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Depression as a potential modulator of β-adrenergic-associated leukocyte mobilization in heart failure patients

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Abstract

Background—Clinical outcomes are worse for heart failure (HF) patients presenting with symptoms of depression. Sympathetically modulated immune dysregulation associated with depression may be one mechanism leading to worse prognosis.

Objectives—We sought to determine whether depressive symptoms are related to alterations in sensitivity of peripheral blood mononuclear cells (PBMC) to β-adrenergic agonists in HF patients by measuring *in vitro* chemotaxis (CTX) to isoproterenol (ISO) at rest and following acute exercise in HF patients and controls.

Methods—80 HF patients and 44 controls (mean age \pm SEM: 56.4 \pm 1.3 years) completed the Beck Depression Inventory (BDI) and a 15 minute mild graded exercise task on a stationary bicycle. Exercise intensity was kept relative to fitness levels for all participants by gradually increasing resistance to reach a Borg scale subjective rating of 12 –13, "somewhat hard". Plasma norepinephrine (NE) and epinephrine (EPI) levels were measured in plasma before and after exercise. Chemotaxis to ISO (CTX-I) was determined by measuring *in vitro* PBMC migration through a modified Boyden chamber.

Results—In HF patients, depressive symptom severity was associated with greater CTX following exercise ($p = .001$). Higher resting NE in HF patients was also associated with increased CTX to exercise ($p = .03$).

Conclusion—HF patients with higher depression symptoms and NE exhibited increased PBMC CTX-I to mild exercise, suggesting greater β-adrenergic sensitivity. Increased immune migration in HF patients having elevated depression symptoms could be associated with cardiac remodelling and HF disease progression.

Keywords

adrenergic; chemotaxis; depression; heart failure; exercise

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INTRODUCTION

The prevalence of depression ranges from 20% to 45% in symptomatic heart failure (HF) patients, and corresponds with significantly greater morbidity and mortality(1). However, the biobehavioral pathways linking depression with adverse outcomes in HF are unclear. One potential mechanism is depression-associated dysregulation of neuroendocrine modulation of immune responses to stress and exercise (2), which may be injurious to the cardiovascular system (3) leading to worse prognosis in HF (4,5).

Autonomic innervation and regulation of the immune system is well recognized (e.g. (6,7)). Sympathetic activation during stress and exercise elicits the release of leukocytes from the spleen, lymph nodes and blood vessel sub-endothelia into the blood stream (8,9). These immune cells express greater surface β-adrenergic receptor (β-AR) density and sensitivity (9–11). The endogenous β–adrenergic neurohormones norepinephrine (NE) and epinephrine (EPI) can thereby regulate immune mobility, as powerful chemoattractants (6,12). Additional inflammatory markers also respond to exercise related increases in catecholamines in coronary artery disease patients compared with controls (13).

Depression is associated with modified sympathetic/neurohormonal activation to stress and exercise, including NE and EPI (14). Thus, depression may alter immune responses to stress and exercise through changes in neuroendocrine-immune interactions. Indeed, depression is associated with increased leukocyte sensitivity to stress hormones (15), and greater proinflammatory responses to stress and physical exertion (2,3). Our research suggests that HF patients with elevated depression symptoms also exhibit augmented immune migration processes to exercise (16,17). While the clinical relevance of these findings remains to be elucidated, excessive leukocyte mobilization can elicit leukocyte infiltration into myocardial interstitium, which can underlie injury to the cardiovascular system (4,5).

In light of prior research, the primary objective of the present study was to investigate the relationship between depression symptoms in HF patients and leukocyte mobility toward a β-adrenergic agonist in response to physical exertion, as a model to explore changes in immune cell sensitivity to neuroendocrine modulation in this group. This was done by assessing depression symptoms and *in vitro* chemotaxis of peripheral blood mononuclear cells (PBMC) to isoproterenol (CTX-I) at rest and after acute exercise, comparing HF patients and non-HF controls. Furthermore, the influence of endogenous sympathetic activity on these relationships was explored. Determination of a link between depression and neuroimmune dysregulation in HF patients may suggest one mechanism that leads to worse HF outcomes.

METHODS

Disclosures

There are no conflicts of interest to disclose.

Study participants

Included in the study were 124 subjects (80 HF patients and 44 non-HF controls) assessed for *in vitro* CTX-I, depression symptoms, physical function and demographic variables from years 2005 to 2009. Patients were recruited from the San Diego Veterans Affairs Medical Center and the University of California, San Diego Medical Center as part of a larger study on the effects of depression on neuroimmunity in HF. Control subjects were recruited through advertisements and word of mouth referrals.

