

## Phenotypic and Biochemical Comparison of the Carbapenem-Hydrolyzing Activities of Five Plasmid-Borne AmpC $\beta$ -Lactamases<sup>∇</sup>

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The CMY-2, ACT-1, DHA-1, ACC-1, and FOX-1 enzymes are representative of five plasmid-mediated AmpC (pAmpC)  $\beta$ -lactamase clusters. Resistance to imipenem has been reported in *Enterobacteriaceae* as a result of pAmpC expression combined with decreased outer membrane permeability. The aim of this study was to determine the role of different pAmpCs in carbapenem resistance and to define the structure/activity relationship supporting carbapenemase activity. The *ampC* genes encoding the five pAmpCs and the chromosomal AmpC of *Escherichia coli* EC6, which was used as a reference cephalosporinase, were cloned and introduced into wild-type *E. coli* TOP10 and OmpC/OmpF porin-deficient *E. coli* HB4 strains. The MICs of  $\beta$ -lactams for the recombinant strains revealed that CMY-2, ACT-1, and DHA-1  $\beta$ -lactamases conferred a high level of resistance to ceftazidime and cefotaxime once expressed in *E. coli* TOP10 and reduced significantly the susceptibility to imipenem once expressed in *E. coli* HB4. In contrast, FOX-1 and ACC-1 enzymes did not confer resistance to imipenem. Biochemical analysis showed that CMY-2  $\beta$ -lactamase and, to a lesser extent, ACT-1 exhibited the highest catalytic efficiency toward imipenem and showed low  $K_m$  values. A modeling study revealed that the large R2 binding site of these two enzymes may support the carbapenemase activity. Therefore, CMY-2-type, ACT-1-type, and DHA-1-type  $\beta$ -lactamases may promote the emergence of carbapenem resistance in porin-deficient clinical isolates.

The class C (AmpC)  $\beta$ -lactamases constitute a group of enzymes widely distributed in *Enterobacteriaceae*. They preferentially inactivate narrow-spectrum cephalosporins and, to a lesser extent, expanded-spectrum cephalosporins (ESCs), such as ceftazidime and cefotaxime. Zwitterionic cephalosporins, such as cefepime, and carbapenems, such as imipenem, ertapenem, and meropenem, which penetrate very efficiently through the native outer membrane of Gram negatives and are poor substrates of AmpC  $\beta$ -lactamases, remain active *in vitro* against enterobacterial isolates that overproduce chromosome-encoded cephalosporinase (24).

Plasmid-mediated AmpC (pAmpC)  $\beta$ -lactamases, which originated from chromosomal AmpC of different Gram-negative bacteria, has emerged since the 1980s (24). They can be divided into five clusters: the *Citrobacter freundii* cluster, represented by CMY-2, the *Enterobacter* cluster with MIR-1 and ACT-1, the *Morganella morganii* group with DHA-1, the *Hafnia alvei* cluster represented by ACC-1, and the *Aeromonas* cluster with MOX-1 (also called CMY-1) and FOX-1 enzymes, which constitute two distinct subgroups (24). pAmpC  $\beta$ -lactamases, whose constitutive expression often is triggered by strong promoters (29), confer a phenotype of resistance similar

to that displayed by chromosomal AmpC-overproducing strains.

Modifications of the membrane permeability can markedly change the susceptibility profile of pAmpC-producing isolates. By reducing the antibiotic concentration inside the periplasm, porin change may amplify the  $\beta$ -lactamase effects toward weakly hydrolyzed substrates, such as cefepime and carbapenems. This combination of mechanisms supports, in part, the emergence of carbapenem resistance among *Enterobacteriaceae* producing pAmpCs. Interestingly, these clinical isolates produced ACT-1, DHA-1, CMY-2, or CMY-4  $\beta$ -lactamase (4, 5, 15, 21, 26, 32), which is a point variant of CMY-2 conferring an identical phenotype of resistance (33). These results suggested that these pAmpC  $\beta$ -lactamases possess a carbapenemase activity.

