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Minding the gap: The underground functions of BRCA1 and BRCA2 at stalled replication forks

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Abstract

The hereditary breast and ovarian cancer predisposition genes, *BRCA1* and *BRCA2*, participate in the repair of DNA double strand breaks by homologous recombination. Circumstantial evidence implicates these genes in recombinational responses to DNA polymerase stalling during the S phase of the cell cycle. These responses play a key role in preventing genomic instability and cancer. Here, we review the current literature implicating the BRCA pathway in HR at stalled replication forks and explore the hypothesis that BRCA1 and BRCA2 participate in the recombinational resolution of single stranded DNA lesions termed “daughter strand gaps”, generated during replication across a damaged DNA template.

Keywords

BRCA1; BRCA2; Rad51; Sister chromatid recombination; Daughter strand gap repair

1. Introduction

Cancer-promoting mutations frequently entail the activation of oncogenes and inactivation of tumor suppressor genes [1,2]. These genetic alterations are often mediated by gross chromosomal rearrangements, causing translocations between heterologous chromosomes (creating novel oncogene fusions), large-scale chromosomal deletions (causing loss of heterozygosity at tumor suppressor gene loci) and gene amplifications (activating oncogenes). A key intermediate in this type of genomic instability is thought to be the double strand break (DSB), and a large body of evidence now implicates DSB repair defects in cancer susceptibility. In the absence of extrinsic DNA damage, most chromosomal DSBs in cycling cells, and the associated chromosomal rearrangements, are thought to arise during the S phase of the cell cycle as a result of replication across a damaged DNA template [3-6].

During DNA replication, the parental strands of DNA become dissociated into single-stranded DNA (ssDNA) templates for the synthesis of two identical sister chromatids. A lesion in one of the parental strands may cause the DNA polymerase complex to stall, potentially stalling or collapsing the replication fork, or else uncoupling leading and lagging strand synthesis. Arrested forks may themselves be processed to form a DSB. In some instances, replication may be restarted downstream of the unrepaired parental strand lesion, leaving a “daughter strand gap” (DSG)—a ssDNA lesion that cannot be filled by a conventional DNA polymerase, due to the presence of the DNA polymerase blocking lesion. The DSG has received less

attention than its illustrious cousin, the DSB, but recent work in model organisms has reawakened interest in the DSG as a potential intermediate in genomic instability and cancer [7]. DSBs and DSGs can be repaired in an error-free manner by sister chromatid recombination (SCR), a mechanism whereby the damaged chromatid uses the intact sister as a template for repair by homologous recombination (HR) [5,8,9]. SCR competes with alternative error-prone pathways for repair of DSBs and DSGs and is therefore an important bulwark against the threats of genomic instability and cancer.

Several important human tumor suppressor genes function in HR. These include the two major breast/ovarian cancer susceptibility genes, *BRCA1* and *BRCA2* [10,11], the Bloom's syndrome gene (*BLM*) and possibly other genes encoding RecQ-like helicases [12], the Nijmegen Breakage syndrome gene (*NBS1*) [13], *MRE11* [14], the Fanconi Anemia genes (*FA*) [15], and genes encoding the DSB-activated signaling kinases *ATM*, *ATR* and *CHK2* [16-18]. Consistent with a primary role in HR and SCR, *BRCA1* and *BRCA2* are highly expressed during the S phase of the cell cycle and their products form complexes with each other as well as with Rad51, the eukaryotic RecA ortholog [10,11,19]. *BRCA1* and *BRCA2* orthologs appear to be present in all vertebrate genomes. A *BRCA2* homolog has been identified in the fungus *Ustilago maydis* [20] and homologs of *BRCA1* exist in worms and in some plant species [21-24], but both genes appear to be absent from the genomes of *Saccharomyces cerevisiae* and *Schizosaccharomyces pombe*. The reason for this patchy representation of *BRCA* genes across evolution is not well understood. In species that lack *BRCA* genes, some functions of *BRCA1* or 2 may be performed by structurally unrelated proteins. Indeed, recent evidence suggests that the *BRCA2*-Rad51 interaction has functional or structural similarities to the interactions of two *Escherichia coli* RecA loading complexes, RecFOR and RecBCD, with RecA [25,26]. HR pathways in *E. coli* and yeast often show functional redundancy, and the same may be true in multicellular organisms.

2. Relationship between DNA polymerase stalling and HR

The common lesions causing DNA polymerase stalling in somatic cells are thought to be unrepaired oxidative base damage caused by the products of normal aerobic metabolism. Other DNA polymerase stalling lesions include UV pyrimidine dimers, bulky DNA adducts and some other forms of DNA base damage. Intermediates of some DNA repair processes, such as base excision repair, nucleotide excision repair and mismatch repair, entail nicking of the sugar-phosphate backbone. Clearly, if a replication fork were to collide with such a nicked repair intermediate, the ssDNA nick would be converted to a DSB (Fig. 1). Thus, both DNA damage and DNA repair itself may contribute to the load on the HR/SCR pathway. Certain well-characterized DNA adducting carcinogens, such as benzo-[a]-pyrene metabolites, interrupt replication fork progression and are also excised inefficiently from the genome. Carcinogens of this type may promote cancer by causing DNA polymerase stalling and, hence, by increasing demand on the HR/SCR pathway [27,28] or on alternative error-prone pathways such as translesional DNA synthesis (TLS).

The fundamental relationship between DNA polymerase stalling and HR was deduced four decades ago, in a series of classic experiments on the *E. coli RecA* gene and the nucleotide excision repair (NER) genes, *UvrABC* [29]. *uvrA* mutants are absolutely unable to excise UV lesions. Single mutants of *recA* or *uvrA* are mildly sensitive to UV light, but the *recA uvrA* double mutant is absolutely intolerant of UV damage; *RecA* is essential for survival in the presence of UV lesions that cannot be excised. Clues regarding the role of RecA in this process came from physical analysis of newly synthesized daughter strands in UV exposed *uvrA* mutants [30]. UV light caused a reduction in the average size of newly synthesized daughter strands, but during recovery from UV damage, daughter strands reverted to normal length. This suggested that replication across a UV lesion generates gaps in the newly synthesized daughter

strands, and that RecA facilitates resolution of these daughter strand gaps. The RecA-mediated process was termed “daughter strand gap repair”—although it is strictly a DNA damage tolerance process, since it does not remove the original DNA polymerase stalling lesion. DSG repair in *E. coli* requires the RecFOR complex, which initiates RecA loading at the free 5' DNA end of the dsDNA-ssDNA junction. The relationship between DNA polymerase stalling and recombination in *E. coli* has been reviewed elsewhere (for example, [31] and this issue) and is discussed only briefly here.

