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TNF α and IFN γ contribute to F1/LcrV-targeted immune defense in mouse models of fully virulent pneumonic plague

Jr-Shiuan Lin¹, Steven Park², Jeffrey J. Adamovicz³, Jim Hill⁴, James B. Bliska⁵, Christopher K. Cote⁶, David S. Perlin², Kei Amemiya⁶, and Stephen T. Smiley¹ ¹Trudeau Institute, Saranac Lake, NY USA 12983

²Public Health Research Institute, New Jersey Medical School-UMDNJ, Newark, NJ USA 07103

³Midwest Research Institute, Frederick, MD USA 21702

⁴Defence Science and Technology Laboratory, Porton Down, SP4 0JQ United Kingdom

⁵Department of Molecular Genetics and Microbiology, Stony Brook University, Stony Brook, NY 11794

⁶United States Army Medical Research Institute of Infectious Diseases, Frederick, MD 21702

Abstract

Immunization with the *Yersinia pestis* F1 and LcrV proteins improves survival in mouse and nonhuman primate models of pneumonic plague. F1- and LcrV-specific antibodies contribute to protection, however, the mechanisms of antibody-mediated defense are incompletely understood and serum antibody titers do not suffice as quantitative correlates of protection. Previously we demonstrated roles for tumor necrosis factor-alpha (TNF α) and gamma-interferon (IFN γ) during defense against conditionally attenuated *pigmentation* (*pgm*) locus-negative *Y. pestis*. Here, using intranasal challenge with fully virulent *pgm*-positive *Y. pestis* strain CO92, we demonstrate that neutralizing TNF α and IFN γ interferes with the capacity of therapeutically administered F1- or LcrV-specific antibody to reduce bacterial burden and increase survival. Moreover, using *Y. pestis* strain CO92 in an aerosol challenge model, we demonstrate that neutralizing TNF α and IFN γ interferes with protection conferred by immunization with recombinant F1-LcrV fusion protein vaccine (p<0.0005). These findings establish that TNF α and IFN γ contribute to protection mediated by pneumonic plague countermeasures targeting F1 and LcrV, and suggest that an individual's capacity to produce these cytokines in response to *Y. pestis* challenge will be an important co-determinant of antibody-mediated defense against pneumonic plague.

Keywords

Yersinia pestis; vaccine; antibody; cytokine

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Corresponding author: Stephen T. Smiley, 154 Algonquin Avenue, Saranac Lake, NY 12983, phone (518) 891-3080, fax (518) 891-5126, ssmiley@trudeauinstitute.org.

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INTRODUCTION

Yersinia pestis causes plague, one of the world's most deadly infectious diseases. Fleabite transmission of *Y. pestis* from infected rodents to humans causes bubonic and septicemic plague [1–5]. Occasionally humans develop secondary pulmonary infections. This pneumonic form of plague is nearly always fatal and can spread from person to person via infectious respiratory droplets [6,7]. There is no licensed vaccine for pneumonic plague.

Natural outbreaks of pneumonic plague are uncommon today, but there is significant concern that *Y. pestis* could be used as an airborne bioweapon. Indeed, antibiotic-resistant strains of *Y. pestis* are known to exist, and Cold War scientists developed the technology to aerosolize large quantities of *Y. pestis* [5,6,8]. Accordingly, substantial research effort and financial investment have been devoted to the development of vaccines and other countermeasures for pneumonic plague.

Human clinical trials are underway for subunit vaccines comprised of the *Y. pestis* F1 and LcrV proteins [9–11]. These vaccines provide mice [12,13] and cynomolgus macaques [14,15] with robust protection from aerosolized *Y. pestis*. Purposefully challenging humans with *Y. pestis* is unethical. Thus, the licensure of these F1/LcrV-based vaccines, and other pneumonic plague countermeasures, will be based solely on safety data from human trials and efficacy data from animal models [16]. For products licensed in this manner, the prescribed doses and treatment regimens for humans must be extrapolated from data generated in the animal models [17,18]. Confidence in the accuracy of such extrapolations should be bolstered by a comprehensive understanding of the mechanisms of protection in the animal models.

