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## Establishing an unusual cell type: How to make a dikaryon

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### Summary

The dikaryons of basidiomycete fungi represent an unusual cell type required for complete sexual development. Dikaryon formation occurs via the activities of cell type-specific homeodomain transcription factors, which form regulatory complexes to establish the dikaryotic state. Decades of classical genetic and cell biological studies in mushrooms have provided a foundation for more recent molecular studies in the pathogenic species *Ustilago maydis* and *Cryptococcus neoformans*. Studies in these systems have revealed novel mechanisms of regulation that function downstream of classic homeodomain complexes to ensure that dikaryons are established and propagated. Comparisons of these dikaryon-specific networks promise to reveal the nature of regulatory network evolution and the adaptations responsible for driving complex eukaryotic development.

### Introduction

Sexual modes of reproduction have been maintained throughout eukaryotic life over very long spans of evolutionary time, indicating the apparent advantage that sex confers on fitness [1]. The advantage of sex, however, can only be realized through the mixing of nuclear material from genetically distinct parents. In most cases, this occurs during gamete fusion, where the processes of cellular fusion (plasmogamy) and nuclear fusion (karyogamy) are coupled and occur in concert [2]. For example, during metazoan zygote formation, sperm and egg cell membranes fuse, and the two nuclei fuse immediately thereafter. In some systems, however, karyogamy does not coincide with cellular fusion. Following cell fusion in these systems, the two parent nuclei remain distinct. In many fungi, when two mating partners undergo cellular fusion, the resulting bi-nucleate cell grows filamentously, with each filament cell maintaining two independent nuclei that are replicated in a coordinate fashion (Figure 1) [3,4]. This growth stage is referred to as the dikaryon or dikaryotic filament. The largest and most studied group of organisms with a prolonged dikaryotic stage is the basidiomycete fungi. This phylum includes mushrooms, bracket fungi, and many plant pathogens, including the corn smut *Ustilago maydis* [5]. The basidiomycetes also include the globally distributed human pathogenic *Cryptococcus* species, which are among the leading causes of fungal meningitis [6].

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Despite the complexities of managing two nuclei per cell throughout very long multicellular filaments, the dikaryon predominates as the vegetative growth form for many basidiomycete fungi and most mushroom species [7]. The advantages conferred by dikaryotic growth are currently unknown, but there is evidence that dikaryotic cells may have fitness advantages over their monokaryotic counterparts. When grown in culture, dikaryons of the mushroom *Schizophyllum commune* have been observed to diverge phenotypically to a much greater extent than monokaryons [7]. These data suggest that dikaryotic growth provides an increased potential for genetic and phenotypic variation. It is not known if these findings apply to dikaryotic cells of *Cryptococcus neoformans* and *U. maydis*, where the dikaryon is a developmental rather than vegetative cell type [8,9]. Despite their transitional natures, the dikaryotic phases in these systems are of particular interest because of their apparent importance to pathogenesis. Dikaryons are the infectious cell type of *U. maydis* and other plant pathogens [10] and dikaryotic growth of *C. neoformans* is required for the formation of spores, potential infectious particles in human cryptococcosis [11]. Characterization of the dikaryotic cell type is providing insights into the evolution, ecology, and pathogenesis of the basidiomycete fungi.

## Genetic Determinants of Dikaryotic Growth

To date, much of what is known about dikaryons of basidiomycete fungi has been garnered using cell biological and genetic approaches in the mushrooms *Coprinopsis cinerea* [12] and *S. commune* [3]. Observations in these and other systems have been fundamental in developing the current understanding of dikaryon formation and maintenance [9]. Dikaryons are formed following the fusion of two cells of compatible mating types. Mating types in fungi are specified by information at Mating Type (*MAT*) loci, specialized regions of fungal genomes akin to the sex chromosomes of larger eukaryotes. *MAT* alleles must differ between mating partners for complete sexual development to take place. Most basidiomycete fungi contain two unlinked *MAT* loci: one encoding pheromones and pheromone receptors and the other encoding homeodomain transcription factors. These components control the initiation and continued development of the dikaryon [9] (Figure 1). In many mushrooms, cellular fusion occurs among cells of all mating types. Post-fusion, compatibility at the *MAT* locus encoding pheromones and pheromone receptors is required for proper nuclear migration as well as the fusion of specialized cells called clamps. The locus encoding the homeodomain transcription factors governs nuclear pairing and clamp formation. A different mechanism is utilized by *C. neoformans* and *U. maydis*, where compatibility of the pheromone and pheromone receptor genes is required for the initial cellular fusion event [13,14]. Following plasmogamy, a filamentous dikaryon grows in the presence of compatible homeodomain transcription factors in apparent accordance with the model shown in Figure 1 [11,15]. Although the specific nature of *MAT* locus contributions to dikaryotic growth vary among species characterized thus far, in all cases described, one feature is common: establishment of the dikaryon is mediated by a heterodimeric transcription factor complex comprised of a pair of *MAT*-encoded homeodomain proteins with one member contributed by each parent nucleus [9,16]. While studies in mushrooms have been instrumental in determining the genetic features of dikaryotic growth, the molecular and biochemical tools now available in the pathogenic basidiomycetes *U. maydis* and *C. neoformans* provide ideal opportunities to characterize the molecular mechanisms by which heterodimeric homeodomain transcription factors control establishment of the dikaryotic state.

