

Published in final edited form as:

*AIDS*. 2010 November 27; 24(18): 2883–2887. doi:10.1097/QAD.0b013e32833fed27.

## Erythropoiesis in HIV-infected and uninfected Malawian children with severe anemia

Job CJ Calis, MD PhD<sup>a,b</sup>, Kamija Phiri, MD PhD<sup>b</sup>, Raymond JWM Vet, MSc<sup>c</sup>, Rob J de Haan, PhD<sup>d</sup>, Francis Munthali Dip Med<sup>b</sup>, Robert J Kraaijenhagen, PhD<sup>e</sup>, Paul JM Hulshof, MSc<sup>f</sup>, Malcolm E Molyneux, MD, FMedSci<sup>b,g</sup>, Bernard J Brabin, FRCPCH<sup>a,g</sup>, Michaël Boele van Hensbroek, MD PhD<sup>a,b,g</sup>, and Imelda Bates, FRCPATH<sup>g</sup>

<sup>a</sup>Global Child Health Group, Emma Children's Hospital, Academic Medical Centre, Amsterdam, the Netherlands <sup>b</sup>Malawi-Liverpool Wellcome Trust Clinical Research Programme, College of Medicine, University of Malawi, Blantyre, Malawi <sup>c</sup>Department of Specialized Hematology, Academic Medical Centre, Amsterdam, The Netherlands <sup>d</sup>Department of Clinical Epidemiology and Biostatistics, Academic Medical Centre, Amsterdam, The Netherlands <sup>e</sup>Department of Clinical Chemistry, Meander Medical Center, Amersfoort, The Netherlands <sup>f</sup>Division of Human Nutrition, Wageningen University, Wageningen, The Netherlands <sup>g</sup>Liverpool School of Tropical Medicine, Liverpool, United Kingdom

### INTRODUCTION

Anemia is the most common hematological complication in HIV-infected adults[1,2] and is positively associated with disease progression[3-5]. In adults anemia results primarily from reduced erythropoiesis[6-9]. Information about anemia mechanisms in HIV-infected children is scarce[10-13] and there have been no pediatric studies from sub-Saharan Africa.

We have previously reported that HIV infection was more common among severely anemic Malawian children than in a carefully selected control population (13% vs. 6%,  $p < 0.001$ ) [14]. The aim of the present study was to determine if HIV infection was associated with reduced erythroid precursor cells, or increased rates of apoptosis and dyserythropoiesis, and to investigate the role of cytokines, erythropoietin and plasma vitamin A in reducing apoptosis.

### MATERIALS&METHODS

This study was part of a large case-control study investigating the etiology of severe anemia in southern Malawi[14]. All children aged 6-60 months with a primary diagnosis of severe anemia, (hemoglobin concentration  $< 5$ g/dl) and no blood transfusion within the previous month were recruited prospectively between 2002 and 2004. HIV-uninfected children aged 6-60 months with no obvious signs of infection and undergoing elective operations were recruited as controls.

**CORRESPONDING AUTHOR** J CJ Calis Emma Children's Hospital / Academic Medical Centre Meibergdreef 9, 1105 AZ Amsterdam, The Netherlands Tel:+31-20 5667150, Fax:+31-20 6917735 Job.Calis@Gmail.com.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

An automated full blood count, including reticulocytes, was performed on peripheral blood samples (Beckman Coulter, South Africa). Malaria slides were read by two independent microscopists. Stained bone marrow aspirate smears from all children were used to determine the myeloid:erythroid ratio[15] and assess dyserythropoiesis, which was defined and scored according to a published protocol[16].

C-reactive protein (CRP) and erythropoietin were determined using a Roche p800/e170 system (Roche, Switzerland). Inflammatory cytokine profiles were measured by Cytometric Bead Array flow cytometry (FACS-Calibur, BD Biosciences, USA). Serum vitamin A (retinol) was measured using high performance liquid chromatography[17]. HIV testing was performed using two rapid tests (Determine, Abbott-Laboratories, Japan; Unigold, Trinity-Biotech, Ireland). Reactive results in children less than 18 months and discordant results were resolved by PCR[18].

Fresh bone marrow aspirates underwent automated cell count (Coulter counter) and four color flow cytometry (FACS-Calibur, BD Biosciences, USA). Bone marrow cells were separated and incubated with different combinations of: CD14-PE-Cy5 (Tük4), CD34-FITC/PE (QBEND/10), CD36-PE (CLB-IVC7), CD235a-FITC (CLB-AME-1) (Sanquin Reagents, The Netherlands), Laser Dye Styryl-751 (LDS, Applied Laser Technology, The Netherlands), and Annexin-V and Propidium-iodide (IQ-products, The Netherlands)[19]

Patient characteristics and hematological variables were compared using Chi-square and Fisher exact test, student t and Mann-Whitney U-tests. Correlations were assessed using Pearson or Spearman correlation coefficients. A two-sided significance level was set at  $p=0.05$ .