Antibodies play key roles in the protection mediated by F1/LcrV-based vaccines. Passively immunizing mice with F1- or LcrV-specific monoclonal antibodies (mAb) confers protection from pulmonary Y. pestis challenge [19–22], and serum titers of F1 and LcrV antibody generally correlate with protection in mouse and non-human primate models [11,23]. However, serum antibody titers do not suffice to predict efficacy in all models [18,23,24]. For example, immunizing mice with live attenuated Salmonella expressing Y. pestis LcrV confers protection against plague that does not correlate with LcrV antibody titers [25]. Moreover, immunizing African green monkeys with recombinant F1-LcrV fusion protein (rF1V) confers incomplete protection against aerosolized Y. pestis and the level of protection does not reliably correlate with either F1 or LcrV antibody titers [14,15]. Given that overall antibody titers do not always suffice as correlates of protection, a number of other correlate assays have been proposed: serum from immunized animals and humans can be titered based on its capacity to (i) passively transfer protection to naïve mice, (ii) compete with a protective LcrV-specific mAb in ELISA, (iii) suppress Yersinia-induced macrophage cytotoxicity in vitro, (iv) reduce translocation of virulence factors via the LcrV-dependent type III secretion system, and (v) promote phagocytosis [11,15,24,26-30]. While each of these assays can predict vaccine efficacy in specific animal models, none have been shown to suffice as robust correlates of protection across the various rodent and non-human primate models.

Correlates based solely on measurement of antibody function may not suffice if antibodyindependent mechanisms also contribute to vaccine-mediated defense against plague, particularly if the extent to which other mechanisms contribute is variable among animal models and humans [18,23,31]. In prior studies, we demonstrated roles for cytokine products of cellular immunity during antibody-mediated defense against plague [32,33]. In a mouse model using intranasal inoculation with conditionally attenuated *pigmentation* locus (*pgm*)-negative *Y. pestis* as challenge, we demonstrated TNF α and IFN γ contribute to

passive protection conferred by therapeutic administration of F1 and LcrV-specific mAb [33]. Here, we extend those findings to both passive and active immunization models employing fully virulent *pgm*-positive *Y. pestis* as challenge. Together, the data decisively demonstrate that cytokines play key roles during F1/LcrV-targeted defense in multiple models of fully virulent pneumonic plague.

MATERIALS AND METHODS

Mice

Wild type C57BL/6 mice were purchased from The Jackson Laboratory (Bar Harbor, ME). Mice were cared for according to the Institutional Animal Care and Use Committee guidelines of the Public Health Research Institute (PHRI) and United States Army Medical Research Institute of Infectious Diseases (USAMRIID). Research was conducted in compliance with the Animal Welfare Act and other federal statutes and regulations relating to animal experiments and adheres to principles stated in the Guide for the Care and Use of Laboratory Animals, National Research Council, 1996. The facilities where this research was conducted are fully accredited by the Association for Assessment and Accreditation of Laboratory Animal Care International.

Bacteria

All studies used fully virulent *pgm*-positive *Y. pestis* strain CO92 for challenge. The intranasal challenge study was performed at PHRI and the aerosol challenge study was performed at USAMRIID. Detailed methods for challenging intranasally [34] and by whole-body aerosol [35] have been described previously.

Passive immunization

Hybridoma clones F1–04-A-G1 and 7.3 producing F1- and LcrV-specific mAb, respectively, were described previously [19,33,36]. The mAb produced by these hybridomas were purified using Protein G agarose. They contained less than 2.2 units per mg endotoxin as measured by Limulus Amebocyte Lysate assay. For passive therapy, mAb were diluted in phosphate buffered saline (PBS) and administered intraperitoneally on the day following infection at the doses listed in the figure legends.

Active immunization

The rF1V vaccine was purified by a two-step chromatographic process as described [35] and 2.9 ug rF1V was administered subcutaneously along with 500 ug of Alhydrogel (Brenntag Biosector, Denmark) in a total volume of 0.2 ml. Booster immunizations were administered 28 days later. Serum samples were collected just prior to the booster immunizations and again on day 49. Serum antibody titers were measured by ELISA as described previously [35]. On day 54, mice were challenged with 11 LD-50 aerosolized *Y. pestis* strain CO92 [35].

Cytokine neutralization

When indicated, animals were treated with 1 mg neutralizing mAb XT3.11 specific for TNF α and 600 µg neutralizing mAb XMG1.2 specific for murine IFN γ [33]. The mAb were diluted in PBS and administered intraperitoneally. Control mice received equal quantities of isotype-matched mAb of irrelevant specificity (rat immunoglobulin G1, clone HRPN). The purified mAb were supplied by Bio X Cell (West Lebanon, NH), who reported endotoxin levels less than 1.7 units per mg.

