



Published in final edited form as:

Genesis. 2009 March ; 47(3): 175–187. doi:10.1002/dvg.20479.

***Pax6* regulation of *Math5* during mouse retinal neurogenesis**

Amy N. Riesenber¹, Tien T. Le¹, Minde I. Willardsen², David C. Blackburn³, Monica L. Vetter², and Nadean L. Brown^{1,#}

¹Division of Developmental Biology, Children's Hospital Research Foundation and Departments of Pediatrics and Ophthalmology, University of Cincinnati School of Medicine, Cincinnati, OH 45229

²Department of Neurobiology and Anatomy, University of Utah School of Medicine, Salt Lake City, UT 84132

³Museum of Comparative Zoology and Department of Organismic and Evolutionary Biology, Harvard University, Cambridge, MA 02138

Abstract

Activation of the bHLH factor *Math5* (*Atoh7*) is an initiating event for mammalian retinal neurogenesis, as it is critically required for retinal ganglion cell formation. However, the cis-regulatory elements and trans-acting factors that control *Math5* expression are largely unknown. Using a combination of transgenic mice and bioinformatics, we identified a phylogenetically conserved regulatory element that is required to activate *Math5* transcription during early retinal neurogenesis. This element drives retinal expression *in vivo*, in a cross-species transgenic assay. Previously, *Pax6* was shown to be necessary for the initiation of *Math5* mRNA expression. We extend this finding by showing that the *Math5* retinal enhancer also requires *Pax6* for its activation, via *Pax6* binding to a highly conserved binding site. In addition, our data reveal that other retinal factors are required for accurate regulation of *Math5* by *Pax6*.

Keywords

retina; neurogenesis; *Math5*; *Pax6*; transcriptional regulation

Introduction

Vertebrate eye development involves outgrowth, morphogenesis, specification and differentiation of multiple tissues such as the cornea, lens, iris, ciliary body, neuroretina and retinal pigmented epithelium. All of these tissues require the paired- and homeo-domain containing transcription factor *Pax6* for their formation (reviewed in Hanson, 2003; Kozmik, 2005; Treisman, 2004). Both loss-of-function (Fujiwara *et al.*, 1994; Glaser *et al.*, 1992; Hill *et al.*, 1991; Quiring *et al.*, 1994) and gain-of-function studies (Chow *et al.*, 1999; Halder *et al.*, 1995) have demonstrated a critical role for *Pax6* in metazoan eye formation. However, despite a wealth of studies on this transcription factor, the molecular mechanisms by which *Pax6* controls the transcription of its downstream targets remain incompletely deciphered.

The vertebrate retina is an excellent system to investigate how multipotent cells give rise to neurons and glia, because it is comprised of only seven basic neuronal and glial cell types arranged in three cell layers (Pei and Rhodin, 1970). All retinal cells are derived from a common pool of retinal progenitor cells (RPCs) (Holt *et al.*, 1988; Turner and Cepko, 1987; Turner *et*

Author for correspondence: nadean.brown@cchmc.org, Voice: 513-636-1963, Fax 513-636-4317.

al., 1990; Wetts and Fraser, 1988). These RPCs express a cadre of transcription factors, including *Pax6*, *Chx10*, *Rx/Rax*, *Six3*, and *Hes1* (reviewed in Levine and Green, 2004; Livesey and Cepko, 2001; Marquardt, 2003). RPCs give rise to specific cell types across time with retinal ganglion cells (RGCs) differentiating first, and Müller glia last (reviewed in Cayouette et al., 2006; Livesey and Cepko, 2001). The bHLH transcription factors *Math5*, *Ngn2*, *Math3*, *NeuroD1*, and *Mash1* regulate neuronal specification, and they are activated in sequential order during mouse retinogenesis (reviewed in Vetter and Brown, 2001). Of these, *Math5* (*Atoh7*) appears first and regulates RGC formation (Brown et al., 1998). *Pax6* is genetically required for the transcriptional activation of *Math5*, *Ngn2* and *Mash1*, and directly binds to *Ngn2* regulatory DNA (Brown et al., 1998; Marquardt et al., 2001). But, *Pax6* broadly activates these genes, meaning that other factors must regulate their precise spatiotemporal patterning. Importantly, *Pax6* regulation of bHLH retinal factors appears highly conserved as in the *Drosophila* eye both *eyeless* (a *Pax6* orthologue) and *sine oculus* (another retinal determination transcription factor) directly activate *atonal* expression (Zhang et al., 2006).

At the beginning of mouse retinal neurogenesis, one RPC population initiates *Math5* expression as it becomes postmitotic (Le et al., 2006; Poggi et al., 2005). The *Math5* retinal lineage contains all seven cell types (Brzezinski, 2005; Yang et al., 2003), but early this lineage predominantly produces RGCs (Brzezinski, 2005; Le et al., 2006). At later stages, nearby RPCs and differentiated neurons in non-*Math5* lineages presumably direct *Math5*-expressing cells towards other retinal fates. Because RGCs differentiate first, their loss has a large effect on subsequent retinal organization and differentiation (Brown et al., 2001; Mu et al., 2005; Wang et al., 2001). Thus a key, initiating event in the mammalian eye is *Math5* activation, but the regulation of this process has not been well characterized.

Here we show that *Math5* is a direct transcriptional target of *Pax6*. Like *Math5* mRNA, retinal expression in *Math5*-GFP transgenic reporter mice is sensitive to *Pax6* gene dosage. We also demonstrate that *Pax6* is required for *Math5* expression beyond its initial activation at E11.5 and that this regulatory relationship is cell autonomous. Our in vivo transgenic analyses define a *Math5* 339bp distal regulatory element that drives retinal expression, wherein *Pax6* specifically binds to one highly conserved binding site.

Methods

Transgenic Mice

Six pG1-*Math5*-GFP reporters, with different combinations of 5' and 3' noncoding DNA plus the *Math5* promoter, were generated (Figure 1). Reporter cassettes were released by *SalI*-*NotI* digests to generate transgenic mice via pronuclear injection at the CHRFB Transgenic Core Facility. The *Math5*-GFP 2.1 transgene was previously reported as 2.3 KB in length (Hutcheson et al., 2005), but DNA sequencing indicates it is 2.1 KB. All transgenic lines were generated and maintained on a CD-1 background. The day vaginal plugs were observed was designated as E0.5. PCR genotyping for the GFP coding exon detected the presence of each transgene. Live GFP fluorescence was imaged using a Leica MZ12 dissecting microscope, and a Magnafire camera and image software. *Xath5-GFP3.3* transgenic mice have been described (Hutcheson et al., 2005).

Pax6 mutant mice

Math5-GFP2.1, *Math5*-GFP2.1+2.4, *Math5*-GFP2.1+1.6 and *Xath5-GFP3.3* transgenes were crossed into the *Pax6*^{Sey/+} background (Brown et al., 1998). *Math5*-GFP; *Pax6*^{Sey/+} or *Xath5-GFP3.3*; *Pax6*^{Sey/+} mice were then intercrossed to generate litters for analysis in *Pax6* wild type, heterozygous and homozygous mutant embryos. Mice containing the *Math5*^{LacZ/+} allele (Brown et al., 2001) were crossed with *Pax6* α -*Cre*; *Pax6*^{CKO/CKO} mice (maintained in a CD-1

background) to ascertain the retinal phenotypes of *Math5^{LacZ/+}; α -Cre*; *Pax6^{CKO/+}* and *Math5^{LacZ/+}; α -Cre*; *Pax6^{CKO/CKO}* embryos. PCR genotyping assays have been described (Brown *et al.*, 1998; Brown *et al.*, 2001; Marquardt *et al.*, 2001).

Transgenic frog embryos

The generation of *Xenopus* transient transgenic embryos, containing *Math5*-GFP transgenes was reported (Hutcheson *et al.*, 2005; Hutcheson and Vetter, 2002). pG1-M5-0.2dECR was made by separately PCR amplifying *Math5* DNA fragments –1796 to –1458 and –503 to –339 and subcloning into the pG1 transgenic vector, verified by DNA sequencing. GFP fluorescence was scored in stage 33 embryos by live fluorescence and whole embryo anti-GFP labeling.

Immunohistochemistry and in situ hybridization

Mouse embryos were dissected in cold PBS, fixed one hour in cold 4% paraformaldehyde/PBS, washed into 15% sucrose/PBS and cryoembedded in OCT. Sections of embryonic or adult retinal tissue were antibody labeled as described (Le *et al.*, 2006; Mastick and Andrews, 2001). Antibodies used were rabbit anti-GFP-Alexa488 (1:1000, Molecular Probes), goat anti-Brn3b (1:100, Santa Cruz), rat anti- β gal (1:1000, a gift from Tom Glaser), rabbit anti-Pax6 paired domain (1:5000, a gift from Simon Saulé) and rabbit anti-Pax6 C-terminus (1:1000, Covance). Directly conjugated or biotinylated secondary and streptavidin-conjugated tertiary fluorescent antibodies were obtained from Jackson Immunoresearch Laboratories or Molecular Probes. Whole mount in situ hybridization with alkaline phosphatase color development was used to visualize *Math5* mRNA, followed by cryosectioning and Pax6 immunohistochemistry, using the Covance antibody and a streptavidin HRP tertiary antibody and DAB chromagen development (Brown *et al.*, 1998). Imaging was performed on a Zeiss Axioplan microscope equipped with a Zeiss camera, Apotome deconvolution and Axiovision software or a Zeiss LS M510 confocal microscope and software.

Bioinformatics

Nucleotides –3000 to –1, directly upstream of the start codon, were compared for *Math5* (Accession #AF418923), *HATH5* (Accession #AF418922) and *Xath5* (Accession #102561). A three species noncoding alignment was performed using Mulan (<http://mulan.dcode.org/>) (Loots and Ovcharenko, 2005; Ovcharenko *et al.*, 2005), with a window of 25 bases and 70% identity. Pair-wise alignments among *Math5*, *HATH5* and *Xath5* 3 Kb upstream DNA were generated with NCBI Blast 2 Sequences program (<http://www.ncbi.nlm.nih.gov>). A 339bp distal evolutionarily conserved sequence (ECR) was found in the 5' genomic DNA of five species: mouse *Math5* (Accession #AF418923), human *HATH5* (Accession #AF418922), frog *Xath5* (Accession #1025061), chick *Cath5* (Accession #NW_001471715 Chr6, contig 30.299) and zebrafish *Zath5* (Accession #AL627094). A Clustal W (v1.4) multiple sequence alignment of the distal ECR was executed using MacVector (v7.9) default parameters.

Potential Pax6 paired domain binding sites were identified using the Transfac MATCH program, version 10.3, (<http://www.biobase-international.com>) with matrices M00979 (V\$PAX6_Q2)(Duncan *et al.*, 1998; Duncan *et al.*, 1996; Roth *et al.*, 1991; Sander *et al.*, 1997; Zhou *et al.*, 2000) and M00097 (V\$PAX6_01)(Epstein *et al.*, 1994a). Nucleotides –3000 to –1 in *Math5*, *HATH5*, *Xath5*, *Cath5* and *Zath5* genes were tested, using 0.75 core similarity and 0.70 matrix similarity search parameter cutoffs. Twenty predicted binding sites within *Math5* 5' regulatory DNA are listed in Supplementary Table 1.