Inclusion criteria for all subjects were ages $30 - 85$ years, blood pressure $< 180/110$ mm Hg, and men and women of all ethnicities and races. HF patients were NYHA classes II through IV, symptoms of HF for at least 3 months optimally treated with β-blockers, diuretics and ACE inhibitors, and systolic dysfunction defined by an ejection fraction $\leq 45\%$ or diastolic dysfunction with preserved ejection fraction. Left ventricular ejection fraction (LVEF) was assessed by echocardiography. A six-minute walk-test assessed physical function capacity (18). Exclusion criteria included recent myocardial infarction (1 month), recent stroke or significant cerebral neurological impairment, severe chronic obstructive pulmonary disease, and other psychiatric illnesses.

The protocol was approved by the UCSD Institutional Review Board, and participants gave written informed consent. The study was performed in accordance with the Declaration of Helsinki principles.

Depressive symptom severity

Depressive symptoms were assessed with the 21-item Beck Depression Inventory (BDI) where scores ≥ 10 indicate possible clinical depression (19). The BDI was developed to assess depressive symptoms that correspond to the Diagnostic and Statistical Manual of Mental Disorders–IV (*DSM-IV*) criteria for major depressive disorder (MDD) (20). A modified Structured Clinical Interview for DSM-IV (SCID) (21) was used to evaluate for MDD. Those diagnosed with MDD were referred to their treating physician, but allowed to remain in the study.

Exercise testing

Testing began at approximately 1100h. Participants abstained from physical exercise, alcohol, aspirin and caffeinated beverages the evening prior to testing and from food and drink (other than water) for two hours before testing. An intravenous (IV) catheter was placed in the antecubital vein at least one hour prior to testing and blood samples obtained prior to, and immediately post-exercise, all while the subject was in an upright, sitting posture. A blood pressure cuff was placed on the opposite arm of the IV and was connected to a Dinamap machine for automatic measurements of heart rate and systolic and diastolic blood pressure throughout the session. Subjects performed a mild graded stationary bicycle task (Viasprint 150p, Viasys, Yorba Linda, CA) consisting of a 5 minute warm-up, 10-min steady state, and 2-min cool-down. The Borg's ratings of perceived exertion (RPE) scale (22) was used to obtain similar exercise intensity relative to existing fitness levels of all participants; the resistance (watts) was gradually increased during the warm-up period to reach the rating of 12–13 ("somewhat hard") which was maintained for the 10-min steady state by adjusting the resistance and speed of cycling. Based on our previous studies (23), rate of perceived effort (RPE) of 12–13 consistently corresponds to 65–70% of VO2peak regardless of fitness levels.

CTX of peripheral blood mononuclear cells assay

Isoproteronol (1nM, 10nM and 100nM) was used as a chemoattractant as in previously reported methods (24). Briefly, at pre- and post- exercise time points 10 ml of blood were collected into heparinized tubes and processed within 3 hours. PBMCs were separated from whole blood with Ficoll-Hypaque and resuspended in serum free media. Cells were incubated in the dark for 45 minutes at room temperature, shaking lightly with 0.1 uM calcein-AM (acetomethyl ester)/ 2×10^6 cells per ml (25). Cells were washed and resuspended to 3×10^6 cell/ml in media with 0.1% bovine serum albumin (CTX buffer). In a modified Boyden chamber (Neuroprobe, Gaithersburg, MD) 29.5 uL/ of ISO or CTX buffer were pipetted to the bottom wells. Twenty uL of cell suspension was pipetted on a membrane above the chemoattractants and incubated for two hours at 37°C. The membrane

Norepinephrine and epinephrine measures

A sub-group of 80 HF and control subjects also had blood drawn into EDTA-coated vacutainer tubes (BD Biosciences, San Jose, CA, USA) for catecholamines, NE and E. Samples were centrifuged and plasma was stored at −80°C until analysis. Plasma NE and EPI levels were determined using a COMT-based radioenzymatic assays with a preconcentration step that extracted catecholamines from 1 ml plasma and concentrates them in 0.1 ml of dilute acid following previous methods (26). The inter-assay coefficient of variation (CV) was 11% and the intra-assay coefficient of variance was 6.5%.

Statistical Analyses

Calculations were performed using SPSS Inc. (v15) software packages (SPSS, Chicago, IL). Missing data cases were excluded listwise (27). Skewed data distribution was determined by the Kolmogorov-Smirnov test and variables not normally distributed were log transformed. We controlled for the cardiovascular risk factors: age, gender, BMI and physical function (distance walked in 6 minutes) in all analyses.