Survival endpoints and bacterial burden

In all survival studies, recumbent animals were considered moribund and euthanized. For measurement of bacterial burden, mice were euthanized by carbon dioxide narcosis on day 2 after initiating infection. Liver lobes and whole lungs were harvested aseptically and homogenized for one minute using an IKA® ULTRA-TURRAX® Disperser Workstation System (IKA Works, Inc., Wilmington NC) in 5 ml of sterile PBS. The tissue homogenates were serially diluted in sterile PBS and plated in duplicate on Congo Red Agar. Colony forming units (CFU) were counted after 72h of growth at 30°C.

Statistics

Survival data were analyzed by Log-rank tests and CFU data were analyzed by ANOVA (Kruskal-Wallis with Dunn's multiple comparison test) or Mann Whitney, as indicated (Prism 4.0, GraphPad Software). For presentation and assessment of statistical significance, CFU measurements that fell below the detection limit of our assays were assigned log10 values of 2.2 (lung) or 1.2 (liver). Log10 transformed antibody titers were compared using Students t-test.

RESULTS

Cytokines contribute to protection conferred by F1- and LcrV-specific mAb

Prior studies established that passive transfer of mAb specific for F1 or LcrV confers mice with protection against pulmonary challenge with virulent Y. pestis [19–22]. Using a mouse model employing conditionally attenuated pgm-negative Y. pestis strain D27 as challenge we recently demonstrated that neutralizing the cytokines TNF α and IFN γ abrogates protection conferred by therapeutic administration of F1- or LcrV-specific mAb, particularly when the mAb are administered at suboptimal dosages [33]. Figure 1 and Figure 2 demonstrate that cytokines also contribute to the protection conferred by passive immunotherapy in a mouse model employing fully virulent pgm-positive Y. pestis as challenge. First, we identified doses of F1- and LcrV-specific mAb that confer measurable protection (Figure 1A). Wild type C57BL/6 mice were infected intranasally with 12 LD-50 pgm-positive Y. pestis strain CO92. The next day, cohorts of mice were treated with F1specific mAb F1-04-A-G1, LcrV-specific mAb 7.3, or PBS as control. The highest treatment dose of F1-specific mAb (300 ug) was suboptimal, as it only protected 70% of the mice from mortality. In contrast, 30 ug LcrV-specific mAb conferred full protection. Even 3 ug of LcrV-specific mAb significantly increased the time to mortality, although it failed to save any mice from lethal disease.

Next we investigated roles for the cytokines at suboptimal doses of F1- and LcrV-specific mAb. Mice were infected intranasally with 12 LD-50 *Y. pestis* strain CO92. The next day cohorts were treated with PBS, F1-specific mAb or LcrV-specific mAb. Each cohort was further subdivided; half of the animals received a mixture of mAb that neutralize the activities of TNF α and IFN γ , and the other animals received isotype-matched control mAb. Mice treated with PBS, rather than F1- or LcrV-specific mAb, all succumbed to plague within 7 days of infection, regardless of whether or not they received mAb neutralizing TNF α and IFN γ (Figure 1B). In comparison with PBS-treated animals, mice that received suboptimal doses of F1- or LcrV-specific mAb exhibited markedly improved survival (Figure 1C–F). Neutralizing TNF α and IFN γ significantly reduced the survival conferred by the suboptimal doses F1- or LcrV-specific mAb (Figure 1D/F; both p<0.0001). Neutralizing TNF α and IFN γ also reduced protection conferred by higher doses of F1- or LcrV-specific mAb (Figure 1C/E), but the impact was less dramatic than that observed with lower doses. We conclude that TNF α and IFN γ contribute to antibody-mediated protection against fully virulent pneumonic plague, especially at suboptimal levels of protective antibody.

In tandem with the study described above, parallel cohorts of identically treated mice were euthanized on day 2 after infection so that bacterial burden could be assessed. As shown in Figure 2, therapeutic administration of suboptimal doses of F1- or LcrV-specific mAb significantly reduced the day 2 bacterial burden in both lung and liver tissues. In mice treated with LcrV-specific mAb, neutralization of TNF α and IFN γ significantly increased bacterial burden in the liver. In contrast, cytokine neutralization significantly increased bacterial burden in the liver, but not the lung, when mice were treated with F1-specific mAb. These data highlight the complexity of the mechanisms underlying the contributions of cytokines to antibody-mediated protection, and suggest the mechanisms of protection differ for F1- and LcrV-specific antibody.

