Genetic switching in the flagellar gene hierarchy of *Caulobacter* requires negative as well as positive regulation of transcription

(Caulobacter crescentus/hook gene cluster/negative autoregulation/lac fusion vectors)

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Communicated by J. T. Bonner, May 12, 1989 (received for review March 1, 1989)

ABSTRACT Caulobacter crescentus flagellar (fla, flb, or flg) genes are periodically expressed in the cell cycle and they are organized in a regulatory hierarchy. We have analyzed the genetic interactions required for *fla* gene expression by determining the effect of mutations in 30 known fla genes on transcription from four operons in the hook gene cluster. These results show that the *flaO* (transcription unit III) and *flbF* (transcription unit IV) operons are located at or near the top of the hierarchy. They also reveal an extensive network of negative transcriptional controls that are superimposed on the positive regulatory cascade described previously. The strong negative autoregulation observed for the *flaN* (transcription unit I), flbG (transcription unit II), and flaO (transcription unit III) promoters provides one possible mechanism for turning off fla gene expression at the end of the respective synthetic periods. We suggest that these positive and negative transcriptional interactions are components of genetic switches that determine the sequence in which *fla* genes are turned on and off in the C. crescentus cell cycle.

Cell differentiation in *Caulobacter crescentus* results from the repeated asymmetric division of a stalked cell to produce the parent stalked cell plus a new, motile swarmer cell. The flagellum is the most prominent and best-studied of several polar structures that characterize the newly differentiated swarmer cell. Understanding the biosynthesis of this complex organelle in *C. crescentus* is a challenging problem in morphogenesis and gene regulation, requiring the products of at least 30 flagellar (*fla*, *flb*, or *flg*) genes and spatial information for targeting the subunits to one of the cell poles. In addition, there is a temporal component of regulation, since flagellum biosynthesis, like other developmental events in *C. crescentus*, is stage specific in the cell cycle (see refs. 1 and 2 for reviews).

The C. crescentus genes encoding flagellar subunits that have been examined are periodically expressed in the cell cycle, generally at times of gene product assembly. Thus, the synthesis of the 70-kDa hook protein precedes that of the 27and 25-kDa flagellins (3-5) and the 29-, 27-, and 25-kDa flagellin gene transcripts appear in the same order that the protein products are assembled into the flagellar filament (6); the *flaD* transcript, which may encode one of the basal body ring subunits, appears earlier in the cell cycle (7).

A major question in C. crescentus development is how the complex temporal pattern of periodic fla gene expression is programmed in the cell cycle and coordinated with flagellum morphogenesis. A number of results indicate that the fla genes are organized in a regulatory hierarchy similar to that described in *Escherichia coli* (8) and that the expression of these genes in C. crescentus is controlled by a cascade of positive transcriptional activators (1, 9–12). Recent studies

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have shown that flbG (hook operon; see refs. 5 and 13) and flaN (14), two transcription units in the hook gene cluster, are also subject to negative regulation. To assess the extent of negative and positive controls in regulating the *fla* genes we have undertaken a systematic analysis of the effect of mutations in all identified *fla* genes on transcription of the four operons in the hook gene cluster (see Fig. 1A). Our results suggest that the positive and negative transcription controls described here may serve two related regulatory functions, one of coordinating the level of *fla* gene expression with flagellum biosynthesis, and the other of acting as genetic switches that determine the sequence in which *fla* genes are turned on and off in the cell cycle.

MATERIALS AND METHODS

Bacterial Strains and Growth Conditions. C. crescentus strain CB15 (ATCC 19089) and the *fla* mutants used in these studies are listed in Table 1 and in the legend to Fig. 1. Cells were grown at 30° C on peptone/yeast extract medium (3).

Nuclease S1 Assays. RNA isolation and nuclease S1 assays were performed as described (9). Hybridizations were at 55°C with the 285-base-pair (bp) BamHI/HindIII flaO probe and at 62°C with the 950-bp Pvu II/Sal I flbF probe. Protected fragments were analyzed by electrophoresis on 5% and 4% polyacrylamide/8 M urea gels for flaOp and flbFp, respectively.

lacZ Fusion Vectors. The promoter fusion vectors were constructed by inserting the promoterless *lacZ* gene from pKM005 (15) into plasmid pRK2L4, a derivative of broad host range plasmid pRK290 (16). The two resulting fusion vectors contain a unique *Pst* I cloning site (pANZ3) and unique *Kpn* I and *Xba* I cloning sites (pANZ5). Details of the construction are discussed elsewhere (N.O., L.-S. Chen, D.M., and A.N., unpublished data).