Group differences in sociodemographic and medical characteristics (Table 1) were computed using independent t-tests, or for categorical data, Kruskal-Wallis tests. Repeated measures analyses of covariance (ANCOVA) were performed on CTX data with two between factors for group (HF patients and non HF controls), three within factors for dose of ISO (1, 10 and 100nM) and two within factors for time (pre- and post- exercise). The Greenhouse-Geisser correction was applied to correct for multiple comparisons. Post-hoc analyses determined baseline and exercise response differences between HF patients and non HF controls.

Linear regression analyses determined associations between circulating catecholamine levels, heart rate and CTX to ISO 10nM (this concentration was chosen because it elicited a middle range of immune responsiveness), controlling for age, gender, BMI and physical function. We tested depressive symptom severity modulation according to Baron & Kenny (28): To test for mediation, we entered BDI as a covariate. To test for moderation, we entered the interaction between BDI and group (HF status) while controlling for group and BDI. Similarly, we entered the interaction between BDI, group and NE level (or heart rate) while controlling for BDI, group and NE (or heart rate). To graphically illustrate our findings we split BDI scores into ≥ 10 , and < 10 and both heart rate and NE into "hi" and "lo" using median split (Figure 2, Figure 3 and Figure 4).

RESULTS

Sociodemographic and medical characteristics of the study groups

Of the 124 subjects in that participated in the study, six were dropped from analyses because of missing data, including BDI scores ($n = 2$ HF subjects) and/or 6-minute walk tasks ($n=3$ non HF and $n = 1$ HF subjects). According to Kolmogorov-Smirnov tests, BDI scores and NE were not normally distributed ($p = .002$ and $p = .005$ respectively). Standard transformation (e.g., square-root and log) did not normalize the distribution of BDI scores (p $= .011$) while log transformation yielded a normal distribution for NE (p=.51). However, a normal probability P-P plot suggested that log transformed BDI scores had a linear pattern with only minor deviations from the line fit to the points on the probability plot indicating that BDI scores approach a normal distribution. Table 1 presents the biological and medical

characteristics of HF patients and controls. None of the control subjects and 93% of the HF patients were taking β-blockers including 67 % taking carvedilol, which has β-1, β-2 and weak alpha-1 blocking activity, 21% taking β-1 specific agents such as metoprolol. No differences were found between HF patients among β-blocking agents or not taking βblockers for CTX-I at rest ($p's > .21$) or response to exercise ($p's > .5$), . Although HF patients had higher BDI scores ($p < .001$), three of the non-HF subjects and only one of the HF patients were diagnosed with major depressive disorder. The BDI scores (mean \pm S.D.) of HF patients taking β-1 specific blockers was 7.5 \pm 4.5, β-1 and β-2 blockers was 12.8 \pm 8.5 and not taking β-blockers was 13.4 ± 7.1. B-blocker types or not taking β-blockers did not differ for BDI scores after controlling for NYHA class ($p = .25$). The lack of an association between β-blocker use and depressive symptoms is consistent with the larger literature in non-HF populations e.g. (29).

CTX-I at baseline and after exercise (Figure 1)

Repeated measures ANCOVA indicated that HF patients and non HF controls differentially responded to dose of ISO (1nM, 10nM and 100nM) while controlling for age, gender, BMI and physical function (HF status by dose interaction, $F(6,112)$ 4.2, p = .018) after Greenhouse-Geisser correction. In order to determine the characteristics of the differences, post-hoc analyses revealed that at *rest* HF patients showed a positive CTX dose-response to ISO (1nM, 10nM and 100nM), while HF controls did not exhibit a CTX dose response to ISO (HF status by dose interaction at rest, $p = .002$). However, in response to exercise both groups had a similar positive CTX dose-response to ISO and did not differ from each other (HF status effect, $p = .47$).

Depressive symptoms and CTX-I pre- and post- exercise (Figure 2)

Regression analyses revealed that in both groups higher BDI scores were associated with a trend for *lower* CTX-I at baseline (p =.099). While in response to exercise, BDI scores appeared to moderate an *increased* CTX-I response in HF patients compared to non-HF controls (t = 3.3, p=.001, ΔR^2 = .11).

NE, E, heart rate and CTX-I response to exercise: interaction with depression (Figures 3 and 4)

A linear regression analyses found that higher resting NE levels were associated with greater CTX-I responses to exercise in HF patients but not in non HF controls (NE by HF interaction, $p = .03$, $\Delta R^2 = .045$). This suggests that basal sympathetic activation may moderate CTX-I in response to exercise in HF patients. Meanwhile, baseline EPI levels and HR were not associated with CTX-I in either group ($p = .50$, $p = .95$ respectively). Furthermore, there was not an interaction between BDI and NE, E, or heart rate for CTX-I in either group.