The 4.6Mb circular chromosome of *E. coli* is replicated from a single bidirectional origin of replication. For the cell to successfully duplicate the genome, each fork must traverse >2Mb without interruption. Given the density of DNA lesions caused by the products of normal aerobic metabolism, it is thought that most replication forks in *E. coli* will encounter a DNA polymerase stalling lesion. Interruption of lagging strand synthesis need not arrest the fork, but might leave a DSG on the lagging strand, corresponding to incomplete Okazaki fragment synthesis (Fig. 1). Arrest of the leading strand poses other problems [31]. Fork reversal with resolution of the resulting Holliday Junction (HJ) or “chicken foot” structure plays a part in processing of the stalled fork in some circumstances. If the HJ is cleaved, the resulting DSB is processed by RecBCD and loaded with RecA, permitting invasion of the unbroken sister chromatid [32]. Replication is reinitiated at the resulting free 3' end of the recombination joint (“D-loop”) by the PriA-dependent ϕ X174 primasome, a process termed recombination-dependent replication (RDR) [31]. PriA can also prime replication on free 3' ends generated by RecA-independent processes.

Leading strand polymerase stalling need not necessarily result in lagging strand arrest, which may continue uncoupled from the stalled leading strand DNA polymerase, with the formation of an extensive tract of ssDNA on the leading strand [33]. Recently, Heller and Mariani discovered that PriC can prime DNA synthesis directly on such gapped leading strand templates, leaving a DSG on the leading strand [34] (Fig. 1). This indicates that the replication machinery of *E. coli* tolerates DSGs in both the leading and lagging strands and can reinitiate DNA replication downstream of the fork on either strand by recombination dependent or recombination independent mechanisms (reviewed elsewhere in this issue).

In lower eukaryotes, the relationship between DNA polymerase stalling and HR is increasingly well documented. A genetic screen using a *S. cerevisiae* mutant of PCNA, *pol30*, revealed synthetic lethality with *rad52* epistasis group mutants [35]. *pol30* mutants were found to produce daughter strands of lower than normal molecular weight, suggesting that the *pol30* mutation causes spontaneous gaps in the daughter strands. This is consistent with the idea that a defect in DNA polymerase processivity – presumably the basic problem in *pol30* mutants – generates more frequent DSGs and puts an extra burden on the HR pathway. Specific evidence of a relationship between DNA polymerase stalling and HR came from studies in *S. pombe* in which a replication fork barrier was introduced into an ectopic chromosomal locus and was found to promote recombination and chromosomal rearrangements at that locus [27].

Direct visualization of stalled replication forks in yeast by electron microscopy has provided evidence of abnormal DNA structure at stalled forks, including fork reversal in checkpoint-defective *rad53* mutants of *S. cerevisiae* [36]. Presumably, as in *E. coli*, processing of the “chicken foot” structure of the reversed fork by endonucleases such as Mus81 may generate a DSB [37]; alternatively, the stalled fork might be attacked directly. A recent study by Lopes et al. in UV-irradiated NER-deficient *rad14* mutants of *S. cerevisiae* revealed the existence of DSGs in both leading and lagging strands downstream of the replication fork [38]. This suggests that *S. cerevisiae* may possess a recombination-independent mechanism of leading strand replication restart, since recombination-mediated restart need not generate leading

strand DSGs [34]. There are no clear orthologs of *PriC* in eukaryotes; the mediators of this putative restart mechanism are unknown.

If replication restart on both strands can be accomplished without recombination, the problem of DSG repair might be addressed subsequent to the passage of the replication complex, allowing scheduled DNA synthesis to progress independently of the slower DSG repair processes [38]. Lopes et al. examined the effect of inactivating TLS or HR functions on the distribution of DSGs in UV irradiated *rad14* mutants, and found that gaps nearer the fork accumulate in TLS mutants, whereas those distant from the fork accumulate more prominently in HR mutants. HR-mediated repair correlated with the presence of X-shaped DNA molecules (HJs) in the replicon—DNA structures that are predicted to arise during recombination-mediated DSG repair (Fig. 2).

Rad6/Rad18-mediated ubiquitylation of PCNA serves as a scaffold for loading TLS polymerases [39,40], but little is known about the mutual regulation of TLS and HR in DSG repair. TLS and HR could, in principle, attack opposite ends of the same DSG simultaneously; TLS polymerases might attempt to bypass the lesion at the blocked free 3' end of the interrupted daughter strand, while RecFOR-like functions catalyze loading of Rad51 onto ssDNA, a process initiated at the free 5' end of the dsDNA/ssDNA junction at the other side of the gap, some hundreds of base pairs away (Fig. 2). Simple competition could determine which process completes DSG repair. Presumably, TLS would be engaged provided that it can bypass the lesion, since HR is a slower process than replication. This is consistent with the findings of Lopes et al., in which TLS polymerases accounted for an early “wave” of DSG repair in the wake of the fork, and HR for DSG repair occurring later. Despite this, HR seems to play a quantitatively greater role than TLS in DSG repair, suggesting that TLS often fails to bypass the lesion successfully. Interestingly, resolution of DSGs closer to the fork is also impaired in *rad53* checkpoint mutants, raising the possibility that DNA damage checkpoint signaling regulates engagement of TLS polymerases [38].

Studies in mammalian cells of replicating UV-irradiated plasmids have revealed DNA polymerase stalling on both the leading and lagging strands and showed the existence of ssDNA gaps on the lagging strand, presumably caused by interruption of Okazaki fragment synthesis [41-44]. This suggests that DSGs also arise during mammalian DNA replication, although it is not yet clear whether they can form on the leading strand.