Construction of fla Promoter-lac Fusions. flaNp-, flbGplac fusions. The fragment containing the divergent flbG and flaN promoters (14) for transcription units I and II, respectively, was obtained from an M13 phage clone that had been generated by BAL-31 digestion of the 3.7-kilobase (kb) BamHI(a) BamHI(b) fragment from the 3' end (D.M. and A.N., unpublished data). The deletion end point is 1780 bp from the BamHI(a) site and bounded by the Pst I site in the polylinker of the phage vector. Pst I digestion yielded a 605-bp fragment (Fig. 1A), which was cloned in both orientations in pANZ3 to generate pANZ404 (flaNp-lac) and pANZ405 (flbGp-lac).

flaOp-lac fusion. The 285-bp BamHI/HindIII fragment containing the flaO (transcription unit III) promoter (Fig. 1A) was first cloned into pUC18 at the BamHI and HindIII sites to yield pNJ8 (17). pNJ8 was digested with HindIII, the ends

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were filled in with Klenow, Xba I linkers ligated, and then digested at the Kpn I site, which was present in the pUC18 DNA, to release the *flaO* promoter-containing fragment. This fragment was ligated into pANZ5 to generate fusion plasmid pANZ406.

flbFp-lac *fusion*. pGIR113 is a pUC18 derivative carrying a 1050-bp Sac II/Sal I fragment of transcription unit IV (Fig. 1A) with the *flbF* promoter between Kpn I and Xba I sites (G.R. and A.N., unpublished data). The Kpn I/Xba I fragment was ligated in to pANZ5 to yield the *flbFp-lacZ* fusion pANZ118.

In the flbGp-lacZ and flaOp-lacZ fusions described above, the promoter fragments were joined to lacZ before the translational start sites of respective genes. In the case of the *flaNp* fusion, the two possible reading frames that originate within the fragment (D.M. and A.N., unpublished data) are in-frame with translation stop codons in the vector sequence. Sequence information is not complete for the *flbFp-lacZ* fusion. We have assumed that the plasmid copy number in the strains examined is constant since the strains are generally isogenic except for the *fla* mutation.

RESULTS

Regulation of flaO and flbF Messenger RNA. Eight of the fla genes identified in C. crescentus are scattered on the chromosome, while the remaining 23 or so genes map to the hook gene cluster, the *flaEY* cluster, or the basal body gene cluster (see refs. 1 and 18). The hook gene cluster is organized into the flaN, flbG, flaO, and flbF operons (transcription units I-IV) and transcription unit II.1, whose function is unknown (Fig. 1A; refs. 12 and 13; N.O., unpublished data). We have previously mapped the transcription start sites, determined the nucleotide sequences of the promoters (refs. 13 and 14; G.R. and A.N., unpublished data), and shown that these transcription units are part of a trans-acting regulatory cascade in which flaN and flbG are under positive control by flaO and flbF (13, 14). The flaN and flbG operons were also shown to be subject to negative regulation by the hook protein structural gene flaK (13, 14). To identify genes that

regulate flaO and flbF expression, we determined the effect of all identified fla mutations on the levels of flaO and flbFmessenger RNA using a nuclease S1 assay with the DNA probes shown in Fig. 1A.

flaO expression. The level of flaO expression, as measured by the partially protected 80-base fragment (Fig. 1A), was at least 20-fold higher in strains with Tn5 insertions in flaO and flbD (Fig. 1B, lanes d-i) than in wild-type strain CB15 (lane c). This result implies that flaO is subject to strong negative autoregulation. flaO is also under negative regulation by genes in the flbF transcription unit: Tn5 insertions in flbF (lanes k and l) or a point mutation in flaW (lane m) resulted in flaO messenger RNA levels \approx 5-fold higher than that in strain CB15.

