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*Yersinia pestis***, the causative agent of plague, is one of the most virulent microorganisms known. The outer membrane protein X (OmpX) in** *Y. pestis* **KIM is required for efficient bacterial adherence to and internalization by cultured HEp-2 cells and confers resistance to human serum. Here, we tested the contribution of OmpX to disease progression in the fully virulent** *Y. pestis* **CO92 strain by engineering a deletion mutant and comparing its ability in mediating pneumonic plague to that of the wild type in two animal models. The deletion of OmpX delayed the time to death up to 48 h in a mouse model and completely attenuated virulence in a rat** model of disease. All rats challenged with 1×10^8 CFU of the *ompX* mutant survived, compared to the 50% **lethal dose (LD₅₀) of 1.2** \times **10³ CFU for the wild-type strain. Because murine serum is not bactericidal for the** *ompX* **mutant, the mechanism underlying the delay in time to death in mice was attributed to loss of adhesion/internalization properties but not serum resistance. The rat model, which is most similar to humans, highlighted the critical role of serum resistance in disease. To resolve conflicting evidence for the role of** *Y. pestis* **lipopolysaccharide (LPS) and OmpX in serum resistance,** *ompX* **was cloned into** *Escherichia coli* **D21 and three isogenic derivatives engineered to have progressively truncated LPS core saccharides. OmpX-mediated serum resistance, adhesiveness, and invasiveness, although dependent on LPS core length, displayed these functions in** *E. coli***, independently of other** *Yersinia* **proteins and/or LPS. Also, autoaggregation was required for efficient OmpX-mediated adhesiveness and internalization but not serum resistance.**

Yersinia pestis, one of the most virulent microorganisms known, has caused three major pandemics of plague. This pathogen is transmitted by the bite of an infected flea (bubonic plague) or by inhalation of airborne bacteria (pneumonic plague) (31). *Y. pestis* carries a number of pathogenesis genes on the chromosome, but the pCD1 plasmid is essential for virulence. pCD1 encodes a type III secretion system and effector proteins with several functions, including circumventing host innate immunity (8). One mechanism by which *Y. pestis* evades the immune system involves entry into epithelial cells (9, 32). Although it is not completely understood, *Y. pestis* adherence and internalization into epithelial cells involve the Psa fimbria (32), the *yadBC* operon (17), *yapE* (30), *yapC* (15), and the outer membrane protein X (OmpX) (26).

OmpX was first described in *Enterobacter cloacae* (54, 55), but homologues, including PagC, Lom, Rck, and Ail (the attachment-invasion locus protein of *Yersinia enterocolitica*), have been identified in other Gram-negative bacteria (13, 20– 22, 35). *Y. pestis* KIM OmpX, encoded by the *y1324* gene, expressed at 28° and 37°C, is required for efficient bacterial adherence to and internalization by cultured HEp-2 cells and confers resistance to the bactericidal effect of human serum (4, 26). *In vitro*, deletion of OmpX reduces the autoaggregation phenotype, and pellicle formation is lost (26). OmpX is required for efficient delivery of *Yersinia* outer proteins (Yops) into human epithelial and monocyte cell lines (14). Additionally, OmpX is one of the most abundant proteins found in the *Y. pestis* outer membrane (OM) (37), and as such, is likely an important part of that structure.

The OM of Gram-negative bacteria is comprised of an asymmetric lipid bilayer containing lipopolysaccharide (LPS)-phospholipid embedded with numerous proteins. LPS consists of three domains: lipid A (acylated glucosamine residues), core (hetero-oligosaccharide), and an O antigen (a strain-specific, highly variable polysaccharide) (48). The amphiphilic character of LPS is important for the transfer of Omps and their positioning in the bilayer (11). Close LPS-protein interactions are required for protein support, proper folding, and biological activity (16, 27). Additionally, the proper arrangement of certain Omps in the bacterial cell wall is required for bacterial pathogenesis (27).

LPS of *Y. pestis*, in comparison to those of other *Yersinia* species, does not contain O antigen and has characteristic tetra-acylated lipid A at the mammalian host temperature (42, 43, 47). This modified form of lipid A results in poor induction of the host Toll-like receptor 4 (TLR4)-mediated innate immune response (36). In addition, a lack of O antigen and full

