

# RNA synthesis precision is regulated by preinitiation complex turnover

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TATA-binding protein (TBP) nucleates the assembly of the transcription preinitiation complex (PIC), and although TBP can bind promoters with high stability *in vitro*, recent results establish that virtually the entire TBP population is highly dynamic in yeast nuclei *in vivo*. This dynamic behavior is surprising in light of models that posit that a stable TBP-containing scaffold facilitates transcription reinitiation at active promoters. The dynamic behavior of TBP is a consequence of the enzymatic activity of the essential Snf2/Swi2 ATPase Mot1, suggesting that ensuring a highly mobile TBP population is critical for transcriptional regulation on a global scale. Here high-resolution tiling arrays were used to define how perturbed TBP dynamics impact the precision of RNA synthesis in *Saccharomyces cerevisiae*. We find that Mot1 plays a broad role in establishing the precision and efficiency of RNA synthesis: In *mot1-42* cells, RNA length changes were observed for 713 genes, about twice the number observed in *set2Δ* cells, which display a previously reported propensity for spurious initiation within open reading frames. Loss of Mot1 led to both aberrant transcription initiation and termination, with prematurely terminated transcripts representing the largest class of events. Genetic and genomic analyses support the conclusion that these effects on RNA length are mechanistically tied to dynamic TBP occupancies at certain types of promoters. These results suggest a new model whereby dynamic disassembly of the PIC can influence productive RNA synthesis.

[Supplemental material is available online at <http://www.genome.org>. The microarray data from this study have been submitted to the NCBI Gene Expression Omnibus (<http://www.ncbi.nlm.nih.gov/geo>) under accession no. GSE18283.]

The RNA polymerase II (Pol II) transcription machinery consists of a collection of general transcription factors (GTFs) and the multi-subunit Pol II enzyme itself (Reese 2003; Hahn 2004). Assembly of the Pol II preinitiation complex (PIC) on promoters is highly orchestrated by transcriptional regulators and coregulators that influence GTF recruitment by direct interaction with the transcription machinery and by modulating the promoter chromatin template (Hahn 2004). PIC assembly is nucleated by the TATA-binding protein (TBP), which physically interacts with multiple GTFs and DNA. TBP recruitment to promoters is often rate limiting for transcription *in vivo* (Pugh 2000).

Interaction of the TBP saddle with the TATA box results in severe bending and unwinding of the DNA (Burley and Roeder 1996). *In vitro*, the resultant complex forms a specialized, long-lived substrate for accrual of the other GTFs. Biochemical evidence indicates that a TBP-containing subcomplex remains on promoter DNA following the departure of Pol II (Hahn 2004). This complex, termed the scaffold, can facilitate transcription reinitiation *in vitro* (Hahn 2004). Although the *in vitro* evidence in support of a stable reinitiation intermediate is strong, PIC dynamics may be influenced by other factors *in vivo*. For example, stable TBP–DNA binding is antagonized by Mot1, a Snf2/Swi2-related ATPase that dissociates the TBP–DNA complex (Auble 2009). As another example, the NC2 heterodimer interacts with TBP to form an encircling clamp that allows TBP to diffuse along the DNA contour (Kamada et al. 2001; Schluesche et al. 2007). In fact, recent measurements of TBP mobility in living yeast cells demonstrate that all

detectable TBP is highly mobile, displaying Mot1-dependent FRAP recovery times of <15 sec (Sprouse et al. 2008). Importantly, while the recovery times are rapid, they are markedly slower than can be explained by diffusion and are instead consistent with transient interaction with chromatin. This suggests that the entire (or nearly entire) TBP pool is rapidly recycled, leading to rapid redistribution of TBP among chromatin binding sites.

Several fundamental questions are raised by the observed high mobility of TBP *in vivo*. If TBP is rapidly recycled from sites on chromatin, what is the nature of these sites? Given the pervasive RNA synthesis in yeast cells under these conditions, it would appear that there may be active promoters for which PICs are rapidly recycled. If this is true, how and why are such dynamics important for promoter function? When TBP dynamics are compromised, are new or different types of RNA made, or is simply the quantity at the annotated genes changed? To begin to address these questions, we developed a general genomic strategy to identify aberrant RNA species in mutant strains of interest. Surprisingly, we find that compromising TBP dynamics via a conditional mutation of Mot1 gave rise to many hundreds of changes in RNA length, the largest category of which includes transcripts that were apparently initiated properly but failed to reach the end of the gene. In parallel, we determined how Mot1 affects TBP occupancy genome-wide for comparison with the RNA effects. The results support a model in which Mot1-mediated TBP dynamics at the promoter influence transcription elongation efficiency. These results argue that in contrast to prevailing views, at many promoters, PIC dynamics can play an important role in conferring efficiency and accuracy of transcription elongation.

## Results

We first compared RNA from wild-type (WT) and *mot1-42* yeast cells using Affymetrix genomic tiling arrays that interrogate the

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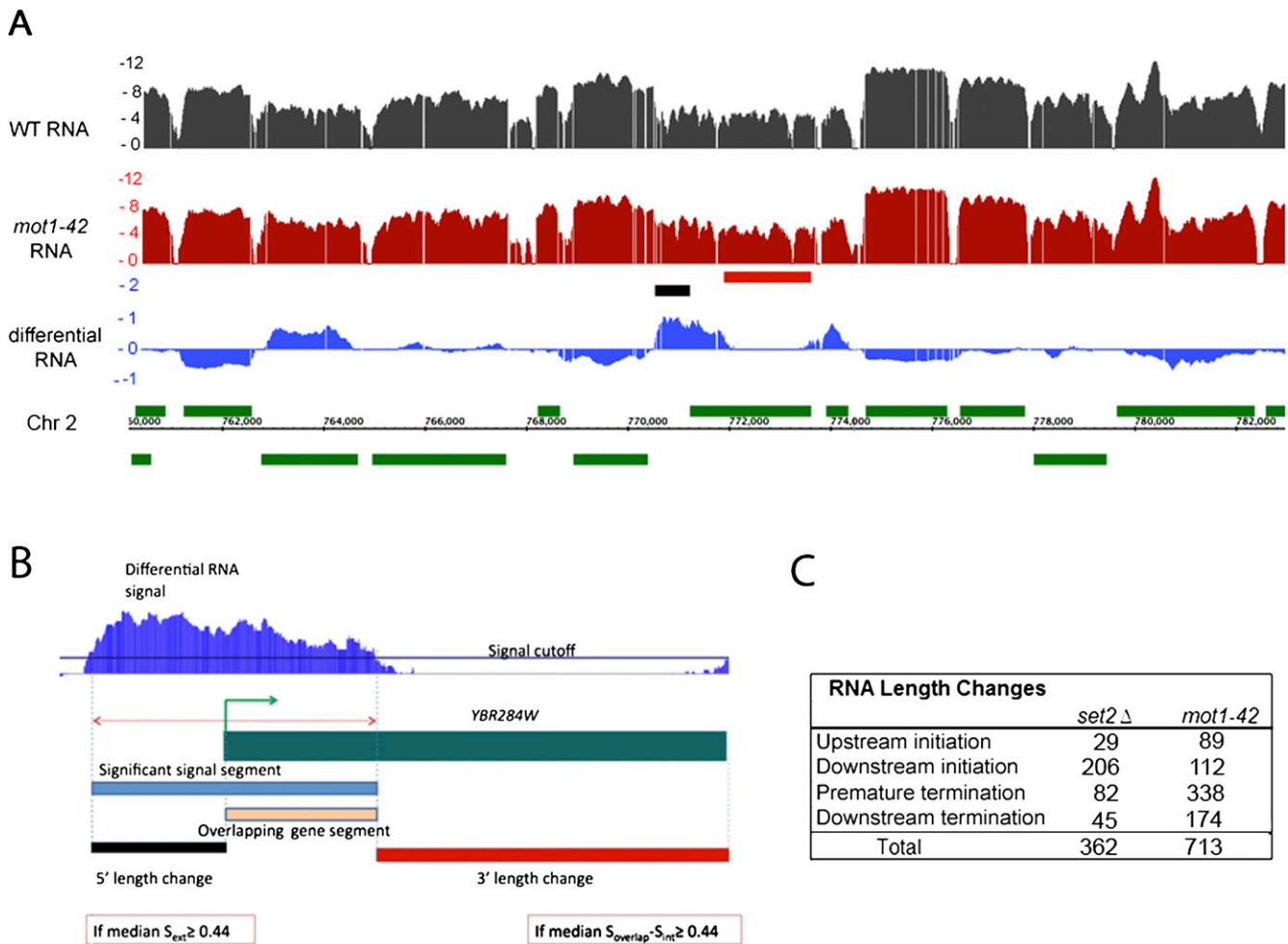
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yeast genome at 5-bp resolution. *mot1-42* is a temperature-sensitive allele that encodes a protein that is biochemically inactive in vitro (Darst et al. 2003), and prior work established that this allele induces changes in gene expression in vivo that closely parallel other severe, conditional *mot1* alleles (Dasgupta et al. 2002). WT and mutant strains were grown at permissive temperature (30°C) and then shifted for 45 min to 35°C prior to harvesting RNA. This temperature shift did not impair growth of WT cells but did dramatically inhibit growth of the *mot1-42* cells (Darst et al. 2003). We calculated the gene expression changes from the tiling array data using methods similar to those applied to conventional gene expression arrays (see Methods). These expression changes were well