EMSA

GST and GST-Pax6 paired domain proteins (Epstein *et al.*, 1994a), were purified from BL21 bacterial lysates with glutathione agarose beads (Sigma) for 1 hour at 4°C, washed in PBS,

eluted with 25 mM glutathione/0.1M Tris pH 8 and dialyzed into 10 mM Tris pH 7.5, 50 mM NaCl, 1 mM MgCl₂, 0.5 mM EDTA, 4% glycerol. Gel-shift reactions used a 5X binding buffer (50 mM Tris pH 7.5, 250 mM NaCl, 5 mM MgCl₂, 2.5 mM EDTA, 2.5 mM DTT, 0.25 mg/ml poly-dI-dC, and 20% glycerol). 20 µl reactions contained 4 µl of 5X binding buffer, 100 ng of recombinant protein and 75 fmol of $\gamma^{32}\text{P}$ end-labeled, annealed, double-stranded oligonucleotides (400,000 Cerenkov counts per reaction). After DNA probe addition, reactions were incubated for 20 minutes at room temperature, run on a 4% polyacrylamide gel in 0.5X Tris borate-EDTA buffer and dried gels exposed to x-ray film.

ChIP and Real-time PCR

ChIP was performed as described (Chen *et al.*, 2004; Wells and Farnham, 2002) with minor modifications. Each ChIP assay used 100µg of fragmented chromatin (0.1–1 KB) from Ad12 HER10 human retinoblast cells (Grabham *et al.*, 1988; Tucker *et al.*, 2001), incubated with 1µg of either rabbit IgG (Jackson Immunoresearch) or anti-Pax6 antibody (Covance), along with mock (no antibody, no chromatin) and input (no IP) controls. Purified immunoprecipitated DNA was resuspended in 30 µl of 0.01M Tris/0.005M EDTA pH 8 and analyzed by real-time PCR, using a Biorad I Cycler, iQ SYBR Green PCR mixes (Biorad) and *HATH5* dECR primers (227bp, FOR 5' 5' CTGCTGTTCCCAACCAAGACTG 3'; REV 5' TAACCCATTGTGACCGCCCTGAC 3') or *HATH5* UTR negative control primers (159bp FOR 5' TTCGCATCATCAGACCTATGGACG 3'; REV 5' TGTTTTCCCTCAAAGTAGCCCAG 3'). A serial dilution series of 1% input chromatin generated a standard curve for calculating the percent input for each ChIP sample. Data plotted are the mean of eight independent assays, each performed in PCR duplicate, minus preimmune sera values.

Luciferase Assay

Various *Math5* 5' noncoding DNA fragments, containing the *Math5* TATA box (M5-0.2; –503 to –339) were PCR amplified, subcloned into pGL2 (Promega) and verified by DNA sequencing. Constructs with point mutations were made by site-directed mutagenesis using PCR amplification (Weiner *et al.*, 1995). Pax6 site J was mutated from catTTCcccgtcag to catAAAcccgtcag and all constructs verified by DNA sequencing. A pCS2-Pax6 expression plasmid was created by BamHI-EcoRI double digestion and subcloning of the 1.6 Kb human Pax6 cDNA (Epstein *et al.*, 1994b) into pCS2+ (Turner and Weintraub, 1994). HEK-293T human kidney epithelial cells or Ad12 HER10 cells were transfected using 10 µg of TransIT-LT1 (Mirus) and the manufacturer's protocol. In these experiments 2×10^6 cells were plated into each well of a 6-well culture dish 48 hours prior to transfection. At 50% confluence, each well received an equivalent amount of pCS2+ expression plasmid (1 µg pCS2+; 25 ng pCS2-Pax6 plus 975 ng pCS2+; or 1 µg of pCS2-Pax6), 500 ng of each pGL2-*Math5* construct and 250 ng of internal control pTK-Renilla plasmid (Promega). Cell extracts were harvested after 48 hours and assayed using the Dual-Luciferase Reporter Assay system (Promega) and a Veritas luminometer (Model #9100, Turner Biosystems, Inc). Firefly luciferase activity was normalized relative to *Renilla* luciferase activity. Three independent transfections were done in triplicate, and the Instat Statistics program (Graphpad Software, Inc, version 3.0b) used to perform ANOVA and a Bonferroni posthoc test to determine p values.

Results

Math5 5' regulatory sequences control retinal expression

As vertebrate *Ath5* is a critical regulator of retinal neurogenesis, it is essential to understand how this gene's expression is regulated during eye development. For both the *Xenopus Ath5* (*Xath5*) and chick *Ath5* (*Cath5*) genes, a proximal activation element that contains conserved bHLH binding sites (E boxes) and a TATA box have been characterized (Hutcheson *et al.*,

2005; Matter-Sadzinski *et al.*, 2005; Skowronska-Krawczyk *et al.*, 2004). An analogous mouse *Ath5* (*Math5/Atoh7*) proximal transgene (M5-GFP0.6, Figure 1A) displayed weak GFP expression in the embryonic retina and retinal ciliary marginal zone of *Xenopus* embryos (Hutcheson *et al.*, 2005). However, such expression only occurs in the context of the frog eye, as M5-GFP0.6 transgenic mice do not express GFP mRNA or protein in the E11.5–E15.5 embryonic retina (Figure 1A, $n = 0/3$ transgenic lines, $n \geq 5$ litters per line)(Hutcheson *et al.*, 2005). We conclude that the M5-GFP0.6 transgene, containing the TATA-box, is insufficient for the activation of *Math5* expression in the mouse eye.

To define the cis-regulatory sequences controlling *Math5* expression more precisely, we created a series of *Math5*-GFP transgenes (Figure 1A). Previously, the upstream 2.1 Kb of *Math5* regulatory DNA was shown to drive retinal GFP expression in both mouse and frog embryos (Hutcheson *et al.*, 2005). However, only live GFP fluorescence was scored grossly in those E13.5 mouse embryos. Although 5' regulatory DNA appears sufficient for retinal expression (Hutcheson *et al.*, 2005), it was important to also test 3' regulatory DNA, since both *Drosophila atonal* and mouse *Math1* (a semi-orthologue of *Math5*) contain 3' activation enhancers (Helms *et al.*, 2000; Sun *et al.*, 1998; Zhang *et al.*, 2006). In particular, *atonal* has multiple retinal enhancers: one 3' initiation enhancer and other 5' and 3' elements that control sequential refinement to the R8 photoreceptor cell (Sun *et al.*, 1998; Zhang *et al.*, 2006). By contrast, multiple *Math5* transgenic lines with overlapping segments of 3' regulatory DNA, plus 2.1 Kb of upstream DNA (M5-GFP2.1+2.4 and M5-GFP2.1+1.6), have identical reporter expression to M5-GFP2.1 (Figure 1A, $n \geq 3$ litters per transgene). *Math5* 5' distal sequences are required for retinal expression, since transgenes lacking nucleotides –2100 to –600 exhibit no GFP mRNA or protein expression (Figure 1A, M5-GFP0.6+2.4, M5-GFP0.6+1.6; $n \geq 3$ litters per transgenic line). This requirement for more distal *Math5* regulatory sequences to achieve retinal expression is consistent with the expression of a zebrafish *Ath5* transgene containing approximately 3.8 Kb of upstream regulatory DNA (Masai *et al.*, 2005; Poggi *et al.*, 2005).

Here, we further assayed transgenic embryos for live GFP fluorescence, GFP mRNA and protein expression from E11 to P0 (Figures 1B–D and data not shown). In M5-GFP2.1 (Figures 1B–D), M5-GFP2.1+2.4 and M5-GFP2.1+1.6 (not shown) embryos, GFP expression initiates at E11 and continues to at least birth. Reporter expression patterns are identical among constructs, and all reflect *Math5* mRNA expression (compare Figure 1B,C to Figure 2F,I of Brown et al, 1998). An expression pattern comparison of M5-GFP2.1 and *Math5*^{LacZ} has been reported (Hufnagel *et al.*, 2007). In the E11.5 and E12.5 optic cup, all β gal⁺ cells are GFP⁺, with only a few cells expressing GFP alone.

We next compared GFP transgenic reporter expression to that of Brn3b, which is expressed in nascent RGCs and has been shown to be a downstream target of vertebrate *Ath5* genes (Hutcheson and Vetter, 2001; Liu *et al.*, 2001; Wang *et al.*, 2001). Double antibody labeling for GFP and Brn3b shows *Math5*-GFP⁺ cells in the outer optic cup, positioned as post-mitotic, transitional cells (Dyer and Bremner, 2005; Le *et al.*, 2006). As *Math5*-GFP cells migrate to the ganglion cell layer (GCL), they coexpress Brn3b (arrows in Figure 1D). At E12.5, *Math5*-GFP retinal cells were quantified with those expressing Brn3b. For the M5-GFP2.1 transgene there was an average (mean \pm std error) of 277 ± 0.5 GFP⁺ cells per section with 90% coexpressing Brn3b ($n = 17$ sections from 6 embryos representing 2 independent transgenic lines); and for the GFP2.1+1.6 transgene an average of 185 ± 0.6 GFP⁺ cells per section, of which 82% coexpress Brn3b ($n = 12$ sections from 7 embryos representing 3 independent transgenic lines). Overall, we conclude that *Math5*-GFP transgenes are reliable reporters for the activation and expression of *Math5* in the retina. Our data point to one set of regulatory sequences controlling *Math5* activation in the optic cup situated between –2100 and –600.

Pax6 regulation of Math5 in vivo

Math5 mRNA expression is dosage-sensitive to the loss of *Pax6*. The number of E11.5 *Math5* + RPCs is reduced in *Pax6*^{+/-} eyes and completely absent in *Pax6*^{-/-} eyes (Figures 3A–3F in Brown *et al.*, 1998). However, it is unknown whether *Pax6* directly regulates *Math5* transcription. To begin to address this question, we compared the expression of *Math5* and *Pax6* during the initial period of retinal neurogenesis. In the E11.5 optic cup, those RPCs expressing *Math5* mRNA (purple cells) completely coexpress Pax6 protein (brown nuclei) (Figure 2A). However, at E13.5 when the ganglion cell layer (GCL) laminates, Pax6 protein is downregulated in RPCs, but is maintained at high levels in differentiated GCL neurons (Figures 2B, 3H). Interestingly, from E13.5 onwards, cells expressing *Math5* mRNA coexpress low Pax6 (Figures 2B, 3H and data not shown).