The regulatory effect of genes outside the hook cluster, including the basal body genes flbN and flbO (19), was also examined. A Tn5 insertion in flbN had no effect on flaO expression (Fig. 1B, lane n), while mutations in flbO and the unlinked flaS locus resulted in a 5-fold increased level of flaO RNA (lanes o and p). These results showed that, in addition to negative autoregulation, flaO expression is also negatively modulated by flaW, flbO, and flaS.

flbF expression. flbF messenger RNA is present at very low levels in strain CB15, as visualized by the protection of the 540-base fragment (Fig. 1C, lane c). The level of messenger was not affected by any of the Tn5 insertions (lanes d-i) or the point mutation (lane j) in the flaO operon or by mutations in the flaW gene of the flbF operon (lane k). None of the fla mutations, including those in the basal body cluster genes and in flaS, affected the level of flbF RNA (lanes l-n). The regulatory interactions controlling the flaO and flbF promoters were explored more fully by using transcription fusions, as described below.

Transcription Fusions to *lacZ*. To furnish a direct measure of promoter activity *lacZ*, fusion vectors pANZ3 and pANZ5 were constructed. The promoters and controlling elements of *flaN*, *flbG*, *flaO*, and *flbF* were inserted into these vectors to generate plasmids pANZ404, pANZ405, pANZ406, and pANZ118, respectively, and transferred to different *fla* gene mutants of *C. crescentus* by conjugation and selection for the

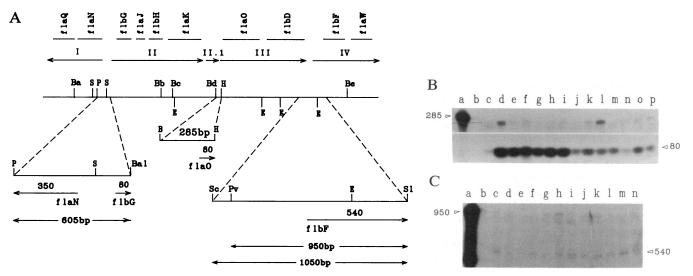


FIG. 1. Restriction map of the hook gene cluster and expression of *flaO* and *flbF* determined by nuclease S1 assay. (A) Gene designations are in the top line and arrows below transcription units I–IV indicate the direction of transcription (refs. 12–14; G.R. and A.N., unpublished data). DNA fragments indicated below the map were used as probes in nuclease S1 assays and in the construction of the transcription fusions to *lacZ*. B, *Bam*HI; E, *Eco*RI; H, *Hind*III; P, *Pst* I; Pv, *Pvu* II; S, *Sst* I; Sc, *Sac* II; S1, *Sal* I. Bal represents BAL-31 deletion end point. (*B* and C) Nuclease S1 was present in all reactions except in lanes a. One hundred micrograms of tRNA or 100 µg of RNA from the strain indicated was present in the reactions. (*B) flaO* (transcription unit III) 285-bp probe. Lanes: a and b, tRNA; c, CB15; d, PC5511 (*flaO172::Tn5*); e, PC5520 (*flaO169::Tn5*); f, PC5504 (*flaO607::Tn5*); g, PC5509 (*flaO188::Tn5*); h, PC5510 (*flbD198::Tn5*); i, PC5512 (*flbD609::Tn5*); j, SC290 (*flaO188::Tn5*); m, SC259 (*flaV120*); n, SC1117 (*flbN174)::Tn5*); o, SC1131 (*flbO196::Tn5*); p, SC508 (*flaS13)*. (*C) flbF* (transcription unit IV) 950-bp probe. Lanes: a and b, tRNA; c, CB15; d, PC5511; e, PC5520; f, PC5504; g, PC5509; h, PC5510; i, PC5512; j, SC290; k, SC259; l, SC1117; m, SC1131; n, SC508.

tetracycline-resistance marker of the vector (ref. 12; Table 1). The four fusion plasmids conferred characteristic levels of β -galactosidase activity that were elevated compared to basal levels either in strain CB15 or in strain CB15 carrying the parental vectors (Table 1). The utility of the fusion vectors in assessing promoter regulation was confirmed by showing that *lacZ* expression driven by the *flbG* promoter in plasmid vector pANZ405 was periodic in the cell cycle and identical (data not shown) to that determined previously by direct radioimmunoassay of hook protein (5) and by nuclease S1 assay of *flbG* messenger RNA (9, 13).

