Crystal structures of proline-derived enamines

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The isolation and structural characterization of both aldehyde- and ketone-derived proline enaminones are reported and discussed. Crystal structures of 10 proline enamines provide information on stereochemical aspects, i.e., double bond configuration and *syn*-vs. *anti*-positioning of the carboxylate relative to the enamine double bond. Furthermore, the obtained crystal structures are compared with the density functional theory-calculated structures of the ground and transition state and the postulated Seebach-Eschenmoser transition state.

enamine catalysis | mechanism | organocatalysis

ithin the last 10 y, enamine catalysis, the catalytic activation of carbonyl compounds via enamine intermediates, has grown into a powerful approach to organic synthesis (1-4). Among the many different primary and secondary amine catalysts that have been developed in this field, the amino acid proline remains a privileged motif and there are literally dozens of reaction types that are catalyzed with this wonderful natural product (5). Proline-derived enamines of aldehydes and ketones are key intermediates in the catalytic cycles of these reactions (Scheme 1) (6-13). Surprisingly though, such enamines have remained entirely hypothetical and resisted attempts at their structural characterization. Such information, however, appears to be highly valuable toward understanding the mechanistic details with which proline catalyzes carbonyl transformations. Here we report and discuss crystal structures of a series of stabilized enamines of proline and of some of its analogues.

The main difficulty in previous attempts at characterizing proline enamines has been the tendency of carbonyl compounds to reversibly react with proline giving oxazolidinones instead of the enamines (Eq. 1). Rather than the enamine, thermodynamics favor the oxazolidinone constitutional isomer, in which one C–O-and one C–H- σ -bond are gained at the expense of one C–C- π -bond and one O–H- σ -bond:



In view of the catalytic action of proline, oxazolidinone formations with aldehyde or ketone substrates are best described as *parasitic equilibria* because they are not leading to product but inhibit its formation (14). Although aldehyde-derived "Seebach oxazolidinones" (15–17) have long been known, their ketone analogues have only recently been detected and characterized by us (14) and later isolated also by Seebach et al. (18). Interestingly, the condensation product of acetone and proline has also been detected by Marquez and Metzger using mass spectrometry (19). In light of our previous careful NMR-spectroscopic characterization of this adduct as an oxazolidinone (14), their assignment as an enamine appears to be questionable. In addition, Seebach et al. have partially characterized an ammonium salt of the prolyl enamine of cyclohexanone (18). Disappointingly though, crystal-



Scheme 1. General catalytic cycle of proline-catalyzed addition reactions of carbonyl compounds to electrophiles X = Y.

lographic data on proline enamines are entirely lacking. In fact, to the best of our knowledge and based on a search of the Cambridge Structural Database (CSD, Version 5.31, Nov. 2009) crystal structures of any proline-derived enamines have not been reported previously.

At the outset of this work several years ago, we wondered whether it is possible to crystallize proline enamines that are formally derived of 1,3-dicarbonyl compounds. We hypothesized that, in contrast to the situation of the parent unconjugated system (Eq. 1), such structures may, in fact, be more stable than the corresponding oxazolidinones because cyclization would interrupt conjugation of the vinylogous amide system (Eq. 2).



Indeed, proline-derived vinylogous amides (or enaminones) have been reported previously and characterized spectroscopically as enamines (20–23). More important for the present discus-

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Fig. 1. Potential relationships of proline-derived vinylogous amides and the proline enamine in the transition state.

sion is that such vinylogous amides may be considered transition state models of a proline enamine engaging in the reaction with an electrophile (Fig. 1). In both cases is electron density removed from the electron rich enamine- π -system. This electronic redistri-



bution should impact the enamine geometry. For example, because of the partial iminium ion character of the vinylogous amide (and in fact of the corresponding bond-forming transition state), the sp³ character and consequently pyramidalization at nitrogen should be reduced. Similarly, the enaminone conjugation will influence the bond lengths of the system such that the enamine double bond will be longer than that expected for the analogous unconjugated proline enamine. The C-N bond of the enamine system in turn is expected to be shorter, reflecting the beginning π -character of this bond. We reasoned that crystalstructural information on such vinylogous amides would provide additional valuable information on stereochemical aspects of such enamines, i.e., double bond configuration and syn- vs. antipositioning of the carboxylate relative to the enamine double bond, which corresponds to an (E)- vs. (Z)-configuration at the forming iminium ion.