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Strain or plasmid	Genotype and/or relevant characteristics	Reference or source
Y. pestis strains		
CO92	$pgm^+pYV^+pMT1^+pPCP1^+$	Centers for Disease Control, Fort Collins, CO
CO92 $ompX^+/ompX$::aph	pgm^+ pYV ⁺ pMT1 ⁺ pPCP1 ⁺ $ompX^+$ with integrated pMHZ3; merodiploid for $ompX$	This study
CO92 Δ ompX::aph	pgm^{+} pYV ⁺ pMT1 ⁺ pPCP1 ⁺ $\Delta ompX::aph$	This study
$KIM6^+$	pgm^+ pYV ⁻ pMT1 ⁺ pPCP1 ⁺	26
KIM6 ⁺ Δ <i>ompX</i> ::npt	pgm^{+} pYV ⁻ pMT1 ⁺ pPCP1 ⁺ $\Delta ompX$::npt	26
E. coli K-12 strains		
$DH5\alpha$	F^- endA1 glnV44 thi-1 recA1 relA1 gyrA96 deoR nupG ϕ 80dlacZ Δ M15 Δ (lacZYA-argF)U169 hsdR17(r_K^- m _K ⁺)	Invitrogen
TOP10	F ⁻ mcrA Δ(mrr-hsdRMS-mcrBC) φ80lacZΔM15 ΔlacX74 nupG recA1 araD139 Δ (ara-leu)7697 galE15 galK16 rpsL(Str ^r) endA1	Invitrogen
D21	F^- proA23 lac-28 tsx-81 trp-30 his-51 rpsL173(Str ^r) ampCp-1	H. G. Boman, Coli Genetic Stock Center, Yale University
D _{21e7}	F^- proA23 lac-28 tsx-81 trp-30 his-51 rpsL173(Str ^r) rfa-1 ampCp-1	H. G. Boman, Coli Genetic Stock Center, Yale University
D21f1	F^- proA23 lac-28 tsx-81 trp-30 his-51 rpsL173(Str ^r) rfa-21 rfa-1 $ampCp-1$	H. G. Boman, Coli Genetic Stock Center, Yale University
D _{21f2}	F^- proA23 lac-28 tsx-81 trp-30 his-51 rpsL173(Str ^r) rfa-31 rfa-1 $ampCp-1$	H. G. Boman, Coli Genetic Stock Center, Yale University
$CC118$ λ <i>pir</i>	r^- m ⁺ λ <i>pir</i> ⁺ ; cloning strain	12
SM ₁₀ λ <i>pir</i>	thi-1 thr leu tonA lacY supE recA::RP4-2-Tc::Mu $Kmr \n\lambda pir+$; conjugation strain	51
Plasmids		
pPCR2.1-TOPO	$Ampr$ Km ^r	Invitrogen
pOmpX	$pPCR2.1$ -TOPO carrying <i>ompX</i> gene with its native promoter from <i>Y. pestis</i>	This study
pTA	$ompX^-$ control plasmid derived from pOmpX by deletion of the fragment between EcoRI restriction enzyme-cut sites	This study
pMHZ1	mob^+, pir -dependent oriR6K, sacBR Cm ^r containing y1324 ompX gene from Y. pestis	26
pMHZ3	pMHZ1 containing ∆ompX::aph	This study
pGP704	mob ⁺ , pir-dependent oriR6K, Amp ^r	J. J. Mekalanos, PlasmID, Harvard Medical School
pRL250	$sacBR$ ⁺	52
pGP704.L	pGP704 containing sacBR cloned from pRL250	This study
pGP704.O	pGP704.L containing Δ ompX::aph; allelic exchange plasmid	This study

TABLE 1. Strains and plasmids used in the study

LPS core length are essential for Pla protease activity (27, 56), which is responsible for dissemination of bacteria in the host (29, 50). Another component of *Y. pestis* LPS, the core fragment, was hypothesized to be responsible for bacterial serum resistance, an essential phenotype of pathogen survival in the bloodstream. It has been shown that *Y. pestis* strains that have a shortened LPS core, through directed mutagenesis or as a result of spontaneous mutations, are much more sensitive to human serum $(3, 24, 25)$. However, this effect may be indirect, because LPS is known to be required for the structural integrity of numerous Omps (11, 16, 27, 56).

Here we tested the contribution of OmpX to disease progression with the fully virulent *Y. pestis* CO92 strain by engineering a deletion mutant and comparing its ability in mediating fatal disease to that of the wild type in a mouse model of pneumonic plague. Because murine serum is not bactericidal for *Y. pestis* Δ *ompX*, experiments with mice cannot fully assess the role of serum resistance in plague pathogenesis, so rats, whose serum is bactericidal, were also used. To resolve the conflicting evidence for *Y. pestis* LPS and OmpX contributions to serum resistance, OmpX was cloned into *Escherichia coli* D21 and its three isogenic derivatives engineered to have progressively truncated LPS core saccharides, and OmpX display in the OM, cellular aggregation, attachment to and internalization into host cells, and serum resistance were assessed.

MATERIALS AND METHODS

Media, strains, and plasmids. The strains and plasmids used in the study are listed in Table 1. *E. coli* strains were cultured in Luria-Bertani (LB) low-salt medium (EMD). *Y. pestis* CO92 was cultured under biosafety level 3 (BSL-3) conditions in brain heart infusion (BHI) medium (EMD) in accordance with the requirements and procedures involving the use of select agents and toxins. Antibiotics were used at the following concentrations: ampicillin (Amp) , 50 μ g ml⁻¹; kanamycin (Kn), 50 µg ml⁻¹. Cefsulodin-Irgasan-novobiocin (CIN) agar, also known as *Yersinia* selective agar (BD), was used to select for single-crossover recombinants, and LB agar with 5% sucrose and 50 μ g ml⁻¹ kanamycin and lacking NaCl was used to select for double-crossover recombinants, employing the *sacBR* locus.

HEp-2 cells (ATCC CCL-23) were grown in 6% CO₂ (37°C) in growth medium (GM) (low-glucose Dulbecco's modified Eagle's medium [Gibco] supplemented with 10% [vol/vol] fetal bovine serum [FBS] [HighClone] and 1% [vol/ vol] penicillin-streptomycin solution [Gibco]). For the cell association and internalization assays, internalization medium (IM) (GM lacking FBS and antibiotics) was used.