Effect of fla Mutations on Expression of β -Galactosidase from fla-lacZ Fusions. The regulation of promoter activity in the four flap-lacZ fusions was quantified by assaying β -galactosidase activity in strains with mutations in all identified fla genes. In the first set of experiments, the effect of point and

Tn5 insertion mutations in the hook cluster was examined (Table 1). Both the *flaN* and *flbG* promoters were up-regulated in strains with mutations in the *flaN* operon (transcription unit I) or the *flbG* operon (transcription unit II), which confirmed the conclusion that the two promoters are negatively autoregulated (13, 14) and showed for the first time that the regulation is at the transcriptional level. In addition, these results confirmed that genes in the *flaO* and *flbF* operons are required for transcription from the *flbG* and *flaN* promoters, as suggested previously by assays of messenger RNA (9, 14).

The use of the *lacZ* fusions also demonstrated that the autoregulation of *flaO* (transcription unit III) and the negative regulation of this operon by genes in the *flbF* operon (transcription unit IV) are transcriptionally controlled. The levels of β -galactosidase activity expressed from the *flaO* promoter were up-regulated 5- to 6-fold in mutants with Tn5 insertions

Table 1. β -Galactosidase activity of *fla-lacZ* fusions in different *fla* mutants

Strain	Ref.	Mutation	Map position	pANZ404 (flaNp–lacZ)	pANZ405 (flbGp–lacZ)	pANZ406 (flaOp–lacZ)	pANZ118 (flbFp-lacZ)
PC8207	*	flaN128	I	210	220	90	100
PC5001	12	<i>flaN311</i> ::Tn5	I	100	120	90	105
SC235	18	flaQ106	I	230	250	80	100
SC307	12	flaQ150	I	300	330	85	80
PC5502	12	<i>flaQ17</i> 6::Tn5	I	240	260	80	100
PC5501	12	<i>flaQ16</i> 6::Tn5	I	105	110	100	100
PC5506	12	<i>flbG602</i> ::Tn5	II	260	330	80	100
PCM103	5	flaJ303	II	240	230	75	100
PC5005	12	<i>flaJ315</i> ::Tn5	II	270	360	90	120
PC5503	14	<i>flbH612</i> ::Tn5	II	285	330	80	120
SC511	12	flaK155::IS511	II	320	400	80	110
SC290	12	flaO138	III	25	0	210	120
PC5511	12	<i>flaO172</i> ::Tn5	III	20	0	670	120
SC1114	12	<i>flaO</i> 607::Tn5	III	20	0	560	130
SC1028	12	flaO188::Tn5	III	20	0	660	130
PC5510	12	flbD198::Tn5	III	20	0	570	125
SC1166	12	<i>flbD609</i> ::Tn5	III	10	Ō	445	110
PC5515	12	<i>flbF177</i> ::Tn5	IV	20	Õ	220	110
PC5516	12	<i>flbF608</i> ::Tn5	IV	20	Ő	220	110
PC8205	†	flaW120	IV	20	0	190	110
SC1117	7	<i>flbN174</i> ::Tn5	Basal	220	260	100	120
SC252	20	flaD115	body	230	230	80	120
SC516	20	flaB160	cluster	260	270	70	100
SC243	20	flaC110		250	290	80	120
SC1131	7	<i>flbO19</i> 6::Tn5		20	0	200	120
SC1121	21	<i>flaY183</i> ::Tn5	flaEY	75	90	90	120
SC1062	21	<i>flaE174</i> ::Tn5	cluster	75	80	80	110
SC279	20	flaF132		70	70	70	120
SC1065	22	<i>flbA604</i> ::Tn5		75	80	80	110
SC278	20	flaG131		80	70	75	120
SC508	20	flaS153	‡	20	0	190	110
SC270	20	flaI126	‡	120	110	130	120
SC284	20	flaH135	‡	95	100	120	110
SC293	20	flaV140	‡	120	105	160	120
SC229	20	flaA104	‡	100	80	85	100
SC305	20	flaR148	‡	100	105	80	110
SC175	20	flaZ102	‡	80	80	70	110
SC295	20	flaP141	‡	230	260	70	120
PC5236	§	pleA306::Tn5	‡	250	270	80	110

Activities were normalized to 100 in wild-type strain CB15 where the activities in Miller units (23) were pAN2404, 152; pAN2405, 295; pAN2406, 166; and pAN2118, 69. I-IV refer to transcription units in hook cluster (see Fig. 1A). *SC272 (12) phage × CB15.

[†]SC259 (12) phage \times CB15.

[‡]Genes in this group are scattered on the chromosome.