To determine if *Math5*-GFP transgenes require *Pax6* similarly to *Math5* mRNA, we analyzed M5-GFP2.1 activity in *Pax6*^{Sev/Sev} mutant mice. We compared live GFP expression among E11.5–E13.5 wild type, *Pax6*^{Sev/+} and *Pax6*^{Sev/Sev} embryonic eyes (Figure 2C–E). The *Pax6*^{Sev} mutation (Hill *et al.*, 1991), causes smaller eyes in *Pax6*^{Sev/+} embryos and arrests eye development in *Pax6*^{Sev/Sev} mutants. At all ages, the extent of the GFP expression domain was reduced in *Pax6* heterozygote eyes (Figure 2D, 2D') and missing from *Pax6* mutant eyes (Figure 2E, 2E'; n = 8 litters with 19 mutants). The M5-GFP2.1+2.4 and M5-GFP2.1+1.6 transgenes were equally dependent on *Pax6* gene dosage (not shown; for M5-GFP2.1+2.4 n = 2 litters with 5 mutants; for M5-GFP2.1+1.6 n = 3 litters with 3 mutants). To test whether *Pax6* activation of *Ath5* is conserved among vertebrates, we crossed mice carrying a *Xath5*-GFP transgene, containing 3.3 Kb of 5' noncoding DNA (X5-GFP3.3) with *Pax6*^{Sev/+} mice (Hutcheson *et al.*, 2005). Similar to M5-GFP2.1, X5-GFP3.3 the reporter expression domain was smaller in *Pax6*^{Sev/+} embryos and totally absent in *Pax6*^{Sev/Sev} embryos (n = 4 litters with 9 mutant embryos, data not shown). We conclude that transgenes containing *Math5* 5' distal noncoding DNA require *Pax6* for their activation, and this relationship is conserved across a large phylogenetic distance (> 350 million years)(Roelants *et al.*, 2007).

Although *Math5*-GFP and *Xath5*-GFP expression domains are clearly reduced in *Pax6* heterozygotes, the loss of expression in *Pax6* mutants could be attributed to nonspecific, early arrest of eye development. Therefore, we removed *Pax6* function only in peripheral optic cup RPCs, using Cre/loxP conditional gene inactivation. Our goal was to understand if *Math5* fails to activate at E11.5 in peripheral α -Cre;*Pax6*^{CKO/CKO} RPCs. First we tested for complete deletion of *Pax6* function at E11.5, since the α -Cre transgene initiates Cre recombinase and GFP expression only one day earlier (Marquardt *et al.*, 2001). E11.5 optic cup sections from α -Cre;*Pax6*^{CKO/+} and α -Cre;*Pax6*^{CKO/CKO} embryos were colabeled with anti-GFP and anti-Pax6 paired domain antibodies (Carriere *et al.*, 1993). In Supplemental Figure 1B–E, GFP expression (green) indicates which RPCs have Cre activity, and the loss of anti-Pax6 paired-domain labeling (red) delineates RPCs without functional *Pax6*, since LoxP sites flank the paired-domain (Suppl. Fig 1A)(Marquardt *et al.*, 2001). In E11.5 α -Cre; *Pax6*^{CKO/CKO} peripheral RPCs, we observed many peripheral RPCs still coexpressing GFP and Pax6 (arrow in Suppl Fig 1E), indicating functional Pax6 has not yet been deleted (n = 5 α -Cre; *Pax6*^{CKO/CKO} embryos).

Thus, our analysis was done at E13.5, when only occasional GFP/Pax6 double positive cells are found (yellow arrow in Figures 3F, 3G). Unfortunately, the α -Cre transgene IRES-GFP expression cassette prevents us from directly testing for a loss of *Math5*-GFP2.1 retinal expression. Instead, we created mice carrying the α -Cre transgene, *Pax6*^{CKO/+} or *Pax6*^{CKO/CKO} alleles (Marquardt *et al.*, 2001) and one allele of *Math5*^{LacZ} (Brown *et al.*, 2001), as a reporter of *Math5* expression (Suppl. Fig 1A). In the peripheral retina of α -cre;*Pax6*^{CKO/CKO};*Math5*^{LacZ} embryos, we observed dramatic loss of *Math5*^{LacZ} (blue cells) (Figures 3F, 3G, 3J, 3K; n = 9 α -Cre; *Pax6*^{CKO/CKO} embryos from 6 litters). Owing to mosaic

expression of the α -Cre transgene (Yaron *et al.*, 2006), we also observed isolated Pax6+;GFP-peripheral RPCs (arrowhead in Figures 3J,3K point to a red nucleus devoid of GFP labeling). These cells retain normal Pax6 function (no Cre expression), and autonomously express *Math5^{LacZ}* (arrowheads in 3J, 3K point to cytoplasmic β gal surrounding nuclear Pax6), delineating the cell autonomous dependence of *Math5* for Pax6. We also observe a third, rare class of GFP+, Pax6-, β gal+ RPCs in a subset of α -Cre;Pax6^{CKO/CKO};Math5^{LacZ} eyes (white arrows in Figures 3F,3G,3J,3K). Because β gal protein has a long half-life, we presume that some *Math5* transcription initiates prior to Pax6 deletion, followed by slow turnover of the β gal reporter. These rare GFP+, Pax6-, β gal+ RPCs do not express Brn3b, which acts immediately downstream of *Math5* (Mu *et al.*, 2005; Wang *et al.*, 2001)(compare Figures 3D, 3I and 3L). Differentiated RGCs are also missing in the periphery of α -Cre;Pax6^{CKO/CKO} mutant P21 retinas (Marquardt *et al.*, 2001 and data not shown), meaning development was not simply delayed. We conclude that *Math5* and *Math5* transgenic expression requires Pax6.

Phylogenetic conservation and predicted Pax6 binding sites in Math5 5' regulatory DNA

To understand if Pax6 directly regulates *Math5*, we wished to identify putative Pax6 binding sites in 5' regulatory DNA. The first step was to determine the extent of nucleotide conservation among the *Math5*, *Xath5* and human *Ath5* (*HATH5/ATOH7*) 5' DNA, since enhancers often reside in evolutionarily conserved regions. In Figure 4A a Mulan multiple-sequence algorithm (Loots and Ovcharenko, 2005; Ovcharenko *et al.*, 2005) shows alignments between *Xath5*-*Math5*, and *Math5*-*HATH5*. The *Xath5*-*HATH5* alignment was identical to that of *Xath5*-*Math5* (not shown). Among all three species, only one distal and one proximal evolutionarily conserved region (ECR) are observed. Alignments with the NCBI BLAST 2 Sequences program identified the same two conserved regions, denoted by orange boxes in Figure 4A. The *Math5* distal ECR is 282 bp (-1796 to -1514) and the proximal ECR is 291 bp (-670 to -379). Next, we searched *Math5* 5' noncoding DNA for Pax6 paired domain sites, using the Transfac MATCH program. Multiple Pax6 paired domain matrices identified twenty putative binding sites in 3 Kb of upstream *Math5* regulatory DNA (≥ 0.75 core and ≥ 0.7 matrix scores, Suppl. Table 1). However, only four predicted binding sites reside in the distal or proximal ECRs (I, J, K and R in Figure 4A).

Our transgenic experiments demonstrated that a putative *Math5* retinal expression element lies between -2100 to -600 bp, and the region of conserved nucleotide sequences within this interval suggests the distal ECR (dECR) to be the retinal element. The dECR contains two well-conserved E boxes, E3 and E4, (Hutcheson *et al.*, 2005 and Figure 4B) and two putative Pax6 sites (I and J). However, we extended our working definition of the *Math5* dECR to 339 bp (-1796 to -1458), to include another nearby putative Pax6 binding site K in subsequent experiments (Figures 4A,B). Figure 4B shows a Clustal W alignment of the dECR element from the human, mouse frog, and chick *Ath5* genes. The zebrafish *Zath5* dECR contained divergent nucleotide sequences, thus was omitted. The mouse, human, chick and frog dECRs all contain an 81 nucleotide stretch (marked by a line over the sequence in Figure 4B) with 57% identity among the four species, and 82% identity among any three species, including the E3 and E4 E-boxes and one putative Pax6 binding site (Figure 4B). Both the nucleotide sequence and relative spacing of these three sites are highly conserved. Although the *Zath5* dECR is more divergent, it also contains two E-boxes and one putative Pax6 site, arranged differently (not shown).

For *Math5*, the most evolutionarily conserved Pax6 site, termed J, has the lowest MATCH score among all twenty predicted 5' sites (Suppl. Table 1). The *Xath5* dECR has three putative Pax6 sites. Of these, two are partially overlapping, with one aligning perfectly with the core nucleotides of *Math5* site J (Figure 4B and Willardsen et al., in preparation). Paradoxically,

the MATCH program failed to predict a site J in human or chick *Ath5*, despite conservation of 10/14 nucleotides, with the 7 critical core nucleotides completely conserved (Figures 4B). Based on the presence of well-conserved bHLH and Pax6 paired domain binding sites, the *Math5* dECR should act as a retinal enhancer.

The *Math5* 339 bp distal fragment drives retinal expression in vivo

To test the idea that the *Math5* distal ECR is a retinal enhancer, we asked if this regulatory DNA can direct retinal expression in vivo, by cloning these 339bp directly upstream of the *Math5* TATA box in the promoterless pG1 vector (pG1-M50.2dECR), and making transient transgenic frog *Xenopus* embryos (Amaya and Kroll, 1999). Retinal GFP expression was scored at stage 33, when *Ath5* is robustly expressed by retinal progenitors throughout much of the eye. Figure 5A shows that the *Math5* dECR drives embryonic retinal GFP expression in vivo. Overall, 12.5% (20/160) of the embryos exhibited eye expression, of which nine had retinal-specific expression (Figure 5A), and the remainder displayed retinal plus other nervous system expression, including brain and spinal cord (not shown). These same two expression classes were previously seen for pG1-M5-2.1 (Hutcheson *et al.*, 2005). Embryos with ectopic CNS expression could be explained by the absence of distal regulatory sequences that suppress a *Math1*-like expression pattern (Hufnagel *et al.*, 2007). There was a lower percentage of GFP + transgenic embryos for pG1-M50.2dECR (12.5%) than for pGL1-M52.1 (34%), or an analogous *Xath5* distal 152bp retinal enhancer construct (35%), but this was higher than for pG1-M50.6 (6%) or pG1 vector alone (0%) (Hutcheson *et al.*, 2005; Hutcheson and Vetter, 2002, Willardsen *et al.*, in prep). Although pG1-M50.2dECR expressed the GFP reporter more weakly and/or at lower efficiency than other constructs, the mouse *Math5* dECR directs retinal expression during frog eye development. Thus, we conclude it contains a retinal enhancer.

Pax6 binding to the *Ath5* dECR in vivo and in vitro

To demonstrate Pax6 occupancy of the *Ath5* dECR in vivo, we performed chromatin immunoprecipitation (ChIP) (Figure 5B). For this we used a specific antibody against Pax6 to immunoprecipitate cross-linked Ad12 HER10 cell chromatin. This cell line is a stably transformed, and clonally derived human embryonic retinoblast cell line (Grabham *et al.*, 1988), which expresses *PAX6*, *HATH5/ATOH7* and *BRN3B* mRNA by RT-PCR (Malgorzata Quinn and NLB, unpublished observations). Eight independent ChIP assays were quantified by real-time PCR, for the *HATH5* dECR versus a negative control sequence in the *HATH5* 3'UTR (Figure 5B). These results show (Roelants *et al.*, 2007) in vivo enrichment of Pax6 at the dECR.