correlated with expression changes defined previously (Supplemental Fig. 1; Sprouse et al. 2009), thus validating the use of tiling arrays for quantitative RNA analysis.

Although Mot1 exerts a global effect on transcription, most of these transcriptional effects are modest in magnitude. To better understand why Mot1-catalyzed TBP recycling is essential, we took advantage of the tiling arrays to determine whether Mot1-mediated TBP dynamics affect RNA precision as well as quantity. To test this idea, we developed a method to capture significant RNA hybridization signals that deviate from gene annotations (Fig. 1A,B). This approach was possible because in the overwhelming majority of cases, the WT RNA signals were closely aligned with annotated



**Figure 1.** Global changes in RNA length in *mot1-42* and *set2*Δ cells. (A) Integrated Genome Browser (Nicol et al. 2009) screen shot of log<sub>2</sub> RNA profiles in WT (black) and *mot1-42* (red) cells. The differential RNA profile (log<sub>2</sub> *mot1-42*/WT) is shown in the blue track. Annotated genes are in green, with positions above or below the chromosomal coordinate indicating whether the genes are transcribed on the top or bottom strand. The short black and red horizontal bars denote RNA length changes captured by the method, as illustrated more clearly in panel B. (B) Overview of the method, illustrated with an enlargement of the chromosomal region in the center of the screen shot in A. In the case of the gene *YBR284W*, the significant differential RNA segment found using signal log<sub>2</sub>(*mot1-42*/WT) > 0.3 (blue segment) was compared with the annotation, and aberrant transcript length changes (denoted by the red and the black segments) were calculated. Thresholds requiring a ≥100 bp overlapping gene segment and length changes ≥150 bp long were applied. *S*<sub>overlap</sub> and *S*<sub>ext</sub> refer to the differential RNA signal in the region where the differential segment overlaps (orange segment) and is external (black segment) to the annotation, respectively. *S*<sub>int</sub> refers to the differential RNA signal within the portion of the annotation that does not overlap the differential segment (red segment). By provisionally defining the differential RNA with respect to the overlapping gene, the aberrant RNAs were sorted among four groups (see text). In this example, a 5' length change is classified as an upstream initiation event, whereas the partial overlapping gene segment and consequent change in 3' length define a premature termination event. Although this example shows, for illustrative purposes, a gene with two types of RNA length changes, most of the genes with length changes in *mot1-42* cells (92.4%) displayed only a single type as indicated in the table in panel C. (C) The table summarizes the number of aberrant RNAs identified from the differential RNA signal as in B in *mot1-42* and *set2*Δ cells compared with WT cells. Of particular note is the large number of premature termination events in *mot1-42* cells and the enrichment in downstream (cryptic) initiation events in *set2*Δ cells.

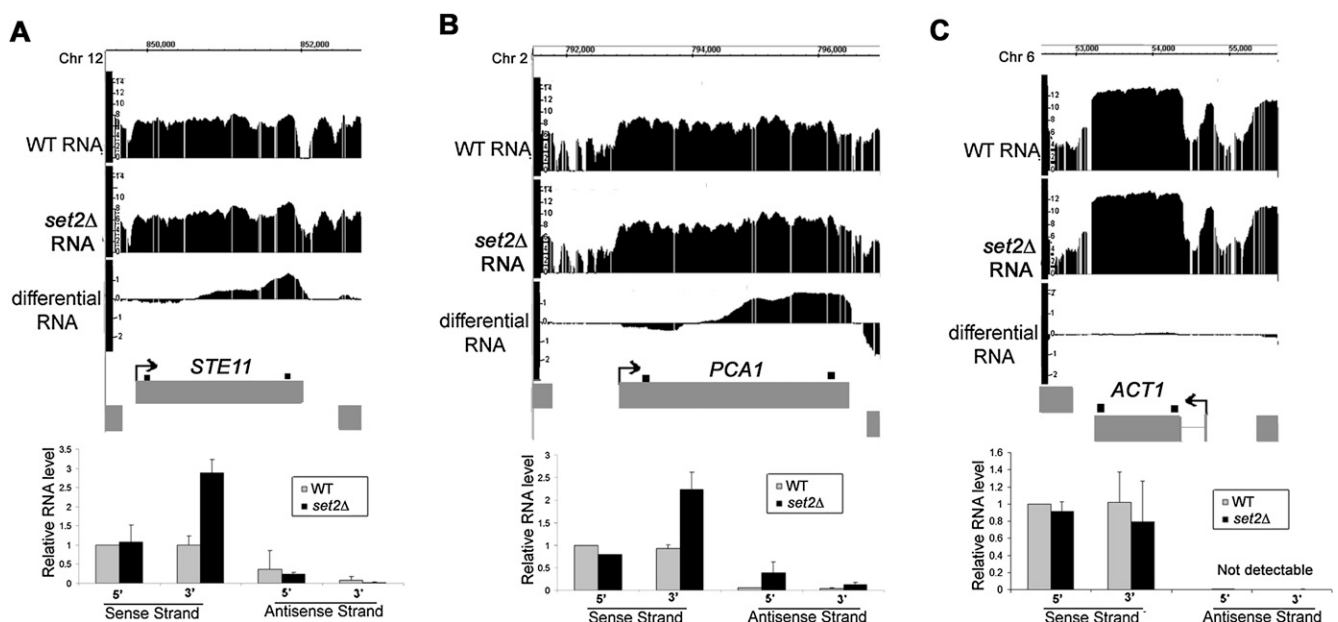
genes (an example is shown in Fig. 1A, and others are discussed below). By comparing the coordinates of gene annotations with differential RNA segments, a methodology was developed that can detect RNA segments that extend outside a gene annotation, or differential signals that overlap with only a portion of an open reading frame (ORF). The gene-dense nature of the budding yeast genome presented challenges for the analysis because differential RNA signals could potentially overlap with more than one gene annotation, giving rise to different types of apparent RNA length changes based on the relative orientation of the two genes (Supplemental Fig. S2). Nonetheless, it was possible to segregate the differential RNA signals into four categories in which the RNA segment overlap was defined provisionally with respect to the gene annotation (Fig. 1B). Thus, “upstream initiation” segments are RNAs that extended upstream of the normal transcription start site (TSS); “downstream initiation” events are RNAs apparently initiated within the ORF (also known as cryptic initiation events); “premature termination” segments correspond to RNAs within an ORF that do not include the normal 3' end; and “downstream termination” events are those in which RNA extended beyond the termination site in WT cells. Although the arrays do not provide information about the strand specificity of the hybridized RNA, the strand-specific analyses described below confirm that most, if not all, of the detected events correspond to changes in RNA sense strand abundance.