Fig 3. Crystal structures of enamines 3a-3f.



Fig. 4. Crystal packing of enamine 3a, 3c, 3d, 3e, and 3f.

Additionally, such structural investigations may reveal the degree of oxazolidinone character in proline enaminones. According to a recent proposal by Seebach et al., the reaction of the proline enamine with an electrophile involves an anionic cyclization of the *syn*-configured carboxylate into the enamine α -carbon with concomitant bond formation at its β -carbon in the sense of an electrophile-induced lactonization (18). This mechanism has already been discussed by Hajos and Parrish before (24) and leads directly to an oxazolidinone. If the postulated bond formation between the carboxylate oxygen and the enamine α -carbon in the transition state would indeed contribute to its stabilization, the question may then be asked if such an interaction could not also occur in the corresponding vinylogous amide system. Alternatively, C—O bond formation may actually *destabilize* the enaminone by interrupting conjugation.

In any case, we were curious about the structures of proline-derived vinylogous enamines and speculated that their elucidation may potentially stimulate further thoughts on proline catalysis.



Fig. 5. Crystal packing of enamine **3b** viewed along the [001] direction. Only one strand of the helices is shown; the central pores are filled by consecutive strands.



Fig. 6. Superimposition of the enamine structures **3a–3f**. The red colored atoms are superimposed. Pyramidalization Δ .

Results

As mentioned before, vinylogous amides of proline have already been reported. For example, enamine **3a** has been investigated by NMR spectroscopy and was shown to consist of a mixture of *anti* and *syn* conformers (20). We found that suitable crystals for crystal structure determination could be obtained upon treatment of (*s*)-proline with 4-ethoxy-1,1,1-trifluoro-3-buten-1-one in aqueous sodium hydroxide and crystallization from diethyl ether (25). This procedure turned out to be general, and a variety of proline derivates and analogues underwent the same reaction and furnished suitable crystals for crystal structure determination (Fig. 2).

The crystal structures of the whole series of enamines 3a-3f could be elucidated successfully and yielded the solid-state molecular conformations shown in Fig. 3. The observed conformational trends warrant further discussion. The molecular shape of enamines 3a-3f is determined primarily by (*i*) the C–C-double bond configuration (*E* vs. *Z*), (*ii*) the conformation of the enamine being either *syn* or *anti* to the carboxy group, and (*iii*) by the conformation of the keto group being either *s-cis* or *s-trans* to the double bond.

First it is noted that as expected, all enamines adopt an (E)configuration in the solid state. Furthermore, although there is an equilibrium in solution between the syn and anti conformers in enamine 3a, as mentioned above, enamines 3a-3f adopt exclusively the *anti* conformation in the solid state. At the same time, all structures of this series are found to exhibit an s-cis conformation. This conformational preference is accompanied by the formation of hydrogen bonds leading to supramolecular aggregates. Besides the formation of dimers (3a and 3f), helices and catemers are observed (Fig. 4). In all crystal structures of this series, an O-H···O=C hydrogen bond between the carboxy group as hydrogen bond donor and the (CF_3) -C=O group as hydrogen bond acceptor is present. It forms the basis of the dimer between two crystallographically independent molecules in enamine 3a as well as in the centrosymmetric (inversion symmetry) dimer observed for enamine 3f. The asymmetric unit of enamine 3a contains a third independent molecule, which links via the same type of hydrogen bond in a head-to-tail fashion to the next enamine molecule, symmetry related by a 2_1 -screw axis. This hydrogen-bonding motif is also present in the crystal structures of 3c, 3d, and 3e (Fig. 4). In case of the monohydrate 3e, an



Fig. 7. Conformation of the five-membered ring at the example of **3d** and **3e** (*Left*, exo; *Right*, endo).