The aim of this study was to test the carbapenemase activity of five representative plasmid-borne AmpC-type  $\beta$ -lactamases, CMY-2, ACT-1, DHA-1, ACC-1, and FOX-1, in isogenic systems containing wild-type and porin-deficient *E. coli* strains. A biochemical characterization and a modeling study also were performed to elucidate the structure-activity relationship accounting for carbapenemase activity.

### MATERIALS AND METHODS

**Bacterial strains.** Clinical isolates *Escherichia coli* ECB1 and ECB2 produced ACC-1 and CMY-2  $\beta$ -lactamases, respectively (17); recombinant strains *E. coli* DH10B (pPON-1) and *E. coli* EC6 produced DHA-1 enzyme and the AmpC B2  $\beta$ -lactamase, respectively, which is the chromosomal wild-type cephalosporinase of *E. coli* (18, 25); the transformant strain *E. coli* (pGLK1) produced FOX-1 enzyme (10); and the strain *Enterobacter asburiae* CIP 105006 (Pasteur Institute,

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TABLE 1. Primers used in this study

Target gene	Primer	Sequence	Reference or source
<i>bla</i> <sub>CMY-2</sub>	CMY-2-A	5'-ATGATGAAAAATCGTTATGC-3'	This study
	CMY-2-B	5'-TTATTGCAGCTTTTCAAGAATGC-3'	
<i>bla</i> <sub>ACT-1</sub>	ACT-1-A	5'-ATGATGACTAAATCCCTTTGC-3'	This study
	ACT-1-B	5'-CTACAGCGCGCTCAAAATACG-3'	
<i>bla</i> <sub>ACC-1</sub>	ACC-1-A	5'-ATGCAGAACACATTGAAGC-3'	This study
	ACC-1-B	5'-CTACTTATCCCTTCCAATGAGC-3'	
<i>bla</i> <sub>DHA-1</sub>	DHA-1-A	5'-ATGAAAAAATCGTTATCTGC-3'	This study
	DHA-1-B	5'-TTATTCCAGTGCCTCAAAATAGC-3'	
<i>bla</i> <sub>FOX-1</sub>	FOX1-1-A	5'-ATGCAACAACGACGTGCGTTTCG-3'	This study
	FOX1-1-B	5'-TCACTCGGCCAACTGACTCAGG-3'	
<i>bla</i> <sub>AmpC B2</sub>	Int-B1	5'-TTTTGTATGGAACCAGACC-3'	19
	Int-HN	5'-AAAAGCGGAGAAAAGGTCCG-3'	

Paris, France) produced ACT-1  $\beta$ -lactamase (30). All of these strains were used as sources of *ampC* genes.

The wild-type strain *E. coli* TOP10 (Invitrogen, Cergy Pontoise, France) and the mutant strain *E. coli* HB4, which lacks porins *OmpC* and *OmpF* (19), were used as recipient strains in transformation experiments.

**Cloning experiments.** The whole-cell DNAs from *E. coli* ECB1, *E. coli* ECB2, *E. coli* DHB10(pPON-1), *E. coli* EC6, *E. coli*(pGLK1), and *E. asburiae* CIP105006 were extracted as previously described (3). They were used as templates to amplify plasmid-borne *ampC* genes under the following PCR conditions: denaturation for 10 min at 94°C; 35 cycles of 1 min at 94°C, 1 min at 55°C, and 2 min at 72°C; and a final extension step of 10 min at 72°C. The set of primers, which were used to amplify the *bla*<sub>AmpC</sub> genes, are presented in Table 1. The PCR products, which contained the coding regions without their original promoter, subsequently were cloned into PCR-BluntII-Topo (Invitrogen), and the recombinant plasmids were transformed into *E. coli* strain TOP10 and *E. coli* HB4, as described previously (19).