Cytokines contribute to protection conferred by immunization with rF1V

The data in Figure 1 and Figure 2 demonstrate important roles for cytokines during protection conferred by mAb specific for F1 or LcrV. Given that F1/LcrV-based subunit vaccines induce production of antibodies that confer protection [19–22], we hypothesized cytokines may contribute to protection conferred by these vaccines. To test this hypothesis, we immunized mice with rF1V, an F1-LcrV fusion protein vaccine that recently entered human trials [10]. Specifically, we immunized C57BL/6 mice subcutaneously with 2.9 ug rF1V in alhydrogel adjuvant, and then administered a booster immunization on day 28. Control mice received adjuvant alone. Serum collected on day 49 revealed rF1V antibody titers averaging 1,700,000 in rF1V-immunized mice and less than 50 in mice that received adjuvant alone (Table 1). On day 53, half of the mice were treated with mAb that neutralize TNF α and IFN γ . The remaining mice received isotype matched control mAb. The next day, all animals were aerosol challenged with 11 LD-50 pgm-positive Y. pestis strain CO92, and then monitored for morbidity for 21 days. As shown in Figure 3, mice that received alhydrogel alone succumbed by day 5 after challenge. In contrast, all mice that received rF1V vaccine survived to at least day 5. Most of the mice that received rF1V and control mAb survived until the end of the experiment (80%), whereas only 10% of the mice that received rF1V and mAb neutralizing TNF α and IFN γ survived until day 21. The observed decrease in survival of rF1V-immunized mice treated with cytokine-neutralizing mAb, as compared with those treated with control mAb, was highly significant (p=0.0004).

DISCUSSION

Previously, we reported that TNF α and IFN γ contribute to protection against lethal pulmonary *Y. pestis* infection [32,33,37]. Perhaps most importantly, we demonstrated those cytokines contribute to protection mediated by mAb specific for the *Y. pestis* F1 and LcrV proteins [33]. However, in those prior studies we challenged mice with *Y. pestis* strain D27, a conditionally attenuated *pgm*-negative strain. While the intranasal LD-50 for D27 is only 10-fold higher than that reported for fully virulent *pgm*-positive strains [37], the time to lethality after inoculation with *pgm*-negative strains is longer than that reported for *pgm*-positive strains [37,38]. Moreover, recent studies suggest the disease caused by intranasal inoculation of *pgm*-negative strains results primarily from sepsis, whereas *pgm*-positive strains cause both severe pneumonia and sepsis [38].

While our prior studies had clearly documented roles for TNF α and IFN γ during immune defense against *pgm*-negative *Y. pestis* [32,33,37], this report is the first to extend such findings to challenge models employing fully virulent *pgm*-positive *Y. pestis*. Specifically, this report shows TNF α and IFN γ contribute to F1 and LcrV mAb-mediated defense against intranasal challenge with *Y. pestis* strain CO92 (Figure 1 and Figure 2). In addition, this report demonstrates roles for these cytokines in mice challenged with aerosolized CO92 after immunization with rF1V, a leading F1/LcrV-based vaccine candidate (Figure 3). Notably, CO92 is a member of biovar Orientalis, whereas the strain used in our prior studies

(D27) is a derivative of *Y. pestis* strain KIM, a member of biovar Mediaevalis. Thus, in combination with our prior studies, this report establishes that TNF α and IFN γ participate in defense against multiple *Y. pestis* biovar, multiple routes of pulmonary infection, studies performed by multiple sets of researchers at distinct institutions using models of both passive and active immunization. Together, the accumulated data decisively demonstrate contributory roles for TNF α and IFN γ in state-of-the-art mouse models of F1/LcrV-targeted defense against pneumonic plague.

Neutralizing TNFa and IFNy in naïve mice infected with pgm-negative Y. pestis strain D27 was previously reported to increase lethality and bacterial burden [33]. In contrast, this study failed to reveal such impacts in naïve mice infected with pgm-positive Y. pestis strain CO92 (Figure 1, Figure 2, and Figure 3). Thus, despite clear evidence that TNF α and IFN γ play significant roles during F1/LcrV-targeted defense in models employing either D27 or CO92 as challenge, significant roles for cytokines during basal defense against plague are only observed in the D27 model. We speculate this distinction may result from the delayed time to lethality observed after challenge with pgm-negative strains, which presumably provides a greater opportunity for cytokines to participate in basal levels of defense. The pgm locus contains multiple genes that impact virulence [39], as well as ripA, a gene that promotes the survival of Y. pestis with IFNy activated macrophages in vitro [40]. Moreover, the suppressed early inflammatory response that accompanies infection with pgm-positive Y. *pestis* [41–43] may delay production of TNF α and IFN γ , thus limiting their opportunity to participate in basal defense against fully virulent strains. Presumably, therapeutics and vaccines that facilitate survival beyond the early anti-inflammatory phase of infection create an opportunity for cytokine production, in turn allowing cytokines to participate in basal defense.