## RESULTS

Complete data (bone marrow samples and HIV tests) for this study were available for 329 of 381 children enrolled in our original case-control study. The original study had shown that bacteremia, malaria, hookworm, HIV, G6PD, and vitamin A and B12 deficiency were associated with severe anemia. Iron deficiency was negatively associated with severe anemia. Folate deficiency and sickle cell disease were uncommon[14].

Forty of the 329 children (12%) were infected with HIV. Their median age was 25 compared to 16 months for HIV-uninfected children ( $p<0.01$ ). No significant differences were found between HIV-infected and uninfected children with regard to other baseline characteristics, mean hemoglobin levels ( $p=0.67$ ) or other erythrocytic indices (Table1).

HIV-infected children had fewer bone marrow CD34+ hematopoietic progenitors, erythroid progenitor cells and erythroid precursor cells than HIV-uninfected children, but numbers of bone marrow pro-erythroblasts, basophilic erythroblasts and polychromatic erythroblasts, and peripheral blood reticulocytes were similar (Table1). Correction for age or malaria did not alter the results (data not displayed).

Dyserythropoiesis occurred in 2.8% and 3.8% of erythroid precursors in HIV-infected and uninfected children respectively ( $p=0.12$ , Table1). The proportions of viable erythroid precursor cells and those at various stages of apoptosis were similar between the two groups (Table1). The proportions of dyserythropoietic cells and red cells undergoing early apoptosis were positively correlated ( $r=0.34$ ,  $p=0.01$ ). There were no correlations (range  $r=-0.14$  –  $+0.15$ ) between the proportion of either dyserythropoietic or apoptotic cells and the peripheral blood concentrations of cytokines TNF- $\alpha$  ( $p=0.90$  and  $0.28$ ), IFN- $\gamma$  ( $p=0.15$  and  $0.36$ ), IL-10 ( $p=0.74$  and  $0.19$ ), erythropoietin ( $p=0.22$  and  $0.83$ ), or vitamin A ( $p=0.83$  and  $0.22$ ).

## DISCUSSION

This study is the first detailed prospective analysis of erythropoiesis using bone marrow samples and flow cytometry in HIV-infected children. HIV-infected children with severe anemia had 33% fewer CD34+ hematopoietic progenitors and 35% less erythroid progenitors in their bone marrow than uninfected children. This supports the hypothesis that red cell production failure is an important cause of severe anemia in HIV-infected children and may be caused by a reduced stem cell capacity[21]. However the proportion of more mature erythroid precursor cells in bone marrow or peripheral blood (reticulocytes) did not differ between the two groups, suggesting that HIV-uninfected children had less efficient later stages of erythropoiesis than HIV-infected children. This is supported by the trend towards less dyserythropoiesis and apoptosis in HIV-infected children, but is in contrast to previous reports suggesting that anemia due to dyserythropoiesis is more common in later stages of HIV disease[2,10]. Alternatively the lost CD34 cells in HIV-infected children may have been precursors that were not committed to erythropoiesis.

HIV infection affects hematopoietic processes[22] possibly through abnormal expression of cellular genes and cytokines. The African HIV-1C subtype can directly infect CD34+ hematopoietic progenitors[23]. Unlike previous studies[24,25] we found no association between dyserythropoiesis or apoptosis and altered cytokine levels or vitamin A deficiency[26,27], despite 90% of children having vitamin A deficiency[14]. More intensive investigations might identify cytokines that affect regulatory signals and could potentially be therapeutic targets to reduce hemopoietic inhibition in HIV patients.

In common with previous studies we did not find any differences in peripheral blood erythrocytic indices or bone marrow microscopy in HIV-infected compared to uninfected children[6-8,10,28], possibly because of the multi-factorial etiology of anemia in African children[14,29].

None of the children were on anti-retroviral therapy, which can exacerbate blood and bone marrow abnormalities[30]. Although not all tests were done on all children the large sample size increases confidence that the study sample was representative.

The findings in these severely anemic Malawian children indicate that despite an HIV-associated reduction in early red-cell precursors, subsequent erythropoiesis appears to proceed similarly in HIV-infected and HIV-uninfected children with severe anemia.

