma controller. Kiljander and colleagues conducted a three-strata 24-week multicenter international trial in asthmatics with nocturnal or GER symptoms treated with esomeprazole 40 mg twice daily. Overall, there was no efficacy in terms of daily PEF, exacerbations, or asthma symptoms. However, the strata of 350 patients that had both GER symptoms and nocturnal asthma symptoms had an improvement in PEF, but no benefit for FEV₁, rescue inhaler use, symptom scores, or nocturnal awakenings. The esomeprazole related improvement was most pronounced in patients taking long-acting beta-agonists. No prior studies have evaluated PPI treatment in poorly controlled asthmatics on ICS who had asymptomatic GER documented by ambulatory pH probe studies as suggested by current treatment guidelines.

This study differed from previous trials insofar as we excluded patients who had symptoms of GER two or more times per week. Our rationale was that these patients already have an indication for acid suppression treatment, regardless of their asthma. In our population, we found no benefit from PPIs in any primary or secondary asthma outcome measure. Moreover, ambulatory pH probe studies did not distinguish a subgroup likely to benefit. Further, we did not find that patients taking long-acting beta-agonists were more likely to respond to PPIs. Therefore, taken as a whole, the weight of evidence indicates that PPIs should not be routinely prescribed to improve asthma symptoms if the patient does not have symptoms of GER. In patients who have symptoms of GER, PPI therapy reduces GER symptoms, but likely has little impact on asthma. Because diagnostic tests and drug treatment of GER in asthma patients contribute substantially to the cost of asthma care, limited use of these measures seems warranted.²⁸

This trial provides strong evidence that asymptomatic GER, which was present in nearly half of our participants with poorly controlled asthma and minimal or absent symptoms of heartburn, is not a frequent cause of poor asthma control. In addition, the failure of PPIs to improve methacholine reactivity suggests that airway inflammation from microaspiration or esophageal reflexes is not a common contributing mechanism of poor asthma control in patients who have persistent asthma symptoms despite inhaled corticosteroids.

Although the dose of esomeprazole used in this study is highly effective in suppressing gastric acid throughout the day, and is larger than the routinely administered dose for GER symptoms, it does not prevent alkaline reflux that may also trigger esophageal reflexes mediating neurogenic inflammation in the airways.⁴² On occasion, nocturnal gastric acid may occur with even high dose PPI, though it does not necessarily lead to reflux in asymptomatic individuals.⁴³ Furthermore, asymptomatic GER may have other adverse health consequences such as Barrett's esophagus and predisposition to esophageal cancer that are not related to asthma. Accordingly, the role for ambulatory esophageal pH probe testing in asthmatics ought to be guided by the need to diagnose and treat esophageal disease but not asthma.

In summary, we have found that there is no benefit from treating patients with poorly controlled asthma with a PPI if they have minimal or absent symptoms of GER. Ambulatory pH probe testing and clinical characteristics do not identify a subgroup that is likely to benefit.

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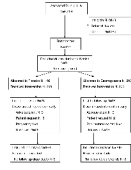