Generation of a Δ *ompX* mutant of *Y. pestis* CO92. The pRL250 plasmid was cut with SalI and EcoRV, and the \sim 2.6-kb band carrying the *sacBR* locus was gel purified. The DNA fragment was cloned into pGP704, creating the pGP704.L plasmid, which was transformed into *E. coli* CC118 *Apir*. The pMHZ1 plasmid harboring the *ompX* gene was cut with MfeI and NdeI (sites in *ompX*), generating a 426-bp deletion. A gene conferring Kn^r (aminoglycoside 3'-phosphotransferase; *aph*) was amplified by PCR (forward primer, 5'ATGCCAATTGC GCAAAGAGAAAGCAGGTA3'; reverse primer, 5'CGCGCATATGTTTCA ATTCAGAAGAACTCGTC3') using plasmid pCR2.1-TOPO (Invitrogen) as a template and cloned into pMHZ1 between the MfeI and NdeI sites. The resulting construct, pMHZ3 with *ompX* disrupted by Kn^r , was used as a template for PCR with primers complementary to the cloned upstream (45-bp) and downstream (99-bp) regions of the *ompX* gene and engineered SacI sites (forward primer, 5'GCAGGAGCTCTCATGTCAGATATTTG3'; reverse primer, 5'AT ACGAGCTCTAGCCTACCCCTATTAA3'). The generated PCR product (1,351 bp) was cloned into the multiple-cloning site of plasmid pGP704.L, and the resulting construct, pGP704.O, was transformed into *Escherichia coli* SM10 *pir*. A representative clone was mated with *Y. pestis* CO92 as described previously (26) and counterselected on CIN agar. This merodiploid strain (*ompX ompX*::*aph*) was generated by a homologous single-crossover recombination. It was maintained and served as (i) a single-copy *ompX* complementation control and (ii) an isogenic precursor for selecting the *ompX*::*aph* disruption. The latter was isolated on LB agar containing sucrose to select for a second crossover event while maintaining selection for the *ompX*::*aph* disruption. Sucrose-resistant, Amp-sensitive colonies were tested by PCR (26) for the *ompX*::*aph* disruption.

Infection studies. Animal exposures with *Y. pestis* CO92 were performed under BSL-3 conditions in accordance with the requirements and procedures involving the use of select agents and toxins. The use of the animals was approved by the University of Idaho Biosafety Committee, and the animals were handled in accordance with the University of Idaho's Animal Care and Use Committee guidelines. Infection studies were performed as previously described (1). Briefly, *Y. pestis* CO92 strains were grown overnight at 28°C, washed, resuspended in 25% glycerol, and frozen at -80° C. The bacterial numbers in the stock cultures were verified by plate count on LB agar medium, and the pigmentation phenotype of the *ompX* mutant was confirmed from the original frozen stocks used in the animal challenge experiments by visualizing red colonies on Congo red agar. On the day of the experiment, the cultures were thawed, aliquoted, and diluted in phosphate-buffered saline (PBS) to the desired concentration. Eight- to 10 week-old female BALB/c mice or 6- to 8-week-old Sprague-Dawley rats (Simonsen Labs) were challenged intranasally (10 μ l total [5 μ l/naris] for mice and 50 μ l total [25 μ l/naris] for rats). Challenges of 1 50% lethal dose (LD₅₀), 10 LD₅₀, and 100 LD₅₀ established previously for the wild type (1 LD₅₀ = 2×10^4 CFU [1]) were used for mouse infections. Serial 10-fold dilutions ($10²$ to $10⁸$ CFU of the ΔompX mutant and 10² to 10⁴ CFU of the wild type) were used in the rat infections. Bacterial numbers in the challenge inocula were confirmed by plate count on LB agar. The animals were monitored for morbidity (ruffled fur and decreased mobility) and mortality through 10 days postchallenge.

Generation of pOmpX and pTA constructs. The DNA fragment including the *Y. pestis* KIM6⁺ $ompX$ gene ($y1324$) with neighboring upstream and downstream regions was amplified by PCR with the primers indicated above. The resulting 750-bp PCR fragment was cloned into the pPCR2.1-TOPO plasmid, creating the pOmpX plasmid. The pTA plasmid (vector control) was made by deletion of the 766-bp fragment between the EcoRI restriction enzyme cut sites in the pOmpX plasmid and ligation of the backbone. The resulting plasmids were transformed into *E. coli* strains TOP10, D21, D21e7, D21f1, and D21f2. pOmpX-transformed colonies with strong autoaggregation and pellicle formation were selected and used for further studies.

Proteomic analysis of pOmpX and pTA constructs. To verify expression of OmpX from the pOmpX vector, whole-cell lysate proteins were separated by SDS-PAGE through 12.5% acrylamide as previously described (26). Proteins extracted from bacteria grown at 37°C (200 rpm) in LB medium to mid-exponential phase (optical density at 600 nm $[OD_{600}]$ of \sim 0.6 to 0.8) were used for the separation.

Cell association and internalization assays. Cell association and internalization assays were performed as described previously with slight modifications (26). Briefly, *E. coli* cells from mid-exponential phase (as described above) were washed in PBS (0.01 M sodium phosphate, 0.8% NaCl, pH 7.2) and resuspended in IM. Dilutions were made in PBS to determine cell numbers by plate count. HEp-2 cells (1×10^5 per well) in GM were incubated in 24-well plates (6% CO₂, 37°C) for 42 h. The cell monolayers were washed thrice with IM, and approximately 107 CFU *E. coli* was added to each well to produce cocultures with a multiplicity of infection (MOI) of 20 to 50. The plates were centrifuged (5 min, $1,000 \times g$, 18^oC) and incubated for 1 h as described above. Cocultures were either washed nine times to remove unbound bacteria (cell association assay) or washed three times with IM, incubated for another 1.5 h in IM with 500μ g gentamicin per well (Gibco) to kill extracellular bacteria without lysing host cells, and then

washed three times with PBS (internalization assay). Trypsin-like enzyme (Tryp-Le Express; Gibco) was added to each well and incubated for 7 min to detach the HEp-2 cells from the culture plates. Triton X-100 (0.025%) was added to release intracellular bacteria, and bacterial numbers were determined by plate count.

Serum resistance assays. A serum resistance assay was performed as described previously (26). Bacteria grown at 37°C (200 rpm) in LB medium were collected at mid-exponential phase (OD₆₀₀ of \sim 0.6 to 0.8), washed twice in PBS (0.01 M sodium phosphate, 0.8% NaCl, pH 7.2), diluted 100-fold, mixed with an equal volume of normal serum or heat-inactivated serum (HIS) (incubated at 56°C for 30 min to inactivate complement), and incubated at 37°C. Viable bacteria were quantified by plate counts as described above following incubation in serum for 1 h.