To validate the array-detected RNA length changes, RNA from *set2Δ* cells was compared with the WT using the same methodology. Loss of the H3K36 methyltransferase activity in *set2Δ* cells is well known to result in cryptic initiation within ORFs (Workman 2006). Consistent with the published data (Li et al. 2007), 206 instances of cryptic initiation were detected in *set2Δ* cells, and these “downstream initiation” events comprised the largest class of RNA length changes by far (Fig. 1C). Among the *set2Δ*-induced array-detected RNA length changes, we found and validated

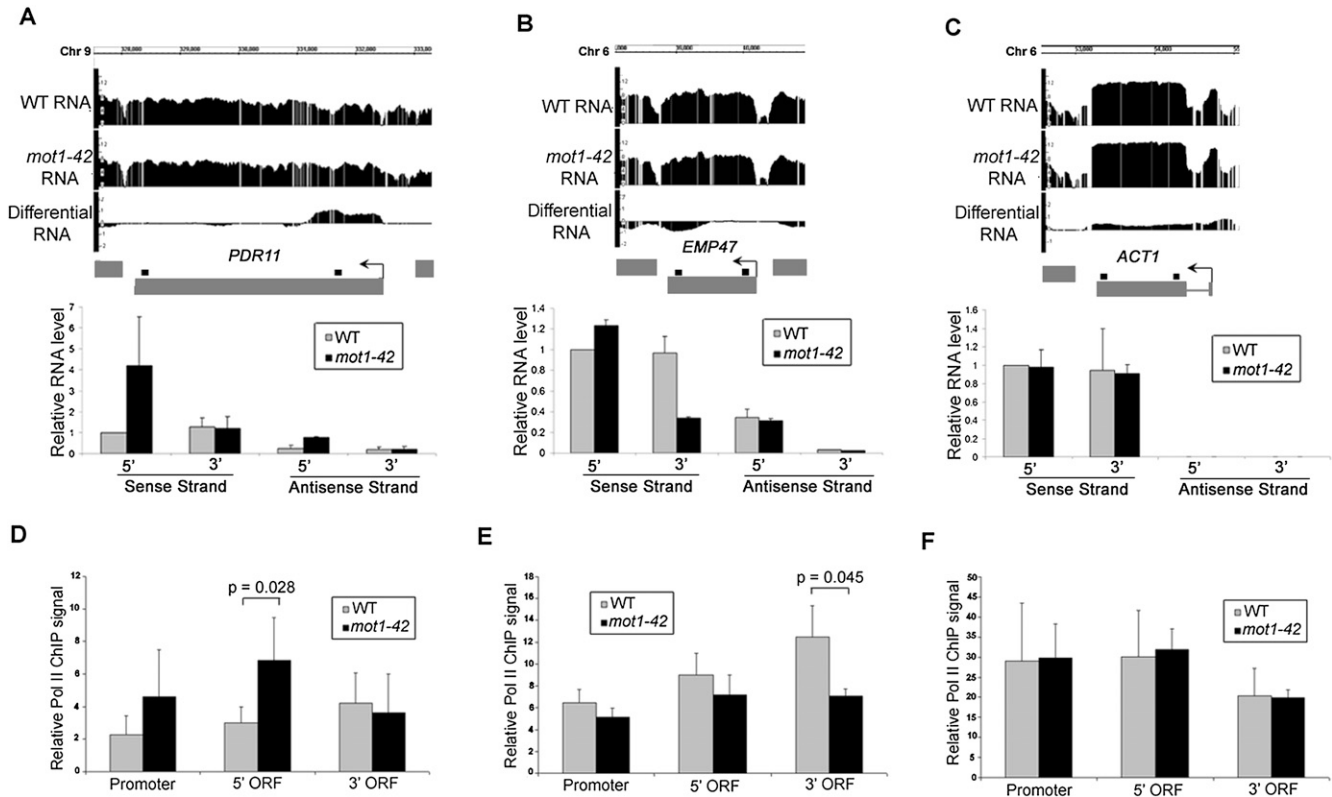
cryptic initiation in *STE11* and *PCA1*, two genes previously shown to be susceptible to cryptic initiation in *set2Δ* cells (Fig. 2; Li et al. 2007).

Using the same approach, we characterized RNA length changes from differential *mot1-42* versus WT RNA. Strikingly, twice as many aberrant RNA species were detected in *mot1-42* cells compared with *set2Δ* cells (Fig. 1C), and the *mot1-42*-induced length changes had a significantly different distribution among the four length change classes. Notably, a Mot1 defect led to 338 genes showing “premature termination,” the most prevalent class of events. The “premature termination” events fell into two categories: (1) “differential down” instances in which the RNA level was similar in the 5' end of the ORF but diminished in the 3' end of the ORF in *mot1-42* cells compared with WT (77%) and (2) “differential up” instances in which a defect in Mot1 led to increased RNA in the 5' portion of the ORF but the differential RNA failed to extend to the 3' end of the ORF (23%). Although the *mot1-42* and *set2Δ* data sets have different numbers of genes and different distributions among the length change categories, there are 12 genes that displayed premature termination in both *mot1-42* and *set2Δ* cells. While few in number, the overlap is statistically significant ( $P=0.02$ ), suggesting that elongation efficiency in some genes may be under both Mot1 and Set2 control.

Selected RNA length changes were validated by real-time PCR, including two genes with premature termination defects (Fig. 3A–C). Validation data for four other array-detected RNA length changes are shown in Figure 4, A, B, E, and F. Note that strand-specific real-time PCR showed that the differential RNA effects are attributable largely, if not entirely, to changes in sense strand abundance. The results thus far support a role for Mot1 in maintaining RNA profiles that match annotated genes but do not address whether this effect is mediated through transcription or some other effect on RNA processing. To address this question, chromatin immunoprecipitation (ChIP) was performed to assess



**Figure 2.** Confirmation of RNA length changes in *set2Δ* cells. (A–C) Integrated Genome Browser screenshots of  $\log_2$  WT, *set2Δ*, and differential RNA levels (*set2Δ*/WT) for *STE11*, *PCA1*, and *ACT1* genes. Bar graphs, relative RNA levels (arbitrary units) quantified by real-time PCR using sense- and antisense-specific 5' and 3' primer sets for each gene (shown as small black boxes above each gene). Average values are shown  $\pm$  SE obtained by analysis of two independent RNA samples for each strain. Cryptic initiation was detected in *STE11* and *PCA1*, whereas there was no significant change in *ACT1* expression.



