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## False-positive results in the randomised controlled trial of mammographic screening from age 40 ('Age' trial)

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## Abstract

**Background**—False-positive recall is a recognised disadvantage of mammographic breast screening and the rate of such recalls may be higher in younger women, potentially limiting the value of screening below age 50.

**Methods**—Attendance and screening outcome data for 53 884 women in the intervention arm of the UK Age trial were analysed to report observed false-positive recall rates during 13 years of trial fieldwork. The Age trial was a randomised controlled trial of the effect of mammographic screening from age 40 on breast cancer mortality, conducted in 23 NHS Screening centres between 1991 and 2004. Women randomised to the intervention arm were offered annual invitation to mammography from age 40/41 to age 48.

**Results**—Overall, 7893 women (14.6% of women the intervention arm and 18.1% of women attending at least one routine screen) experienced one or more false-positive screen during the trial. The rates of false-positive mammography at first and subsequent routine screens were 4.9% and 3.2%, respectively. The cumulative false-positive rate over seven screens was 20.5%. Eightynine percent of women who had a false-positive recall at their previous screen attended their next invitation to routine screening.

**Conclusions**—Rates of false-positive recall in the Age trial were comparable with the national screening programme, however, the positive predictive value of referral was lower. Experiencing a false-positive screen did not appear to lessen the likelihood of re-attendance in the trial.

**Impact**—The question of greatly increased false-positive rates in this age group and of their compromising re-attendance is refuted by the findings of this study.

#### **Keywords**

breast; screening; false-positive; age; trial

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## INTRODUCTION

The effectiveness of mammographic screening in reducing mortality from breast cancer in women aged 50-69 is widely accepted (1). However, debate continues regarding the risks and benefits of mammography, especially for women in their forties. Disadvantages of screening, which need to be weighed against any benefit, include false-positive results; the recall of women for further investigations that do not result in a diagnosis of breast cancer. False-positive recall can result in inconvenience, increased anxiety, particularly in the short-term, and will usually lead to further investigations, involving biopsy in some instances. Collectively, these contribute significantly to the overall cost of screening programmes.

False-positive rates of screening mammography tend to fall with age, but reported rates vary widely; 0.9% to 6.5% in published randomised trials, case-control studies and demonstration studies of annual mammography in women aged 40 to 64 (2). European organised breast screening programmes tend to have relatively low false-positive rates, whilst US rates are higher. The UK breast screening programme recorded false-positive rates of 7.9% and 3.2% at first and subsequent routine screens, respectively, between 1999 and 2005 (3). In contrast, the Netherlands breast screening programme, reported false-positive rates of only 2% at both initial and subsequent screens for women aged 50-69 between 1990 and 1995 (4).

Importantly, false-positive results may discourage women from re-attending for screening. This appears to vary considerably between countries. In the US, women were more likely to return for screening after a false-positive result, whereas in Canada they were less likely to re-attend and in Europe no significant impact of false-positive screens on re-attendance was seen (5). Many studies have sought to address the psychological consequences of false-positive mammograms and, while some have suggested lasting effects, most found that anxiety returns to previous levels once the outcome is known (6).

The UK Age trial was the only trial designed specifically to investigate the effect of annual invitation to mammography starting at age 40. It has recently been announced that the UK National Health Service Breast Screening Programme (NHSBSP) will lower the minimum invitation age for its three-yearly screening from 50 to 47 by 2012. Information from the UK Age trial, which invited women up to age 48, has therefore assumed increased relevance for the NHSBSP. In 2006, we reported mortality results from the UK Age trial after 10 years of follow-up (7). The present paper reports information on false-positive recalls at routine mammographic screening among women in the intervention arm of the Age trial during 13 years of fieldwork between 1991 and 2004.