§J. M. Sommer and A.N., unpublished data.

in *flaO* or *flbD*, which compares to the \approx 20-fold increase found when the messenger RNA levels were measured in the same strains (Fig. 1B). We have consistently observed that the deregulated levels of expression from genes subject to negative control were higher when estimated by nuclease S1 assay.

fla genes that map outside of the hook gene cluster can be classified into one of four groups based on their effects on flaN, flbG, flaO, and flbF expression (Table 1 and see Fig. 2 below): Group a, flaV is unique in that its only observed effect is the negative regulation of the flaO promoter. Group b, flbO (a basal body gene) and flaS act positively to regulate the flbG and flaN promoters and negatively to regulate the flaO promoter. These regulatory effects are identical to those described above (Table 1) for mutations in *flbF* and *flaW*. Thus, we have included *flbF* and *flaW* in this group along with flbO and flaS. Group c, flaP, pleA (a pleiotropic gene required for hook protein and flagellin synthesis), and all but one of the basal body cluster genes (flbN, flaD, flaB, flaC) act negatively to regulate the *flaN* and *flbG* operons. Group d, the largest group of genes, has no significant effect on expression of the four promoter fusions. These genes, which could lie at the same level or at a level below the hook cluster genes in the regulatory hierarchy, include all genes in the flaEY cluster (flaE, flaY, flaF, flbA, flaG), as well as flagellin genes flgK, flgL (data not shown), and five other fla genes (flaI, flaH, flaA, flaR, flaZ), which are scattered on the chromosome (cf. Table 1).

An important conclusion from these results is that none of the *fla* genes was required for expression of *flaO* or *flbF*, which places these transcription units at or near the top of the regulatory hierarchy. It is also interesting that *flbF*, unlike *flaO*, was not subject to negative regulation by any of the *fla* genes examined.

DISCUSSION

The developmental program controlling the periodic expression of *fla* genes in *C. crescentus* must at a minimum control the times at which the genes are turned on at the beginning of a synthetic period and turned off at the end of the synthetic period. An important element in programming the expression of these genes is their organization in a regulatory hierarchy (1, 9-11), and the results presented in this study further define the positive transcriptional controls that are required for *fla* gene activation. They also identify an extensive network of negative transcriptional controls, and we argue that some of these regulatory interactions may be responsible for turning off *fla* gene expression, a role demonstrated previously for negative autoregulation in the hook (*flbG*) operon (5).

The results described above (Fig. 1 B and C; Table 1) and previously have been used to place the *fla* genes at one of three levels (level 2, 3, or 4) in a regulatory hierarchy (Fig. 2). flaO and flbF were placed near the top of the hierarchy at level 2 because we were unable to identify any fla genes required for their expression; flbO and flaS, which display the same regulatory effects as *flbF* (refs. 11 and 19; Table 1), were grouped with *flbF* at the same level of the hierarchy. We have placed the flaN (transcription unit I) and flbG (transcription unit II) operons at the next lower level (level 3) because flaO, flbF, flbO, and flaS are required in trans for their expression (refs. 13 and 14; Table 1). The position of flgK and flgL at the bottom of the hierarchy is based on the requirement of flaN, flbG (14), and a number of other flagenes (24) for the expression of these flagellin genes. We speculate that an as yet unidentified master regulatory gene(s) located at level 1 initiates the regulatory cascade in C. crescentus by turning on the expression of flaO and flbF (Fig. 2).

The mechanism controlling the sequential activation of the fla gene may be the periodic expression of transcription activators and the use of alternative sigma factors that are specific for genes at different levels of the hierarchy. Genes at the bottom of the hierarchy (flaN, flbG, flgK, flgL; refs. 14 and 17) contain nucleotide sequences homologous to Nif/Ntr promoters (25), and mutagenesis studies have shown that these sequences are required for transcription of flaN and flbG (26). Consistent with the role of promoter specificity

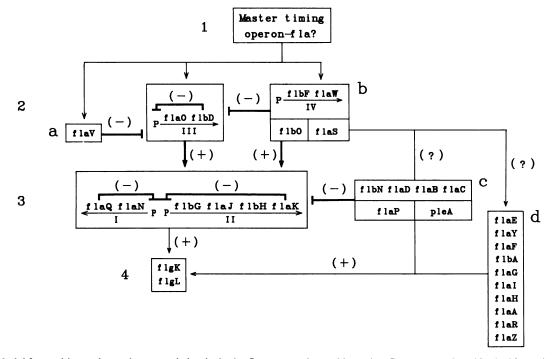


FIG. 2. Model for positive and negative control circuits in the *fla* gene regulatory hierarchy. Genes were placed in the hierarchy as described in the text. Arrows indicate positive regulation and bars on the lines indicate negative regulation of gene expression (see text). Transcriptional regulation of genes defined in this study is indicated by the heavy lines. The dependence of genes in groups c and d on genes in group b is based on the observation that *flaS* is required for the expression of basal body genes *flaC*, *flaB*, *flaD*, *flbN*, as well as *flaY* and *flaE* (L. Shapiro, personal communication).