## Acknowledgments

Funded by the Wellcome Trust and supported by independent grants of the Nutricia Research Foundation and the Ter Meulen Fund, Royal Netherlands Academy of Arts and Sciences.

We thank the parents and guardians of the children admitted to the study, the SEVANA study team, the staff of the Queen Elizabeth Central Hospital, Chikwawa district Hospital and Wellcome Trust Research Laboratories, and in particular, SM Graham, EM Molyneux, M Cornelissen, M Beld, L van Lieshout, FA Wijnberg and WJ van Lüling for their contributions to the study.

## REFERENCE LIST

1. Spivak JL, Bender BS, Quinn TC. Hematologic abnormalities in the acquired immune deficiency syndrome. *Am J Med.* 1984; 77(2):224–228. [PubMed: 6465173]
2. Zon LI, Arkin C, Groopman JE. Haematologic manifestations of the human immune deficiency virus (HIV). *Br J Haematol.* 1987; 66(2):251–256. [PubMed: 3606961]

3. Mocroft A, Kirk O, Barton SE, Dietrich M, Proenca R, Colebunders R, et al. Anaemia is an independent predictive marker for clinical prognosis in HIV-infected patients from across Europe. EuroSIDA study group. *AIDS*. 1999; 13(8):943–950. [PubMed: 10371175]
4. Moore RD, Keruly JC, Chaisson RE. Anemia and survival in HIV infection. *J Acquir Immune Defic Syndr Hum Retrovirol*. 1998; 19(1):29–33. [PubMed: 9732065]
5. Sullivan PS, Hanson DL, Chu SY, Jones JL, Ward JW. Epidemiology of anemia in human immunodeficiency virus (HIV)-infected persons: results from the multistate adult and adolescent spectrum of HIV disease surveillance project. *Blood*. 1998; 91(1):301–308. [PubMed: 9414298]
6. Bain BJ. The haematological features of HIV infection. *Br J Haematol*. 1997; 99(1):1–8. [PubMed: 9359495]
7. Bain BJ. Pathogenesis and pathophysiology of anemia in HIV infection. *Curr Opin Hematol*. 1999; 6(2):89–93. [PubMed: 10088638]
8. Moses A, Nelson J, Bagby GC Jr. The influence of human immunodeficiency virus-1 on hematopoiesis. *Blood*. 1998; 91(5):1479–1495. [PubMed: 9473211]
9. Volberding PA, Baker KR, Levine AM. Human immunodeficiency virus hematology. *Hematology Am Soc Hematol Educ Program*. 2003:294–313. [PubMed: 14633787]
10. Ellaurie M, Burns ER, Rubinstein A. Hematologic manifestations in pediatric HIV infection: severe anemia as a prognostic factor. *Am J Pediatr Hematol Oncol*. 1990; 12(4):449–453. [PubMed: 2285125]
11. Meira DG, Lorand-Metze I, Toro ADC, Silva MTN, Vilela MMDS. Bone marrow features in children with HIV infection and peripheral blood cytopenias. *J Trop Pediatr*. 2005; 51(2):114–119. [PubMed: 15840762]
12. Mueller BU, Tannenbaum S, Pizzo PA. Bone marrow aspirates and biopsies in children with human immunodeficiency virus infection. *J Pediatr Hematol Oncol*. 1996; 18(3):266–271. [PubMed: 8689339]
13. Sandhaus LM, Scudder R. Hematologic and bone marrow abnormalities in pediatric patients with human immunodeficiency virus (HIV) infection. *Pediatr Pathol*. 1989; 9(3):277–288. [PubMed: 2748489]
14. Calis JCJ, Phiri KS, Faragher EB, Brabin BJ, Bates I, Cuevas LE, et al. Factors associated with severe anemia in Malawian children. *N Engl J Med*. 2008; 358(9):888–899. [PubMed: 18305266]
15. Bain, BJ.; Clark, DM.; Lampert, IA.; Wilkins, ES. *Bone Marrow Pathology*. Blackwell Science; Oxford: 2007.
16. Newton CR, Warn PA, Winstanley PA, Peshu N, Snow RW, Pasvol G, et al. Severe anaemia in children living in a malaria endemic area of Kenya. *Trop Med Int Health*. 1997; 2(2):165–178. [PubMed: 9472302]
17. Bieri JG, Tolliver TJ, Catignani GL. Simultaneous determination of alpha-tocopherol and retinol in plasma or red cells by high pressure liquid chromatography. *Am J Clin Nutr*. 1979; 32(10):2143–2149. [PubMed: 484533]
18. Molyneux EM, Walsh AL, Malenga G, Rogerson S, Molyneux ME. Salmonella meningitis in children in Blantyre, Malawi, 1996-1999. *Ann Trop Paediatr*. 2000; 20(1):41–44. [PubMed: 10824212]
19. Koopman G, Reutelingsperger CP, Kuijten GA, Keehnen RM, Pals ST, van Oers MH. Annexin V for flow cytometric detection of phosphatidylserine expression on B cells undergoing apoptosis. *Blood*. 1994; 84(5):1415–1420. [PubMed: 8068938]
20. Dibley MJ, Goldsby JB, Staehling NW, Trowbridge FL. Development of normalized curves for the international growth reference: historical and technical considerations. *Am J Clin Nutr*. 1987; 46(5):736–748. [PubMed: 3314468]
21. Redd AD, Avalos A, Phiri K, Essex M. Effects of HIV type 1 infection on hematopoiesis in Botswana. *AIDS Res Hum Retroviruses*. 2007; 23(8):996–1003. [PubMed: 17725416]
22. Koka PS, Reddy ST. Cytopenias in HIV infection: Mechanisms and alleviation of hematopoietic inhibition. *Current HIV Research*. 2004; 2(3):275–282. [PubMed: 15279591]
23. Redd AD, Avalos A, Essex M. Infection of hematopoietic progenitor cells by HIV-1 subtype C, and its association with anemia in southern Africa. *Blood*. 2007; 110(9):3143–3149. [PubMed: 17693583]