3. Recombination-dependent replication restart in eukaryotes: an incomplete picture

Despite the generic similarities noted above between prokaryotes and eukaryotes, there are important differences that could affect the relationship between replication arrest and HR. The eukaryotic chromosome is packaged into chromatin, which adds additional complexity to the control of all chromosomal processes, including recombination [45-48]. Unlike *E. coli*, eukaryotic chromosomes possess numerous potential origins of replication, of which only a fraction are used to initiate DNA synthesis in each cell cycle. Indeed, the spacing between origins in eukaryotes is typically ~30–300 kb and is as low as 8–12 kb during early *Xenopus* development [49]. Thus, eukaryotic forks typically traverse much shorter distances than the >2MB needed for successful replication in *E. coli*. Replication fork collapse on a eukaryotic chromosome could in theory be compensated for by the arrival of a neighboring fork, possibly accompanied by the firing of a previously unused nearby origin of replication. This complicates the study of replication restart in eukaryotes. Although recent data, noted above, does suggest the existence of replication restart mechanisms in yeast, it remains to be determined whether HR has a role in this process. There are no clear eukaryotic homologs of *E. coli* PriA or PriC, but perhaps structurally unrelated eukaryotic proteins perform equivalent functions.

If a *Rad51*-dependent replication restart mechanism does operate in eukaryotes, there must be a means to convert the *Rad51*-mediated recombination joint (“D-loop”) into a replication fork. In yeast, repair synthesis during gene conversion does not normally entail formation of a replication fork, since gene conversion can be accomplished without the use of lagging strand synthesis [50] (Fig. 3). The gene conversion mechanism, termed “synthesis-dependent strand annealing” (SDSA), typically terminates after copying only a few hundred base pairs from the donor template—sufficient to patch the information lost at the site of chromosome breakage [51-53]. Under some circumstances, an alternative, highly processive copying mechanism, termed “break-induced replication” (BIR) can be engaged [54] (Fig. 3). BIR was first described as a *RAD51*-independent error-prone DSB repair pathway and is known to mediate several types of chromosomal instability in yeast [55-58]. BIR is as processive as conventional chromosomal replication and it therefore probably entails formation of a replication fork at the recombination joint [59] (Fig. 3). The recent description of a *RAD51*-dependent form of BIR in *S. cerevisiae* suggests that BIR may not merely be an error-prone system of DSB repair [59,60]. *RAD51*-dependent BIR might be a true analog of (*RecA*-dependent) RDR in *E. coli*.

In mammalian cells, the existence of a BIR pathway (whether *Rad51*-dependent or not) has not been rigorously established. Gene conversion tracts are longer in cells lacking certain *Rad51* paralogs; however, the longest gene conversion tracts measured in mammalian cells to date are only ~6 kb in length—much less than the hundreds of kilobases copied during BIR in yeast [61-63]. Interestingly, in *Drosophila melanogaster*, conventional gene conversion mediated by SDSA appears to be capable of copying tens of kilobases from the donor template [64]. Dysfunction in the fly ortholog of *Rad51* leads to an unexpected decrease in average gene conversion tract length—the opposite of what one would expect were BIR engaged when *Rad51* is dysfunctional [65]. These observations underscore the need to seek more direct evidence of a BIR pathway in higher eukaryotes. It is possible that BIR is such a potent engine of genomic instability that it cannot be tolerated by certain higher eukaryotes, where high levels of chromosomal instability will translate into failed embryonal development and high cancer incidence.

In summary, although some connections between DNA polymerase stalling and recombination are likely conserved across evolution, it is important to distinguish between DSB repair at broken forks, DSB repair in the wake of the fork and replication restart itself. Each of these could, in principle, be accomplished by *Rad51*-dependent or *Rad51*-independent mechanisms. Some differences between species are to be expected, shaped by evolutionary “facts on the ground”—the structure of the chromosome, the distribution of replication origins, the nature of the chromatin response to DSBs, the distribution of repetitive elements within the genome and the needs of multicellular organisms to develop organized tissues and avoid cancer.

4. Role of BRCA1 and BRCA2 in HR regulation

BRCA1 and *BRCA2* null mice die early in development, around the time of gastrulation. The *BRCA1*^{-/-} phenotype (death ~E7.5) is slightly more severe than the *BRCA2*^{-/-} phenotype (death ~E8.5). *BRCA1*^{-/-} *BRCA2*^{-/-} double mutant embryos die ~E7.5, hinting at an epistatic relationship between the two gene mutations [19,66,67]. In the days prior to embryonic death, *BRCA* mutant embryos reveal evidence of a stress response, with p53 activation, *p21* induction and a reduced rate of cell proliferation in comparison to wild-type littermates [66,68]. An interpretation of this phenotype was suggested by the finding that *BRCA1* interacts with the mammalian *RecA* ortholog, *Rad51*, in discrete nuclear foci during the S and G2 phases of the cell cycle [69]. *BRCA2* interacts stoichiometrically with *Rad51* [19,70,71] and forms a complex with *BRCA1*, suggesting that *BRCA1* and *BRCA2* function on a common pathway regulating HR [11,72]. The activation of p53 in *BRCA* null embryos is likely part of a DNA damage checkpoint response arising as a consequence of defective recombination in these

embryos. Consistent with this, deletion of *p53* prolongs the survival of *BRCA* null embryos by a few days [67,68,73]. This is a significant effect, given the rapid developmental transitions that normally occur during gastrulation. Rad51 null embryos die very early in development (~E6.5), and their survival is also slightly prolonged on a *p53* null background [74,75].