OMP purification. Bacteria were grown as described for the serum resistance assay, collected by centrifugation (6,000 \times g at 4°C), and frozen at -80°C. Triton X-100-insoluble outer membrane proteins (OMPs) were extracted as previously described by Biedzka-Sarek et al*.* (6). To confirm that the OmpX protein was in the OM and not in cytosolic inclusion bodies, the cells were centrifuged and sonicated and the pellet was collected (1 h at $45,000 \times g$) as described previously (6). Potential protein aggregates in inclusion bodies were removed by a 1-h wash with 5 M urea in PBS at 4°C as described previously (33), followed by 2 h of centrifugation at $45,000 \times g$ to collect the membrane fractions. Proteins were separated by 12.5% SDS-PAGE as described previously (26).

Field emission scanning electron microscopy (FESEM) imaging. The Hep-2 cells were seeded $(10^5 \text{ cells/chamber})$ in a Lab-Tek four-chamber slide system (Thermo Fisher Scientific) and grown for 48 h. Bacteria were grown, collected, and used for the adhesion assay as described above. Cocultures were incubated for 15 min, washed nine times, and fixed with 2% paraformaldehyde–2.5% glutaraldehyde in 0.1 M cacodylate buffer overnight at 4°C. The next day, samples were rinsed three times (5 min each wash) with 0.1 M cacodylate buffer and postfixed with 2% OsO₄ in 0.1 M cacodylate buffer for 2 h room temperature (RT). After three rinses with water the samples were stained with 1% tannic acid for 1 h at RT, rinsed twice with water, and dehydrated with ethanol and hexamethyldisilizane (HMSDS) as the final dehydration step. Samples were sputter coated with gold and analyzed by Quanta 200F (Field Emission Instruments) operating at 30 kV and at a magnification of 16,000.

Statistical analysis. Animal survival data were analyzed with the log rank test. Point estimates of the LD_{50} and 95% confidence intervals were determined using a logistic regression model with the logit link function. Data from cell association, internalization, and serum resistance assays were analyzed using either analysis of variance (ANOVA) with Tukey's posttests, the Kruskal-Wallis test with Dunn's posttests, or repeated-measures ANOVA. These analyses were conducted with R or SAS software.

RESULTS

Deletion of *ompX* **extends the time to death in** *Y. pestis* **CO92 pneumonic infections.** To assess the biological significance of OmpX in fully virulent *Y. pestis in vivo*, studies in a mouse model of infection were performed. *ompX* was deleted and marked by a kanamycin resistance cassette in *Y. pestis* CO92, and a merodiploid strain was used as a control. Mice were challenged intranasally with 1, 10, and 100 LD_{50} and observed for 10 days. Challenge with the Δ *ompX* strain at both 10 LD₅₀ (Fig. 1A) and 100 LD_{50} (Fig. 1B) resulted in significant extensions of time to death. Pneumonic infection with the wild-type strain resulted in death of the animals on day 3 (90% mortality in animals infected with 10 LD_{50} and 100% in animals infected with 100 LD_{50} , with no fatalities in the group infected with the Δ *ompX* mutant at that time. All mice challenged with the wild type at both doses developed terminal plague by day 4, while the survival among animals challenged with the Δ *ompX* mutant on that day was 90% (10-LD₅₀ group) and 50% (100-LD₅₀ group). One hundred percent mortality of the animals infected with the $\Delta ompX$ mutant was delayed by 24 h (10-LD₅₀ group) or 48 h (100-LD₅₀ group). The merodiploid $ompX^{+}/\Delta ompX$ strain restored full virulence and all challenged mice died by day 4, similar to the case for mice challenged with the wild

FIG. 1. Deletion of *ompX* extends the time to death in *Y. pestis* CO92 pneumonic infections. Groups of BALB/c mice (*n* 8/group) were challenged intranasally with 10 LD₅₀ (A) or 100 LD₅₀ (B), doses established previously for WT *Y. pestis* CO92 (LD₅₀ = 2 × 10⁴ CFU). The animals were monitored for morbidity and mortality through 10 days postchallenge. The graph presents survival of the animals challenged with WT, Δ *ompX*, or *ompX⁺*/ Δ *ompX* strains. A difference in survival times for animals infected with the Δ *ompX* strain was observed (log rank test, *P* < 0.001). Data represent combined survival numbers from two experiments performed on separate days.

type. These data and experiments using a dose of 1 LD_{50} (data not shown) were used to calculate the LD_{50} value for the Δ *ompX* mutant as 2 \times 10⁴ CFU (95% confidence interval, $(9.7 \times 10^3$ to 4.5×10^4 CFU), similar to that of the wild type. However, the pronounced delayed time to death demonstrated that loss of OmpX altered the course of pneumonic plague infection.