24. Ellaurie M, Rubinstein A. Elevated tumor necrosis factor-alpha in association with severe anemia in human immunodeficiency virus infection and Mycobacterium avium intracellulare infection. *Pediatr Hematol Oncol.* 1995; 12(3):221–230. [PubMed: 7640175]
25. Testa U. Apoptotic mechanisms in the control of erythropoiesis. *Leukemia.* 2004; 18(7):1176–1199. [PubMed: 15208642]
26. Haurault O, Domenech J, Georget MT, Clement N, Colombat P, Binet C. All-trans retinoic acid prevents apoptosis of human marrow CD34+ cells deprived of haematopoietic growth factors. *Br J Haematol.* 2002; 118(1):289–295. [PubMed: 12100164]
27. Zauli G, Visani G, Vitale M, Gibellini D, Bertolaso L, Capitani S. All-trans retinoic acid shows multiple effects on the survival, proliferation and differentiation of human fetal CD34+ haemopoietic progenitor cells. *Br J Haematol.* 1995; 90(2):274–282. [PubMed: 7540854]
28. Galli L, de MM, Rossi ME, Panza B, Farina S, Vierucci A. Hemochrome parameters during the first two years of life in children with perinatal HIV-1 infection. *Pediatr AIDS HIV Infect.* 1995; 6(6):340–345. [PubMed: 11361457]
29. Brabin BJ, Premji Z, Verhoeff F. An analysis of anemia and child mortality. *J Nutr.* 2001; 131(2S-2):636S–645S. [PubMed: 11160595]
30. Harris CE, Biggs JC, Concanon AJ, Dodds A. Peripheral blood and bone marrow findings in patients with acquired immune deficiency syndrome. *Pathology.* 1990; 22(4):206–211. [PubMed: 2091004]

Characteristics and Hematological parameters in HIV-infected and uninfected children with severe anemia and a control population of children without HIV infection or severe anemia.

**Table1**

CHARACTERISTICS	HIV+ n=40	HIV- n=289	P	Control n=18
Age median, IQR in months	24.9 (15.6-38.4)	15.8 (10.2-25.5)	<0.01	24.0 (11.3-32.8)
Boys	17/40 (43%)	144/289 (50%)	0.39	15/18 (83%)
Prior transfusion	7/40 (18%)	41/287 (14%)	0.59	0/18 (0%)
Wasting	6/33 (18%)	37/261 (14%)	0.54	3/12 (25%)
Iron deficiency	5/21 (24%)	34/155 (22%)	0.85	4/12 (33%)
Malaria parasitemia	23/39 (59%)	170/289 (59%)	0.99	2/17 (12%)
CRP median, IQR in mg/L	117 (47-193) n=38	95 (42-153) n=269	0.73	2.5 (1.8-4.4) n=18
<b>AUTOMATED COUNT</b>				
Hemoglobin concentration mean $\pm$ SD in g/dL	3.6 $\pm$ 0.7 n=40	3.6 $\pm$ 0.8 n=289	0.67	9.7 $\pm$ 1.8 n=18
MCV mean $\pm$ SD in fL	81.1 $\pm$ 13.7 n=35	83.3 $\pm$ 15.6 n=247	0.27	71.6 $\pm$ 7.1 n=16
MCHC mean $\pm$ SD in g/dL	32.4 $\pm$ 3.1 n=35	32.5 $\pm$ 7.2 n=245	0.68	33.0 $\pm$ 3.6 n=16
RDW mean $\pm$ SD in %	25.2 $\pm$ 8.7 n=35	24.4 $\pm$ 7.4 n=246	0.50	18.0 $\pm$ 3.8 n=16