Primary cells explanted from *BRCA2* and *BRCA1* homozygous mutant embryos develop spontaneous chromosome breakage and gross chromosomal rearrangements at early passage [76-79]. *BRCA1* and *BRCA2*, together with many other recombination proteins, also decorate the axial element of the developing synaptonemal complex during meiotic prophase I, a likely site of DSB formation and processing [69,72]. This suggests a possible role for *BRCA1* and *BRCA2* in meiotic recombination, for which some functional evidence now exists [80]. (A role for *BRCA1* in transcriptional silencing during meiosis is also likely [81,82].) Attempts to cultivate *BRCA1* or *BRCA2* null primary mammalian cells *in vitro* have been unsuccessful, perhaps reflecting the severity of the recombination defect in these cells. As a result, most work on the recombination functions of *BRCA* genes has made use of cells expressing hypomorphic *BRCA* alleles. Direct evidence of a role for *BRCA1* and *BRCA2* in HR came from quantifying recombinational repair of a site-specific DSB in *BRCA* mutant cell lines. Either *BRCA1* or *BRCA2* mutation reduces the efficiency of HR induced by a site-specific DSB [83-87]. Error-prone repair of the DSB by single strand annealing (SSA) is increased in *BRCA2* mutants [87,88]—a pattern reminiscent of HR mutants in yeast and in other vertebrate cells. In contrast, SSA is partly dependent on *BRCA1*, suggesting that *BRCA1* also participates in an earlier step in HR, perhaps working with the MRN complex to control processing of the DSB end [88, 89]. These studies do not assay HR at stalled forks, since the induced DSB has no relation to replication arrest. It is not clear whether DSG repair entails any kind of processing of the dsDNA–ssDNA junction. In the limited studies that have been performed so far on different *BRCA* alleles, there appears to be a correlation between defective tumor suppressor function and loss of HR/DSBR function [90-92], suggesting that the HR functions of *BRCA1* and *BRCA2* contribute to tumor suppression.

Clues regarding the role of *BRCA1* and *BRCA2* in HR control in somatic cells and hence, potentially, in tumor suppression came initially from the finding that *BRCA1* relocates rapidly from S/G2 phase nuclear foci to sites of replication arrest in S phase cells treated with hydroxyurea (HU) [93]. HU inhibits ribonucleotide reductase and thereby allows depletion of cell nucleotide pools in S phase, leading to DNA synthesis arrest. *BRCA1* undergoes rapid S-phase-specific HU-induced phosphorylation with similar kinetics to DNA synthesis inhibition [93]. This phosphorylation event is regulated by the ATR signaling kinase, placing *BRCA1* at an interesting intersection between the DNA damage checkpoint response and HR control [94]. *BRCA1* is recruited to sites of stalled replication together with *BRCA2*, Rad51 and other recombination proteins, suggesting that this is part of a generic response of HR proteins to replication arrest. The idea that *BRCA* gene products have a significant HR function during the S phase was corroborated by the finding that chromosomes from *BRCA* mutant embryos develop “chromatid-type” structural aberrations [95,96]. This type of chromosomal rearrangement reflects asymmetric damage to sister chromatids and has traditionally been considered to arise from replication across a damaged DNA template and from recombination errors in S phase.

Hydroxyurea induces fork arrest but generates few DSBs in normal cells. Indeed, direct visualization of HU-arrested forks in yeast revealed the predicted formation of tracts of ssDNA on the lagging strand, reflecting incomplete synthesis of Okazaki fragments [36]. Structurally, HU-induced lagging strand gaps may resemble DSGs, and the recruitment of *BRCA1*, *BRCA2*, Rad51 and their associated proteins to sites of replication arrest in HU-treated cells suggests a response to ssDNA, even in the absence of DSB formation. This led to the proposal that *BRCA1* and *BRCA2* function in daughter strand gap repair [11,28].

Efficient Rad51 focus formation at DSBs induced by laser scissors or ionizing radiation (IR) is dependent upon BRCA1 and BRCA2, and Rad51 retention on chromatin following HU treatment is impaired in the absence of wild-type *BRCA2*, suggesting a possible role for BRCA1 and 2 in loading Rad51 onto ssDNA [97-100]. Lomonosov et al. visualized replication structures in rDNA of *BRCA2* mutant mouse embryonic fibroblasts (MEF) during HU treatment. After prolonged treatment in HU, *BRCA2* mutant MEFs revealed increased breakage within the rDNA locus [101]. This suggests that prolonged delay in fork progression leads to fork instability in *BRCA2* mutant cells—for example, this might reflect the conversion of DSGs near the arrested fork to DSBs. The immediate structural consequences of fork arrest in *BRCA* mutant cells remain unknown.

A second set of data that speaks to the role of BRCA1 and BRCA2 at stalled forks came from treatment of *BRCA* mutant cells with poly(ADP-ribose)polymerase (PARP) inhibitors [102-104]. PARP is a co-factor in base excision repair and its inhibition should delay re-ligation of nicked intermediates of this repair process. Replication across the nicked template would generate DSBs either on the leading strand (with fork collapse) or on the lagging strand (without necessarily arresting the fork). *BRCA1* and *BRCA2* mutant primary embryonic stem cells were each found to be highly sensitive to PARP inhibitors [102,103]. The same sensitivity is seen in a *BRCA2* mutant tumor cell line, and in cells defective in other HR genes [104,105]. These findings are consistent with a defect in DSB repair at stalled/broken forks in *BRCA* mutant cells. PARP inhibitors may have exciting prospects for therapy of *BRCA*-linked cancers, as may other drugs that target the “Achilles’ heel” of cells that are defective for HR.

A role for BRCA1, BRCA2 and Rad51 in HR repair of both DSBs and DSGs arising at stalled forks seems likely, each entailing recombination with the neighboring sister chromatid (Figs. 1 and 2). Whether the BRCA proteins have any role in replication restart at stalled forks is unclear. It should be noted that the resumption of bulk DNA synthesis following release of cells from an HU block is not a proven example of replication restart, since replication in such experimental conditions might reflect the firing of previously unused origins of replication. The HU-arrested fork may also differ from the D-loop formed at a broken fork reconstituted by HR, since the former might be primed to continue conventional DNA synthesis, whereas the latter would require *de novo* priming by a PriA-like activity (Fig. 1).