Deletion of *ompX* **decreases the virulence of** *Y. pestis* **CO92.** Our previous work and that of others showed that human serum is bactericidal to the $\Delta ompX$ *(ail)* mutant (4, 26). Also, work by Bartra et al. showed that mouse serum is not bactericidal to the $\Delta ompX$ (*ail*) mutant (4), and for this reason the mouse infection model cannot be used to fully assess the role of OmpX and serum resistance in plague pathogenesis. To select a more appropriate animal model that would assess OmpX-conferred complement resistance, rat sera were tested. A resistance assay with *Y. pestis* KIM6⁺ and its isogenic Δ *ompX* derivative was performed, comparing normal rat serum (NRS) and normal mouse serum (NMS) (Fig. 2). One hour of incubation in NRS resulted in death of 2 orders of magnitude (98%) of the Δ *ompX* strain, and incubation for an additional 1.5 h increased bacterial death by another order of magnitude (99.84%). In contrast, this strain survived incubation in mouse serum for both of these durations, as expected. Similarly, the parental strain was not susceptible to complement killing by mouse or rat serum. These serum assays indicated that rat infections with *Y. pestis* CO92 may better reflect the role of OmpX in human disease; thus, a rat model of pneumonic infections was employed. Rats were challenged intranasally with 10^2 to 10^8 CFU of the Δ *ompX* mutant and 10^2 to 10^4 CFU of the wild type and observed for 10 days (Fig. 3). Deletion of *ompX* caused complete attenuation of the *Y. pestis* CO92 strain in the rat pneumonic model of infection. One animal infected with $10⁷$ CFU died on day 8, but no fatalities were recorded with the challenge dose of $10⁸$ CFU. Hence, the LD_{50} of the mutant was higher than 10^8 CFU, while the value estimated for the wild type was 1.2×10^3 CFU (95% confidence interval, 4.2×10^2 to 3.2×10^3 CFU). These results indicate the essential role of serum resistance in pneumonic plague. They also underline the significant contribution of OmpX-conferred serum resistance over bacterial adhesion and invasion in the pathogenesis of *Y. pestis*.

FIG. 2. Rat but not mouse serum is bactericidal to the $\Delta ompX$ strain. *Y. pestis* KIM6⁺ and its isogenic $\Delta ompX$ derivative were grown to mid-exponential phase at 28°C; incubated in 50% normal mice serum (NMS), normal rat serum (NRS), or the respective heat-inactivated sera (HIS) for 0, 1, and 2.5 h at 37°C; and plated on LB agar. Graphs represent surviving bacteria after incubation with NMS and NRS as a percentage of the number of bacteria that survived treatment with HIS (100%). A significant effect of the type of serum for the *ompX* strain was observed (repeated-measures ANOVA, $P < 0.001$). Results are the mean \pm standard errors of the means (SEM) from data derived from two assays performed on separate days $(n = 4)$.

FIG. 3. Deletion of *ompX* attenuates virulence of *Y. pestis* CO92 in rat pneumonic infection. Groups of Sprague-Dawley rats $(n = 3/\text{group}/$ challenge) were challenged intranasally with 10-fold serial dilutions of the WT *Y. pestis* CO92 (10^2 to 10^4 CFU) or the isogenic $\Delta \text{omp} X$ derivative (10^2 to 10^8 CFU). The animals were monitored for morbidity and mortality through 10 days postchallenge. The graph shows survival of the animals challenged with the WT or $\Delta ompX$ strains. Survival comparisons between the animals infected with the highest number of WT organisms that killed 100% of the animals (10^4 CFU) and the animals infected with that number of $\Delta ompX$ organisms were evaluated by the log rank test $(P = 0.01)$. Data represent combined survival numbers from three experiments.

Expression of OmpX in *E. coli* **K-12 TOP10 promotes adherence, internalization, and serum resistance.** The deletion of OmpX protein in *Y. pestis* KIM6⁺ leads to the impairment of adherence of bacteria to and internalization into human epithelial cells and loss of resistance to human serum (26). To confirm that these traits are mediated by OmpX protein independently from other *Y. pestis* proteins or LPS, the *y1324* gene with its neighboring upstream and downstream DNA was cloned into plasmid pPCR2.1-TOPO, expressed in the standard cloning strain *E. coli* TOP10 (Fig. 4), and tested for growth characteristics, cell association, and serum resistance. Expression of OmpX resulted in phenotypic bacterial changes, including flocculent growth and pellicle formation, in LB medium (data not shown). Both characteristics are traits observed in *Y. pestis* and attributed to OmpX (26). Cell association assays showed that *E. coli* expressing OmpX had a 5- to 7-fold increase in association (both extra- and intracellular bacteria) with the human epithelial cell line (Hep-2) compared to the control strains (Fig. 5A). An even more pronounced effect was observed with internalized bacteria. There was a 16- to 30-fold increase in the number of OmpX-expressing *E. coli* bacteria internalized by the epithelial cells compared to control *E. coli*. This high rate of internalization accounted for nearly 27% of the total cell-associated bacteria that express OmpX, versus

FIG. 4. Generation of pOmpX and pTA constructs for expression of OmpX in *E. coli*. (A) Schematic representation of the DNA fragment cloned into plasmid pPCR2.1-TOPO. The *y1324* gene from *Y. pestis* KIM6⁺ with neighboring upstream and downstream regions (750 bp) was cloned into the pPCR2.1-TOPO plasmid, creating the pOmpX plasmid; the pTA plasmid (vector control) was made by deletion of the 766-bp fragment between EcoRI restriction enzyme-cut sites in the pOmpX plasmid and relegation of the backbone. (B) PCR analysis with M13 primers and confirmation of pOmpX and pTA constructs. Lane 1, DNA size marker (Fisher Scientific); lane 2, negative control (no DNA); lane 3, pOmpX; lane 4; pTA. (C) Analysis of OmpX-expressing *E. coli* TOP10 strains. *E. coli* Top10, *E. coli* Top10(pOmpX), and *E. coli* Top10(pTA) were grown to mid-exponential phase at 37°C and collected by centrifugation. Whole-cell lysate proteins were extracted, separated by SDS-PAGE, and stained with Coomassie blue. Molecular masses were estimated with a prestained Pageruler protein standard (Fermentas). The arrow indicates OmpX protein expressed by the pOmpX-carrying strain.