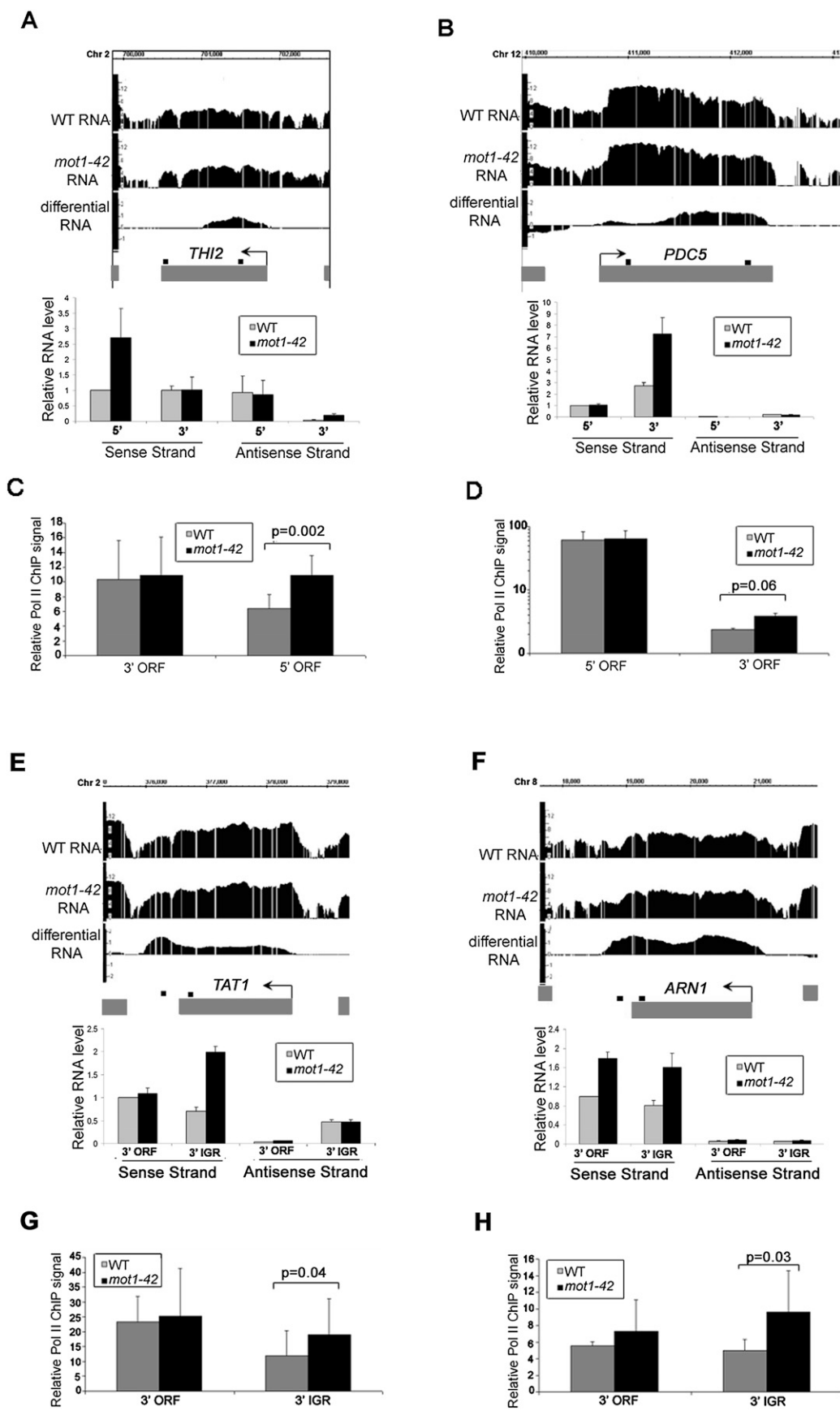
**Figure 3.** Validation of premature termination RNA length changes in *mot1-42* cells and correlation with Pol II density. (A–C, top) Screen shots showing log<sub>2</sub> WT, *mot1-42*, and differential RNA signals across *PDR11*, *EMP47*, and *ACT1* genes. (Bottom) Relative RNA levels were quantified by real-time PCR for both the sense and antisense strands. Primers were specific for either the 5' or 3' end of each gene, and the amplified product is represented by the small black square above each gene. Results shown are from the means of two independent RNA samples with associated SE. *PDR11* is an example of a gene with increased 5' transcription in *mot1-42* cells (classified as differentially up), whereas *EMP47* had similar levels of 5' RNA but less 3' RNA in *mot1-42* versus WT cells (classified as differentially down). No RNA length change was detected for *ACT1*, and the change in RNA level across the open reading frame was small ( $\leq 5\%$ ) in comparison to the total level of total *ACT1* RNA in both strains. Antisense *ACT1* RNA was not detectable. (D–F) Relative Pol II ChIP signals in the promoter, 5' end, and 3' end of each ORF. The results were obtained using the 8WG16 antibody and are shown as the mean of three independent biological replicates  $\pm$  SD. The indicated *P*-values were determined using a one-tailed paired *t*-test of the log-transformed ChIP values. Note the correspondence between the changes in Pol II ChIP and RNA length changes: The 5' ORF of *PDR11* had an increased level of Pol II in *mot1-42* cells that corresponded with increased 5' ORF RNA level. Similarly, Pol II ChIP signal was decreased in the 3' ORF of *EMP47*, consistent with the decrease in 3' RNA in *mot1-42* cells. As expected, there were no significant changes in Pol II ChIP for *ACT1*.

the Pol II density on genes for which the RNA length changes occurred. As shown in Figures 3, D through F, and 4, C, D, G, and H, differential changes in the RNA level correlated with changes in Pol II occupancy as expected if the differential RNA signals arose through changes in transcription.

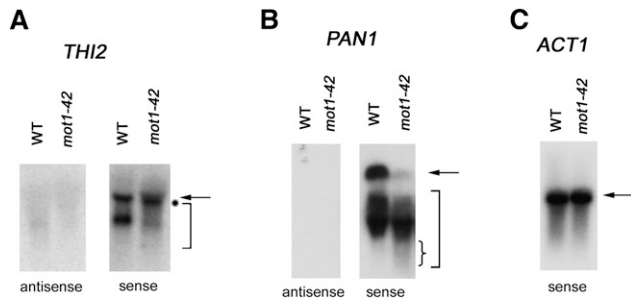
To further confirm the interpretations of the differential RNA tiling array data, we analyzed RNAs by Northern blotting using strand-specific probes. We chose genes associated with premature termination for which the full-length and predicted short RNAs were appropriately sized for detection on the blot, as well as being reasonably well resolved from each other. *THI2* displayed pre-

mature termination and was in the differentially up class. As shown in Figure 5A, primarily two species of *THI2* RNA were detected in WT cells, a full-length species and a discrete shorter RNA that has not been characterized. In *mot1-42* cells, most of the full-length *THI2* RNA was slightly shifted to a position of smaller size (marked by asterisk), and a heterogeneous smear of shorter RNAs was detected (marked by bracket). This is consistent with the tiling array and RT-PCR data showing an increase in prematurely terminated RNA in *mot1-42* cells. As expected, all of the detectable RNA was sense RNA, confirming the premature termination designation. *PAN1* was also detected as a gene associated with premature