5. Molecular functions of BRCA1 and BRCA2

BRCA1 and *BRCA2* encode large nuclear proteins that have no structural resemblance to each other. BRCA1 contains an N-terminal RING domain and two tandem C-terminal BRCT repeats. The structure of the large central region of BRCA1 – a region absent from certain splice variants of mammalian BRCA1 and entirely missing from the worm and plant homologs of BRCA1 – is unknown. BRCA1 exists as a constitutive heterodimer with BARD1, a smaller, structurally related polypeptide that also contains an N-terminal RING domain and two tandem C-terminal BRCT repeats [106]. The double RING domain of BRCA1/BARD1 has E3 ubiquitin ligase function *in vitro* [107-110]. Although the preferred linkage for polyubiquitylation *in vitro* appears to be an unconventional one involving lysine 6 of ubiquitin, BRCA1/BARD1 may also catalyze other linkages [111,112]. Not all of these ubiquitin linkages are associated with protein degradation, and detectable foci of ubiquitylation at DNA damage sites are dependent upon BRCA1 [113,114]. A number of cellular targets of the BRCA1/BARD1 E3 ubiquitin ligase have been proposed, but the list of direct targets of BRCA1/BARD1 is probably incomplete [115,116]. An intact RING domain is necessary for efficient *BRCA1*-mediated DSB repair [91], and several cancer-predisposing point mutant alleles of *BRCA1* affect the RING domain, inactivating its E3 ubiquitin ligase function [108,117]. E3 ubiquitin ligase activity in BRCA1 immunoprecipitates is enhanced following exposure of

cells to IR, raising the possibility that this activity is regulated by DNA damage signaling [114].

The BRCT repeats of BRCA1 are implicated in regulated interactions with certain phosphorylated proteins [118,119], including BACH1/FANCD1, a BRCA1-interacting DEAH-type 5'-to-3' DNA helicase [120,121], and CtIP, a transcriptional repressor with DNA damage checkpoint functions [122,123]. Certain point mutant disease-predisposing alleles of *BRCA1* disrupt these interactions, indicating that these interactions are clinically relevant. BRCA1 forms complexes with a large number of other protein interaction partners, including the Mre11/Rad50/NBS1 (MRN) heterotrimer (a complex involved in the early recognition of DSBs), BRCA2/FANCD1, Rad51 and other Fanconi Anemia gene products, as well as with a number of chromatin-modifying factors [124-126]. A recent biochemical purification of BARD1 revealed at least three distinct BRCA1/BARD1 complexes: one containing BACH1, the DNA damage responsive protein, TopBP1 and the Mlh1 mismatch repair protein; one containing MRN and CtIP; one containing BRCA2 and Rad51. Each of these complexes contains other polypeptides, and there may be other complexes of BRCA1/BARD1 yet to be described [100]. The interaction of BRCA1/BARD1 with TopBP1 and with MRN is induced following exposure of cells to IR. Given the numerous biochemical interactions of BRCA1/BARD1, it seems likely that BRCA1/BARD1 will have multiple different ubiquitylation target substrates.

BRCA1 accumulates on both ssDNA near the break and on a tract of chromatin neighboring the break, marked by serine 139-phosphorylation of the variant histone, H2AX [127,128]. Phosphorylation of H2AX (“ γ -H2AX”) is a rapid, evolutionarily conserved event in the DSB response of eukaryotes and marks chromatin for hundreds of kilobases flanking the DSB [129]. H2AX contributes to DSBR, including SCR, by mechanisms that may involve cohesin complexes [46-48,130,131]. This “double life” of BRCA1 on ssDNA and on chromatin further underscores the diversity of functions of this protein, which include HR control, transcriptional regulation/gene silencing and DNA damage checkpoint control. Current evidence suggests that BRCA1’s HR function is executed independently of *H2AX* and it is therefore likely that BRCA1 controls HR at least in part through interactions with proteins such as BACH1, MRN and BRCA2/Rad51 on DNA near the break.

Some insight into the functional relationship between BRCA1 and its various partners has come from study of nuclear foci forming at DSBs induced by IR or in partial nuclear volumes by use of UV laser scissors. The accumulation of BRCA2, Rad51, BACH1 and CtIP at or near such DSBs is impaired in cells lacking wild-type *BRCA1* and is restored by re-expression of wild-type *BRCA1* [100]. In contrast, the MRN complex is recruited to DSBs in a BRCA1-independent manner. Thus, BRCA1 seems to function as a scaffold for the assembly of certain recombination enzymes at DSBs and may have additional enzymatic roles there, either directly as an E3 ubiquitin ligase or indirectly as a regulator of BACH1 helicase function.

Like many DNA damage response factors, BRCA1 undergoes phosphorylation by the Atm or Atr kinases in response to recombinogenic DNA lesions [94,132]. The activation of Atm by DSBs is mediated by its interaction with the MRN complex, while RPA-coated ssDNA activates Atr and associated checkpoint signaling proteins [133,134]. Hence, the signaling response to DSGs is predicted to be mediated by Atr. The Atr activation pathway in some ways resembles the bacterial SOS response since, in each case, a ssDNA nucleoprotein filament that acts as an intermediate in recombinational repair doubles as a trigger to the DNA damage response. These similarities are limited, however, since the SOS response is mediated by a co-protease activity of the RecA-ssDNA filament for cleavage of the LexA transcriptional repressor, and there is no evidence that Rad51-ssDNA has an equivalent function. Precisely how signaling by Atm and Atr affect BRCA1 function is not well understood. One might

speculate that BRCA1/BARD1 has several distinct “civilian” functions (transcription regulation, gene silencing, etc.) on chromatin in the undamaged cell; in times of crisis, characterized by the presence of unresolved recombinogenic DNA lesions, BRCA1, modified by Atm or Atr signaling, might switch to “battle” mode as a DNA damage signaling and HR factor (Fig. 4).