FIG. 5. Expression of OmpX in *E. coli* K-12 TOP10 promotes adherence, internalization, and serum resistance. (A) Cell association. Bacteria were grown to mid-exponential phase at 37°C and coincubated for 1 h with Hep-2 monolayers at 37°C (MOI = 20 to 50). Hep-2 host cells were disrupted and plated on LB agar or incubated with gentamicin for 1.5 h to kill extracellular bacteria before plating. Open bars represent the number of cell-associated bacteria (extracellular and intracellular), and filled bars represent the number of intracellular bacteria. Results are means \pm SEM from assays performed in duplicate on two separate days (*n* 8). (B) Serum resistance. Bacteria were grown as described above and incubated in 50% normal human serum (NHS) or heat-inactivated serum (HIS) for 1 h at 37°C and plated on LB agar. Results are means \pm SEM from two assays performed on separate days ($n = 8$ for cell association assay; $n = 4$ for serum resistance assay). The asterisk indicates a difference (ANOVA, $P < 0.0001$, for cell association assay; Kruskal-Wallis, $P < 0.001$, for serum resistance assay) between the strain expressing OmpX [TOP10(pOmpX)] and the two controls [cell TOP10 and plasmid control TOP10(pTA) strains).

6.5% and 8.5% of the host and plasmid control strains, respectively. We also confirmed that OmpX expressed from the plasmid efficiently mediated resistance to human complement (Fig. 5B). One hour of incubation in normal human serum (NHS) killed all host and plasmid control bacterial cells, while cells expressing OmpX survived. HIS was not lethal to any of the tested strains, which indicated that the bactericidal effect observed with NHS was complement mediated. Our results demonstrated that OmpX expressed in a bacterial host other than *Y. pestis* mediated adherence to and internalization into epithelial cells and conferred resistance to human serum. Also, our observations confirmed that the product of the cloned fragment was efficiently expressed from the pOmpX plasmid construct and was functional.

The length of the LPS core determines OmpX-conferred serum resistance. As shown previously by Knirel et al., *Y. pestis* mutants possessing different LPS core lengths have altered sensitivity to human serum (24). To investigate the potential effect of LPS core length on OmpX functions, the pOmpX plasmid was transformed into the *E. coli* D21 strain and its three isogenic derivatives D21e7 (rough), D21f1 (deep rough), and D21f2 (deep rough), each with progressively truncated core saccharide residues (Fig. 6). Each construct was tested for serum resistance. After 1 h of incubation in NHS, all host and OmpX-expressing strains were killed except for the $D21(pOmpX)$ strain (Fig. 7). Only the strain with the fulllength LPS core and OmpX expression survived the exposure to NHS (20% survival). All transformed colonies used in the study had enhanced autoaggregation and pellicle formation during mid-exponential growth, with the exception of the parental D21 strain, in which rapid loss of these two traits during culture passage was observed (data not shown). These results confirmed the hypothesis that OmpX-mediated resistance to human serum depends on the LPS core and showed that both the inner and outer core are required for effective resistance to the bactericidal effect of complement in this model.

OmpX localizes to the OM in *E. coli* **K-12 D21 strains.** To confirm that the differences in serum resistance between the *E. coli* D21 strains were not due to a lack of OmpX on the

bacterial surface, whole-cell lysates and outer membrane (OM) fractions were extracted and separated by SDS-PAGE (Fig. 8). The results showed that the protein was expressed in all pOmpX-carrying strains (Fig. 8A). Also, the OM preparations indicated the presence of OmpX in the OMs of all pOmpX-carrying strains, with some differences in the protein concentration (Fig. 8B). Higher levels were extracted from the parental D21 strain and the D21f1 mutant than from the other strains. To show that OmpX was present only in the OM and not in cytosolic inclusion bodies that may copurify with OM proteins, extracts were washed with 5 M urea to dissolve the potential aggregates (33), and urea-resistant membrane fractions were collected (Fig. 8C). OmpX protein was present in the urea-insoluble membrane fractions of all OmpX-expressing strains, confirming the proper localizations of the protein in the OM.

The length of the LPS core determines OmpX-mediated internalization and adherence. To investigate the role of LPS in other traits conferred by OmpX, the recombinant *E. coli* strains were analyzed in cell association and invasion assays (Fig. 9A). All of the pOmpX-carrying strains except for the *E. coli* D21 parental strain showed enhanced cell association, with the highest number of associating cells occurring with D21e7, the mutant strain with the longest LPS core. Comparison of the number of cell-associated CFU showed that approximately 66-fold more D21e7 and 14-fold more D21f1 and D21f2 OmpX-expressing bacteria adhered to the host cells than the respective host and plasmid control strains. The lack of enhanced association with Hep-2 cells of *E. coli* D21(pOmpX) correlated with loss of autoaggregation in this strain. FESEM imaging confirmed the pattern of a lower level of cell association of D21(pOmpX) and enhanced adherence mediated by OmpX expression in the D21e7, D21f1, and D21f2 strains (Fig. 9B).

Interestingly, even though D21e7, D21f1, and D21f2 OmpXexpressing strains adhered readily to the host cells, there was a pronounced difference in internalization rate depending on the polysaccharide length of the LPS core (Fig. 9A). The progressive truncation of the core residues resulted in progressive loss

FIG. 6. Schematic representation of *E. coli* and *Y. pestis* LPS structures and summary of the results. (A) Key to LPS moieties. (B) LPS structures of *E. coli* K-12 D21 and its isogenic mutants (23, 40, 44, 46, 59). †, some sources indicate galactose (23); ‡, some sources indicate two or three KDO residues (40). (C) LPS core structure of *Y. pestis* KM260 grown at ambient temperature; arrows indicate truncation of the core residues that resulted in loss of serum resistance (24). The table shows presence $(+)$ or lack $(-)$ of OmpX-mediated phenotypes (autoaggregation and pellicle formation, adhesion to Hep-2 cells, internalization into Hep-2 cells, and human serum resistance) related to a particular strain. Data are a summary of this study and previous work on OmpX of *Y. pestis* KIM6⁺ (26).