**Antimicrobial agents and MIC determination.** The antibiotic agents and their sources have been described elsewhere (3). MICs were determined by an agar dilution technique on Mueller-Hinton agar (Sanofi-Diagnostics Pasteur, Paris, France) with an inoculum of 10<sup>4</sup> CFU per spot and were interpreted according to the guidelines of the Clinical and Laboratory Standards Institute (6, 7).

**$\beta$ -Lactamase purification.** All recombinant *E. coli* TOP10 strains were grown overnight at 37°C in 4 liters of trypticase soy (TS) broth containing amoxicillin (100 mg/liter) and kanamycin (30 mg/liter), resuspended in 40 ml of 100 mM phosphate buffer (pH 7), disrupted by sonication, and centrifuged at 20,000  $\times$  *g* for 1 h at 4°C, as described previously (3). The six AmpC  $\beta$ -lactamases were purified as described previously (20), except for the crude extract containing the FOX-1 enzyme, which was dialyzed overnight at 4°C against 20 mM bis-Tris (pH 6.8) at 4°C before being loaded onto a Q-Sepharose column preequilibrated with the same buffer. The FOX-1 enzyme was recovered in the flowthrough and dialyzed against 20 mM Tris-HCl buffer (pH 9) overnight at 4°C before being loaded onto a preequilibrated Q-Sepharose column. The  $\beta$ -lactamase activity was retained, and the proteins subsequently were eluted with a linear NaCl gradient (0 to 1 M). To assess the purity of the extracts, purified enzymes were subjected to SDS-PAGE analysis (14).

**Kinetic measurements.** Purified  $\beta$ -lactamases were used to determine the kinetic parameters ( $K_m$  and  $k_{cat}$ ) of cephaloridine, ertapenem, imipenem, and meropenem at 30°C in 100 mM sodium phosphate (pH 7.0). The rates of hydrolysis were determined with an Ultrospec 2100 spectrophotometer and were analyzed using the Swift II software (GE Healthcare).  $K_m$  and  $k_{cat}$  values for cephaloridine were determined by analyzing the  $\beta$ -lactam hydrolysis under initial rate conditions by using the Eadie-Hofstee linearization of the Michaelis-Menten equation as previously described (8). Since the  $K_m$  values for imipenem were low,  $K_i$  were determined instead of  $K_m$  using cephaloridine as the substrate, and the  $k_{cat}$  values were determined from initial rates at saturating substrate concentrations ( $[S] = 100 \times K_m$ ) using 100  $\mu$ l of the nondiluted enzyme extracts (8).

**Modeling study.** A structural alignment of CMY-2  $\beta$ -lactamase (Protein Data Bank [PDB] code 1ZC2), ACT-1 enzyme (PDB reference 2ZC7), and the AmpC

$\beta$ -lactamase of *E. coli* K-12 (PDB reference 1KVL) using the Deepview software (www.expasy.org/spdbv/) (11, 22, 23) was carried out. The secondary structures of the three layers were superimposed using the alternate-fit option of the software. The RMS (root mean squared) backbone deviation of each residue in the active layer from the corresponding amino acids in the two other layers was highlighted using the RMS coloring option.

## RESULTS AND DISCUSSION

The PCR experiments yielded six PCR products containing the coding regions of *bla*<sub>CMY-2</sub>, *bla*<sub>ACT-1</sub>, *bla*<sub>DHA-1</sub>, *bla*<sub>FOX-1</sub>, *bla*<sub>ACC-1</sub>, and *bla*<sub>AmpC-B2</sub> genes without their own promoter. These PCR products were cloned into PCR-BluntII-TOPO (Invitrogen) and transformed into *E. coli* TOP10 and *E. coli* HB4, giving rise to recombinant strains *E. coli* TOP10(pCMY-2), *E. coli* TOP10(pACT-1), *E. coli* TOP10(pDHA-1), *E. coli* TOP10(pFOX-1), *E. coli* TOP10(pACC-1), *E. coli* TOP10(pAmpC-B2), *E. coli* HB4(pCMY-2), *E. coli* HB4(pACT-1), *E. coli* HB4(pDHA-1), *E. coli* HB4(pFOX-1), *E. coli* HB4(pACC-1), and *E. coli* HB4(pAmpC-B2). The orientation of the cloned insert was the same in the recombinant plasmids, with the *ampC* gene under the transcriptional control of the *lacZ* promoter flanking the cloning site.