BRCA2 binds Rad51 directly via multiple BRC repeats in the central part of BRCA2 [99, 135,136]. The BRCA2 C-terminus forms a tight complex with a conserved protein, DSS1, and can also interact with Rad51 [19,71,137]. The crystal structure of the BRCA2 C-terminus bound to DSS1 revealed similarity with the heterotrimeric ssDNA-binding complex, RPA, implying a direct interaction of BRCA2/DSS1 with ssDNA [138]. The crystal structure of a BRCA2 BRC4-Rad51 fusion protein indicates that the BRC domain interacts with the core of Rad51 and, by a process of molecular mimicry, prevents the homo-oligomerization of Rad51 [136]. Consistent with this, isolated BRC fragments of BRCA2 inhibit Rad51-mediated strand exchange *in vitro* and interfere with HR *in vivo* [135,139]. In contrast, certain combined domains of BRCA2 promote Rad51-mediated strand exchange *in vitro*, suggesting that there may be intramolecular collaboration between distinct domains of BRCA2 [140,161]. The *U. maydis* BRCA2 homolog, Brh2, possesses only one BRC domain and has been purified with DSS1 *in vitro* [20,25,141]. Brh2/DSS1 efficiently catalyzes the loading of Rad51 onto the free 5' end of a dsDNA-ssDNA junction, provided that the ssDNA is pre-coated with RPA (as it would be *in vivo*). Rad51 loading was observed on a gapped, circular plasmid, suggesting that a free DSB end is not required for Brh2-mediated loading of Rad51 [25]. This function resembles that of *E. coli* RecFOR, a complex that loads RecA onto ssDNA gaps for DSG repair in *E. coli* [142]. A recent crystal structure of *E. coli* RecB bound to RecA revealed an interaction of RecB with the core of RecA, similar to that of the BRCA2 BRC domain interaction with Rad51 [26]. Thus, certain Rad51 loading functions of BRCA2 are reflected in two distinct RecA loading complexes in *E. coli*. It is not clear whether BRCA2 is the only Rad51 loading complex in mammalian cells. By analogy with *E. coli*, one might expect eukaryotic cells to possess more than one mechanism for loading Rad51 onto ssDNA.

Although purified Brh2/DSS1 can facilitate loading of Rad51 *in vitro*, BRCA2/DSS1/Rad51 does not act alone *in vivo*. BRCA2 interacts stoichiometrically with a newly identified protein, PALB2, which is required for accumulation of BRCA2/Rad51 at DSBs induced in partial nuclear volumes *in vivo* [92]. Consistent with this, certain point mutations in BRCA2 that disrupt its interaction with PALB2 are also implicated in breast/ovarian cancer predisposition. BRCA2 and, very recently, PALB2 have been shown to undergo biallelic mutation in some cases of Fanconi Anemia [143-146]. Rad51 focus formation is defective in cells from some FA complementation groups, including those harboring mutations in BRCA2 or PALB2. This raises the possibility that other FA gene products function with BRCA1, PALB2 and BRCA2 to regulate Rad51 loading under some circumstances. It will be interesting to determine whether PALB2 interacts with other FA gene products and with BRCA1/BARD1.

The loading of Rad51 onto ssDNA is clearly a critical control step in cell physiology: either defective or excessive Rad51 loading might cause genomic instability and cancer. Our current knowledge of the Rad51 loading mechanism in multicellular organisms suggests that it is mediated by a complex set of protein–protein and protein–DNA interactions, orchestrated by Atm and Atr signaling and perhaps by more diverse cell signaling pathways [147]. By analogy with yeast, there may also be negative regulators, operating to inhibit Rad51 loading or disassemble Rad51 nucleoprotein filaments and suppress inappropriate recombination events [148]. The appearance in multicellular organisms of novel HR regulatory genes (BRCA1/BARD1, Fanconi Anemia genes) may in part represent an evolution-driven titration of Rad51 loading activity to a “set point” that is appropriate to the demands of multicellularity.

6. When the levee breaks: DSGs, genomic instability and cancer

The current focus on DSB repair functions of BRCA1 and BRCA2 may reflect an experimental bias in the field—there are tractable assays for HR-mediated DSB repair in mammalian cells but not for HR-mediated DSG repair. If mammalian cells generate predominantly DSGs in response to DNA polymerase blocking lesions, the HR functions of BRCA1 and BRCA2 at DSGs may be quantitatively as important for tumor suppression as their functions at DSBs.

An unresolved DSG may be a key intermediate in genomic instability. Perhaps the innate fragility of persistent ssDNA carries a risk of degradation to a DSB. Alternatively, an unresolved DSG might be able to interact with free DSB ends generated elsewhere in the genome. Indeed, although the repair of two experimentally induced DSBs on heterologous chromosomes can cause chromosome translocations [149], the DNA intermediates of spontaneous chromosome translocations are unknown. Conceivably, interactions between a DSB and a DSG, or between two DSGs, might occasionally resolve as a chromosome rearrangement.

This review has not touched upon the contributions of BRCA1 and BRCA2 to checkpoint functions [150-152], transcriptional regulation [153,154], gene silencing [81,82,155], telomere function [96] or (for BARD1) mRNA processing [156,157]. Both HR and non-HR functions of *BRCA* genes might account for the tissue specificity of cancer risk in *BRCA* gene mutation carriers [158] and the latter may be important modulators of the clinical phenotypes associated with *BRCA* gene inactivation.

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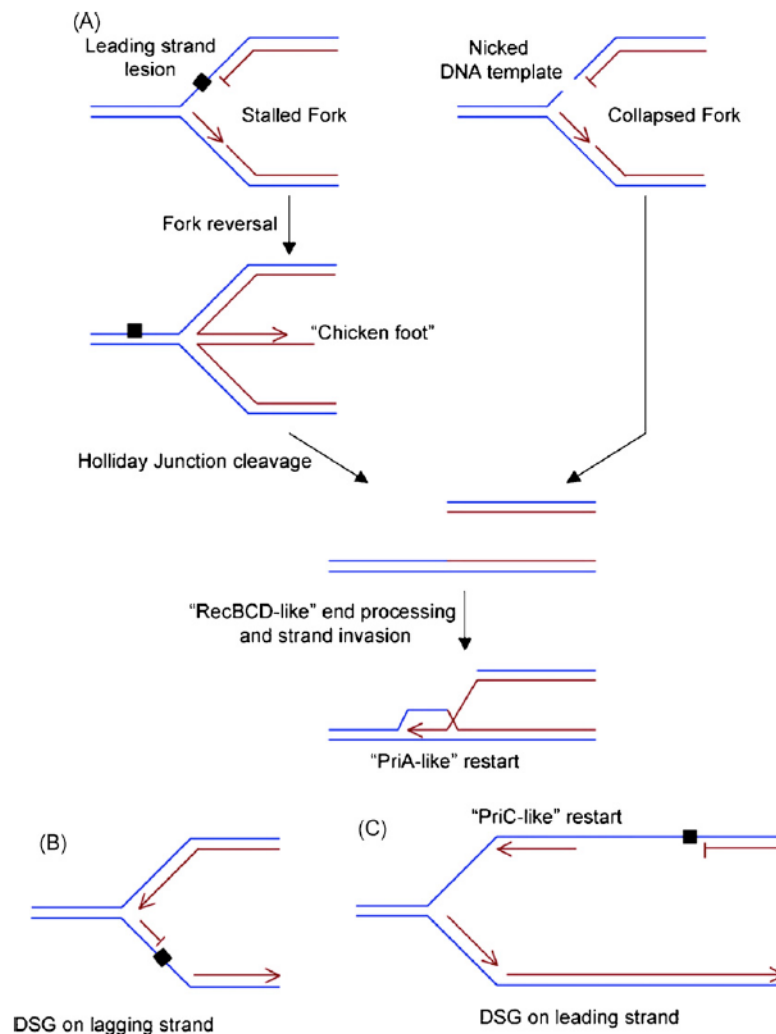