## Heterodimer Control of Fungal Sexual Development

The paradigm of homeodomain control of cell identity and sexual development comes from the budding yeast *Saccharomyces cerevisiae*, where the  $\alpha 1$  and  $\alpha 2$  homeodomain proteins

heterodimerize following the mating of an **a** cell with an  $\alpha$  cell [17]. The **a1/** $\alpha$ 2 mechanism of diploid specification has been characterized in detail; the heterodimer transcriptionally represses 19 target genes that contain the **a1/** $\alpha$ 2 binding site GATGN<sub>9</sub>ACA in their promoter regions [18,19] (Figure 2A). The target genes function directly to confer the diploid cell phenotype in a simple one-to-many regulatory mechanism. The resulting diploid cell is incapable of mating but is competent to undergo meiosis and sporulation in response to appropriate nutritional signals [17].

In basidiomycetes, homeodomain heterodimer activity obeys the basic paradigm established in *S. cerevisiae*; two differentially encoded transcription factors dimerize to form a new transcriptional regulatory complex that specifies the fused cell type [19–21]. However, until recently, the molecular mechanisms underlying the *MAT*-encoded homeodomain regulation of dikaryotic growth were completely unknown. Recent work on the bE/bW complex of *U. maydis* and Sxi1a/Sxi2a complex of *C. neoformans* reveals novel regulatory networks critical for establishment of the dikaryon.

## Establishing a Dikaryon: Transcriptional Regulatory Cascade

The bE/bW complex of *U. maydis* is necessary for pathogenic growth, a process that includes dikaryon formation, a cell cycle arrest, appressorium formation, and plant penetration [10]. These events require the homeodomains of both proteins, and it is likely that both contribute to DNA binding in vivo [20]. Molecular studies have identified the bE/bW DNA binding site (*bbs*) in a small number of promoters. It spans approximately 20 nucleotides, and among the instances described, contains the core sequence TGAN<sub>9</sub>TGA [22–26]. bE/bW binding to the *bbs* results in transcriptional activation of the direct targets identified thus far, which contrasts with **a1/** $\alpha$ 2 regulation in which binding to direct targets results only in transcriptional repression [18] (Figure 2A, B).

Microarray studies of *b*-inducible strains filamenting in the absence of host tissue have identified hundreds of genes with bE/bW-dependent expression patterns, likely including both direct and indirect targets [23,27]. In contrast, *S. cerevisiae* **a1/** $\alpha$ 2 influences the levels of fewer than 25 genes, and nearly all appear to be regulated directly [18]. To identify genes regulated directly by the bE/bW, Heimel *et al.* analyzed 39 candidates whose transcription was induced by *b*-complex activity [28]. Of these, four were of particular interest because, when deleted, they all showed phenotypes related to dikaryotic growth and they encode putative transcription factors: *rbf1*, *biz1*, *hdp1*, and *hdp2*. *rbf1* contains identifiable *bbs* sequences in its promoter, suggesting that *rbf1* is a direct target of bE/bW. However, *biz1*, *hdp1*, and *hdp2* do not appear to be direct targets. These findings suggest that bE/bW initiates a transcriptional cascade with numerous tiers of activation controlling processes crucial to dikaryotic growth (Figure 2B).

Of the morphological and transcriptional changes induced by bE/bW, the majority are controlled by Rbf1, a putative C<sub>2</sub>H<sub>2</sub> Zinc finger transcription factor [28]. *rbf1* mutants show defects in very early steps of pathogenic growth and do not form appressoria or undergo the cell cycle arrest required for plant invasion. Expression of *rbf1* in *b*-deletion strains is sufficient to promote the normally *b*-induced cell cycle arrest and initiate filamentous growth. Additionally, combinatorial microarray experiments found that Rbf1 is necessary for >90% and sufficient for >50% of *b*-induced transcriptional changes, including the activation of *biz1* and *hdp1*. Direct evidence for the cascading regulatory model was recently attained via chromatin-immunoprecipitation (ChIP) experiments, which localized bE to a region of the *rbf1* promoter that contains a site very similar to the previously described *bbs*. These findings indicate that Rbf1 is a master regulator of dikaryotic growth downstream of bE/bW [28] (Figure 2B).