The MICs of  $\beta$ -lactams for the 12 recombinant strains are shown in Table 2. The recombinant *E. coli* TOP10 strains producing CMY-2, ACT-1, DHA-1, and FOX-1  $\beta$ -lactamases were resistant to ceftazidime according to the CLSI criteria (6), whereas *E. coli* TOP10(pACC-1) was intermediate to this compound and *E. coli* TOP10(pAmpC-B2) remained susceptible to all ESCs (Table 2). Moreover, CMY-2, ACT-1, DHA-1, and FOX-1 conferred to *E. coli* TOP10 a high level of resistance to cefotaxime (128, 64, 8, and 16  $\mu$ g/ml, respectively). All recombinant *E. coli* TOP10 strains remained susceptible to cefepime and carbapenems (6, 7).

AmpC-producing *E. coli* HB4 recombinant strains displayed higher levels of resistance than *E. coli* TOP10 recombinant strains. The weak hydrolytic activity of cephalosporinases toward poor substrates was magnified by the simultaneous lack of *OmpC* and *OmpF* porins. *E. coli* HB4 recombinant strains producing CMY-2, ACT-1, DHA-1, FOX-1, and ACC-1 were

TABLE 2. MICs of  $\beta$ -lactams for the recombinant clones, the recipient strain *E. coli* TOP10, and the OmpC/OmpF porin-deficient strain *E. coli* HB4

<i>E. coli</i> strain	$\beta$ -Lactam MIC ( $\mu\text{g/ml}$ )							
	Cefoxitin	Cefuroxime	Cefotaxime	Ceftazidime	Cefepime	Imipenem	Ertapenem	Meropenem
TOP10(pCMY-2) <sup>a</sup>	128	128	128	256	1	0.5	0.064	0.064
TOP10(pACT-1) <sup>a</sup>	512	512	64	128	0.25	0.25	0.016	0.032
TOP10(pDHA-1) <sup>a</sup>	32	128	8	64	0.064	0.25	0.012	0.032
TOP10(pFOX-1) <sup>a</sup>	512	256	16	64	0.5	0.25	0.032	0.125
TOP10(pACC-1) <sup>a</sup>	2	1	1	8	0.125	0.125	0.006	0.032
TOP10(pAmpC-B2) <sup>a</sup>	128	128	0.5	1	0.06	0.125	0.064	0.032
TOP10	2	1	0.06	0.06	0.06	0.125	0.006	0.032
HB4(pCMY-2) <sup>a</sup>	>512	>512	256	>512	16	32	256	8
HB4(pACT-1) <sup>a</sup>	>512	>512	64	>512	4	16	256	4
HB4(pDHA-1) <sup>a</sup>	>512	>512	128	256	2	2	16	1
HB4(pFOX-1) <sup>a</sup>	>512	>512	32	512	8	0.5	4	0.75
HB4(pACC-1) <sup>a</sup>	256	128	4	16	4	0.5	2	0.5
HB4(pAmpC-B2)	512	512	4	8	1	0.5	2	0.032
HB4	256	32	4	4	1	0.25	1	0.032

<sup>a</sup> *E. coli* TOP10(pCMY-2), *E. coli* TOP10(pACT-1), *E. coli* TOP10(pDHA-1), *E. coli* TOP10(pFOX-1), *E. coli* TOP10(pACC-1), and *E. coli* TOP10(pAmpC-B2) produced CMY-2, ACT-1, DHA-1, FOX-1, ACC-1, and the chromosome-borne cephalosporinase of *E. coli* EC6. *E. coli* HB4(pCMY-2), *E. coli* HB4(pACT-1), *E. coli* HB4(pDHA-1), *E. coli* HB4(pFOX-1), *E. coli* HB4(pACC-1), and *E. coli* HB4(pAmpC-B2) produced CMY-2, ACT-1, DHA-1, FOX-1, ACC-1, and the chromosome-borne cephalosporinase of *E. coli* EC6.