**Figure 4.** Confirmation of cryptic initiation and 3' transcript length changes in *mot1-42* cells. (A,B,E,F) Screenshots of log<sub>2</sub> WT, *mot1-42*, and differential RNA levels for four selected genes are shown in the upper part of each panel. Relative RNA levels quantified by real-time PCR using sense- and antisense-specific primers are shown in the bar graphs. The graphs show the average  $\pm$  SE obtained by analysis of two independent RNA samples for each strain. The small black boxes above each gene indicate the locations of each primer set. (C,D,G,H) Relative RNA Pol II ChIP signals were obtained at the 5' end and the 3' end of the ORF (*THI2* and *PDC5*) or the 3' end of the ORF and the 3' intergenic region (*TAT1* and *ARN1*). The results were obtained using the 8WG16 antibody and are shown as the mean of three biological replicates  $\pm$  SD. The indicated *P*-values were determined using a one-tailed paired *t*-test of the log-transformed ChIP values. The same primer sets were used as the RNA analysis. *THI2* is an example of prematurely terminated RNA, and *PDC5* is an example of downstream initiation. In contrast, *TAT1* and *ARN1* are examples of downstream termination RNAs. For all of the genes, there is an increase in Pol II ChIP levels that correspond with the RNA length change. Note that the Pol II ChIP data for *PDC5* are shown on a log scale. The strong signal at the 5' end of the *PDC5* ORF is consistent with previously published data (Steinmetz et al. 2006).



**Figure 4.** (Legend on previous page)



**Figure 5.** Confirmation of RNA length changes by Northern blotting. Total RNA from WT or *mot1-42* cells was resolved by gel electrophoresis, transferred to nylon membrane, and probed with radiolabeled single DNA strands to detect gene-specific sense or antisense RNAs, as indicated. (A) *THI2*. Full-length RNA is indicated by the arrow. Note the slight shift in mobility of full-length RNA (indicated by the asterisk) and the smear of smaller RNA species (bracket) in *mot1-42* versus WT samples. Only sense RNA was detected. The probes spanned +240 to +930 with respect to the start of the open reading frame. (For map of probe location, see Supplemental Fig. S8.) (B) *PAN1* was identified as a Mot1-activated gene displaying premature termination in the differentially down class. Consistent with this, note the decrease in full-length RNA (arrow) in *mot1-42* cells, which was in contrast to the shorter RNAs (large bracket) whose abundance was comparable in *mot1-42* versus WT cells. However, the small RNA species were distributed such that the shortest RNAs were more prominent in *mot1-42* cells compared with WT (denoted by small brace). Only sense RNA was detected. The probes spanned +420 to +864 with respect to the start of the ORF. (For screen shot of *PAN1* tiling RNA signals and map of probe location, see Supplemental Fig. S8.) (C) *ACT1* control RNA was detected with a sense-strand specific probe. As expected, discrete full-length bands were detected in both WT and *mot1-42* cells, with no quantitative difference between them. The *ACT1* probe spanned +14 to +184 with respect to the start of the ORF.

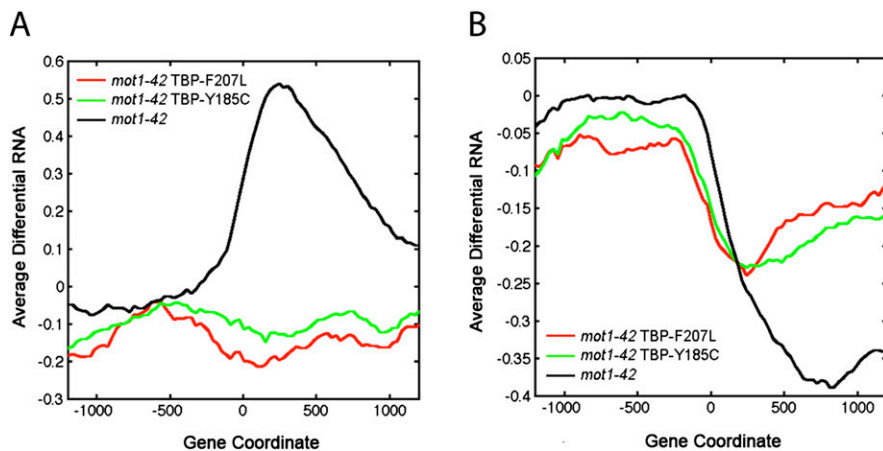
termination, but in the differentially down class. *PAN1* is a Mot1-activated gene, and as expected, there was less full-length *PAN1* RNA in *mot1-42* cells compared with WT. A smear of shorter *PAN1* RNA was readily detected, and as expected for a gene in the differentially down class, *PAN1* short RNA was at least as abundant in *mot1-42* cells as it was in WT cells. Moreover, we observed that the shortest RNA species detected were more abundant in *mot1-42* cells compared with WT cells (small bracket). As expected, all of the detectable *PAN1* RNA was sense RNA. In contrast, *ACT1* RNA was a discrete species unaffected by *mot1-42* (Fig. 5C). Interestingly, the presence of shorter *THI2* and *PAN1* RNA in WT cells suggests that these genes are prone to premature termination even in WT cells but that these effects are exaggerated by mutation of *MOT1*.

In a previous study (Sprouse et al. 2009), we identified two TBP alleles that bypass the requirement for Mot1 in vivo. These TBPs restored appropriate expression levels to many Mot1-regulated genes in vivo and allowed cells to grow without Mot1, which is otherwise essential (Sprouse et al. 2009). Biochemically, the bypass TBPs were defective for interaction with other GTFs or DNA, consistent with the critical activity Mot1 provides in destabilizing TBP-containing complexes in vivo (Sprouse et al. 2009). RNA tiling array analysis demonstrated that the two TBP bypass alleles suppressed the premature termination RNA length changes observed in *mot1-42* cells (Fig. 6A,B). Suppression of the premature termination RNA synthesis from the differential up class was essentially complete, whereas the bypass TBPs partially restored efficient RNA synthesis to the differentially down gene class. Interestingly, the bypass TBPs were able to suppress each of the other RNA length change classes as well (Supplemental Fig. S7). We conclude that the *mot1-42*-mediated effects on RNA length can be explained by a direct effect of Mot1 on TBP dynamics.

Computational approaches were employed to determine if there are promoter features or aspects of local genomic organization that correlate with the RNA length changes observed in *mot1* cells. First, we found that upstream initiation and downstream termination classes of RNA length changes are enriched in genes whose promoters possess TATA boxes (Table 1; Basehoar et al. 2004). The TATA box association with premature termination genes was only detected for the differentially up class; the differentially down premature termination genes are significantly underrepresented in TATA-containing genes. In contrast, no significant TATA box enrichment or depletion was seen for the downstream initiation genes. These results argue that the nature of the core promoter is related to the propensity to generate a certain type of aberrant RNA. This observation provides further support for the notion that these RNA length changes result from direct, promoter-mediated effects on transcriptional elongation.