Figure 1.
CONSORT Diagram

Table 1

Baseline Characteristics

	Treatment	
	Placebo	Esomeprazole
Demographic Characteristics – N	199	203
Mean age at randomization, yr ± SD	42 ± 13	42 ± 13
Males (% of group)	28	36
Race or ethnic group (% of group)		
White	52	50
Black	37	39
Hispanic	9	9
Other	3	2
Former smoker (% of group)	20	15
Mean BMI, kg/m ² ± SD	32 ± 8	32 ± 9
BMI ≥30 kg/m ² (% of group)	54	50
Asthma Characteristics		
Mean age of asthma onset yr ± SD	17 ± 17	17 ± 16
Use of inhaled short-acting beta-agonist (MDI/Neb) ≥ 2 times/week (% of group)	83	79
Unscheduled health care visit in past year (% of group)	63	54
Oral corticosteroids for asthma in past year (% of group)	51	47
Daily use of ICS (% of group)	100	100
Fluticasone/salmeterol (% of group)	75	79
Mean ACQ (↓) (score range: 0–6) ± SD	1.9 ± 0.8	1.8 ± 0.8
Mean ASUI (↑) (score range: 0–1) ± SD	0.74 ± 0.18	0.76 ± 0.15
Mean AQL (↑) (score range: 1–7) ± SD	4.7 ± 1.2	4.7 ± 1.2
SF-36 Quality of Life (↑) (score range: 0–100) - N	199	202
Mean physical score ± SD	42 ± 10	43 ± 10
Mean emotional score ± SD	49 ± 10	50 ± 11
Mean Pulmonary Function Measures - N	198	203
Pre-BD FEV ₁ (%predicted ± SD) *	78 ± 15	76 ± 15
Pre-BD FVC (%predicted ± SD) *	87 ± 16	87 ± 14
FEV ₁ %change post-BD ± SD	10 ± 10	11 ± 16
FVC %change post-BD ± SD	5 ± 8	6 ± 11
Peak flow rate (L/min ± SD)	363 ± 97	357 ± 107
PC ₂₀ mg/mL - N	92	83
mean ± SD	2.8 ± 4.0	3.6 ± 4.4
PC ₂₀ contraindicated n (% of group)	103 (52)	117 (59)
pH probe results – N	151	152
Positive (% of group)	41	39
GERD Symptom Assessment Scale - N	199	203
Mean number of symptoms (0–15) ± SD	7 ± 3	6 ± 4
Mean distress score (↓) (score range: 0–3) ± SD	0.60 ± 0.46	0.51 ± 0.47

	Treatment	
	Placebo	Esomeprazole
Other conditions - N	199	203
Self-reported GERD (% of group)	19	10
Eczema (% of group)	20	10
Sinusitis (% of group)	43	34
Rhinitis (% of group)	61	58
Food allergies (% of group)	24	15
Allergies worsen asthma (% of group)	78	78

KEY: BMI = Body Mass Index. ACQ = Asthma Control Questionnaire. ASUI = Asthma Symptom Utility Index. AQL = Asthma Quality of Life. ↑ = higher score is better, ↓ = lower score is better, FEV₁ = Forced expiratory volume in 1 second, FVC = Forced vital capacity, BD = bronchodilator, SD = standard deviation, GERD = gastroesophageal reflux disease, SF-36 = RAND 36 item health survey.

* Predicted values for FEV₁ and FVC are taken from: Hankinson JL, Odencrantz JR, Fedan KB. Spirometric reference values from a sample of the general U.S. population. *Am J Respir Crit Care Med.* 1999;159:179–87.

Table 2

Episodes of Poor Asthma Control

N	Treatment Group		IRR* (Eso:Pib) 95% CI	P-value*	
	Placebo 193	Esomeprazole 200		Eso vs Pib [†]	GER Interaction [‡]
Asthma episodes - type 1					
#Events	201	224			
Rate (events/person-year)	2.3	2.5	1.1 0.8, 1.5	0.66	0.93
Patients with ≥1 event, N(%)	42	42			
Exacerbation Components Peak flow, 30% drop					
#Events	141	180			
Rate (events/person-year)	1.7	2.1	1.2 0.8, 2.0	0.35	0.99
Patients with ≥1 event, N (%)	26	28			
Urgent Care					
#Events	53	51			
Rate (events/person-year)	0.7	0.6	0.9 0.6, 1.5	0.79	0.44
Patients with ≥1 event, N (%)	17	18			
New use of oral steroids					
#Events	50	48			
Rate (events/person-year)	0.6	0.5	0.9 0.6, 1.3	0.62	0.84
Patients with ≥1 event, N (%)	24	21			
Asthma episodes - type 2					
#Events	367	383			
Rate (events/person-year)	4.4	4.3	1.0 0.8, 1.3	0.87	0.19
Patients with ≥1 event, N (%)	63	60			
Rescue medications					