Next, to identify which of the predicted sites Pax6 binds in the dECR, electrophoretic mobility assays were performed on sites I, J, K, along with site R in the proximal ECR since it is also evolutionarily conserved (Figure 4A). In these experiments, a Pax6 paired domain-GST fusion protein or GST protein alone (Epstein *et al.*, 1994a) were incubated with 75 fmol of each radiolabeled, double stranded oligonucleotide (Figure 5C) and the electrophoretic mobility of potential protein-DNA complexes tested. Only the conserved site J was bound by 100 ng of paired domain protein (Figure 5B). A titration curve of Pax6 paired-GST protein, from 10 ng to 10 μ g, demonstrated that 50 ng of protein weakly bound to site J, with more robust binding correlating with increasing protein concentrations (not shown). The specificity of Pax6 for site J was demonstrated by mutating 3 of 5 core nucleotides, changing TTCCC to AAACC. We observed a complete loss of paired domain binding (Figure 5C). None of the other three sites bound the Pax6 paired domain at any concentration tested. In a different study, we also tested Pax6 binding at sites H, S and T (Suppl. Table 1). Of these, Pax6 bound only to site T, but much more weakly than it does to site J (Hufnagel *et al.*, 2007).

Finally, we also assessed the *Pax6*-dependent transcriptional activity of *Math5* 5' DNA by performing luciferase assays in Ad12 HER10 cells, with constructs containing varying amounts of *Math5* 5' DNA (Figure 6). In the mouse optic cup, RPCs coexpress *Math5* mRNA with high levels of Pax6 protein at E11.5 (Figure 2A), but with low levels at E13.5 and beyond (Figure 2B). Therefore 25ng (low) and 1µg (high) of pCS2-Pax6 plasmid DNA were separately cotransfected with *Math5* luciferase constructs, versus pCS2 alone as a control. These Pax6 DNA concentrations were chosen from a range known to regulate the transcriptional activity of other *Pax6* target genes in analogous assays (Chauhan *et al.*, 2004; Duncan *et al.*, 1998). We first observed that the minimal TATA box and proximal ECR constructs (pGL2-M5-0.2 and pGL2-M5-0.3) exhibit a small stimulation in the presence of low and high Pax6. However, these DNA sequences contain only one putative binding site R, which did not bind the Pax6 paired domain (Figure 4A, Figure 5C). Thus, the low-level Pax6 activation appears indirect, but could also mean that Ad12 HER10 cells lack a retinal factor that acts in concert with Pax6 at this site in vivo.

By contrast, Pax6 induced high activation levels for constructs containing the dECR, pGL2-M5-2.6 and pGL2-M5-0.2dECR, although each displayed different concentration dependences. We found that high Pax6 activated pGL2-M5-0.2dECR 6.5-fold over the TATA box alone, while low Pax6 had no effect (Figure 6). This activation of the dECR is specific, since mutating the Pax6 J binding site (Figure 5C) reduced transcription levels back to that of the TATA box alone (Figure 6). Unexpectedly, *Math5* 5' DNA sequences more distal to the dECR also respond to Pax6. pGL2-M5-2.6, which uniquely contains seven additional predicted Pax6 binding sites (A–G, Suppl. Table 1), had 8-fold higher activity than the TATA box alone in the presence of low Pax6, while high Pax6 only caused a 4-fold increase (Figure 7). Mutating site J in the context of this larger piece of *Math5* upstream DNA, abolished the transcriptional activity induced by high Pax6, but only partially reduced that of low Pax6. Thus, we assume that low levels of Pax6 protein regulate *Math5* regulatory sequences farther upstream.

The third construct containing the dECR, pGL2-M5-1.5, was repressed by additional Pax6 protein. Because pGL2-M5-0.3 and pGL2-M50.8 were similarly repressed, yet do not contain the dECR, Pax6 may bind to one or more putative binding sites between the proximal and distal ECRs (Suppl. Table 1). Finally, we observed that *Pax6* regulation of *Math5* 5' DNA requires a retinal-specific context. When the same dataset in Figure 6 was generated in HEK-293T human kidney epithelial cells, high Pax6 was unable to significantly stimulate the dECR (data not shown). Similarly, low Pax6 induced only a small increase in pGL2-M5-2.6 activity that was not statistically significant. Overall, we conclude that *Pax6* directly binds to one well-conserved binding site to activate *Math5* transcription. We further propose that *Pax6* requires retinal-specific cofactor(s) for this regulatory activity, and these transcription factor complexes may further modulate *Math5* levels via additional cis regulatory sequences.

Discussion

Math5 is a direct transcriptional target of Pax6

We initiated this study with two goals in mind. The first was to identify *Math5* noncoding regions that control retinal expression. We also wished to understand if *Pax6* directly activates *Math5* expression. Several pieces of evidence demonstrate *Pax6* as a direct transcriptional activator of *Math5*. Previously, initiation of *Math5* mRNA retinal expression was shown to require *Pax6* (Brown *et al.*, 1998). This regulatory relationship was better defined by conditional deletion of *Pax6* in the distal retina, which shows that *Math5* activation requires *Pax6* cell autonomously. We also mapped a *Math5* retinal element to 339bp of 5' distal regulatory DNA. Transgenes containing this distal DNA require *Pax6* for their expression, and Pax6 protein interacts with the *Math5* 5' distal element in vivo.

To define the *Math5* retinal enhancer(s) more precisely, multi-species nucleotide alignments showed two evolutionarily conserved regions (ECRs), with the distal ECR (dECR) present in all transgenic lines exhibiting GFP reporter expression in the retina. The dECR nucleotides are well conserved among four vertebrate *Ath5* genes. Interestingly, of the possible Pax6 sites within either the *Math5* proximal or distal ECRs, only one binds Pax6 in vitro. Although this binding site is highly conserved, it has a low prediction score, and the prediction program failed to find the same site in the human or chick *Ath5* dECR, despite complete conservation of 10 of 14 nucleotides. We attribute this to the limitations of computer programs in predicting germ-line transcription factor binding sites (Vavouri and Elgar, 2005) and the ability of the Pax6 paired domain to bind a variety of nucleotide sequences. Among 7 putative Pax6 sites tested for in vitro binding, the Pax6 paired domain binds well to site J in the dECR (this paper), and much more weakly to site T (Hufnagel *et al.*, 2007). The biologic relevance of site T is unclear since it resides in proximal DNA that does not direct retinal expression in vivo. Instead, our studies demonstrate that in a retinal context differing amounts of Pax6 specifically stimulate two distinct *Math5* 5' regulatory regions. These responses to Pax6 protein levels are meaningful, since in the mouse retina we observed obvious changes in the coexpression of *Math5* mRNA and Pax6 protein across developmental time. When RPCs initiate *Math5* expression at E11, they also express robust levels of Pax6. But, once differentiating RGCs accumulate in the GCL at E13.5, RPCs coexpress low Pax6 protein and *Math5* mRNA, while RGCs maintain high Pax6 and no *Math5* mRNA expression.

In principle, *Pax6* may regulate *Math5* in three different ways of which some, or all, are direct. First, *Math5* requires high levels of Pax6 to initiate transcription in the first newly postmitotic cells within the dorsocentral optic cup. But, because Pax6 is expressed by all optic cup RPCs, other factors must direct the precise time and place of *Math5* activation. Second, when the optic cup is transformed into a bilayered retina, Pax6 protein expression is lower in RPCs and higher in the GCL. Interestingly, only the RPCs express *Math5* mRNA, suggesting that at older ages *Math5* is activated or maintained by lower levels of Pax6. Moreover, in the GCL Pax6 might repress *Math5* expression. An analogous dual role for *Pax6* has been reported for the β -*crystallin* gene, where low levels of Pax6 is activating, yet high levels are inhibitory (Duncan *et al.*, 1998). We propose that Pax6 acts within a protein complex to regulate *Math5* via the dECR, since in HEK293T cells it was ineffective at stimulating transcriptional activity. Thus, other factor(s) that regulate *Math5* have restricted dorsocentral expression, function to drive a subset of RPCs out of the cell cycle and/or simultaneously activate *Math5*. We propose that Pax6 is necessary for *Math5* expression, but incapable of solely controlling crucial spatiotemporal and cell cycle phase expression. Overall, our data demonstrate that *Math5* is a direct transcriptional target of *Pax6*.

Towards a comprehensive model of *Math5* gene regulation

Multiple extrinsic signals act upstream of vertebrate *Ath5* to instruct RGC formation. These include *FGFs* (Martinez-Morales *et al.*, 2005), *oep* (Masai *et al.*, 2000), *Notch* (Austin *et al.*, 1995; Henrique *et al.*, 1997; Lee *et al.*, 2005; Schneider *et al.*, 2001; Yaron *et al.*, 2006), *GDF11* (Kim *et al.*, 2005), and *shh* (Masai *et al.*, 2005; Stenkamp and Frey, 2003; Wang *et al.*, 2005). In the zebrafish retina, *FGF* signaling activates *Ath5* while *oep* (zebrafish), *Notch* (chick, frog, mouse), *GDF11* (mouse), and *shh* (zebrafish, chick, mouse) pathways prohibit *Ath5* expression. Signal integration instructs *Ath5* spatiotemporal expression, although these signals cannot account for the entire expression pattern (reviewed in Mu and Klein, 2004; Vetter and Brown, 2001). Some transcription factors also genetically repress *Math5*, for example *NeuroD*, *Math3* (Inoue *et al.*, 2002) and *Hes1* (Lee *et al.*, 2005). Paradoxically, unlike most proneural bHLHs, mouse *Math5* does not regulate its own expression (Brown *et al.*, 2001; Hutcheson *et al.*, 2005). This means that the initiation of mammalian retinogenesis is orchestrated by a combination of extracellular signals and transcription factors acting on

Math5 cis-regulatory elements. But, it is unclear how many of these upstream, trans-acting factors are direct regulators of *Math5*.

Here we narrowed the cis-regulatory DNA needed to achieve *Math5* retinal expression in vivo to a 339 bp fragment and identify a smaller 81 nucleotide segment with 57% nucleotide identity among *Cath5*, *Xath5*, *Math5* and *Hath5*, and 82% identity among any three of these genes. Interestingly, in the *Xath5* gene a 152 bp distal retinal enhancer, which also contains this 81 nucleotide segment, directs early retinal expression in the frog eye, although the 81 bp itself is insufficient to promote retinal expression (Willardsen, et al., in preparation). But, is this the only *Math5* retinal enhancer? In the fruit fly eye, the *atonal* gene has at least three different eye enhancers, one located 5' and the others 3' (Sun *et al.*, 1998; Zhang *et al.*, 2006). Those elements controlling initial *atonal* activation in the eye disc reside downstream of the coding exon, while the 5' *atonal* enhancer regulates refinement of a broad expression domain to a single cell, the R8 neuron (Sun *et al.*, 1998). By analogy, additional 5' or 3' *Math5* cis sequences may regulate other aspects of the *Math5* retinal expression, for instance refinement of its precise spatiotemporal expression pattern. In particular, it will be important to identify *Math5* repressor sequences that regulate its abrupt shutoff as postmitotic, transitional cells reach the GCL and differentiate as RGCs. Another important feature to define molecularly is how *Math5* initiates in the dorsocentral optic cup and spreads outward to the periphery (Brown *et al.*, 1998). Our in vitro transcription data strongly suggest additional 5' regulatory sequences also contain *Math5* regulatory information, and these will be tested in the future. The first region lies immediately 5' to the distal end of the 2.1 KB transgene and is activated by low levels of Pax6 protein in vitro. The other lies between the proximal and distal ECRs and appears to have reduced activity in the presence of low and high Pax6. It will be interesting to understand how these other DNA segments require Pax6, search for additional factors that directly regulate aspects of *Math5* expression via these regions of 5' noncoding DNA, and discern how multiple regulatory enhancers may coordinately regulate *Math5* transcription.