Fig. 1. Models of fork restart in eukaryotic cells. (A) Generation of DSBs at stalled forks. Leading strand lesion stalls the fork, which can undergo fork reversal to form a “chicken foot” (Holliday Junction) structure. The HJ is then processed to form a DSB. RecBCD-like functions then load Rad51, catalyzing invasion of the neighboring intact sister chromatid to form a D-loop structure. A eukaryotic PriA-like activity might prime DNA synthesis on the free 3' end of the D-loop to restart replication in an origin independent manner. (B) Formation of a lagging strand DSG: lagging strand DNA polymerase stalling need not interrupt fork progression. (C) Formation of a leading strand DSG: the lagging strand polymerase may become uncoupled from the stalled leading strand polymerase, leaving a ssDNA gap on the leading strand. A PriC-like activity might reinitiate leading strand synthesis, leaving a DSG on the leading strand. Parental strands are labeled blue. Daughter strands are labeled red. Free 3' DNA ends are marked with an arrowhead. DNA polymerase stalling lesion is indicated by a black square.

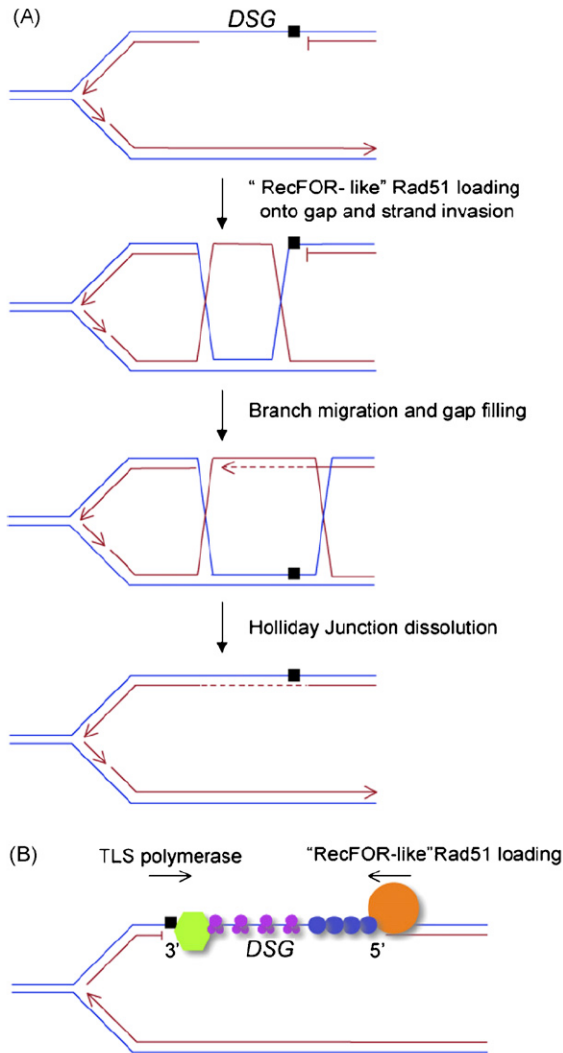


Fig. 2. HR mediated daughter strand gap repair. (A) Figure shows a leading strand DSG. RecFOR-like loading of Rad51 facilitates strand exchange with the sister chromatid without a DSB intermediate. Branch migration switches the polymerase stalling lesion to the donor sister, allowing continued synthesis of the previously blocked nascent strand. Double Holliday Junction dissolution by the Bloom’s syndrome helicase may preferentially generate non-crossover products [159]. (B) Model depicting possible competition between TLS polymerase (green hexagon) and RecFOR-like HR functions (orange circle) for DSG repair. Figure shows a lagging strand DSG. TLS and Rad51 loading are initiated at opposite ends of the DSG. Purple circles, RPA trimer; blue circles, Rad51 oligomerizing on ssDNA. Black arrows depict direction of polymerase elongation by TLS or of Rad51 nucleation on ssDNA. DNA polymerase stalling lesion is indicated by a black square. FA proteins may also regulate this choice [160].