#### **METHODS**

#### The trial

The Age trial was conducted in 23 NHS breast screening units in England, Wales and Scotland. The design of the study has been previously described in detail (8). Briefly, between 1991 and 1997, 160 921 women aged 39-41 years were randomised to an intervention arm or a control arm, in a ratio of 1:2. The intervention arm provided information on 53 884 women for this analysis. Women in the intervention arm were offered annual screening by mammography up to and including the calendar year of their 48<sup>th</sup> birthday, while those in the control arm received standard medical care. All women in the intervention arm, including previous non-responders, were re-invited annually unless they specifically requested not to be invited again.

The analysis included trial screening occurring between date of entry to the trial and 31<sup>st</sup> December 2004. All screening in the trial had been completed by this date.

#### Screening and breast cancer incidence data

Individual-level data on screening invitation, attendance, procedures performed and their outcomes were downloaded from the national breast screening computer system at participating screening units using extraction software written specifically for the trial. In this analysis, 'ever attenders' are defined as women who attended at least one routine screen and 'regular attenders' are those who attended seven or more routine trial screens. Data on breast cancer diagnoses in the trial population were obtained from cancer registries, pathology laboratories, breast screening units and the Office for National Statistics.

#### **False-positive screens**

True positive screening episodes were those where initial mammographic findings led to recall for further procedures and breast cancer (insitu or invasive) was found. False-positive screens were defined as routine trial screens where initial mammographic findings led to recall for additional procedures, but further assessment did not result in a diagnosis of breast cancer at that episode. Recalls on the basis of technically inadequate films were not included as positive mammographic results.

#### Cumulative false-positive recall rate

The cumulative false-positive recall rate over seven screens was calculated for a sub-group of women who attended at least seven routine screens during the trial (i.e. regular attenders). The number of women in this group who experienced at least one false-positive recall in their first seven screens was expressed as a proportion of the total number of women in the group. False-positive rates in the regular attenders were extrapolated to estimate a cumulative false-positive rate over 10 screens. The additional number of women who would be expected to have a false-positive result was derived for rounds 8 to 10 from the average number of regular attenders who experienced their first false-positive result at screens four to seven.

The cumulative independent risk over seven screens was defined by:

1 – probability of never having a false–positive recall over seven screens =  $1 - \left[ (1 - p(FPS1)) \times (1 - p(FPSS))^6 \right]$ 

where p(FPS1) = probability of false-positive recall at the first screen and p(FPSS) = probability of false-positive recall at subsequent screens (two to seven).

#### Socio-economic status

Socio-economic status of the study population was estimated using the Townsend index of social and material deprivation (9); a summary score calculated using unemployment, car ownership, owner occupation, and overcrowding variables derived from the 1991 census. Pre-calculated Townsend values by enumeration district (10) were mapped to each woman's postcode of residence at the time they entered the trial. Data from the 1991 census data were most current for the period of trial entry which took place between 1991 and 1996. Townsend quintiles used in analyses were defined according to the distribution of scores for the whole of England and Wales.

#### Statistical tests

All statistical tests were conducted using STATA (version 10.0, Stata Corporation, TX, USA) statistical software. Differences between proportions were assessed by Chi-squared tests. A *P* value of 0.05 (2-sided) was considered statistically significant in all analyses.

## RESULTS

#### False-positive rates

Of the 53 884 women randomised to the intervention arm of the trial, 7893 (14.6%) experienced one or more false-positive recall during the course of their routine trial screening and 1114 (2.1%) experienced two or more; equivalent to 18.1% and 2.5% in the ever attenders. Among the regular attenders, 5272 women (22.7%) experienced at least one false-positive recall and 855 (3.7%) had two or more (Table 1).

Table 2 shows the number of false-positive screens in women as a proportion of screens attended, and the additional procedures performed. There were 2134 (4.9%) false positives at first screens and 7041 (3.2%) at subsequent screens; the overall false-positive rate at routine screens was 3.5%. At first screens, 237 women with false-positive recalls (0.5% of first screens; 11.1% of false-positive first screens) had cytology and 86 women (0.2% of first screens; 4.0% of false-positive first screens) underwent surgical or non-surgical biopsy. These proportions fell at subsequent screens when 346 women (0.2% of subsequent screens; 4.9% of false-positive subsequent screens) had cytology and 214 (0.1% of subsequent screens; 3.0% of false-positive subsequent screens) underwent biopsy.