Recent work has revealed two classes of noncoding RNA in yeast, termed SUTs (stable unannotated transcripts) and CUTs (cryptic unstable transcripts) (Wyers et al. 2005; Davis and Ares 2006; David et al. 2006; Neil et al. 2009; Xu et al. 2009). An extensive and detailed investigation uncovered no statistically significant relationship between the occurrence of a particular type of aberrant RNA in *mot1-42* cells and the occurrence of a SUT or CUT flanking or within the annotated gene in which the RNA length change was detected (Supplemental Fig. S6; data not shown). As a second approach, we classified annotated genes as Mot1-activated, Mot1-repressed, or Mot1-unaffected, based on the overall change in RNA level quantified in the gene-centric analysis of the tiling data described above (Supplemental Fig. S1). Interestingly, in this case, significant relationships were discovered between Mot1-regulated genes and the presence of a SUT or CUT proximal or overlapping the annotation. As shown in Figure 7, Mot1-repressed genes (scored as differentially up) are enriched in genes with a SUT that overlaps their transcribed regions. These SUTs are transcribed in the opposite sense as the affected gene. On the other hand, Mot1-activated genes (scored as differentially down) tend to have an overlapping CUT near the 3' end of the gene. Again, these CUTs are transcribed in the opposite sense as the affected gene. Although the underlying mechanisms are unknown, collectively, these results suggest that Mot1's effects on transcription are influenced by antisense transcription of SUTs or CUTs with a particular proximal relationship to the affected gene.

Next, we sought to correlate TBP chromatin localization with the global changes in the transcription observed in *mot1* cells. Published work has documented TBP distribution genome-wide (Kim and Iyer 2004; Zanton and Pugh 2004; van Werven et al. 2008; Venters and Pugh 2009), as well as the Mot1 distribution and its correlation with TBP genome-wide (Geisberg and Struhl 2004; Zanton and Pugh 2004; van Werven et al. 2008). To determine the genome-wide dependence of TBP localization on Mot1 and to compare Mot1-mediated localization to changes in RNA length, ChIP with microarray hybridization (ChIP-chip) was performed using these same tiling arrays. These results allowed us to define the locations of TBP binding as well as the relative TBP occupancies genome-wide in both WT and *mot1-42* cells. To distinguish productive TBP binding from nonproductive binding to Pol II promoters, ChIP-chip was performed in parallel for TFIIB. The results obtained with WT cells are in good agreement with published data (van Werven et al. 2009; Venters and Pugh 2009). For example, TBP and TFIIB were localized near each other and primarily in promoters, 126 and 123 bp, respectively, upstream from the TSS



**Figure 6.** Suppression of the premature termination defects in *mot1* cells by mutations in TBP. (A,B) Profiles of average differential RNA—defined as the average of the differential RNA signal as determined in Supplemental Methods (RNA Tiling Array Data)—for genes showing premature termination length changes in *mot1-42* versus WT cells (black lines). The *x*-axis indicates the position along the chromosome in base pairs relative to the start of the gene annotation (zero). For comparison, the plots show the average differential RNA profiles for these same genes in *mot1-42* cells harboring TBP-F207L versus WT (red lines) and *mot1-42* TBP-Y185C versus WT (green lines). Premature termination length effects were subclassified depending on whether the differential signal was positive (A, 77 genes) or negative (B, 264 genes). Average signals were obtained by smoothing over a 30-bp window.

(Fig. 8A,B; Nagalakshmi et al. 2008). As expected, these locations correlate well with nucleosome-free regions (Supplemental Fig. S5; data not shown; Whitehouse et al. 2007). In addition, although there was a weak positive correlation between TBP promoter occupancy and gene expression, TFIIB promoter occupancy in both WT and *mot1-42* cells tracked much more closely with RNA level (Fig. 8C).

Interestingly, in *mot1-42* cells, TBP promoter occupancies were increased genome-wide compared with WT cells (Fig. 8A,B). More detailed analyses supported the conclusion that overall, TBP occupancies increased in promoters across the board regardless of whether Mot1 exerted a detectable effect on expression of the gene (Fig. 8; data not shown). The implications of these observations are discussed below. Figure 8C shows the global relationship between TBP promoter occupancy (*x*-axis), TFIIB promoter occupancy (*y*-axis), and RNA level (color). The diagonal arrow shows that for a significant number of genes, increasing TBP and TFIIB promoter occupancy was correlated with increasing RNA level, as indicated by a transition in the color along the diagonal from green (lower RNA level) to brown/red (higher RNA level). However, the plot also shows that TFIIB promoter occupancy was much better correlated with RNA level than TBP, as indicated by the substantial number of genes with low associated RNA levels (colored green) but with high TBP occupancy (demarcated by the rectangle). The global effects of Mot1 on gene expression, as well as TBP and TFIIB occupancy, are shown in Figure 8D. Differential TFIIB promoter occupancy was reasonably well correlated with differential expression mediated by loss of Mot1. Note that an increase in gene expression in *mot1-42* cells (brown/red) was generally associated with an increase in TFIIB promoter occupancy (higher *y*-axis value), whereas decreases in gene expression (green) generally displayed a decrease in TFIIB promoter oc-

cupancy (lower *y*-axis value). In contrast, there was no obvious correlation between the change in gene expression (color) and the change in TBP promoter occupancy (*x*-axis value). Figure 8, E and F, supports these general conclusions. These histograms show how the global distributions of TBP and TFIIB changed in *mot1-42* versus WT cells. Most promoters (60%) had increased TBP occupancies in *mot1-42* versus WT cells, as illustrated by the large peak of positive differential TBP in Figure 8E. The small peak centered over zero indicates that there were some genes (20%) whose TBP occupancies did not change, and the left-hand shoulder reflects promoters whose TBP occupancies decreased in *mot1-42* cells. In contrast, as shown in Figure 8F, the two large peaks indicate that TFIIB occupancies were increased or decreased at roughly equal numbers of promoters (39% increased and 42% decreased). The correlation of the differential TFIIB signal with differential RNA (Fig. 8D) indicates that the bimodal distribution of differential TFIIB in Figure 8F was a conse-

quence of the nature of the Mot1-mediated transcriptional effect. To further explore the nature of Mot1-mediated effects on TBP, a peak finding algorithm was employed to map more precisely the loci of protein binding (Supplemental Fig. S3). Most promoters possessed single TBP peaks (67.4%); about a third (32.7%) possessed TFIIB peaks; and close to half of the detected TBP peaks (47.3%) were associated with a TATA motif (Supplemental Fig. S4). Thus, in many instances the effects of Mot1 on TBP and TFIIB promoter occupancy appear to reflect changes in the occupancies of single, discrete complexes formed on promoters.

Finally, using several computational approaches, we investigated the relationship between TBP occupancy and the RNA length changes that occurred in *mot1-42* cells (see Supplemental materials and Methods). For the altered initiation events in particular, the relatively small number of affected genes made statistical analysis difficult. Nonetheless, we observed that the “downstream initiation” events appear to have a relatively straightforward origin: In *mot1-42* cells, the shift in TBP localization parallels the apparent site of initiation of the new RNA (Pearson correlation = 0.51; data not shown). This suggests that changes in initiation occurred because Mot1 failed to clear TBP from cryptic sites that can nucleate the assembly of functional transcription complexes. It is unclear how a defect in Mot1 could give rise to RNAs with

**Table 1.** Relationship between RNA length change class and occurrence of a TATA box

Length Change Class	Number of promoters with TATA boxes	Total number of genes in the length change class	Percentage		p-value
Premature Termination	51	338	15%	-	0.16
Premature Termination Mot1-repressed	24	77	31%	enriched	0.002
Premature Termination Mot1-activated	28	264	11%	depleted	0.002
Upstream Initiation	31	89	35%	enriched	<0.001
Downstream Termination	75	174	43%	enriched	<0.001
Downstream Initiation	20	112	18%	-	0.48



**Figure 7.** Relationship between Mot1-regulated genes and CUT and SUT RNAs. The diagrams illustrate the two significant relationships detected between Mot1-mediated gene expression and noncoding transcription. Mot1-activated genes tend to have an overlapping CUT transcribed in the antisense direction. The CUT transcripts initiate within the transcribed region of the Mot1-activated gene, ~40 bp on average upstream of the Mot1-activated gene termination site. In contrast, Mot1-repressed genes tend to have an overlapping antisense SUT. These SUTs initiate ~40 bp on average downstream from the termination site for the Mot1-repressed gene.