in regulating *fla* gene expression is the observation that the *flaN* and *flbG* promoters, but not the *flaO* and *flbF* promoters, are recognized *in vitro* by the *E. coli* sigma-54 RNA polymerase (17). In addition, we have identified a conserved *ftr* (flagellar gene transcription regulation) sequence ≈ 100 bp upstream from the transcription starts of *flaN*, *flbG*, *flgK*, and *flgL* (14, 26), which may act as a transcriptional enhancer at levels 3 and 4 of the hierarchy.

Our results also have shown that the positive regulatory cascade in C. crescentus is overlaid by an extensive network of negative transcriptional controls, including the negative autoregulation of flbG, flaN, and flaO (heavy lines in Fig. 2). Although it was known from earlier work that both flbG and flaN are negatively controlled by genes in the flbG operon (13, 14), the present results show that these two promoters are under negative transcriptional control by genes in the flaN operon as well (Fig. 2). The negative autoregulation of the flaO operon (Table 1) has not been reported previously.

What is the function of negative autoregulation? Genes in the *flbG* operon are required both for the positive control of flagellin genes flgK and flgL lower in the hierarchy and for the negative regulation of the *flbG* promoter (Fig. 2; ref. 14). These two regulatory functions provide the basic components of a genetic switch" responsible for the transition from expression of *flbG* to the expression of 25- and 27-kDa flagellin genes (level $3 \rightarrow$ level 4). Support for this conclusion is provided by the previous observations (5) that in a *flaJ* polyhook mutant the periods of hook protein synthesis and assembly are extended and the 25- and 27-kDa flagellins are not made. Thus, the mutant apparently lacks regulatory signals needed to turn off expression of flbG and to turn on expression of the two flagellin genes (5). One candidate for the gene product mediating these regulatory effects is the 70-kDa hook protein, whose accumulation after completion of hook assembly in wild-type cells could act to inhibit flaN/flbG expression and to activate the flagellin genes. The role of negative regulation in C. crescentus fla gene expression is also discussed by Xu et al. in the accompanying paper (27).

An analogous genetic switch may control the transition from flaO to flbG and flaN expression (level $2 \rightarrow$ level 3) that occurs earlier in the cell cycle. flaO (level 2) is periodically expressed before flbG and flaN (level 3) (N.O., L.-S. Chen, D.M., and A.N., unpublished data), and we show here that genes transcribed from the flaO promoter negatively autoregulate flaO and activate the flbG and flaN promoters (Table 1; ref. 13). Thus, the periodic expression of flaO could be turned off by products of the flaO and flbD genes. If this proves to be the case, it would suggest a general function of negative autoregulatory circuits in turning off fla gene expression in the cell cycle once the gene's function in flagellum assembly has been completed.

In addition to negative autoregulation, 10 genes were identified that exert negative transcriptional control over genes in other transcription units. These genes, which could serve to coordinate levels of *fla* gene expression during flagellum assembly, were placed in groups a, b, and c based on their patterns of regulation (Fig. 2). Shapiro and her colleagues have observed some of the same regulatory interactions, and in addition they report that *flbG* is negatively regulated by the basal body genes *flaD* and *flbN* (27). In *E. coli* it has been suggested that the *flaU* gene product acts as

a negative regulator to coordinate *fla* gene expression and flagellum assembly (28).

In summary, our results demonstrate that the program of *fla* gene expression in *C. crescentus* is regulated by a complex network of positive and negative transcriptional controls. They also suggest that some of these regulatory interactions are components of genetic switches that control the sequential activation and deactivation of *fla* gene promoters at successive levels of the hierarchy.

We are grateful to Bert Ely for supplying many of the C. crescentus strains used in these studies. This work was supported by Public Health Service Grant GM22299 from the National Institutes of Health and Grant MV-386 from the American Cancer Society.

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