#### Cumulative false-positive rates

Among the 23 245 women who were regular attenders 4.7% had a false-positive finding at their first screen and 3.2% at subsequent screens (2.9%-3.3% with no evidence of a decrease with increasing round number). Over their first seven attendances for routine mammographic screening, women in this group had a 20.5% risk of experiencing at least one false-positive recall. Calculated on the basis of independent probability, the cumulative risk of false positive was 21.6% over seven screens.

The average number of regularly attending women experiencing their first false-positive screen at rounds four to seven was 581 per round. Using this estimate as the number expected at rounds 8 to 10 gives a total of 6505 false-positives in the regular attenders; a 28.0% risk of experiencing at least one false-positive recall over ten attendances for routine mammographic screening.

#### Impact of false-positive results on re-attendance for screening

Table 3 shows the impact of a false-positive recall on subsequent re-attendance. Uptake of the routine invitation following a false-positive screen was 88.8%. Uptake of the routine invitation following a true-negative screen was 88.5% in women who had never had a false-positive result, compared with 91.5% in women who had prior experience of a false-positive (P<0.0001); 88.8% among all women. There was also no difference in re-attendance after false-positive compared with true-negative screens when uptake was calculated as a proportion of the number of *women* in each group, as opposed to the number *invited* in each group.

#### False-positive results and measures of socio-economic status

Table 4 shows the cumulative false-positive status of regular attenders, by deprivation group. There was no significant difference in risk of at least one false-positive screen

between the lowest and highest Townsend quintile (risk ratio=0.94; 95% CI: 0.86-1.03; *P*=0.20).

## DISCUSSION

A review for the American College of Physicians (ACP) concluded that routine mammographic screening in women aged 40 to 49 years reduced the risk of death from breast cancer, but lead to an increase in additional clinical procedures and anxiety (11). Rates of false-positive mammographic screening vary widely, but tend to be lower in organised breast screening programmes. To our knowledge, the only data on false-positive results for mammographic screening in women under age 50 in Europe are from Navarra, Spain, reporting prevalent screen false-positive rates of 23%, however, initial screening was by single view mammography and recall was frequently limited to the requirement for a second view (12).

The sensitivity and specificity of mammography decrease with increasing breast density. In a study of women aged 40-44 (13), 10% of women with very dense breasts had a false positive recall, compared with 4% of women with fatty breasts, and mammography sensitivity was 62% and 88%, respectively. As women in their forties have denser breasts than women over 50, false-positive results might be expected to be higher in the Age trial than in the UK's national programme (NHSBSP), which currently invites women aged 50-70. The false-positive rates of 5% and 3% at first and subsequent screens in the trial compare favourably with rates of 8% and 3% reported for equivalent rounds in the NHSBSP (3), however, the difference in breast cancer incidence rates in women of different ages will affect the overall rate of recall for assessment. The positive predictive value (PPV) of recall, which also reflects the specificity of the screening test, may provide a better measure for comparing false-positive results between different age groups. The PPV of recall for assessment in the trial was 2% at first screens (1<sup>st</sup> invitation) and 3-5% at subsequent screens (3).

By 2012 the NHSBSP will be inviting women for their prevalent screen from age 47. Only 356 women in the Age trial received their first trial screen at age 47 and these screens will not be typical of prevalent screens in this age group since the women involved will have been previous non-attenders. More informative for the new national policy is to compare the incident round false-positive rate in Age trial women aged 47 or above with the rate of false-positives at NHSBSP incident screens in women aged 50-54 (currently the youngest age group). These women will have had their prevalent round NHSBSP screen before age 52. The incident screen false-positive rate among these women between 1999 and 2005 was 3.56% (data from Department of Health routine statistics provided annually by breast screening units). The Age trial incident round false-positive rate in women aged 47 or above was 3% (in 40 355 screens), suggesting no great increase in false-positive results should be expected from the policy of inviting younger women.