NE, EPI and heart rate reactivity to exercise: interaction with depression

Neither NE nor E significantly increased pre- to post- exercise in either group ($p = .95$, $p = .$ 29 respectively), and HF patients and non-HF controls did not differ in NE or E levels in response to exercise ($p = .32$, $p = .12$ respectively). BDI scores were also not significantly associated with NE or E levels at baseline or in response to exercise.

Heart rate did not differ between HF and non HF controls at baseline $(p = .22)$ or in response to exercise ($p = .32$). However, exercise produced significant increases in heart rate amongst both groups ($p = .003$, $\Delta R^2 = .29$). Furthermore, greater depression symptom levels were related to higher baseline heart rate, even after controlling for HF status ($p = .016$, $\Delta R^2 = .$ 045).

DISCUSSION

The current results suggest that immune cell mobility is likely differentially regulated by neuroendocrine processes in HF patients compared to non-HF controls. Moreover, elevated depression symptoms in HF patients may further augment immune cell motility to neurohormones in reaction to physical exertion. Both at rest and in response to exercise, PBMCs from HF patients exhibited a CTX dose response to ISO *in vitro.* This suggests that HF patients are sensitive to changes in adrenergic stimuli during both inactivity and activity. Meanwhile, non-HF controls had little response to ISO at rest, whereas in response to exercise they exhibited an increase in CTX at higher concentrations of ISO. These findings are consistent with observations that physically healthy adults have greater β -AR density and sensitivity responses to acute challenges (11), which may underlie increased homing of lymphocytes to higher concentrations of β-agonists (6,30).

Acute challenges such as exercise tasks create a window into complicated physiological processes (31) and can reveal neuroimmune dysregulation in cardiovascular disease patients that may be masked under resting conditions (13). Our principle finding was that in response to exercise HF patients with higher depression scores had greater PBMC mobility to βadrenergic agonist (ISO), as compared to non HF controls. Meanwhile, HF patients with lower depression symptoms responded minimally to exercise, which is to be expected in a group taking β-blockers. Thus, HF patients with high depression symptoms appeared to override the effects of β-blockers. Our results are consistent with findings that psychological factors are associated with reduced β-blockade efficacy in response to exercise challenge (32).

NE, E and heart rate were assessed to explore endogenous sympathetic/neuroendocrine influences on CTX-I. Unexpectedly, NE and E levels did not increase during exercise in either HF patients or non HF controls. This is likely due to less exertion expenditure from the exercise task in the present study (approximately $65-70\%$ of VO_{2peak}) because of the limited exercise capacity of HF patients. In contrast various investigations of non-HF cardiovascular disease patients have used the standard Bruce protocol to obtain VO_{2max} to examine catecholamine responses e.g. (33). Also unexpectedly, there were no differences observed between HF patients and non-HF controls for NE or EPI levels or differences between groups in exercise-induced increases in NE and EPI levels. Furthermore, heart rate was lower in HF patients than non-HF controls. These results appear in contrast to what is known about HF, being in a state of generalized sympathetic activation (34). However, medications regularly prescribed to treat HF such as βblockers likely reduced sympathetic activity in the HF patients.

Meanwhile, HF patients with elevated *resting* NE levels had an increase in CTX-I in response to exercise. Whereas, exercise induced changes in NE, E and heart rate were not associated with CTX-I. These findings are consistent with literature over two decades that suggest acute adrenergic exposure during exercise is not long enough to generate structural changes in lymphocyte adrenergic receptors (10). Instead, the immune cells that reside in lymphatic tissue, and that are released with physical exertion, are likely to already have altered sensitivity to βagonists. This suggests systemic neuroimmune dysregulation, which may have clinical relevance in that altered β-adrenergic receptor expression dynamics predicts development of preclinical states such as greater left ventricular mass and blood pressure (35,36).

Although we found both depression symptoms and resting NE levels were positively associated with CTX-I to exercise in HF patients, they were independent of each other. Therefore, the effects of elevated depression symptoms on CTX to βagonist were likely not

directly related to heightened basal NE levels in HF patients. Instead it may again suggest neuroimmune dysregulation, since chronic psychological distress is known to be associated with down regulate β-adrenergic receptor expression (37). This in turn, would likely reduce chemoattraction sensitivity to βagonists, as seen in chronically stressed Alzheimer caregivers (25). However, HF patients with elevated depression symptoms appear to not follow this expected pattern. Thus, the mechanism that induces *increased* PBMC mobilization to βagonist in HF patients with depression has yet to be determined.