A possible direct target of *rbf1* is *biz1*, another C<sub>2</sub>H<sub>2</sub> zinc finger transcription factor-encoding gene. *biz1* is required for pathogenic filamentation, and its expression is dependent on both Rbf1 and bE/bW activity, although no *bbs* has been identified in its promoter [28]. *biz1* has also been shown to directly regulate *clb1*, a cyclin whose repression is required for plant invasion. This ordering of *biz1* further supports the model of a multi-tier transcriptional cascade downstream of bE/bW.

Other potential members of the bE/bW regulatory cascade are the homeodomain-encoding genes *hdp1* and *hdp2*. These genes have yet to be characterized in detail; however it is known that *hdp1* expression is dependent on Rbf1 and is potentially a direct target of Rbf1. In contrast, *hdp2* shows *b*-dependent but not *rbf1*-dependent expression, and thus, it may be functioning in parallel to Rbf1 during dikaryotic growth [28] (Figure 2B). Overall, the transcriptional regulators identified and characterized to date comprise a cascade in which early signals through bE/bW are amplified to effect dikaryotic growth.

## Establishing a Dikaryon: Network Configuration Unknown

In *C. neoformans*, the regulatory network controlling dikaryon formation is not yet known. Central to dikaryotic growth in this system, however, is the Sxi1 $\alpha$ /Sxi2 $\alpha$  homeodomain regulatory complex. Strains lacking either protein cannot form dikaryons [29,30], and while few direct targets are known *in vivo*, the Sxi proteins have been characterized *in vitro*. Studies of the DNA-binding properties of Sxi1 $\alpha$  and Sxi2 $\alpha$  reveal that both are capable of binding DNA and that Sxi2 $\alpha$  binding is specific and of high affinity, even in the absence of Sxi1 $\alpha$  [31]. This contrasts with many homeodomain heterodimers in which both partners are required for efficient DNA binding [19,32]. Protein binding microarray studies show that Sxi2 $\alpha$  binds preferentially to the core DNA consensus CAATC, which differs somewhat from the half-site bound by its *S. cerevisiae* homolog **a1** (CATC) [19] (Figure 2A, C). It is not yet known what sequence(s) are bound by the Sxi1 $\alpha$ /Sxi2 $\alpha$  complex *in vivo* or *in vitro*, although the homeodomains of both Sxi1 $\alpha$  and Sxi2 $\alpha$  are required for heterodimer function *in vivo* [31].

Microarray studies of *sxi1 $\alpha$*  and *sxi2 $\alpha$*  mutant strains have identified many genes with Sxi-dependent expression patterns (Stanton & Hull, unpublished data); however, no functional equivalent of Rbf1 has been identified. Although there are candidate homologs exhibiting sequence similarity to Rbf1, none has been observed to be regulated by Sxi1 $\alpha$ /Sxi2 $\alpha$  (Kruzel and Hull, personal observation). Given the available information on the Sxi regulatory pathway, it is as yet unclear which molecular regulatory paradigm (multiple direct targets vs. transcriptional cascade) the Sxi1 $\alpha$ /Sxi2 $\alpha$  transcriptional network will follow to establish the dikaryon and control sexual development in *C. neoformans* (Figure 2C). Continuing analyses of likely direct targets will facilitate comparisons between the *U. maydis* and *C. neoformans* systems and elucidate both common and disparate features of these heterodimer-controlled transcriptional networks.

## Basidiomycete-specific regulator: *CLP1*

A common feature of heterodimer-controlled networks among basidiomycetes characterized thus far is the regulator Clp1. The *CLP1* gene was first identified in a screen in *C. cinerea* for mutants that block homeodomain-driven dikaryon formation [33]. *clp1* mutants do not form clamp cells. Homologs of *CLP1* have subsequently been identified and functionally characterized in both *U. maydis* and *C. neoformans* [24,34,35].

In *U. maydis*, *clp1* transcription is activated by bE/bW, and its promoter contains multiple instances of the *bbs*, making it a likely direct target *in vivo* [24]. *clp1* mutants invade plant tissues but fail to replicate and propagate the dikaryon, and mutant phenotypes are consistent

with a prolonged cell cycle arrest and an inability to resume cell division. Clp1 has been shown to interact directly with bW and Rbf1 in vivo, and Clp1 overexpression negatively regulates many bE/bW and Rbf1 target genes [35]. This overexpression blocks *b*- and *rbf1*-dependent filamentation [24,35]. Working models suggest that Clp1 acts as a negative feedback regulator to relieve the bE/bW and Rbf1-dependent gene expression changes that induce cell cycle arrest. This feedback then permits re-initiation of the cell cycle and propagation of the dikaryon in planta.