The ACP review concluded that the psychological consequences of false-positive screens were greater among women who underwent biopsy than women with further imaging only (11). Only a small number of studies have reported the rates of extra diagnostic procedures arising from false-positive screens. In a US study, 631 false-positives lead to a 26% increase in outpatient visits, 89% more imaging procedures and 20% more biopsies (14). In the Stockholm trial, in women aged 40-49, 231 false-positives resulted in 648 physician visits, 234 FNACs, 92 extra mammograms and 55 (24%) excision biopsies (15). The UK Million Women Study showed that 15% of false-positives in women aged 50 and above had a negative biopsy (FNA, wide bore needle or surgical biopsy) (16). In our data, it was not

possible to distinguish between surgical and non-surgical biopsy, but of the total 9175 falsepositive screens, the proportion undergoing additional invasive procedures was relatively low at 6% and 3% for cytology and biopsy, respectively.

Recent literature, both in the media and in peer-reviewed journals, has emphasised the need for more information on the potential harms of breast screening to be made routinely available to women (17, 18). The NHSBSP is currently revising the information provided to women invited for screening, which will include more information on the issues of over-diagnosis, over-treatment and false-positive recalls.

The observed cumulative risk of a regularly attending woman experiencing a false-positive recall over seven routine Age trial screens was 20%, and estimated to be 28% over ten screens. This is higher than the cumulative false-positive rates over 10 screens of 8-21%, predicted on the basis of 3-5 screening rounds in women aged 50 to 69 in Denmark (19), and higher than the 21% risk over 20 years of biennial screening for women aged over 50 in Norway (20). The Age trial rate is also higher than the 10-11% cumulative false-positive rate estimated from NHSBSP cancer detection and cumulative assessment rate data for four screening rounds at five units published by Wallis et al (21). However, the Age trial rate is significantly lower than most reported rates for US women aged under 50 which are of the order of 34% after five screens for women aged 40-49 (22).

There is an ongoing debate about whether the risk of having a false-positive screen depends, at least in part, on previous screening history (19). The independent rate may be an overestimate if access to previous mammograms aids interpretation and reduces the chance of unnecessary recall. Conversely, the existence of a previous false-positive screen might reflect characteristics that place a woman at higher risk of a further false-positive result, for example having particularly dense breast tissue. In the trial, the observed cumulative risk of one or more false-positive recalls over seven screens was 20%, compared with an independent probability of 22% over the same period. This suggests that in this trial, previous experience of a false-positive result does not make another any more likely.

Evidence of the influence of a false-positive experience on subsequent screening attendance is contradictory; ranging from women being less likely to return for routine screening, being unaffected or more likely to attend future screens (23); (24); (25); (26). A study of women aged 50 and above invited by the UK NHSBSP in East Anglia found that 83% of women with a false-positive result re-attended compared with 96% of women with true-negative results (27). By contrast, a study of women aged 40 and over in Washington state, US, reported a 1.2-fold increase in attendance at the next scheduled screen for women with a false-positive versus women with a true-negative (28). Based on re-invitation, Age trial women who experienced a false-positive result were just as likely to attend their next screen as those who had had a true-negative result. Indeed, uptake of invitation following a truenegative result was higher (91%) among women who had had a false-positive screen in the past than among women who had never experienced a false-positive recall. The study by McCann et al identified that it was only when re-attendance was based on the number of women, rather than the number re-invited, that lower re-attendance following false-positive recall was apparent (27). When this method was applied to the Age trial data, there was no difference in uptake following a false-positive screen compared with overall uptake following a true-negative screen.

In the Age trial, as has been reported for the NHSBSP (29); (30), there was an inverse relationship between screening attendance and socio-economic status (31). Since the number of attendances will influence whether a woman ever receives a false-positive result in her cumulative screening history, the effect of deprivation was examined in this study for the

regular attenders only. Among women who attended at least seven routine trial screens, the likelihood of experiencing a false-positive screen was not related to socio-economic status.