resistant to ceftazidime (MIC  $\geq 32$   $\mu\text{g/ml}$ ) and cefotaxime (MIC  $\geq 4$   $\mu\text{g/ml}$ ) at high levels (Table 2). The *E. coli* HB4 recombinant strains were susceptible to cefepime, except for *E. coli* HB4 (pCMY-2), which was intermediate to this compound (16  $\mu\text{g/ml}$ ). The CMY-2 and ACT-1  $\beta$ -lactamases conferred a high level of resistance to imipenem in *E. coli* HB4 (32 and 16  $\mu\text{g/ml}$ , respectively), whereas DHA-1 enzyme conferred a reduced susceptibility to this compound (MIC of 2  $\mu\text{g/ml}$ ). In contrast, *E. coli* HB4(pACC-1), *E. coli* HB4(pFOX-1), and *E. coli* HB4(pAmpC-B2) remained fully susceptible to imipenem. All *E. coli* HB4 recombinant strains were susceptible to meropenem, except *E. coli* HB4(pCMY-2) and *E. coli* HB4(pACT-1), which were resistant and intermediate, respectively (8 and 4  $\mu\text{g/ml}$ , respectively).

These *in vitro* results agree with the *in vivo* emergence of the imipenem resistance among AmpC-producing enterobacterial isolates, which mainly harbored the *bla*<sub>CMY-2</sub> gene, its derivative *bla*<sub>CMY-4</sub> gene, or the *bla*<sub>ACT-1</sub> gene. The MICs of carbapenems for the *E. coli* HB4(pCMY-2), *E. coli* HB4(pACT-1), and *E. coli* HB4(DHA-1) transformants are identical or closely related (1-fold dilution difference) to those displayed by the clinical enterobacterial isolates (4, 5, 15, 21, 26, 32). The porin-deficient *E. coli* HB4 strain constitutes a reliable *in vitro* model that could predict the selection of imipenem-resistant strains

from clinical isolates producing  $\beta$ -lactamases with carbapenemase properties.

The CMY-2, ACT-1, DHA-1, FOX-1, ACC-1, and AmpC-B2  $\beta$ -lactamases were extracted from the *E. coli* TOP10 recombinant strains. The concentration of enzymes was similar among the six crude extracts. The purification yielded six extracts containing 1.56, 2.1, 0.27, 0.17, 0.3, and 1.4 mg/ml of proteins, respectively. The comparison of specific activities before and after purification showed purification factors of 75, 78, 15, 6.5, 5.8, and 70, respectively. AmpC enzymes were purified to near homogeneity as deduced from the SDS-PAGE analysis (data not shown).

The  $K_m$  and  $k_{\text{cat}}$  values for imipenem and cephaloridine are presented in Table 3. The  $k_{\text{cat}}$  values of the purified enzymes for cephaloridine were similar to those described previously (1, 9). The overall catalytic efficiencies of CMY-2 and ACT-1  $\beta$ -lactamases for imipenem were higher than the values of the FOX-1 and AmpC-B2 enzymes, which could be related to lower  $K_m$  values. Bauvois et al. already reported the increased catalytic efficiency of CMY-2  $\beta$ -lactamase against ESCs compared to that of other pAmpCs, which resulted from a higher affinity (1). The kinetic parameters of DHA-1 and ACC-1 enzymes were not determinable due to the very slow hydrolysis rates displayed by their corresponding extract, which could be