Measurements of bacterial burden revealed another distinction between challenge models employing *pgm*-negative and positive strains. In a prior study using a *pgm*-negative strain as challenge, neutralization of TNF α and IFN γ significantly suppressed the F1 and LcrVspecific mAb-mediated reduction in bacterial burden in both lung and liver tissues [33]. In contrast, we observed that cytokine neutralization differentially impacted the lung and liver burden when using a *pgm*-positive strain as challenge, with cytokines more prominently contributing either to the reduction of pulmonary burden by LcrV-specific mAb or to the reduction of hepatic burden by F1-specific mAb (Figure 2). One notable difference between this report and the prior study using D27 challenge is the time chosen for measuring bacterial burden, which had to be shortened from day 3 for D27 challenge to day 2 for CO92 challenge. This change was necessary since *pgm*-positive CO92 causes mortality by day 3. Detailed studies of the kinetics of bacterial growth in these models may shed further light on distinctions in the mechanisms underlying F1 and LcrV-specific mAb-mediated protection from pneumonic plague and the means by which cytokines participate in that defense.

A number of groups are working to develop F1/LcrV-targeted vaccines and therapeutics. Clinical trials cannot ethically challenge humans with virulent *Y. pestis*. Thus, one major hurdle for the licensure of pneumonic plague countermeasures is confidently establishing that any specific immunization or treatment regimen is likely to confer humans with protection. Presumably, licensure of such countermeasures will need to rely upon assays of protection correlates in animal models as tools to suggest prescribing regimen that should be efficacious in humans. To date, correlate assays have focused on antibodies, which clearly contribute to defense mediated by immunization with F1 and/or LcrV. However, serum antibody titers, at least as measured by ELISA, have not sufficed to accurately predict efficacy in primate models [14,15,24]. Improved correlate assays of antibody-mediated protection have been suggested [11,15,18,24,28,29], but relatively little attention has

Over 50 years ago, Meyer, Jawetz and colleagues reported that immune serum is unable to kill *Y. pestis* in the absence of phagocytic cells [44,45]. Nakajima and Brubaker subsequently reported that pretreatment of naïve mice with TNF α and IFN γ , confers protection from the lethal sepsis caused by intravenous inoculation of *pgm*-negative *Y. pestis* [46]. Subsequently, Nakajima et al showed that active immunization with recombinant LcrV improves the production of TNF α and IFN γ in mice challenged with *pgm*-negative *Y. pestis* [47]. TNF α and IFN γ are known to activate phagocytes, and Oyston and colleagues recently demonstrated that pretreating macrophages with these cytokines restricts the intracellular survival of *pgm*-positive *Y. pestis* [48]. In addition, we reported these same cytokines participate in both cellular and humoral defense against *pgm*-negative *Y. pestis*, including defense mediated by F1 and LcrV-specific mAb. In combination with studies demonstrating F1 and LcrV-specific antibodies can opsonize *Y. pestis* bacilli and neutralize its virulence mechanisms [15,24,26–30], the accumulating data suggest antibodies and cytokines work together to help phagocytes internalize and destroy plague-causing bacteria.

If cytokines and antibodies co-operate to provide optimal defense against plague, then correlate assays of efficacy for antibody-based countermeasures may need to consider both antibody titers and an individual's capacity to produce cytokines upon exposure to *Y. pestis*. However, until this report, cytokines had not been shown to play significant roles during protection conferred by F1/LcrV-based vaccines or therapeutics in models of fully virulent pneumonic plague. Elvin and Williamson had reported that F1/LcrV-immunized STAT4-deficient mice were poorly protected from subcutaneous challenge with virulent *Y. pestis* [49]. The STAT4-deficient mice produced robust antibody responses to F1 and LcrV, but their splenocytes exhibited a reduced capacity to secrete antigen-specific IFN γ in vitro [49]. These findings suggested type 1 immunity, typically characterized by production of TNF α and IFN γ , may be critical for optimal vaccine-mediated defense against *Y. pestis*. However, STAT4-deficiency disrupts signaling from the IL-12 receptor, which participates in myriad immune mechanisms [50], so it was not clear that impairments in TNF α and IFN γ production per se contributed to the poor protection observed in that study.