Limitations of the study include a disproportionate number of women in the control group compared with the HF patients in the present study. However, gender was controlled in all analyses and furthermore, results were not different when women were removed from the analyses (data not shown). Nonetheless, future studies with larger cohorts of women should be performed to explore whether there are gender differences in neuroimmune modulation in HF patients with depression. A potential limitation was the heterogeneous population, including HF patients with preserved systolic function $(n = 11)$ and those with systolic dysfunction ($n = 69$). However, these groups did not differ in BDI scores ($p = .20$), CTX-I at baseline ($p = .36$) or in response to exercise ($p = .79$). It is important to note, that the associations found in this study are correlational and therefore may not be causative and are based upon relatively few patients. Further study is needed to replicate our findings and research is needed to tease apart the interactions between depression, sympathetic activity and HF status. In addition, research is necessary to determine if cardiac structural changes are linked with the β-adrenergic associated immune activation in this group to reveal clinical implications of these results.

In conclusion, our results suggest that HF patients with greater depression symptoms are associated with an augmented CTX-I response to physical exertion. This may indicate an increase in β-adrenergic sensitivity in HF patients with depression symptoms that override medications prescribed to reduce sympathetic activity. Furthermore, chronic sympathetic activation and depression symptoms that occur concomitantly in HF patients, could lead to even greater immune mobility in this group which may promote increased non-specific infiltration of immune cells into cardiovascular tissue and result in remodeling. Further understanding the relationship between depression symptoms and immune responses to adrenergic agonists may be useful for development of potential treatments to abrogate increased morbidity and mortality in HF patients with depression.

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Glossary

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Figure 1. *In Vitro* **chemotaxis to beta-agonist pre- and post- exercise**

The figure depicts a stimulation index (CTX to ISO/random migration) in a dose response to isoproteronol in HF patients and controls at rest and in response to exercise. Values are means \pm SEM.

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Figure 2. Chemotaxis responses to exercise in high and low depressed subjects

The figure depicts change scores (post- minus pre- exercise) and CTX to three concentrations of isoproteronol (1nM, 10nM and 100nM) in HF patients and non-HF controls. High versus low depression are determined by scores ≥ 10 and < 10 on the Beck Depression Scale. Values are means ± SEM.

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Figure 3. Chemotaxis responses to exercise with differential depression and norepinephrine levels

The figure depicts change scores (post- minus pre- exercise) and CTX to three concentrations of isoproteronol (ISO)(1nM, 10nM and 100nM). Data from HF patients and non-HF controls were combined. High versus low depression are determined by scores ≥ 10 and < 10 on the Beck Depression Scale. High versus low Norepinephrine (NE) levels were determined by a median split. Values are means ± SEM.

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Figure 4. Chemotaxis responses to exercise in HF and controls

The figure depicts change scores (post- minus pre- exercise) and CTX to isoproteronol (ISO) (10nM). Data from HF patients and non-HF controls are presented. High versus low depression are determined by median split on the Beck Depression Scale (≥ 7 and $\lt 7$ to depict at least 9 subjects per group). High versus low Norepinephrine (NE) levels were determined by median split. Values are means ± SEM.

Table 1

Sociodemographic and Medical Characteristics of the Study Subjects Sociodemographic and Medical Characteristics of the Study Subjects

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Data are presented as mean ± standard error of means (range) or percentage value, BDI: Beck Depression Inventory, CCB: Calcium channel blockers. Mean arterial pressure was calculated from resting BP

Data are presented as mean ± standard error of means (range) or percentage value, BDI: Beck Depression Inventory, CCB: Calcium channel blockers. Mean arterial pressure was calculated from resting BP

readings (1/3 systolic BP + 2/3 diastolic BP) and body mass index (BMI) was calculated by the formula: weight in kg / (height in m) readings (1/3 systolic BP + 2/3 diastolic BP) and body mass index (BMI) was calculated by the formula: weight in kg / (height in m)².

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Correlation Coefficients among Variables Correlation Coefficients among Variables

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* Statistical significant correlations of p<.05, Statistical significant correlations of p<.05,

**
Significant correlations of p<.01. Significant correlations of p<.01.

Table 3

Regression Outcomes Predicting changes in CTX to 10nM response to exercise; BDI and NE as a potential moderators

The final regression model and coefficients are shown. The regression models were as follows: Cardiovascular risk factors (Step 1: age, gender, BMI, 6 min walk, HF status), Predictors of CTX-I (Step 2: resting NE and BDI), and Interaction Variables (Step 3: HF status × NE and HF status × BDI). BMI = body mass index, HF = heart failure, NE = norepinephrine, BDI = Beck Depression Inventory.