TABLE 3. Kinetic parameters of the five pAmpC  $\beta$ -lactamases

$\beta$ -Lactamase	Cephaloridine			Imipenem		
	$k_{\text{cat}}$ ( $\text{s}^{-1}$ )	$K_m$ ( $\mu\text{M}$ )	$k_{\text{cat}}/K_m$ ( $\text{mM} \cdot \text{s}^{-1}$ )	$k_{\text{cat}}$ ( $\text{s}^{-1}$ )	$K_m$ ( $\mu\text{M}$ )	$k_{\text{cat}}/K_m$ ( $\text{mM} \cdot \text{s}^{-1}$ )
CMY-2	412 $\pm$ 25	125 $\pm$ 30	3,300	0.04 $\pm$ 0.01	1 $\pm$ 0.5	40
ACT-1	481 $\pm$ 18	420 $\pm$ 30	1,145	0.02 $\pm$ 0.005	3 $\pm$ 1	7
DHA-1	196 $\pm$ 30	330 $\pm$ 30	595	<0.001	ND <sup>a</sup>	
FOX-1	228 $\pm$ 20	630 $\pm$ 40	360	0.01 $\pm$ 0.003	35 $\pm$ 4	0.3
ACC-1	140 $\pm$ 32	420 $\pm$ 35	330	<0.001	ND	
AmpC-B2	240 $\pm$ 15	950 $\pm$ 10	250	0.08 $\pm$ 0.002	35 $\pm$ 2	2

<sup>a</sup> ND, not determined.