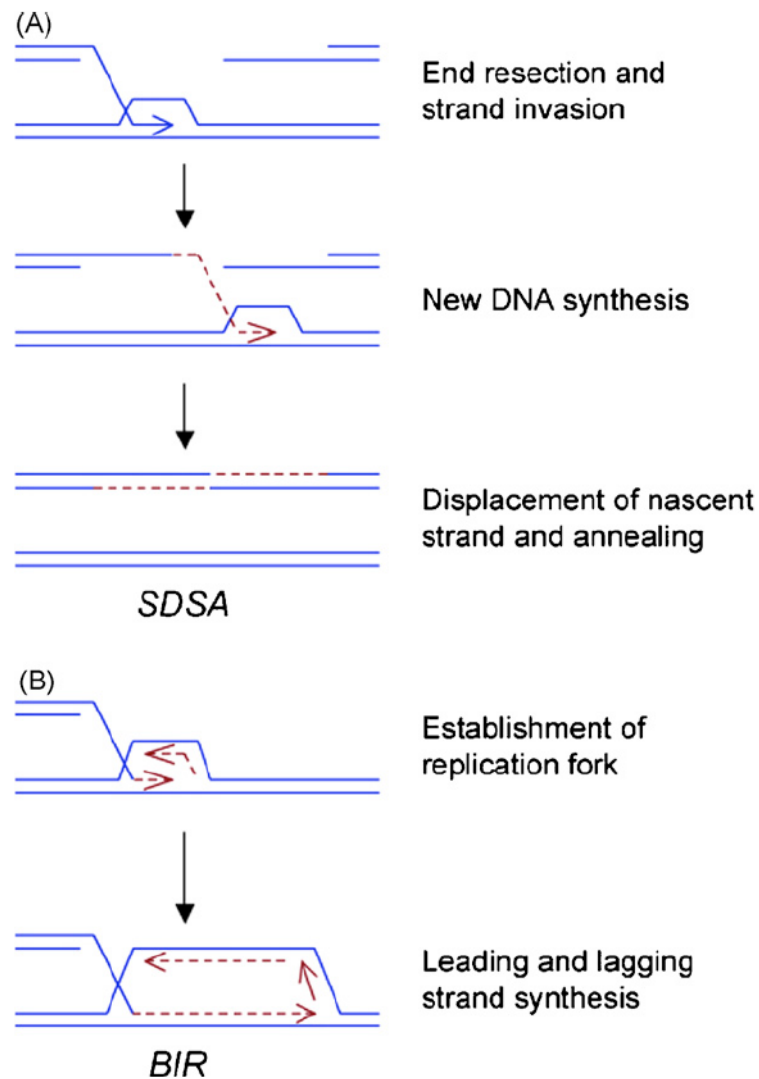


Fig. 3. Mechanism of gene conversion by synthesis dependent strand annealing (SDSA) and break-induced replication (BIR). (A) In the SDSA model, following end processing, the 3' end invades the sister chromatid and initiates repair synthesis without necessarily involving lagging strand synthesis. Repair synthesis copies a small stretch of information, after which the nascent strand is displaced and pairs with ssDNA of complementary sequence on the second end of the DSB. B. In the BIR model, a replication fork is established at the recombination joint, initiating both leading and lagging strand synthesis. Free 3' DNA ends are marked with an arrowhead. Newly synthesized DNA is depicted by a dotted red line.

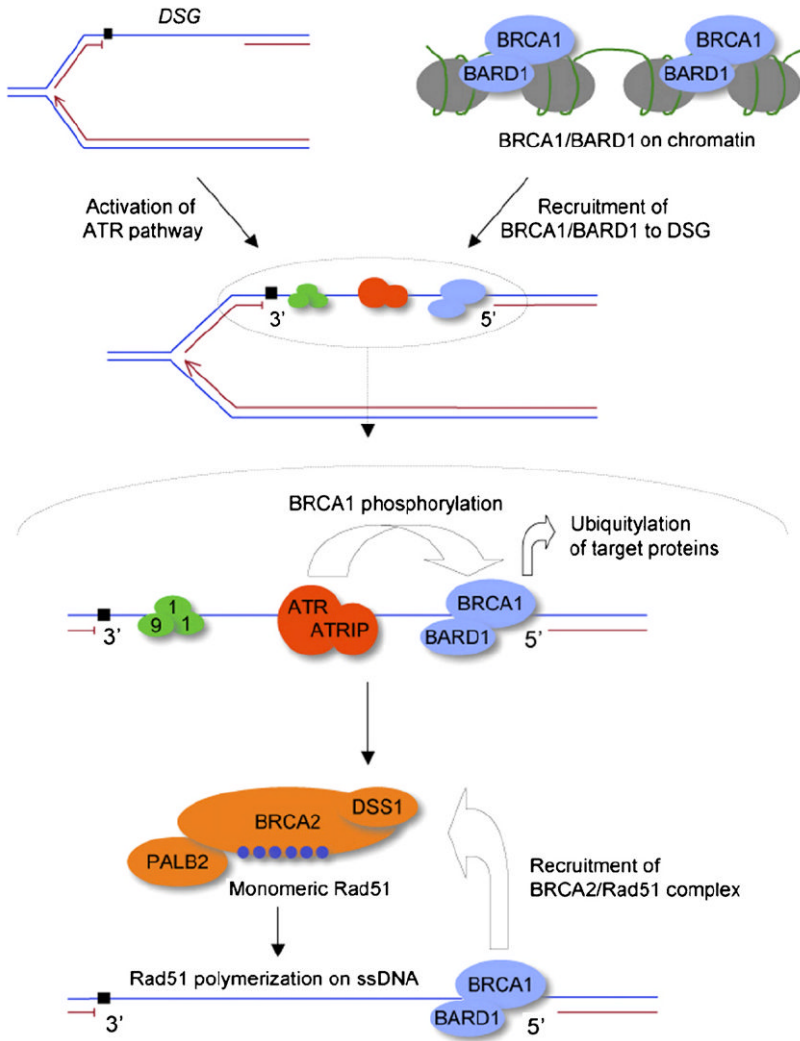


Fig. 4. Proposed roles of BRCA1 and BRCA2 in daughter strand gap repair. BRCA1/BARD1 (light blue) performs transcription/silencing functions on chromatin in the undamaged cell. An RPA-coated DSG (shown here on the lagging strand; RPA not shown) recruits Atr/AtrIP (red) and the 9-1-1 complex (green). Recruitment of BRCA1/BARD1 to DSGs may be independent of Atr. Activated Atr phosphorylates BRCA1, promoting interaction of BRCA1/BARD1 with other DNA damage response proteins (not shown), as discussed in the text. The BRCA1/BARD1 heterodimer may ubiquitylate target proteins at the DSG, and facilitates recruitment of the BRCA2/Rad51 complex (orange) for HR-mediated DSG repair. Rad51 is shown in dark blue. DNA polymerase stalling lesion is indicated by a black square. The HR function of BRCA1 depicted here is independent of the H2AX response [48].