#### Methodological issues and limitations

The cumulative false-positive recall rate over a given number of screens has been calculated using data for women who attended at least seven screens. Women accepting all, or almost all their invitations are a selected group, so cumulative estimates based on their experience might not be valid for all women (19). However, in this trial, we found that the risk at each screen was independent of previous false-positive experience.

No information was collected on the level of increased anxiety caused by false-positive recalls in the Age trial.

The relationship between false-positive recalls and subsequent interval cancer diagnosis is not covered here, but will be addressed by a future paper

The fact that the trial was set completely within the NHSBSP framework should ensure the applicability of the results to the UK programme. The Age trial can therefore provide useful information on rates of false-positive recall to be expected among women at the youngest end of the age-range once the NHSBSP begins inviting women from age 47.

#### CONCLUSIONS

Results from the Age trial do not suggest that the new national policy of inviting women for breast screening from age 47 by 2012 will result in a large increase in false-positive results. Experiencing a false-positive screen did not appear to prevent women from re-attending, but the negative psychological impact should not be discounted. False-positive recalls are a disadvantage of breast cancer screening and their likelihood and implications should be fully communicated to the target population, so that women can make an informed choice about whether or not to attend screening. Whether screening should be implemented in this age group is a separate issue, but the question of greatly increased false-positive rates in this age group and of their compromising re-attendance is refuted by the findings of this study and should be taken into account when determining screening policy.

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Number of false-positive screens per woman (screening rounds 1 to 10)

Number of false-positives	All intervention	on arm	Ever att ( 1 atten	enders dance)	Regular att (7 attend	tenders lances)
	Number of women	%	Number of women	%	Number of women	%
	53 884	100.0	43 709	100.0	23 245	100.0
At least 1	7893	14.6	7893	18.1	5272	22.7
0	45 991	85.4	35 816	81.9	17 973	77.3
1	6779	12.6	6779	15.5	4417	19.0
2	963	1.8	963	2.2	723	3.1
3	137	0.3	137	0.3	121	0.5
4	11	$<\!0.1$	11	$<\!0.1$	8	$<\!0.1$
5	3	<0.1	ю	<0.1	3	<0.1

Cytology and biopsy procedures in women with false-positive results

Routine screen	Number of screens	False-positiv	/e screens	Cyto	logy	Bi	ńsdo	Cytolog	y and biopsy
		Z	%	Z	%	Z	%	Z	%
First	43 709	2134	4.9	237	0.5	86	0.2	32	0.07
Subsequent	216 930	7041	3.2	346	0.2	214	0.1	52	0.02
Total	260 639	9175	3.5	583	0.2	300	0.1	84	0.03

\* Includes 21 screens (14 first, 7 subsequent) where mammography was positive, but the women failed to attend for further procedures at the trial screening unit. None of these 21 women were diagnosed with breast cancer in the following five years.

Impact of false-positive result on uptake of next routine invitation

	Number invited	Uptake %
Invitation following a false-positive screen	7852	88.8
Invitation following a true-negative screen		
No history of false-positive screen	194 806	88.5
History of false-positive screen	18 977	91.5
Total	213 791	88.8

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Cumulative false-positive status and socio-economic status<sup>\*</sup> among regular attenders

					Ē	ownsend	quintile	0						
False-positive status	1 (least dej	prived)	(4	6		e		4	(most del	5 prived)	-	/k		Total
	Z	%	Z	%	Z	%	Z	%	Z	%	z	%	N	%
Yes (1 or more)	1595	23.2	1207	23.1	926	21.3	817	22.9	507	21.9	220	23.6	5272	22.7
No	5281	76.8	4010	76.9	3419	78.7	2743	77.1	1806	78.1	714	76.4	17 973	77.3
Total	6876	100.0	5217	100.0	4345	100.0	3560	100.0	2313	100.0	934	100.0	23 245	100.0
*														

Townsend deprivation score 1991, based on earliest postcode of residence during the trial