The data reported here indicate that TNF α and IFN γ contribute to F1 and LcrV-specific antibody-mediated defense against pneumonic plague, as well as defense mediated by immunization with an F1/LcrV-based vaccine. As such, attempts to predict the efficacy of antibody-based plague countermeasures in any given animal or human may benefit from consideration of both the titers of antibody and the individuals' capacities to produce cytokines upon exposure to *Y. pestis*. We anticipate that algorithms incorporating both the titer of serum antibody and the response of peripheral blood mononuclear cells to *Y. pestis* may better predict the survival of mammals challenged with plague.

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Wild-type C57BL/6 mice were infected intranasally with 12 LD-50 *Y. pestis* strain CO92. (A) The following day, they received intraperitoneal injections of PBS or the indicated doses of LcrV- or F1-specific mAb (n= 10 mice/group). In a subsequent study, the infected mice received (B) PBS vehicle control, (C) 15 ug LcrV-specific mAb, (D) 7.5 ug LcrV-specific mAb, (E) 400 μ g F1-specific mAb, or (F) 200 μ g F1-specific mAb. This time, the injections also included neutralizing mAb specific for the cytokines TNF α and IFN γ (anti-Cytokine; open symbols) or isotype-matched control mAb (Control; closed symbols). In comparison with mice treated with control mAb, mice treated with suboptimal LcrV or F1-specific mAb

along with cytokine-neutralizing mAb (D and F, respectively) exhibited significantly reduced survival (both p<0.0001 by Log rank test; n = 10 mice per group).



Figure 2. TNFa and IFN γ contribute to the reduced bacterial burden mediated by mAb specific for F1 or LcrV after pulmonary exposure to fully virulent *pgm*-positive *Y*. *pestis* Bacterial burden in lung (A) and liver (B) tissue were measured in cohorts of mice (n=10 per group) that received treatments in parallel with those analyzed for survival in Figure 1. The measurements were made one day after injection of mAb (i.e. on day 2 after infection with *Y*. *pestis*). In comparison with mice treated only with control (Ctrl) mAb, mice treated with control mAb and 200 ug F1-specific mAb or 7.5 ug LcrV-specific mAb showed significantly reduced pulmonary and hepatic burden (all p<0.05 by ANOVA). Administration of neutralizing mAb specific for TNFa and IFN γ (aCyt) increased pulmonary burden in mice treated with LcrV-specific mAb and increased hepatic burden in

mice treated with F1-specific mAb (p<0.0001 and p<0.001, respectively by Mann-Whitney tests). ns; not significant (i.e. p>0.05).



Figure 3. TNF α and IFN γ contribute to the increased survival mediated by immunization with recombinant F1-V fusion protein after pulmonary exposure to fully virulent *pgm*-positive *Y*. *pestis*

Wild-type C57BL/6 mice were immunized by subcutaneous administration of 2.9 ug rF1V fusion protein in alhydrogel adjuvant (alum) and boosted 28 days later. Control mice received only alum. On day 53, mice received either intraperitoneal injections of neutralizing mAb specific for TNF α and IFN γ (aCyt; open symbols) or isotype-matched control mAb (Ctrl; closed symbols). The following day all mice were challenged by whole-body aerosol with 11 LD-50 *Y. pestis* strain CO92. In comparison with rF1V-immunized mice treated with control mAb, rF1V–immunized mice treated with cytokine-neutralizing mAb exhibited significantly reduced survival (p<0.0004 by Log rank test; n = 10 mice per group).

Table 1

Antibody titers of rF1V immunized mice prior to aerosol challenge.

Group ^a	Immunization	rF1V IgG Antibody Titers		Treatment
	(Day 0 and 28)	(Day 28)	(Day 49)	(Day 53)
1.	Alhydrogel only	<50	<50	Control mAb
2.	Alhydrogel only	<50	<50	Anti-cytokine
3.	Alhydrogel + rF1V	85,742	1,492,853	Control mAb
4.	Alhydrogel + rF1V	69,644 ^b	1,714,838 ^{<i>c</i>}	Anti-cytokine

^aN is equal to 10 mice per group.

 b The titers of Groups 3 and 4 did not differ significantly after priming (p=0.39).

 C The titers of Groups 3 and 4 did not differ significantly after boosting (p=0.40).