In *C. neoformans*, *clp1* mutant strains are unable to form dikaryons following cellular fusion [34]. This defect resembles that of *U. maydis clp1* mutants, and supports the hypothesis that Clp1 activity facilitates escape from the cell cycle arrest that occurs preceding dikaryotic growth [24]. *C. neoformans CLP1* shows Sxi-dependent transcriptional induction, and a 19 base-pair sequence within its promoter (GTTATTGTTTTTCATTCAA) is bound by Sxi2a in vitro. This makes *CLP1* a likely direct target of Sxi1a/Sxi2a in vivo (Figure 2C). These data indicate that *CLP1* is a critical regulator of transcription whose activity is required for establishing the dikaryotic state in basidiomycete fungi.

In addition to transcriptional regulators, other *b*-dependent genes, and potential effectors of dikaryotic growth, have been identified in *U. maydis* (Figure 2B). For example, *kpp6*, encoding a MAP kinase, is required for appressoria formation and shows *b*- and Rbf1 dependent expression [27]. *pcl12*, encoding a regulatory cyclin that interacts with Cdk5, is expressed in a *b*-dependent manner, although appears to be an indirect target of bE/bW as no *bbs* sites have been identified in its promoter. *pcl12* plays a role in polarized growth occurring in concert with cell cycle arrest [36,37]. Of the other numerous putative bE/bW targets identified, the vast majority are not required for dikaryotic growth [23]. However, the characterization of homeodomain targets to date has facilitated an understanding of the molecular mechanisms by which a gene regulatory network establishes the dikaryotic state.

## Conclusions and Future Directions

Future work in the field will certainly focus on identifying additional key effectors and targets required to establish and maintain dikaryotic growth. While the *C. neoformans* and *U. maydis* systems are both robust for molecular studies, they confer distinct advantages. In *C. neoformans*, wild type sexual development occurs under nutrient-controlled conditions in the laboratory in the absence of a plant or animal host. This is in contrast to *U. maydis* where native dikaryotic growth occurs only in the context of host plant tissue, making molecular studies of wild-type dikaryon formation and subsequent development technically challenging. Features required for host interactions may not be readily distinguished from plant-independent components of dikaryotic growth.

In contrast, *U. maydis* has been an exceptional system for studying dikaryon interactions with the plant host and for gene expression studies to determine the heterodimer-controlled transcriptional network. Further studies in both systems will provide insights into eukaryotic control of sexual development. Preliminary studies in *C. neoformans* indicate that unique modes of cell-type specification will emerge. Gene expression microarray studies show that approximately 70% of genes induced during the dikaryotic stage have no recognizable protein domains or functionally characterized homologs (Kruzel & Hull, unpublished data). Thus, the basic cellular processes underlying dikaryotic growth have yet to be described. Identifying targets downstream of Sxi1a/Sxi2a that are required for wild-type dikaryosis in *C. neoformans* will provide a solid foundation for developing a model of dikaryon formation in a human fungal pathogen and provide context for discerning dikaryon vs. host-derived regulatory events in the *U. maydis* model.

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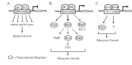
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**Figure 1. Model of dikaryotic growth**

(A) Each cell of the multicellular dikaryotic filament contains two distinct nuclei. (B) During cell division, the two nuclei are synchronously replicated via mitosis. (C) One daughter from each parent nucleus is maintained near the hyphal tip to generate the nascent filament cell. Simultaneously, one of the remaining parent nuclei moves into a clamp cell (hook-shaped cells required for proper nuclear distribution along the filament), while the other parent nucleus moves further away from the hyphal tip. (D) Septa emerge to produce a dikaryotic apical cell, monokaryotic clamp cell, and a monokaryotic subapical cell. (E, F) The clamp cell then fuses with the subapical cell to deliver its nucleus and restore dikaryosis along the filament.





**Figure 2. Mechanisms of molecular control of sexual development**

(A) The  $\alpha 1/\alpha 2$  heterodimer of *S. cerevisiae* established the paradigm for cell-identification following the fusion of mating partners. 19 targets are repressed directly by the heterodimer, resulting in the stable diploid cell type. (B) The bE/bW complex of *U. maydis* operates at the top of a transcriptional cascade responsible for establishing the dikaryon. It acts directly to induce a number of regulators, including *rbf1* and *clp1*. The transcription factor Rbf1 then induces the expression of *biz1*, *hdp1*, and *kpp6*. *hdp2* and *pcl12* show *b*-dependent expression, although this regulation has yet to be verified as direct. (C) The Sxi1 $\alpha$ /Sxi2 $\alpha$  complex in *C. neoformans* is known to act directly to induce the expression of *CLP1*. It is as yet unclear what pattern of transcriptional control will be utilized downstream of Sxi1 $\alpha$ /Sxi2 $\alpha$  activity to establish the dikaryotic state. Solid arrows indicate direct regulation; dashed arrows indicate indirect (or not yet confirmed as direct) regulation.