Evolutionary conservation of *Ath5/atonal* retinal elements

Conservation of *Math5* 5' DNA, especially the distal ECR and Pax6 binding sites, are noteworthy in the context of metazoan eye development and evolution. For instance, *Drosophila eyeless* and mouse *Pax6* retinal enhancers drive reporter expression with reasonable fidelity in cross-species transgenic experiments (Xu *et al.*, 1999). Now, *Pax6* direct regulation of *atonal-Ath5* retinal expression is shown to be highly conserved (Zhang *et al.*, 2006, Willardsen et al., in preparation and this paper). These are yet more examples, within a large body of evidence that cis-regulatory modules play important roles in phylogenetically conserved gene networks. We have identified a retinal element whose sequence is highly conserved among four vertebrate species that last shared a common ancestor more than 350 million years ago. This conservation probably goes even deeper since the zebrafish *Zath5* distal ECR also contains a core of the two bHLH and one Pax6 binding site. Although the spacing and nucleotide sequences differ from the other vertebrate orthologues examined, these characteristics have been shown to be irrelevant for the conservation of cis regulation for the *Drosophila eve stripe 2* enhancer (Ludwig *et al.*, 2005). More recently, an extensive comparison of the direct regulation of four transcription factors among 4,000 target gene promoters, between mouse and human, demonstrated that 41–89% of the DNA binding events are species-specific (Odom *et al.*, 2007). Therefore even when a transcription factor maintains its direct regulation of a target gene, the position of individual binding sites may have changed among species. Thus, the *Zath5* distal enhancer would appear to represent coevolution of cis sequences with species-specific changes in the trans-acting factors, which nonetheless maintain conserved epistatic relationships among gene hierarchies.

It will be interesting to identify and test for other cis-regulatory binding sites in *Ath5* genes for their phylogenetic conservation. Perhaps even more appealing than conserved aspects of retinal development however, are species-specific features such as the overall length of retinogenesis and cell-birth order. Interestingly, the mammalian retina develops more slowly and utilizes transcriptional regulation of bHLH factors more than posttranslational regulation, which is a significant feature of more rapid retinogenesis in frogs (Moore *et al.*, 2002). Ultimately, the elucidation of genes and gene pathways with divergent regulation will allow us to focus in even greater depth on species-specific or potentially cell-type mechanisms of retinal neuron formation.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank Ruth Ashery-Padan, Peter Gruss and Derek van der Kooy for *Pax6* α -cre and *Pax6*^{CKO/CKO} mice; Simon Saulé for anti-paired domain Pax6 antibody; Tom Glaser for a Pax6 paired domain-GST fusion construct, Ad12 HER10 cells and anti- β gal antibody and Malgorzata Quinn for sharing RT-PCR data. We are indebted to Brian Gebelein and Tom Glaser for advice on EMSA, Jay Kormish and Shiming Chen for help with chromatin IP and real-time PCR, Michiya Sugimori for assistance with confocal microscopy; Emily Wroblewski and Ashley Riesenberg for technical support; and Dave Hutcheson, Richard Lang, Tiffany Cook, Brian Gebelein and Jim Lauderdale for helpful discussions and critical evaluation of this manuscript.

This work was supported by a graduate research fellowship to MIW, NIH grant EY12274 to MLV and NIH grant EY13612 to NLB

References

- Amaya E, Kroll KL. A method for generating transgenic frog embryos. *Methods Mol Biol* 1999;97:393–414. [PubMed: 10443381]
- Austin CP, Feldman DE, Ida JA Jr, Cepko CL. Vertebrate retinal ganglion cells are selected from competent progenitors by the action of Notch. *Development* 1995;121:3637–3650. [PubMed: 8582277]
- Brown NL, Kanekar S, Vetter ML, Tucker PK, Gemza DL, Glaser T. Math5 encodes a murine basic helix-loop-helix transcription factor expressed during early stages of retinal neurogenesis. *Development* 1998;125:4821–4833. [PubMed: 9806930]
- Brown NL, Patel S, Brzezinski J, Glaser T. Math5 is required for retinal ganglion cell and optic nerve formation. *Development* 2001;128:2497–2508. [PubMed: 11493566]
- Brzezinski, JA. Department of Human Genetics. Ann Arbor, MI: University of Michigan; 2005. *The Role of Math5 in Retinal Development*.
- Carriere C, Plaza S, Martin P, Quatannens B, Bailly M, Stehelin D, Saule S. Characterization of quail Pax-6 (Pax-QNR) proteins expressed in the neuroretina. *Mol Cell Biol* 1993;13:7257–7266. [PubMed: 8246948]
- Cayouette M, Poggi L, Harris WA. Lineage in the vertebrate retina. *Trends Neurosci* 2006;29:563–570. [PubMed: 16920202]
- Chauhan BK, Yang Y, Cveklova K, Cvekl A. Functional properties of natural human PAX6 and PAX6 (5a) mutants. *Invest Ophthalmol Vis Sci* 2004;45:385–392. [PubMed: 14744876]
- Chen S, Peng GH, Wang X, Smith AC, Grote SK, Sopher BL, La Spada AR. Interference of Crx-dependent transcription by ataxin-7 involves interaction between the glutamine regions and requires the ataxin-7 carboxy-terminal region for nuclear localization. *Hum Mol Genet* 2004;13:53–67. [PubMed: 14613968]
- Chow RL, Altmann CR, Lang RA, Hemmati-Brivanlou A. Pax6 induces ectopic eyes in a vertebrate. *Development* 1999;126:4213–4222. [PubMed: 10477290]

- Duncan MK, Haynes JI 2nd, Cvekl A, Piatigorsky J. Dual roles for Pax-6: a transcriptional repressor of lens fiber cell-specific beta-crystallin genes. *Mol Cell Biol* 1998;18:5579–5586. [PubMed: 9710641]
- Duncan MK, Li X, Ogino H, Yasuda K, Piatigorsky J. Developmental regulation of the chicken beta B1-crystallin promoter in transgenic mice. *Mech Dev* 1996;57:79–89. [PubMed: 8817455]
- Dyer MA, Bremner R. The search for the retinoblastoma cell of origin. *Nat Rev Cancer* 2005;5:91–101. [PubMed: 15685194]
- Epstein J, Cai J, Glaser T, Jepeal L, Maas R. Identification of a Pax paired domain recognition sequence and evidence for DNA-dependent conformational changes. *J Biol Chem* 1994a;269:8355–8361. [PubMed: 8132558]
- Epstein JA, Glaser T, Cai J, Jepeal L, Walton DS, Maas RL. Two independent and interactive DNA-binding subdomains of the Pax6 paired domain are regulated by alternative splicing. *Genes Dev* 1994b;8:2022–2034. [PubMed: 7958875]
- Fujiwara M, Uchida T, Osumi-Yamashita N, Eto K. *Uchida* rat (rSey): a new mutant rat with craniofacial abnormalities resembling those of the mouse *Sey* mutant. *Differentiation* 1994;57:31–38. [PubMed: 8070620]
- Glaser T, Walton DS, Maas RL. Genomic structure, evolutionary conservation and aniridia mutations in the human Pax6 gene. *Nature Genetics* 1992;2:232–239. [PubMed: 1345175]
- Grabham PW, Grand RJ, Byrd PJ, Gallimore PH. Differentiation of normal and adenovirus-12 E1 transformed human embryo retinal cells. *Exp Eye Res* 1988;47:123–133. [PubMed: 2842175]
- Halder G, Callaerts P, Gehring WJ. Induction of ectopic eyes by targeted expression of the eyeless gene in *Drosophila*. *Science* 1995;267:1788–1792. [PubMed: 7892602]
- Hanson IM. PAX6 and congenital eye malformations. *Pediatr Res* 2003;54:791–796. [PubMed: 14561779]
- Helms AW, Abney AL, Ben-Arie N, Zoghbi HY, Johnson JE. Autoregulation and multiple enhancers control *Math1* expression in the developing nervous system. *Development* 2000;127:1185–1196. [PubMed: 10683172]
- Henrique D, Hirsinger E, Adam J, Le Roux I, Pourquie O, Ish-Horowicz D, Lewis J. Maintenance of neuroepithelial progenitor cells by Delta-Notch signalling in the embryonic chick retina. *Curr Biol* 1997;7:661–670. [PubMed: 9285721]
- Hill RE, Favor J, Hogan BLM, Ton CCT, Saunders GF, Hanson IM, Prosser J, Jordan T, Hastie ND, van Heyningen V. Mouse *Small eye* results from mutations in a paired-like homeobox-containing gene. *Nature* 1991;354:522–525. [PubMed: 1684639]
- Holt CE, Bertsch TW, Ellis HM, Harris WA. Cellular determination in the *Xenopus* retina is independent of lineage and birth date. *Neuron* 1988;1:15–26. [PubMed: 3272153]
- Hufnagel RB, Riesenberg AN, Saul SM, Brown NL. Conserved regulation of *Math5* and *Math1* revealed by *Math5*-GFP transgenes. *Mol Cell Neurosci* 2007;36:435–448. [PubMed: 17900924]
- Hutcheson DA, Hanson MI, Moore KB, Le TT, Brown NL, Vetter ML. bHLH-dependent and -independent modes of *Ath5* gene regulation during retinal development. *Development* 2005;132:829–839. [PubMed: 15677728]
- Hutcheson DA, Vetter ML. The bHLH factors *Xath5* and *XNeuroD* can upregulate the expression of *XBrn3d*, a POU-homeodomain transcription factor. *Dev Biol* 2001;232:327–338. [PubMed: 11401395]
- Hutcheson DA, Vetter ML. Transgenic approaches to retinal development and function in *Xenopus laevis*. *Methods* 2002;28:402–410. [PubMed: 12507458]
- Inoue T, Hojo M, Bessho Y, Tano Y, Lee JE, Kageyama R. *Math3* and *NeuroD* regulate amacrine cell fate specification in the retina. *Development* 2002;129:831–842. [PubMed: 11861467]
- Kim J, Wu HH, Lander AD, Lyons KM, Matzuk MM, Calof AL. GDF11 controls the timing of progenitor cell competence in developing retina. *Science* 2005;308:1927–1930. [PubMed: 15976303]
- Kozmik Z. Pax genes in eye development and evolution. *Curr Opin Genet Dev* 2005;15:430–438. [PubMed: 15950457]
- Le TT, Wroblewski E, Patel S, Riesenberg AN, Brown NL. *Math5* is required for both early retinal neuron differentiation and cell cycle progression. *Dev Biol* 2006;295:764–778. [PubMed: 16690048]