Fig. 8. Typical procedure for the synthesis of proline enamine 3g.

additional water molecule is inserted between the carboxy donor and the carbonyl acceptor providing an additional hydrogen bond between one of the water protons and the C=O oxygen atom of the carboxy group. Remarkably this latter potential hydrogen bridge acceptor is not utilized in any of the other structures. Another stabilizing hydrogen bond is present in the structure of **3c**. It is formed between the hydroxyl substituent of the pyrrolidine ring and the trifluoromethylcarbonyl group.

In the structure of α -methyl proline derivative **3b**, hydrogen bonds between the carboxy group and the (CF₃)–C=O group are present as well. However, in this case helices are formed, utilizing the crystallographic 4₃ screw axis. The helices are entwined further (Fig. 5) via a second 4₃ symmetry operation.

In none of the structures discussed so far is the N atom significantly pyramidalized. Furthermore, the carbon–carbon double bond and the carbonyl group together with the nitrogen atom all lie in approximately the same plane. The observed bond lengths in the enamine moiety show only small and unsystematic variations. The C=C-bond distances range from 1.3817(7) Å to about 1.3964(10) Å, whereas the enamine N–C-bond distances range from 1.3034(9) Å to about 1.3144(9) Å.

Superpositioning of the enamine part of the molecular structures **3a–3f** reveals the expected flexible conformation of the CF_3 group. Small conformational variations of the five membered rings are amplified in the corresponding carboxylates (Fig. 6).

The pyrrolidines adopt a slightly twisted envelope conformation. The magnitude of the torsion angle of one of the endocyclic C–N bonds is between 4.04° and 8.5°, which forces either C3 or C4 out of the plane. In the case of **3c** and **3d** with the substituent at C4 being *trans* to the carboxy group, the flap is formed by C4 and it is pointing to the opposite side (exo) of the ring plane with respect to the carboxy group. This holds as well for one of the independent molecules of **3a**, whereas for the second molecule of **3a** the flap is formed by C3, which is pointing to the same side (endo) as the carboxy group. The flap of the envelope is also formed by C3 for enamines **3b** and **3e**; however, in these cases the flap points again to the opposite side of the plane (Fig. 7).

Suitable crystals for crystal structure determination were also obtained upon treatment of an N-prolyl peptide [(s)-proline-(s)-alanine] with 4-ethoxy-1,1,1-trifluoro-3-buten-1-one in sodium hydroxide and crystallization of the obtained enamine (**3g**) from diethyl ether (Fig. 8).



Fig. 9. Crystal structure of peptide-derived enamine 3g.



Fig. 10. Crystal structure of enamine 3g viewed along the [010] axis.

Interestingly, and in contrast to the previously discussed crystal structures, enamine **3g** exists only in the *syn* conformation in the solid state (Fig. 9).

The enamine N–C-bond distance is 1.309(3) Å and the C=Cbond distance is 1.386(3) Å. These bond lengths are in agreement with those observed in the crystal structures of enamines **3a–3f**. Similarly, N pyramidalization (N pyramidality Δ : 0.020 Å) and planarity of the conjugated π -electron system are very similar in enamines **3a–3f**. The intermolecular interactions of this crystal structure are dominated by an O–H···O=C hydrogen bond between the carboxy group as donor and the (CF₃)–C=O as acceptor. The hydrogen bond motif is expanded via the 6₅-screw axis into four helices as shown in Fig. 10. Another hydrogen bond exists between N–H and the amide oxygen atom. This hydrogen bond links adjacent helices.

We were also able to obtain and crystallize ketone-derived proline enamines by reacting 1,3-diketones with proline in methanol (Fig. 11).

Remarkably, the structures of the ketone-derived enamines **5a** and **5b** are very similar to the corresponding aldehyde derivatives **3** (see above). Notably, the enamine double bonds in **5a** and **5b** are once again positioned *anti* to the carboxylate (Fig. 12).