of OmpX-mediated internalization. Expression of OmpX in the D21f2 strain with the shortest inner core, containing only 2-keto-3-deoxyoctulosonic acid (KDO) residues, did not enhance the bacterial invasion into Hep-2 cells $[P > 0.05$ for

FIG. 7. The length of the LPS core determines OmpX-conferred serum resistance. *E. coli* K-12 D21 (rough LPS) and its three isogenic derivatives D21e7 (rough LPS), D21f1 (deep rough LPS), and D21f2 (deep rough LPS) with or without pOmpX were grown to mid-exponential phase at 37°C, incubated in 50% normal human serum (NHS) or heat-inactivated serum (HIS) for 1 h at 37°C, and plated on LB agar. Results are means \pm SEM from data derived from two assays performed on separate days $(n = 4)$. The asterisk (*) indicates the difference (Kruskal-Wallis test, $P < 0.001$) between *E. coli* carrying pOmpX and its respective cell control strain after incubation with NHS.

D21f2(pOmpX) versus D21f2 and D21f2(pTA)]. The mutant strain with three additional heptose residues in the core had in a small, yet significant $(P < 0.0001)$, increase in internalization. Approximately 5-fold more OmpX-expressing D21f1 bacteria invaded epithelial cells than the D21f1 or D21f1(pTA) control strains. The biggest difference in invasion between the OmpXexpressing strains and the controls was seen with the rough mutant D21e7, with an \sim 20-fold increase versus the controls. As a consequence of the lack of enhanced adherence, there was no change in internalization of the D21(pOmpX) strain even though the protein was present in the OM and this strain was resistant to human serum (Fig. 7 and 8B and C).

These results confirmed that OmpX, independent from other *Y. pestis* proteins, conferred bacterial adherence to and internalization into host cells; however, the efficiency of adherence and invasion, similar to serum resistance, was affected by the length of the heterosaccharide content in the LPS core. The results obtained with the D21 strain suggested that autoaggregation of bacterial cells also depended on the display of OmpX in a specific LPS core background and that flocculent growth was critical for effective bacterial adherence.

DISCUSSION

The most important findings of this study were that (i) the loss of a single gene (*ompX*) caused complete attenuation of *Y. pestis* by affecting serum resistance and adhesion to and inva-

FIG. 8. Analysis of OmpX localization in *E. coli* K-12 D21 strains. OmpX-expressing *E. coli* D21, D21e7, D21f1, and D21f2 and their parental strains were grown to mid-exponential phase at 37°C and collected by centrifugation. Whole-cell lysate proteins (A) and Triton X-100-insoluble (B) or 5 M urea-insoluble (C) outer membrane proteins were extracted, separated by SDS-PAGE, and stained with Coomassie blue. Molecular mass markers were estimated with a prestained Pageruler protein standard (Fermentas). Arrows indicate OmpX protein.

sion of host cells, (ii) avirulence was dependent on an appropriate animal model, (iii) OmpX conferred its characteristics independently from other *Y. pestis* proteins or LPS, and (iv) recombinant isogenic strains of *E. coli* showed that the OmpXdependent phenotypes were influenced by the LPS core saccharide length. For the first time, the role of OmpX in *Y. pestis* virulence was comprehensively assessed using a fully virulent strain, pneumonic route of infection, and two animal models to account for differences in serum resistance.

There are conflicting roles for *ompX* in an intravenous mouse model of plague with a Δ *ompX* mutant in the attenuated *Y. pestis* strain KIM5. Bartra et al. (4) showed that deletion of *ompX* does not affect virulence, while Felek et al. (15) showed that the deletion causes a $>3,000$ -fold increase in the $LD₅₀$ and that fewer bacteria colonize host organs in the early days of infection compared to the wild type. Our mouse model studies using the fully virulent *Y. pestis* CO92 strain with a pneumonic route of challenge showed a delayed time to death but no decrease in virulence (no increased LD_{50}) of an $ompX$ mutant compared to the wild type. Thus, our studies are in agreement with the findings of Bartra et al. (4). Our assays also confirmed that murine serum is not bactericidal for the *ompX* mutant (4). Thus, the mechanism underlying the delay in time to death in mice challenged with *Y. pestis* $\Delta ompX$ may be attributed to loss of adhesion/internalization properties but not serum resistance. These studies also showed that the role of serum resistance in *Y. pestis* pathogenesis cannot be tested in mice. To assess the full contribution of OmpX (adherence, internalization, and serum resistance) to virulence, we used a rat model of pneumonic plague. In this model, the *Y. pestis ompX* was completely attenuated (all rats challenged with 1×10^8 CFU survived, compared to the LD₅₀ of 1.2×10^3 CFU of the wild-type strain). Even though the lung is not an organ known for high complement activity (7), the importance of serum resistance in pneumonic plague was highlighted by comparing differences in mortality between mice and rats. Serum resistance contributed to virulence more prominently than bacterial association with host cells.

The bactericidal properties of complement vary by species, and activity often reflects the host's response to infection and efficient bacterial transmission (28). For example, the pattern of serum resistance of different *Borrelia* spp. correlates with the host that they are able to infect (28). The mechanisms of these differences are not clear, but they involve both bacterial and host components (53). From the host side, the complement regulatory system, rather than components of the membrane attack complex, is suspected (45, 58). Some animal species have a more complex protease inhibitor system regulating the complement cascade; for example, analysis of mouse, rat, and human genomes reveals that the systems are encoded by 199, 183, and 156 genes, respectively (45). Mouse complement is particularly interesting because its bactericidal activity is limited (18, 34). A number of common microorganisms are killed by sera of other species, e.g., human or rat, but remain resistant to murine sera (10, 19, 34, 57). Studies to distinguish complement species specificity and activation on bacterial surfaces identified two critical regulatory proteins: factor H (fH) and C4 binding protein (C4bp) (39). C4bp is involved in regulation of the classical pathway (5), and fH is an inhibitor of an alternative complement pathway (53). Exclusive binding of human fH and C4bp by *N. gonorrhoeae* ensures its resistance to human serum and reflects restricted infection of the human species (38). Similarly, differential binding of fH obtained from sera of various mammalian species and its correlation with the natural hosts occurs for *Borrelia burgdorferi* (53). Although the underlying differences between mouse and rat complement that affect *Y. pestis* are beyond the scope of this work, the findings of Bartra et al. (4) and our findings here confirm that species specificity for the complement alternative pathway also applies to *Y. pestis* Δ *ompX.*