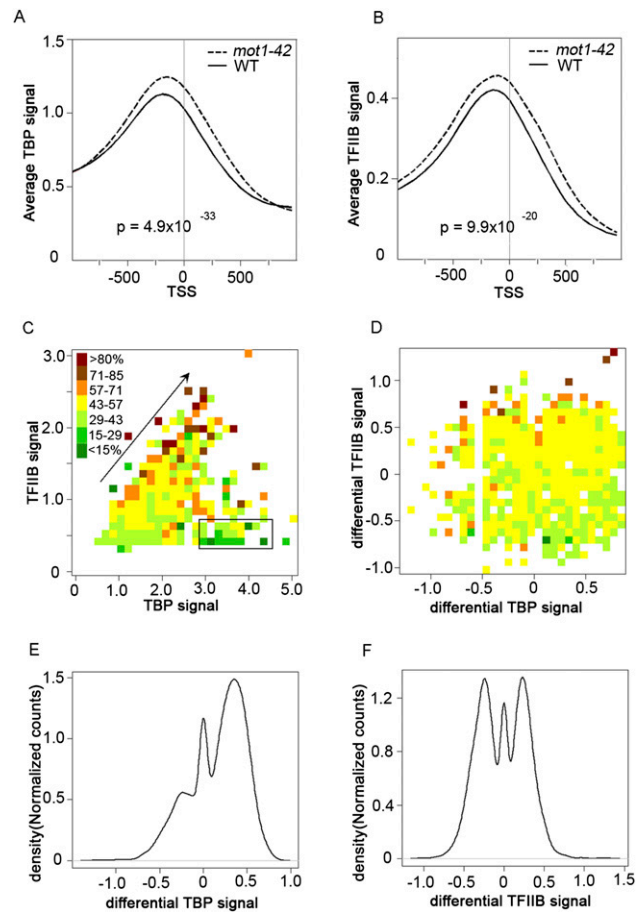
extended 3' ends, but the correlation of these different aberrant RNA species with promoter type (Table 1) suggests that termination or 3' processing events can somehow be influenced by TBP dynamics, depending on the type of promoter.

## Discussion

The results presented here reveal several new and unanticipated relationships between TBP dynamics and transcription. Notably, we find that impaired turnover of TBP at promoters correlates with the production of a predominant class of “premature termination” RNAs. The Pol II ChIP and TBP allele suppression results argue that Mot1-mediated effects on RNA length distribution are attributable to changes in transcription of the affected genes, and that these occur as a consequence of altered TBP dynamic behavior at promoters. Moreover, the genes that display RNA length changes tend to have certain promoter attributes (TATA versus TATA-less). The stochastic failure of Pol II elongation that ensues when TBP dynamics are perturbed suggests that Mot1-catalyzed clearance of TBP may be important for promoter recruitment or activity of the accessory factors that subsequently promote Pol II elongation. Another attractive model is that there may be communication between factors associated with the promoter and the elongating Pol II. A possible explanation is that a physical connection persists between the promoter and elongating Pol II, which can stall elongation some distance from the promoter. The role of Mot1 then would be to facilitate the dissolution of the TBP complex at the promoter to release a restrained Pol II. Alternatively, there may be communication between the promoter and an elongating Pol II to facilitate transit through chromatin or to ensure that downstream RNA processing events are coupled to transcription. Regardless of the mechanism, it is striking that a large number of yeast genes rely to some extent on TBP dynamics to ensure accurate and efficient RNA elongation.

The genome-wide increase in TBP occupancy observed in *mot1-42* cells compared with WT reported here fits well with the rapid mobility of essentially the whole TBP pool assessed by live cell imaging (Sprouse et al. 2008) and shows that TBP occupancy is generally limiting at promoters in vivo. On the other hand, although Mot1 regulates a substantial proportion of the transcriptome, not all yeast genes are affected in *mot1-42* cells. Presumably, the Mot1-affected genes are especially sensitive to TBP occupancy, whereas other genes are rate-limited in some other critical step of the transcription cycle. A recent study concluded that TBP turnover rates are different at different classes of promoters (van Werven et al. 2009). This study relied on a replacement strategy in which expression of a differentially tagged form of TBP

was induced and the rate of its association with promoters was tracked. As the production of the new TBP pool required on the order of 30 min, this approach would not capture the very rapid Mot1-catalyzed dynamics (occurring on the order of seconds) that



**Figure 8.** Global effects of Mot1 on TBP and TFIIIB genomic distribution and correlations with transcription. Plots of average TBP (A) and average TFIIIB chromatin (B) occupancies obtained by aligning all annotated Pol II genes with respect to the transcription start site (TSS). The signals were smoothed over a 50-bp sliding window (WT, solid curves; *mot1-42*, dashed curves). In both cases, the differences in the distributions are highly significant as determined by the Kolmogorov-Smirnov test ( $P$ -values as indicated). (C) The heat map displays the transcriptome-wide relationship between TBP occupancy ( $x$ -axis), TFIIIB occupancy ( $y$ -axis), and RNA level (color) in WT cells. Each box represents one or more genes whose TBP and TFIIIB occupancies fall within the box's  $x$ -axis/ $y$ -axis values. The box color is the relative median expression value on a scale defined by the range of all medians in the data set. Note the general trend that increasing TBP and TFIIIB promoter occupancy is correlated with increasing RNA level (arrow). However, the correlation between TFIIIB and RNA levels is better because there are genes with high TBP occupancy but low expression (black rectangle). (D) The heat map is similar to that in panel C but shows the transcriptome-wide relationship between the differential TBP signal ( $x$ -axis), the differential TFIIIB signal ( $y$ -axis), and the change in RNA level (color) in *mot1-42* versus WT cells. As in C, changes in TFIIIB occupancy are reasonably well correlated with changes in transcription, whereas changes in TBP promoter occupancy do not correlate as well with changes in RNA level. (E,F) Density distributions of the differential TBP and differential TFIIIB signals (as indicated), over the promoters for all the genes. The plots show that in *mot1-42* cells compared with WT, TBP occupancies increased at the majority of promoters. In contrast, TFIIIB occupancies increased or decreased at roughly equal numbers of promoters, consistent with changes in gene expression in both positive and negative directions.



our previous work has shown accounts for the behavior of the majority of the TBP pool (Sprouse et al. 2008). Although no genomic scale method exists yet for detection of such rapid, locus-specific dynamic behavior, the analysis of the TBP occupancy profile in *mot1-42* cells reported here significantly extends prior work by showing that Mot1 does target virtually the entire pool of promoter bound TBP in vivo. This observation provides support for a recent study that modeled the dynamic behavior of GTFs based on previously published ChIP-chip data, which found that interactions between TBP and chromatin are best described by models in which the interactions are rather transient (Samorodnitsky and Pugh 2010). Our suspicion is that GTF-promoter interactions span a wide range of lifetimes. Because the long-lived interactions detected by kinetic ChIP experiments appear to represent a relatively small (albeit very important) proportion of the total number of chromatin interactions, they may well be below the limit of detection in the live cell imaging experiments that capture the behavior of the overall GTF pool.