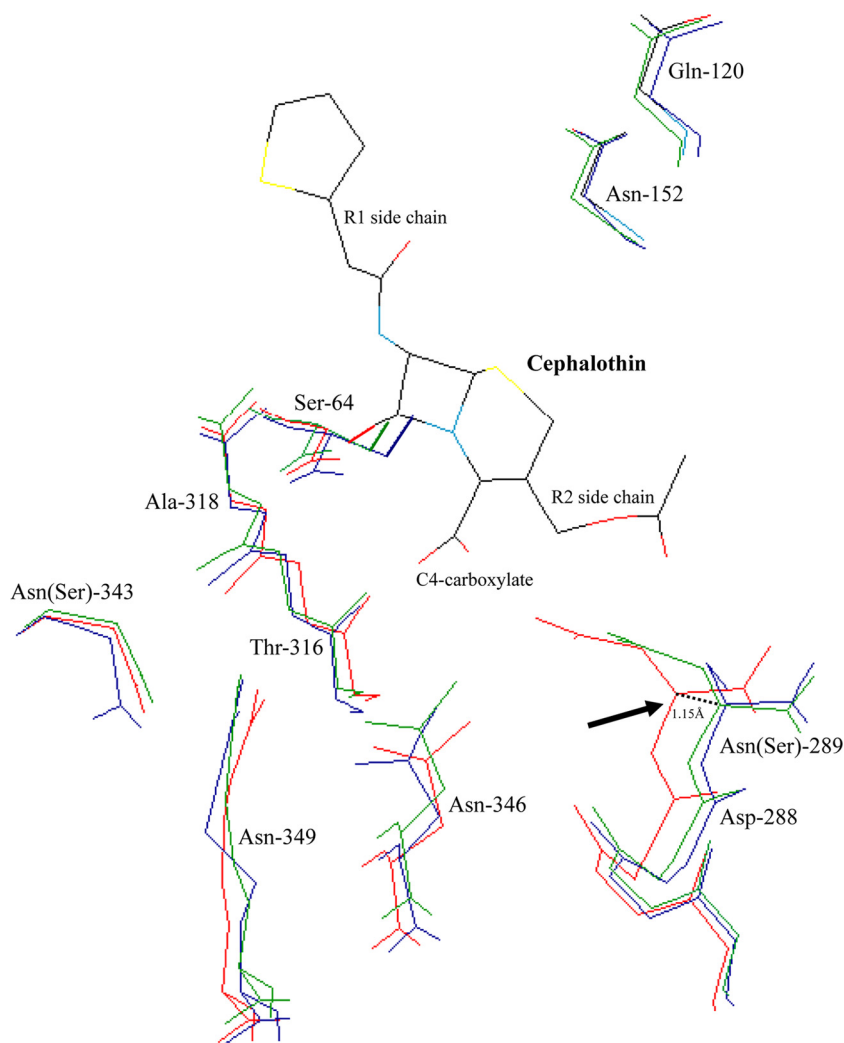


FIG. 1. Superimposition of the crystallographic structures of CMY-2  $\beta$ -lactamase (green; PDB code 1ZC2), ACT-1 enzyme (blue; PDB code 2ZC7) 31, and the AmpC  $\beta$ -lactamase of *E. coli* K-12 (red; PDB code 1KVL). Amino acids that are involved in the substrate binding (Gln-120, Asn-152, Ser-287, Asp-288, Ser-289, Thr-316, Asn-346, and Arg-349) and that constitute the oxyanion binding pocket (Ser-64 and Ser-318) are represented (2, 16, 28). The lateral side chains of Asn-289, Asn-346, and Arg-349, which contribute to the C4-carboxylate  $\beta$ -lactam binding (2, 16, 28), are shown. The side chain of the reactive Ser-64, which attacks the carbonyl carbon of the  $\beta$ -lactam ring, is shown in boldface.

attributable, in part, to the very small amount of proteins recovered after the purification step. Unfortunately, despite several attempts, the protein concentration in these extracts could not be further increased.

It is noteworthy that the hydrolysis of ertapenem and meropenem was not detectable for all six purified AmpC extracts, which contrasted with the increased MIC values of ertapenem for the recombinant *E. coli* HB4(pCMY-2) and *E. coli* HB4(pACT-1) strains. This discrepancy between phenotypic and biochemical results could result from the low-but-not-zero deacylation rate of AmpC  $\beta$ -lactamases for those compounds (13).

The modeling study showed that the overall structures of CMY-2, ACT-1, and the chromosomal AmpC  $\beta$ -lactamase of *E. coli* K-12 were homologous. In particular, the location and geometry of the catalytic serine residue (Ser-64) and the main-chain nitrogen atoms of Ser-64 and Ala(Ser)318 that form the oxyanion hole were well conserved. The location of residues

Gln-120 and Asn-152, which also supply amide groups to the hydrogen bond to the acylamide carbonyl group of  $\beta$ -lactams, also were well conserved. Despite the overall similarity in structure, CMY-2, ACT-1, and AmpC-B2 had noticeable conformational differences in the binding site (Fig. 1). The residues of CMY-2 and ACT-1 that constitute the short coil located downstream of the helix H-9 at the edge of the R2 binding site (residues 287 to 289) presented a 0.55- to 1.15- $\text{\AA}$  shift compared to that of the AmpC  $\beta$ -lactamase of *E. coli* K-12. This structural discrepancy might improve the accommodation of the antibiotic inside the catalytic pocket by reducing the steric hindrances between the R2 substituents of the  $\beta$ -lactam rings and the top of the R2 binding site, which is constituted by the residues 287 to 289 (12, 16). Similarly, the crystallographic and biochemical study of the CMY-10  $\beta$ -lactamase, which was derived from the plasmid-borne CMY-1 enzyme by an Asn-to-Ile substitution at position 346 and which exhibited increased catalytic efficiency against imipenem, re-



vealed an open gap in the R2 binding site between the helices H-9, H-10, and the adjacent helix H-11 (12). Other structural differences in the R2 binding site also may contribute to the hydrolytic activity discrepancies. Indeed, some amino acids, such as the Asn-289 that is involved in the substrates binding in the AmpC  $\beta$ -lactamase of *E. coli* (16, 27, 28), are not well conserved in some pAmpCs enzymes (Ser-289 in CMY-2).

This study reveals that the imipenem resistance may occur mostly among pAmpC producers of the CMY-2, ACT-1, and DHA-1 types. The carbapenemase property of the CMY-2  $\beta$ -lactamase may be more important, since this cephalosporinase is widely distributed throughout the world among humans and animals (24).

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