FIG. 9. The length of the LPS core determines OmpX-mediated internalization and adherence. (A) *E. coli* K-12 D21 (rough LPS) strain and its three isogenic derivatives D21e7 (rough LPS), D21f1 (deep rough LPS), and D21f2 (deep rough LPS) with or without pOmpX were grown to mid-exponential phase at 37°C and coincubated for 1 h with Hep-2 monolayers at 37°C (MOI = 20 to 50). Hep-2 host cells were disrupted and plated on LB agar or incubated with gentamicin for 1.5 h to kill extracellular bacteria before plating. Open bars represent the number of cell-associated bacteria (extracellular and intracellular), and filled bars represent the number of intracellular bacteria. Results are means \pm SEM from assays performed in duplicate on two separate days $(n = 8)$. The asterisk (*) indicates differences (ANOVA, $P < 0.0001$) between the strain expressing OmpX (pOmpX) and cell (D21, D21e7, D21f1, or D21f2) and plasmid (pTA) control strains. (B) Field emission scanning microscopy imaging of OmpX-expressing *E. coli* associated with Hep-2 cells. Bacteria and eukaryotic cells were grown as described above and cocultured for 15 min at 37°C. After extensive washing, the cells were fixed, treated with tannic acid, OsO₄, dehydrated, and gold coated. The samples were analyzed with Quanta 200F (Field Emission Instruments) at a magnification of $\times 16,000$. The bar represents 5 μ m; white arrows indicate bacteria associated with Hep-2 cells.

From the bacterial side, the mechanism of serum resistance in *Y. pestis* has been controversial. Previously we showed that deletion of *ompX* leads to a pronounced increased sensitivity to human serum (26). However, Knirel et al. (24) showed that *Y. pestis* loss of serum resistance was due to mutations affecting LPS core structure. To address these two observations, we first determined that *E. coli* OmpX-expressing cells conferred the *Y. pestis* phenotypes of serum resistance, autoaggregation, and invasiveness. This indicated that these traits were independent of other *Y. pestis* factors. This is consistent with the observations of Bartra et al. (4), who also showed that *E*. *coli* expressing OmpX was serum resistant. We next examined OmpXdependent serum resistance in three isogenic strains of *E. coli* differing only in the length of the LPS core region. Deep rough strains D21e7 (having the KDO moiety only) and D21f1 (having KDO with three heptose residues) and a rough strain D21e7 (with additional glucose and branched galactose) were sensitive to human serum. This is in contrast to the fully serumresistant *E. coli* parental strain expressing OmpX. These experiments clearly show that serum resistance is OmpX dependent, but, significantly, this resistance is influenced by the molecular composition of the LPS core structure. This interaction between OmpX and LPS composition explains the loss of serum resistance conferred by both by a mutation in *ompX* (26) and mutations affecting LPS (24).

The deletion of *ompX* in *Y. pestis* impairs adhesiveness and invasiveness of the bacterium (26). A decrease in cell attachment after *ompX* deletion in *Y. pestis* and a gain of adhesiveness in *E. coli* AAEC185 expressing OmpX were confirmed by Felek and Krukonis (14). Similar to the case for serum resistance, we showed that OmpX-conferred adhesiveness and invasion were dependent on the LPS background in which the protein was expressed. While all of the *E. coli* OmpX-expressing LPS mutant strains readily adhered to Hep-2 cells, the parental D21 strain did not, even though OmpX was present in the OM and the strain was serum resistant. Lack of cell association correlated with the fact that the OmpX-mediated autoaggregation phenotype was not maintained in this strain. Also, progressive truncation of the LPS core resulted in a proportional decrease in internalization of OmpX-expressing *E. coli* cells, with the most pronounced effect in strain D21f2 (possessing only KDO residues of the core inner portion), which had invasion levels similar to those of the OmpX-negative control strain. LPS core mediates internalization of some bacteria (41), and alterations in its structure can lead to impairment of bacterial invasion (60). Our data indicated that this was also the case for the *E. coli* K-12 D21 mutant strains (lower invasion level of strains with shorter LPS cores). However, introduction of OmpX significantly increased invasiveness of strains having low levels of internalization (D21f1 and D21e7). If internalization was driven only by LPS, this would not be observed. If LPS alone conferred these properties, one would expect to see the levels of internalized bacteria increasing as the length of the LPS core increased, but with no difference between OmpX-expressing and nonexpressing strains. Hence, we concluded that OmpX function as an invasin is influenced by the LPS core structure.

In summary, this work showed for the first time that the *Y. pestis ompX* gene is a novel essential virulence factor in a subset of mammalian hosts where OmpX-dependent serum resistance is required. The loss of *ompX* had little consequence in mice (where serum resistance is independent of OmpX expression), with no change in LD_{50} . This is in stark contrast to the complete attenuation of a *Y. pestis* CO92 *ompX* deletion mutant in rats (where serum resistance is dependent on OmpX expression), an animal model more similar to human disease (2, 49). Finally, OmpX-mediated serum resistance, as well as host cell adhesion and internalization, is dependent on the LPS core structure, which contributes to the OM environment.

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