- Lee HY, Wroblewski E, Philips GT, Stair CN, Conley K, Reedy M, Mastick GS, Brown NL. Multiple requirements for Hes 1 during early eye formation. *Dev Biol* 2005;284:464–478. [PubMed: 16038893]
- Levine EM, Green ES. Cell-intrinsic regulators of proliferation in vertebrate retinal progenitors. *Semin Cell Dev Biol* 2004;15:63–74. [PubMed: 15036209]
- Liu W, Mo Z, Xiang M. The Ath5 proneural genes function upstream of Brn3 POU domain transcription factor genes to promote retinal ganglion cell development. *Proc Natl Acad Sci U S A* 2001;98:1649–1654. [PubMed: 11172005]
- Livesey FJ, Cepko CL. Vertebrate neural cell-fate determination: lessons from the retina. *Nat Rev Neurosci* 2001;2:109–118. [PubMed: 11252990]
- Loots GG, Ovcharenko I. Dcode.org anthology of comparative genomic tools. *Nucleic Acids Res* 2005;33:W56–W64. [PubMed: 15980535]
- Ludwig MZ, Palsson A, Alekseeva E, Bergman CM, Nathan J, Kreitman M. Functional evolution of a cis-regulatory module. *PLoS Biol* 2005;3:e93. [PubMed: 15757364]
- Marquardt T. Transcriptional control of neuronal diversification in the retina. *Prog Retin Eye Res* 2003;22:567–577. [PubMed: 12892642]
- Marquardt T, Ashery-Padan R, Andrejewski N, Scardigli R, Guillemot F, Gruss P. Pax6 is required for the multipotent state of retinal progenitor cells. *Cell* 2001;105:43–55. [PubMed: 11301001]
- Martinez-Morales JR, Del Bene F, Nica G, Hammerschmidt M, Bovolenta P, Wittbrodt J. Differentiation of the vertebrate retina is coordinated by an FGF signaling center. *Dev Cell* 2005;8:565–574. [PubMed: 15809038]
- Masai I, Stemple DL, Okamoto H, Wilson SW. Midline signals regulate retinal neurogenesis in zebrafish. *Neuron* 2000;27:251–263. [PubMed: 10985346]
- Masai I, Yamaguchi M, Tonou-Fujimori N, Komori A, Okamoto H. The hedgehog-PKA pathway regulates two distinct steps of the differentiation of retinal ganglion cells: the cell-cycle exit of retinoblasts and their neuronal maturation. *Development* 2005;132:1539–1553. [PubMed: 15728672]
- Mastick GS, Andrews GL. Pax6 regulates the identity of embryonic diencephalic neurons. *Mol Cell Neurosci* 2001;17:190–207. [PubMed: 11161479]
- Matter-Sadzinski L, Puzianowska-Kuznicka M, Hernandez J, Ballivet M, Matter JM. A bHLH transcriptional network regulating the specification of retinal ganglion cells. *Development* 2005;132:3907–3921. [PubMed: 16079155]
- Moore KB, Schneider ML, Vetter ML. Posttranslational mechanisms control the timing of bHLH function and regulate retinal cell fate. *Neuron* 2002;34:183–195. [PubMed: 11970861]
- Mu X, Fu X, Sun H, Liang S, Maeda H, Frishman LJ, Klein WH. Ganglion cells are required for normal progenitor- cell proliferation but not cell-fate determination or patterning in the developing mouse retina. *Curr Biol* 2005;15:525–530. [PubMed: 15797020]
- Mu X, Klein WH. A gene regulatory hierarchy for retinal ganglion cell specification and differentiation. *Semin Cell Dev Biol* 2004;15:115–123. [PubMed: 15036214]
- Odom DT, Dowell RD, Jacobsen ES, Gordon W, Danford TW, MacIsaac KD, Rolfe PA, Conboy CM, Gifford DK, Fraenkel E. Tissue-specific transcriptional regulation has diverged significantly between human and mouse. *Nat Genet* 2007;39:730–732. [PubMed: 17529977]
- Ovcharenko I, Loots GG, Giardine BM, Hou M, Ma J, Hardison RC, Stubbs L, Miller W. Mulan: multiple-sequence local alignment and visualization for studying function and evolution. *Genome Res* 2005;15:184–194. [PubMed: 15590941]
- Pei YF, Rhodin JA. The prenatal development of the mouse eye. *Anat Rec* 1970;168:105–125. [PubMed: 5469558]
- Poggi L, Vitorino M, Masai I, Harris WA. Influences on neural lineage and mode of division in the zebrafish retina in vivo. *J Cell Biol* 2005;171:991–999. [PubMed: 16365165]
- Quiring R, Walldorf U, Kloter U, Gehring WJ. Homology of the eyeless gene of Drosophila to the Small eye gene in mice and Aniridia in humans. *Science* 1994;265:785–789. [PubMed: 7914031]
- Roelants K, Gower DJ, Wilkinson M, Loader SP, Biju SD, Guillaume K, Moriau L, Bossuyt F. Global patterns of diversification in the history of modern amphibians. *Proc Natl Acad Sci U S A* 2007;104:887–892. [PubMed: 17213318]

- Roth HJ, Das GC, Piatigorsky J. Chicken beta B1-crystallin gene expression: presence of conserved functional polyomavirus enhancer-like and octamer binding-like promoter elements found in non-lens genes. *Mol Cell Biol* 1991;11:1488–1499. [PubMed: 1996106]
- Sander M, Neubuser A, Kalamaras J, Ee HC, Martin GR, German MS. Genetic analysis reveals that PAX6 is required for normal transcription of pancreatic hormone genes and islet development. *Genes Dev* 1997;11:1662–1673. [PubMed: 9224716]
- Schneider ML, Turner DL, Vetter ML. Notch signaling can inhibit Xath5 function in the neural plate and developing retina. *Mol Cell Neurosci* 2001;18:458–472. [PubMed: 11922138]
- Skowronska-Krawczyk D, Ballivet M, Dynlacht BD, Matter JM. Highly specific interactions between bHLH transcription factors and chromatin during retina development. *Development* 2004;131:4447–4454. [PubMed: 15342472]
- Stenkamp DL, Frey RA. Extraretinal and retinal hedgehog signaling sequentially regulate retinal differentiation in zebrafish. *Dev Biol* 2003;258:349–363. [PubMed: 12798293]
- Sun Y, Jan LY, Jan YN. Transcriptional regulation of atonal during development of the Drosophila peripheral nervous system. *Development* 1998;125:3731–3740. [PubMed: 9716538]
- Treisman JE. How to make an eye. *Development* 2004;131:3823–3827. [PubMed: 15289432]
- Tucker P, Laemle L, Munson A, Kanekar S, Oliver ER, Brown N, Schlecht H, Vetter M, Glaser T. The eyeless mouse mutation (*ey1*) removes an alternative start codon from the *Rx/rax* homeobox gene. *Genesis* 2001;31:43–53. [PubMed: 11668677]
- Turner DL, Cepko CL. A common progenitor for neurons and glia persists in rat retina late in development. *Nature* 1987;328:131–136. [PubMed: 3600789]
- Turner DL, Snyder EY, Cepko CL. Lineage-independent determination of cell type in the embryonic mouse retina. *Neuron* 1990;4:833–845. [PubMed: 2163263]
- Turner DL, Weintraub H. Expression of *achaete-scute* homolog 3 in *Xenopus* embryos converts ectodermal cells to a neural fate. *Genes and Development* 1994;8:1434–1447. [PubMed: 7926743]
- Vavouri T, Elgar G. Prediction of cis-regulatory elements using binding site matrices—the successes, the failures and the reasons for both. *Curr Opin Genet Dev* 2005;15:395–402. [PubMed: 15950456]
- Vetter ML, Brown NL. The role of basic helix-loop-helix genes in vertebrate retinogenesis. *Semin Cell Dev Biol* 2001;12:491–498. [PubMed: 11735385]
- Wang SW, Kim BS, Ding K, Wang H, Sun D, Johnson RL, Klein WH, Gan L. Requirement for math5 in the development of retinal ganglion cells. *Genes Dev* 2001;15:24–29. [PubMed: 11156601]
- Wang Y, Dakubo GD, Thurig S, Mazerolle CJ, Wallace VA. Retinal ganglion cell-derived sonic hedgehog locally controls proliferation and the timing of RGC development in the embryonic mouse retina. *Development* 2005;132:5103–5113. [PubMed: 16236765]
- Weiner H, Farres J, Rout UJ, Wang X, Zheng CF. Site directed mutagenesis to probe for active site components of liver mitochondrial aldehyde dehydrogenase. *Adv Exp Med Biol* 1995;372:1–7. [PubMed: 7484366]
- Wells J, Farnham PJ. Characterizing transcription factor binding sites using formaldehyde crosslinking and immunoprecipitation. *Methods* 2002;26:48–56. [PubMed: 12054904]
- Wetts R, Fraser SE. Multipotent precursors can give rise to all major cell types of the frog retina. *Science* 1988;239:1142–1145. [PubMed: 2449732]
- Xu PX, Zhang X, Heaney S, Yoon A, Michelson AM, Maas RL. Regulation of Pax6 expression is conserved between mice and flies. *Development* 1999;126:383–395. [PubMed: 9847251]
- Yang Z, Ding K, Pan L, Deng M, Gan L. Math5 determines the competence state of retinal ganglion cell progenitors. *Dev Biol* 2003;264:240–254. [PubMed: 14623245]
- Yaron O, Farhy C, Marquardt T, Applebury M, Ashery-Padan R. Notch1 functions to suppress cone-photoreceptor fate specification in the developing mouse retina. *Development* 2006;133:1367–1378. [PubMed: 16510501]
- Zhang T, Ranade S, Cai CQ, Clouser C, Pignoni F. Direct control of neurogenesis by selector factors in the fly eye: regulation of atonal by Ey and So. *Development* 2006;133:4881–4889. [PubMed: 17108002]

Zhou Y, Zheng JB, Gu X, Li W, Saunders GF. A novel Pax-6 binding site in rodent B1 repetitive elements: coevolution between developmental regulation and repeated elements? *Gene* 2000;245:319–328. [PubMed: 10717483]