Recent results show that constitutive yeast genes are expressed by infrequent initiation events clearly separated in time (Zenklusen et al. 2008). These observations also fit well with our measurements of highly dynamic TBP in vivo (Sprouse et al. 2008) and suggest that, in contrast to establishing a stable scaffold, many active promoters are subject to occupancy by transcription complexes that undergo rapid cycles of assembly and disassembly. Such dynamic behavior has been speculated to be important for ensuring appropriate start site selection and timely transcriptional regulatory responses (Auble 2009). However, the results presented here support the notion of a fundamental role for PIC dynamics in the process of RNA synthesis itself. More generally, the ability to rapidly characterize the spectrum of aberrant RNAs present in a particular mutant background using the approach outlined here will likely be of use in unraveling the molecular mechanisms responsible for these effects.

## Methods

### Yeast strains and growth conditions

*Saccharomyces cerevisiae* WT and *mot1-42* strains used for RNA and ChIP analyses are derivatives of YPH499 (Sikorski and Hieter 1989) and were previously described (Darst et al. 2003; Dasgupta et al. 2005). *SET2* was replaced with the *kanMX* cassette in YPH499 cells, and proper integration was confirmed by PCR. For TBP ChIP-chip, the C-terminally myc-tagged TBP strains were derived by transformation of PCR-generated DNA fragments into the same background containing the mutant alleles (YAD155, WT; YAD156, *mot1-42*). For the RNA analysis of mutant TBPs, previously described pRS314-borne alleles of TBP (WT, Y185C, or F207L) (Sprouse et al. 2009) were transformed into AY51 (WT) or AY87 (Y185C, F207L). Yeast strains were grown in YPD at 30°C to an  $OD_{600} \sim 1.0$ . Then cells were shifted immediately for 45 min to 35°C and were harvested for isolation of total RNA or treated for ChIP as previously described (Dasgupta et al. 2005).

### Expression analysis

Total RNA was isolated using hot-acid phenol extraction (Schmitt et al. 1990). For the tiling arrays, cDNA was synthesized from 7  $\mu$ g of total RNA using the Affymetrix GeneChip WT Double-Stranded cDNA Synthesis Kit as recommended by the manufacturer, but with the addition 0.4 mM dUTP for subsequent fragmentation and biotin end-labeling. dsDNA was purified using the GeneChip Sample Cleanup Module (Affymetrix, Inc.). Fragmentation and

labeling were performed using the GeneChip WT Double-Stranded DNA Terminal Labeling Kit. Fragmented DNA was confirmed to be between 25 and 100 bp using the Agilent RNA 6000 Nano Kit and an Agilent 2100 Bioanalyzer. Efficient labeling was confirmed by gel shift assay using NeutrAvidin. Samples were hybridized to *S. cerevisiae* Tiling 1.0R Arrays (Affymetrix), and raw data were generated by the Microarray Core Facility at the University of Virginia (Charlottesville). WT, *mot1-42*, and *set2 $\Delta$*  RNA analyses were performed using two independent biological replicates for each. For the experiment in Figure 5, A and B, WT (TBP), *mot1-42* (TBP-Y185C), and *mot1-42* (TBP-F207L) RNA was analyzed as a single replicate. For quantitative real-time PCR validation, RT-PCR was first performed using the iScript Select cDNA Synthesis Kit (Bio-Rad) according to manufacturer's instructions. cDNA was then quantitated by real-time PCR using iQ SYBR Green Supermix (Bio-Rad) and the Bio-Rad MyiQ Single Color Real-Time PCR Detection System. Each experiment includes two independent biological replicates. Northern blotting was performed as previously described (Dasgupta et al. 2005) using strand-specific probes obtained by single-primer PCR in reactions that included [ $\alpha$ - $^{32}$ P]dATP. In each case, the template for probe synthesis was a gel-purified PCR fragment spanning the differentially affected transcribed region. Primer sequences are shown in Supplemental Table 1.

### Chromatin immunoprecipitation

ChIP assays were performed exactly as previously described (Dasgupta et al. 2005) with the following antibodies: for TBP ChIP, anti-myc (9E10) (Dasgupta et al. 2005); for TFIIB ChIP, a TFIIB rabbit polyclonal antibody (Dasgupta et al. 2005); and for RNA Pol II ChIP, the Pol II monoclonal antibody 8WG16 (Thompson et al. 1989; Bhaumik and Green 2001). ChIP material was then used for hybridization to tiling arrays or for quantitation by real-time qPCR. For the tiling arrays, library preparation and amplification of DNA for both ChIP and mock IP samples were performed using the GenomePlex Complete Whole Genome Amplification Kit (Sigma) as described (O'Green et al. 2006) with several modifications: dUTP was added in equimolar concentration to the dNTP mix (0.4 mM), and a second amplification was performed for both the ChIP and mock samples using 10 ng of the previously amplified material. Samples were purified with the QIAquick PCR purification kit (Qiagen) prior to re-amplification and fragmentation. Duplicate samples were combined to obtain 7.5  $\mu$ g of material for fragmentation and labeling. Samples were hybridized to *S. cerevisiae* Tiling 1.0R arrays (Affymetrix), and raw data were generated by the Microarray Core Facility at the University of Virginia (Charlottesville). Three independent biological replicates were analyzed for TBP, and two independent biological replicates were analyzed for TFIIB. Quantitative real-time PCR was performed on ChIP, mock IP, and total samples as described for the RNA analysis. ChIP signals were obtained by subtracting mock IP signal from ChIP signal and normalizing against the input. ORF primers were identical to those used in the expression analysis. Three independent biological replicates were performed for each ChIP analysis. *P*-values were obtained by log transforming the calculated ChIP signals and a one-tailed, paired, *t*-test was conducted.

### Tiling array data analysis

We performed both gene-biased and -unbiased analyses of the tiling array data. For unbiased analysis, we used the Affymetrix Tiling Array Software (TAS), which quantile normalizes replicate arrays, scales their median intensity to a user-defined value, and calculates the  $-10\log_{10}(P\text{-value})$  and  $\log_2(\text{pseudo-median})$  (or signal strength) associated with a one- or two-sample Wilcoxon signed

rank test over a sliding window (Cawley et al. 2004). For the ChIP-chip analysis of TBP and TFIIB for *mot1-42* and WT strains, we applied the two-sample test of the ChIP sample compared with mock IP using a window size of 500 bp. For the total RNA analysis, we applied the one-sample test using a window size of 50 bp. For the biased analysis, a gene-centric library (CDF) file was generated from yeast gene annotations (Fisk et al. 2006) and the Affymetrix tiling array library (BPMAP) file, which contained a probe set for every annotated yeast gene composed of all probes whose central position fell within the annotated start and stop of the gene. Normalized gene expression estimates were obtained by quantile normalizing the arrays and applying GCRMA. Lists of differentially expressed genes were obtained using the *limma* package in Bioconductor and applying a 5% false discovery rate cutoff. Additional methods are described in the Supplement. Tiling array data have been deposited in the NCBI Gene Expression Omnibus under accession number GSE18283.

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