	HIV+ n=40	HIV- n=289	P	Control n=18
<b>Reticulocytes</b> median and IQR in 10 <sup>9</sup> /L	58.6 (30.3-88.2) n=32	52.7 (30.2-91.7) n=209	0.85	70.7 (54.3-117.9) n=13
<b>LIGHT MICROSCOPY</b>				
<u>MYELOID:ERYTHROID RATIO</u>				
<b>Decreased (&lt;2.0:1)</b>	29/34 (85%)	194/261 (74%)	0.38	4/18 (22%)
<b>Normal (2.0-4.9:1)</b>	4/34 (12%)	54/261 (21%)		10/18 (56%)
<b>Increased ( ≥5.0:1)</b>	1/34 (3%)	13/261 (5%)		4/18 (22%)
<u>ERYTHROID CELLS</u>				
<b>Pro-erythroblasts</b> median and IQR in % of nucleated cells	0.8 (0.0-1.6) n=34	0.4 (0.0-1.5) n=261	0.33	0.0 (0.0-0.8) n=18
<b>Basophilic erythroblast</b> median and IQR in % of nucleated cells	0.8 (0.0-2.4) n=34	0.8 (0.0-1.6) n=261	0.55	0.8 (0.0-1.6) n=18
<b>Ortho &amp; Polychromatic erythroblast</b> mean ±SD in % of nucleated cells	37 ±15 n=34	36 ±16 n=261	0.69	18.8 ±12.3 n=18
<u>DYSERYTHROPOIESIS</u>				
<b>Dyserythropoietic cells</b> mean ±SD in % of erythrocytic precursors	2.8 ±2.2 n=25	3.8 ±3.0 n=213	0.12	1.2 ±1.6 (n=13)
<b>COULTER COUNTER</b>				
<u>CELLULARITY</u>				
<b>Nucleated bone marrow cells</b> median and range in 10 <sup>9</sup> /L	62.2 (42.6-108.3) n=32	76.6 (45.6-119.6) n=246	0.37	91.6 (61.2-114.0) n=16
<b>FLOW CYTOMETRY</b>				

CELLS

	HIV+ n=40	HIV- n=289	p	Control n=18
<b>All CD34+ hematopoietic progenitors</b> median and IQR, in % of mononucleated fraction	10% (5-20%) n=34	15% (7-30%) n=242	0.044	4.4% (2.8-8.1%) n=17
<b>Erythroid progenitor cells</b> median and IQR, in % of mononucleated fraction	2.2% (0.8-4.4%) n=27	3.4% (1.5-6.6%) n=210	0.05	0.5% (0.4-0.7%) n=16
<b>Erythroid precursor cells</b> median and IQR, in % of mononucleated fraction	17.9% (13.0-30.8%) n=35	25.6% (14.9-38.3%) n=248	0.06	12.4% (10.9-18.6%) n=17

APOPTOSIS OF ERYTHROID PRECURSORS

<b>Viable cells</b> median and IQR	87% (73-94%) n=15	85% (68-91%) n=78	0.25	81.7% (65-96%) n=15
<b>Early apoptotic</b> median and IQR	9.3% (4.4-19.8%) n=15	12.1% (6.3-22.6%) n=78	0.23	14.7% (3.4-30.1%) n=15
<b>Late apoptotic</b> median and IQR	2.1% (1.2-4.9%) n=15	2.6% (1.0-5.5%) n=78	0.67	1.8% (0.3-2.7%) n=15

Wasting was defined as a weight for height Z-score of less than -2[20]. Dyserythropoiesis was defined as: (a) multinuclearity; (b) karyorrhexis; (c) intercellular chromatin bridging; and (d) incomplete mitoses. Early apoptosis refers to the expression of Phosphatidylserine only, whilst in late apoptosis also Propidium iodide was detected. In viable cells neither of these dyes were detected[19]. IQR: Inter-Quartile Range, CRP: C-Reactive Protein, MCV: Mean Corpuscular Volume; MCHC: Mean Corpuscular Hemoglobin Concentration; RDW: Red cell Distribution Width, SD: Standard Deviation. LDS: Laser Dye Styril-751, stains DNA, PI: Propidium Iodide.