N	Treatment Group		IRR* (Eso:Plb) 95% CI	Eso vs Plb [†]	GER Interaction [‡]	P-value*
	Placebo 193	Esomeprazole 200				
#Events	248	241				
Rate (events/person-year)	3.0	2.8	0.9 0.7, 1.3	0.62	0.05	
Patients with ≥1 event, N (%)	46	45				
Night awakening						
#Events	2,518	2,241				
Rate (events/person-year)	30	28	0.9 0.6, 1.4	0.71	0.38	
Patients with ≥1 event, N (%)	55	52				

* Incidence rate ratio and P-value estimated from negative binomial regression with robust variance estimates.

[†] P-value for treatment effect (Esomeprazole - Placebo)

[‡] P-value for modification of treatment effect by pH probe results estimated by linear regression;

Table 3

Secondary Outcomes – Mean change from Baseline

	Placebo	Esomeprazole	Treatment effect	P-values	
				Plb vs Eso*	GGER Interaction [†]
N Mean Change Baseline to 24 weeks (95% confidence interval)					
Lung function					
pre-BD FEV ₁ (L)	191 -0.02 -0.06, 0.01	201 0.00 -0.04, 0.04	0.03 -0.03, 0.08	0.36	0.56
pre-BD FVC (L)	191 -0.03 -0.06, 0.01	201 0.00 -0.04, 0.05	0.03 -0.03, 0.09	0.30	0.77
FEV ₁ change with BD (%)	191 -0.2 -1.6, 0.1	201 -1.3 -3.4, 0.7	-1.0 -3.5, 1.4	0.41	0.45
Peak flow rate (L/min)	191 3.2 -3.5, 9.9	201 9.2 1.8, 16.6	6.0 -3.9, 16.0	0.24	0.03
PC20 Methacholine (mg/mL) [‡]	40 1.5 0.2, 2.8	33 -0.3 -1.4, 1.6	-1.8 -3.6, -0.1	0.04	0.68
PC20 not achieved at 24-weeks n (%)	18 (31)	20 (35)	4%	0.60	
Asthma control scores and Mini-Asthma Quality of Life					
Asthma control score	191 -0.3 -0.4, -0.2	201 -0.2 -0.3, -0.1	0.1 0.0, 0.2	0.11	0.73
ASUI	191 0.05 0.03, 0.07	201 0.02 0.01, 0.04	-0.02 -0.05, 0.05	0.11	0.75
Mini Asthma Quality of life score	191 0.3 0.2, 0.4	201 0.3 0.2, 0.4	-0.1 -0.2, 0.1	0.33	0.81
Quality of Life - SF36 score					
Mental	191 0.0 -1.1, 1.1	201 0.4 -0.6, 1.4	0.5 -1.1, 2.0	0.56	0.46
Physical	191 2.0 1.1, 2.9	201 1.1 0.3, 1.9	-0.9 -2.1, 0.4	0.16	0.58

	Placebo		Esomeprazole		Treatment effect N Mean Change Baseline to 24 weeks (95% confidence interval)	P-values	
	N	Mean Change	N	Mean Change		P1b vs Eso*	GER Interaction [‡]
Gastric Symptoms							
GSAS score	191	-0.17 -0.21, -0.12	201	-0.16 -0.20, -0.11	0.01 -0.05, 0.07	0.76	0.99
Number of symptoms	191	-1.7 -2.1, -1.3	201	-1.9 -2.3, -1.6	-0.2 -0.8, 0.3	0.40	0.91

* P-value for treatment effect (Esomeprazole - Placebo) estimated by linear regression

[‡] P-value for modification of treatment effect by pH probe results estimated by linear regression; the number of participants with pH probe data available was 302.

[‡] Adjusted for baseline value

Abbreviations: BD - bronchodilator; ASUI - Asthma Symptom Utility Index; GSAS - Gastroesophageal Reflux Disease Symptom Assessment Scale