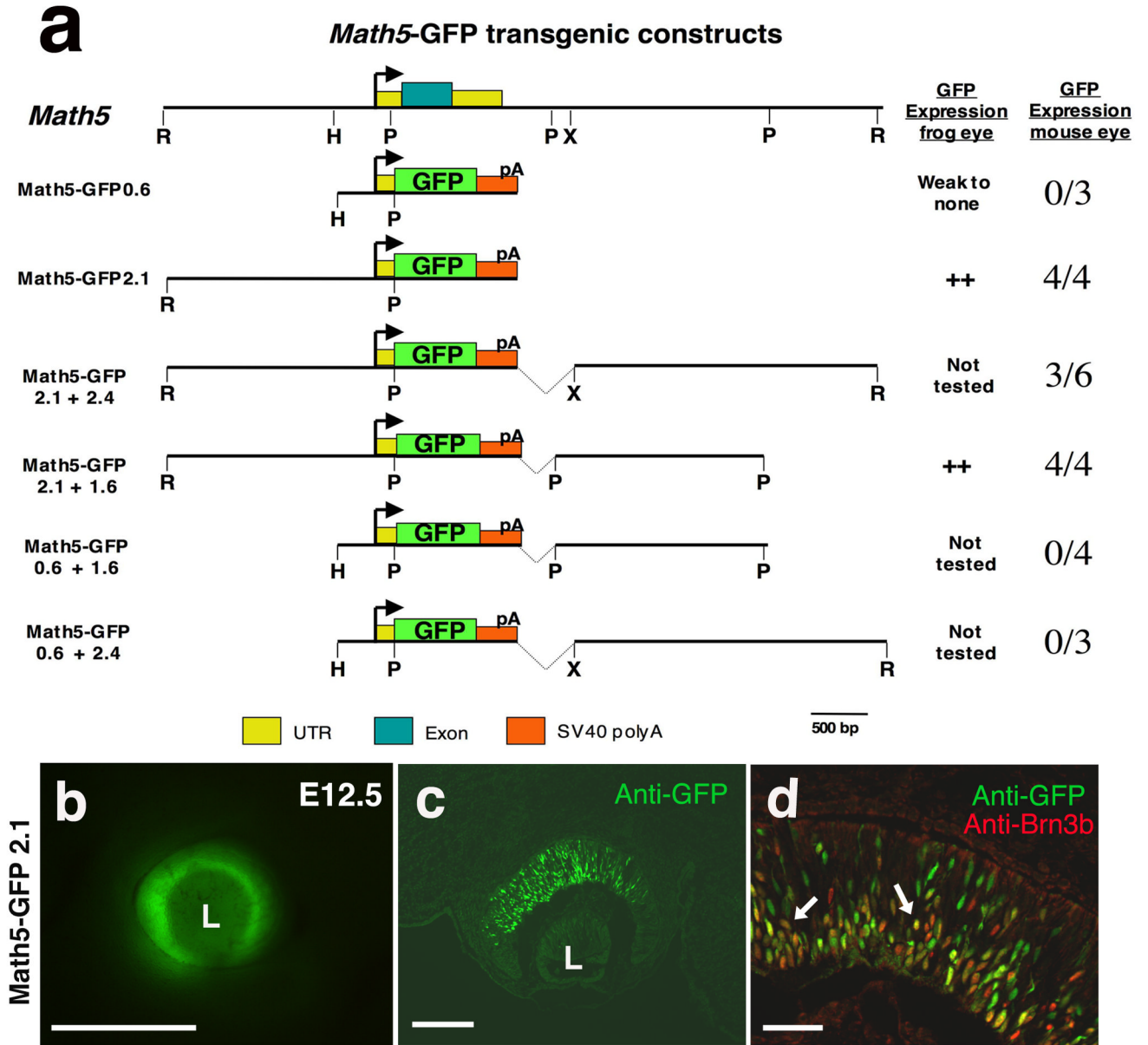


Figure 1. Retinal expression of *Math5* transgenes

A) Diagram of the *Math5* locus, including the coding exon (blue box), and various transgenes containing different 5' and 3' *Math5* noncoding fragments, driving GFP reporter expression. GFP expression was tested in the developing mouse or frog eye. An arrow denotes the *Math5* TATA box. The right column shows number of independent mouse transgenic lines with GFP expression versus the number tested (n ≥ 3 litters scored per line). B) GFP expression in the optic cup of a living E12.5 *Math5*-GFP2.1 mouse embryo. C) Anti-GFP labeling of E12.5 retinal cryosection from same transgenic line as in B. D) Higher magnification images of anti-GFP (green) and anti-Brn3b (red) double labeling. Arrows point to coexpressing cells. Dorsal and scleral are up, rostral left in B–D; L = lens. Bar = 500 microns in B, 25 microns in C; 50 microns in D.

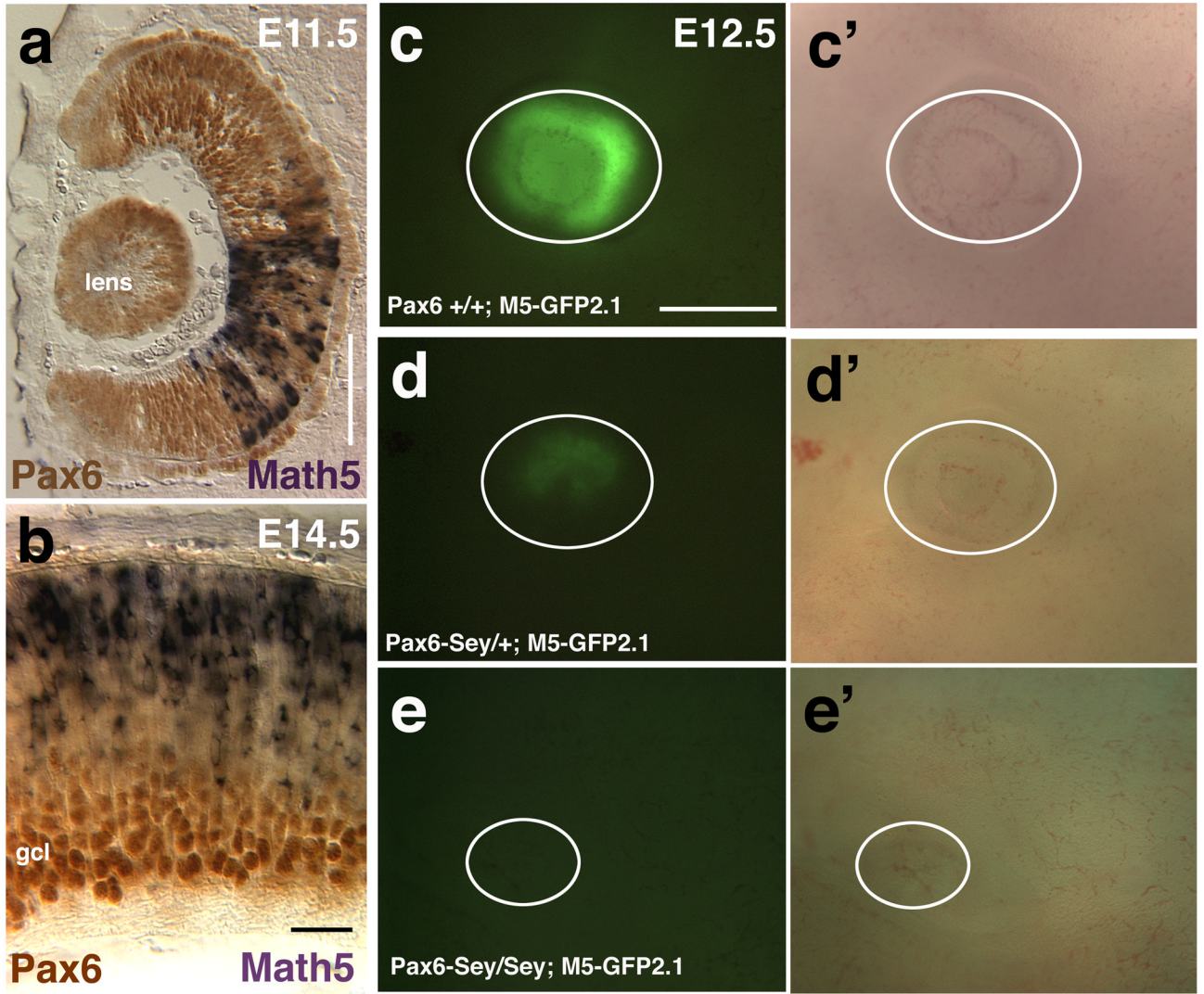


Figure 2. *Math5* transgenes are sensitive to *Pax6* gene dosage

A) *Math5* mRNA (purple) and Pax6 protein (brown) are completely coexpressed at E11.5. B) After the ganglion cell layer (gcl) forms, differentiated RGCs continue to express Pax6 strongly, but shut off *Math5* mRNA. C–E) *Math5*-GFP2.1 expression in wild type (C,C'), *Pax6^{Sey/+}* (D,D') and *Pax6^{Sey/Sey}* (E,E') E12.5 embryonic eyes. Panels C–E show GFP fluorescence and C'–E' bright field images living embryonic eyes (white circles indicate eyes). In both E11.5 and E12.5 embryos, the GFP expression domain is smaller in *Pax6^{Sey/+}* eyes and completely lost in *Pax6^{Sey/Sey}* eyes. Rostral is up in A, scleral is up in B, Dorsal is up in C–E. Bar in A, B = 100 microns; C–E = 500 microns.