Further, the proline conformation for both structures is an envelope, in which the flap is formed by C3 and is in the exo position. The C=C-bond distance is 1.3886(11) Å (**5a**) and 1.3828(8) Å (**5b**). The enamine N–C-bond distance is 1.3358 (10) Å for structure **5a** and 1.3388(7) Å for structure **5b**. The N atoms are only slightly pyramidalized [N pyramidality Δ :



Fig. 11. Synthesis of proline enamines 5a and 5b.



Fig. 12. Crystal structure of enamines 5a and 5b. The chiral center in the cyclohexanone ring of 5a is disordered resulting from crystallization of both diastereomers at the same site.

0.057 Å (**5a**) and 0.017 Å (**5b**)] and the five- and six-membered rings are almost coplanar. Also, as in structures **3**, in both ketonederived enamines, hydrogen bonds between the carboxylic acid (as donor) and the cyclohexenon carbonyl oxygen atom (as acceptor) are formed. The molecules arrange into chains in a head-to-tail fashion, which are colinear with one of the 2_1 -screw axis (parallel to the c axis at $\frac{1}{4}$ and $\frac{1}{2}$ for **5a** and $\frac{1}{4}$ and 0 for **5b**) (Fig. 13).

The crystal structures are almost superimposable and only small variations in the dihedral angle of the carboxy group are observed (Fig. 14).

Discussion

Summarizing the above results, several observations have been made: (i) Consistent with our hypothesis that resonance interruption should generally favor the enamine constitutional isomer, the corresponding oxazolidinone form is not displayed in any of the 10 structures. Also, potential near attack conformations of a carboxylate oxygen engaging in a reaction with the enamine α -carbon are not observed. Geometric constraints strongly disfavor the required orbital overlap (for C-O distances and a discussion of potential trajectories, SI Appendix). Interestingly, this also seems to be true in the anionic carboxylate state: Upon treating enaminone 3d with triethylamine (1 eq), there is essentially no structural change as confirmed by 1H-NMR spectroscopy (SI Appendix). (ii) As expected, the studied enamines exclusively display an (E)-geometry. (iii) Of the 10 structures obtained, 9 display an anti arrangement of the carboxylic acid and the enamine double bond. Only in the case of peptide derivative 3g, which lacks a carboxylic acid attached to the pyrrolidine, is the corresponding syn arrangement observed. (iv) All enamine structures show intermolecular hydrogen-bonding interactions between the carboxylic acid and the ketone carbonyl group.



Fig. 13. Crystal packing of enamine 5a (Left) and 5b (Right).

It is instructive to compare the obtained crystal structures with the calculated ground and transition state structures (Fig. 15).

As expected, the double bond length (1.382 Å) in the crystal structure of proline enaminone 3a is longer than that of the calculated enamine ground state of the proline enamine of propionaldehyd (1.341 Å) but quite similar to that in the corresponding transition state (1.385 Å) (12). These structural similarities are also observed in the ketone series: The enamine double bond length in compound 5a (1.389 Å) and in the cyclohexanone Houk transition state (1.426 Å) are significantly longer than that calculated for the corresponding ground state (1.346 Å) and also that found in a crystal structure of a proline amidederived cyclohexanone enamine (1.349 Å) previously obtained by Brown et al. (26). Moreover, the enamine C-N bond lengths in the cyclohexanone series are very similar in both the structure of 5a and the density functional theory structure of the corresponding transition state further validating our transition state/ enaminone analogy.

Conclusions

We have described the crystal structures of both aldehyde and ketone-derived proline enaminones and compared their structures with the calculated Houk–List and the postulated Seebach–Eschenmoser transition states. Obviously, one should interpret such structures carefully, and drawing conclusions on possible transition states from crystal structures is challenging in general. Nonetheless, we note that the vast majority of the 10 crystal structures we have been able to obtain are consistent with our previously proposed transition states of proline-catalyzed aldol, Mannich, α -amination, and aminoxylation reactions. After the submission of this manuscript, Schmid et al. have detected aldehyde-derived proline enamines by NMR spectroscopy (27). Remarkably, only the *anti* conformer is observed in solution similarly to the results we report within this manuscript.

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Fig. 14. Superposition of the enamine structures 5a and 5b.



Fig. 15. Comparison of bond lengths and pyramidality of proline enamines.

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