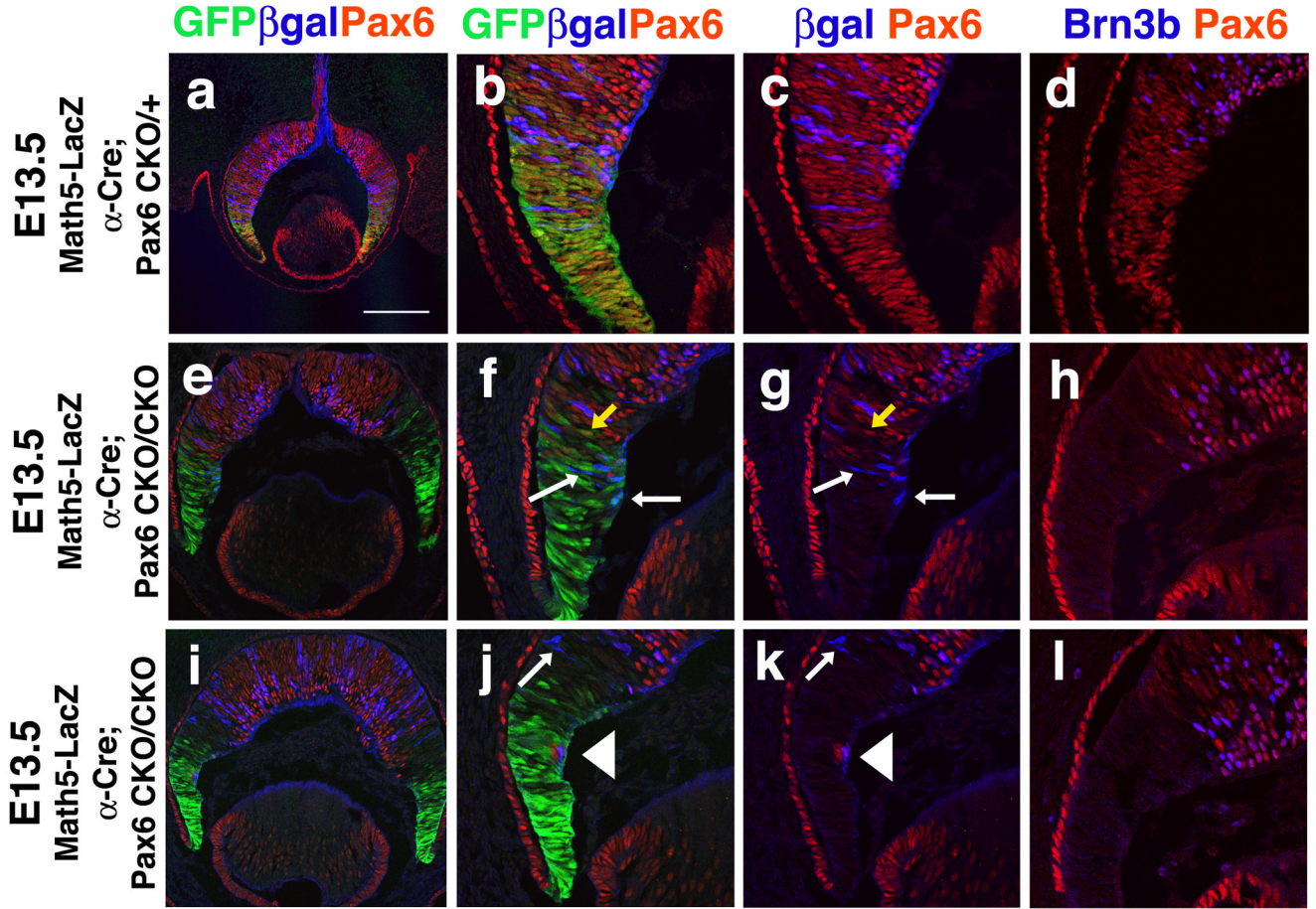


Figure 3. Pax6 activation of Math5 is cell autonomous

Confocal images of E13.5 of triple labeled retinal sections. Comparison of *Math5^{LacZ}* or Brn3b expression in E13.5 α -*Cre*;*Pax6^{CKO/+}* and α -*Cre*;*Pax6^{CKO/CKO}* retinæ. A–C) In α -*Cre*;*Pax6^{CKO/+}* eyes, Pax6 (red) and β gal (blue) proteins are coexpressed within the Cre expression domain (green). E–G, I–K) Where *Pax6* is deleted, *Math5^{LacZ}* expression is almost completely lost, but expressed at 1:1 correspondence with Pax6 protein in the central retina. *Math5* dependence on *Pax6* is highlighted in J and K where a single retinal cell lacking Cre-IRES-GFP autonomously retains both nuclear Pax6 and cytoplasmic β gal expression (arrowhead). White arrows in F,G,J,K point to Cre+; LacZ+; Pax6- cells. Yellow arrows in F,G point to Cre+; Pax6+ cells. See text for details. D,H,L) Two examples of E13.5 α -*Cre*;*Pax6^{CKO/CKO}* peripheral retinal sections with complete loss of Brn3b (blue nuclei) in *Pax6^{CKO/CKO}* cells (not red). Scleral is up; scale bar F = 400 microns.

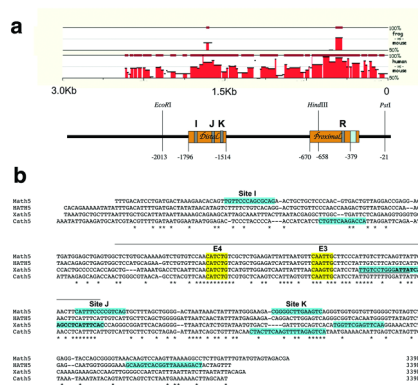


Figure 4. Predicted Pax6 binding sites and phylogenetically conserved regions in mouse, human, frog and chick *Ath5/Atoh7* 5' regulatory DNA
 A) Pictogram of Mulan alignments of upstream DNA (-3000 to -1), between *Math5* vs. *Xath5* and *Math5* vs. *HATH5*. Underneath is a diagram of 3 Kb of upstream *Math5* DNA (0 = A of ATG start codon). Orange boxes represent $\geq 70\%$ nucleotide identity between *Math5*-*HATH5*, identified by NCBI BLAST. The blue box denotes the TATA box. Grey boxes indicate predicted Pax6 paired-domain binding sites determined by Transfac MATCH program (see Suppl. Table 1). *EcoRI*, *HindIII* and *PstI* restriction sites are the same as in Figure 1. B) Clustal W alignment of *Ath5* distal ECRs, containing two completely conserved E boxes highlighted in yellow (E3 and E4, see Hutcheson et al., 2005). Transfac MATCH program predicted Pax6 binding sites are highlighted in teal. For *Xath5*, the two overlapping sites are distinguished by underlining versus bold faced type. Asterisks denote nucleotides completely conserved among the four species. A line, across the top, marks an 81 nucleotide stretch of high identity. Gaps (dashes) were inserted by the program for optimal alignment.

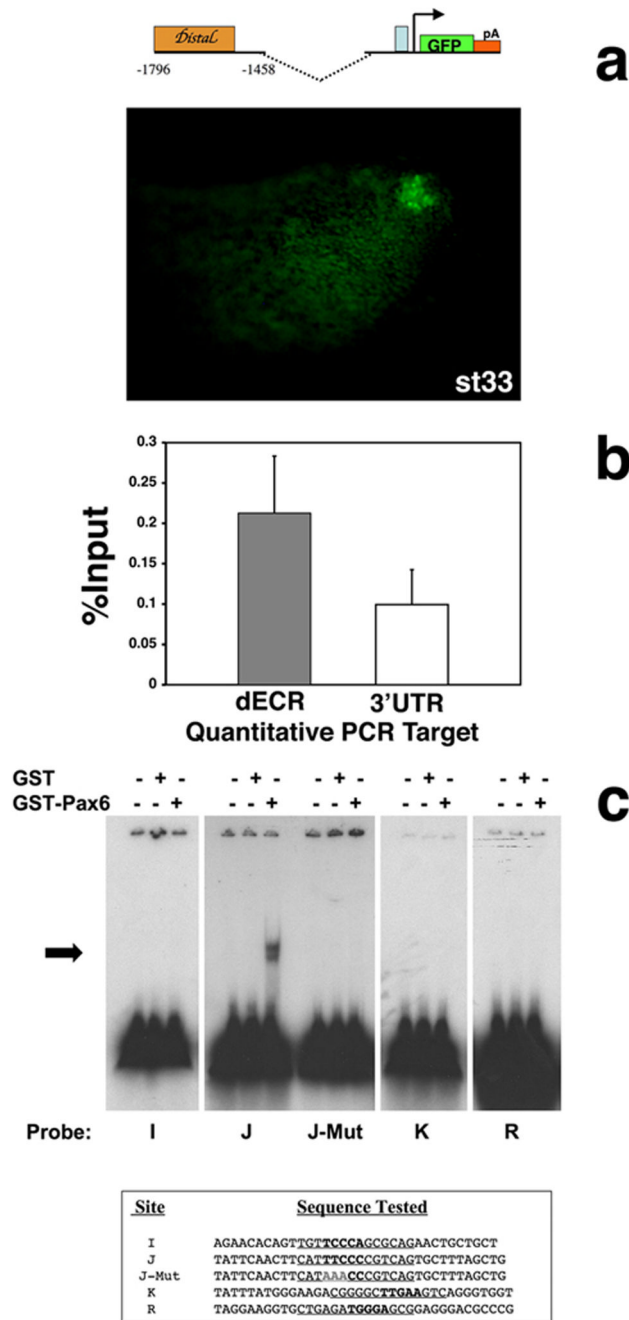


Figure 5. Pax6 interacts with the *Ath5* distal ECR in vivo and in vitro

A) GFP expression in pG1-M5-0.2 distal transient transgenic *Xenopus* embryos at stage 33. Embryos exhibited retinal expression alone (9/20) or retina plus nervous system expression (11/20) (not shown). pG1-Math5-2.1 also drives GFP expression in the same two expression pattern classes (Hutcheson *et al.*, 2005). B) Real-time quantitative PCR using primers (targets) for the *HATH5* dECR and a negative control sequence in *HATH5* 3'UTR. Results are expressed as a percentage of input chromatin isolated from Ad12 HER10 cells, showing averaged "enrichments" and the standard error of the mean. C) EMSAs of Pax6 paired domain-GST fusion protein with binding sites I, J, K and R in *Math5* distal or proximal ECRs. For each binding site, the left lane contains free probe, the middle lane probe plus GST protein alone,

and the right lane probe plus Pax6 paired domain-GST fusion protein. Only site J shifts in the presence of Pax6. This binding was completely lost by mutating 3 of 5 core nucleotides (J-Mut).

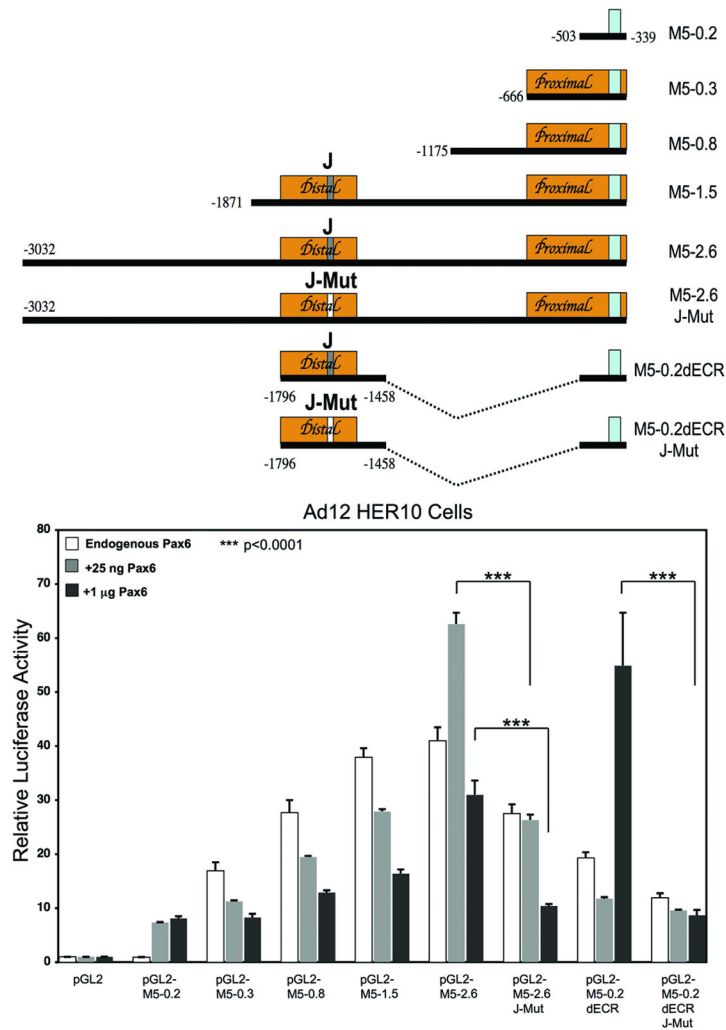


Figure 6. Distinct *Math5* 5' regulatory sequences are activated by different concentrations of Pax6 protein in vitro

Relative promoter activities of *Math5*-luciferase reporter constructs co-transfected with pCS2, pCS2-Pax6 (25ng or 1µg) into Ad12 HER10 cells. Firefly luciferase values were normalized to pTK-Renilla luciferase values, and pGL2 empty vector activity set to 1.0. The mean values are shown, with error bars representing the standard error of the mean. n = 3 trials, each run in triplicate; *** = p<0.001.