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The chromosome 9p21 risk locus is associated with angiographic severity and progression of coronary artery disease

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Introduction

Coronary artery disease (CAD) remains a significant health concern worldwide. While traditional risk factors account for much of this risk burden, heritable factors play a key role in the development of $CAD.1$ $CAD.1$ ¹ Unbiased genome-wide approaches have led to the identification of the 9p21.3 locus as a risk marker for

myocardial infarction (MI) and prevalent CAD in predominantly Caucasian cohorts. $2-5$ $2-5$ This association has since been replicated in several studies and in non-Caucasian populations, and confirmed by two meta-analyses, making this one of the most robust genomic findings for coronary heart disease to date. $6,7$

A large prospective study demonstrated that 9p21 status is predictive of first revascularization in subjects with medically treated

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MI.^{[8](#page-6-0)} In addition, recent functional studies have demonstrated enhanced expression of the non-coding RNA, ANRIL, in 9p21 car-riers^{[9](#page-6-0)} and this transcript has in turn been associated with greater atherosclerosis.^{[10](#page-6-0)} More recently, deletion of the orthologous 70 kb non-coding interval on mouse chromosome 4 has provided direct evidence that the 9p21 CAD risk interval has a direct role in the regulation of CDKN2A/B expression and affects CAD progression by altering the dynamics of vascular cell proliferation.¹¹ Despite these studies suggesting greater atherosclerotic activity as a potential mechanism, a positive and direct association between 9p21 carrier status and severity, extent, or progression of CAD is yet to be convincingly demonstrated in humans. This may in part be due to the inadequacy of phenotyping methods employed thus far to assess CAD severity, particularly as the effect size of common variants is often small.

Despite its many limitations, coronary angiography remains to be the gold standard for documenting the extent and severity of CAD. We sought to test the hypothesis that the 9p21 locus promotes atherosclerosis by examining its association with angiographically defined CAD severity and extent, as well as CAD progression by refining the phenotype using two validated semiquantitative coronary scoring systems.

Methods

Study population

Study participants were recruited as part of the Emory Cardiology Biobank, consisting of 3492 consecutive patients enrolled prior to undergoing elective or emergent cardiac catheterization across three Emory Healthcare sites, between 2003 and 2008. Patients aged 20-90 years were interviewed to collect information on demographic characteristics, medical history, and behavioural (lifestyle) habits. Risk factor prevalence was determined by physician diagnosis and/or treatment for hypertension, hyperlipidaemia, and diabetes. Smoking was classified as non-smoker or 'ever smoked' if there was a lifetime history of smoking at least 100 cigarettes. Medical records were reviewed to confirm self-reported history of MI and other conditions as well as to document previous angiographic findings and prior coronary revascularization.

After excluding self-reported non-Caucasian ancestry, heart transplantation, missing or incomplete angiographic data and missing DNA/blood samples, 2334 subjects were deemed eligible for this analysis. The study was approved by the Institutional Review Board at Emory University, Atlanta, GA, USA. All subjects provided written informed consent at the time of enrolment.

Coronary angiography definitions and scoring

Two operators, evaluated all coronary angiograms by visual estimation of luminal narrowing in multiple segments based on a modi-fied form of the AHA/ACC classification of the coronary tree.^{[12](#page-6-0)} Using this data, coronary angiography phenotypes were estimated by the authors (R.S.P. and I.J.N.) including, any $CAD > 50\%$, number of epicardial vessels with $>$ 50% disease, left main and proximal vessel disease, and finally, quantitative angiographic scores using the Gensini and Sullivan extent systems.^{[13,14](#page-6-0)} All coronary angiography evaluations were performed without the knowledge of genotype status.

The Gensini score quantifies severity of CAD by a nonlinear points system for the degree of luminal narrowing along with a multiplier for specific coronary tree locations, thereby weighting each lesion score for prognostic significance. The total of the lesion scores is summed to give a final Gensini score. Thus, multiple severe proximal lesions gain the highest score.¹³

The Sullivan Extent score quantifies the percentage of the coronary intimal surface area affected by atheroma, without specific weighting for the degree of luminal narrowing. The percentage involvement of each vessel is estimated and multiplied by a factor representative of the surface area of that vessel in relation to the entire coronary tree. We used a modified version based on segments of each vessel with reported disease to derive percentage involvement. Four segments of right coronary artery (RCA) each contributing 25%; three segments of left anterior descending artery (LAD) each contributing 33% with the proximal segment further subdivided into two; left circumflex artery divided into three segments each contributing 33% ^{[14](#page-6-0)}

To determine the intra-class correlation coefficient, 25 patient angiograms were randomly chosen and examined independently by the authors (R.S.P. and I.J.N.). Lesions were visually estimated and recorded by coronary artery tree segments and then used to calculate Gensini and Sullivan Extent scores. The intra-class correlation coefficients were estimated at 0.88 (95% CI 0.74– 0.95) and 0.90 (0.77 –0.96) for Gensini and Sullivan Extent scores, respectively, which indicates good inter-observer agreement.

A subset of 308 patients who had undergone two or more coronary angiographies at least 6 months apart, were identified and the two angiograms furthest apart in time were quantified using the Gensini and Sullivan Extent scores described above. Given the variation in times between angiographies, the net change in angiographic score was divided by number of years between angiographies to give Gensini and Sullivan extent 'rates' as proxies for progression. Subjects were also arbitrarily categorized as 'progressors' and 'non-progressors' based on a Gensini rate of change of >1 or \leq 0.5 points/year, respectively (as a guide, one point is equivalent to a 25% lesion in the RCA). Similarly for the Sullivan Extent score, progression and nonprogression was defined simply as $>1\%$ and $\leq 0.5\%$ change/year, respectively.

Genotyping

Genotyping for all samples was carried out at deCODE genetics in Reykjavik, Iceland, as part of the ongoing collaborative studies, with rs10757278 chosen as the representative single nucleotide polymorph-ism (SNP) for the 9p21 region based on our group's prior work.^{[3](#page-6-0)} All single SNP (rs10757278) genotyping was carried out using the Centaurus (Nanogen) platform.¹⁵ The quality of each Centaurus SNP assay was evaluated by genotyping each assay on the Caucasian (CEU) samples and comparing the results with the HapMap data. All assays had a mismatch rate less than 0.5%.

Statistical analyses

Continuous variables are presented as means (SD) and categorical variables as proportions (%) with one-way analysis of variance and χ^2 tests used to determine differences by genotype. Variables were tested for normality with Kolmogorov-Smirnov statistics and $(+1)$ natural log) transformed for purposes of parametric analyses. Reverse log-transformation was applied to obtain clinically interpretable values. Haploview 4.0 software was used to compute Hardy –Weinberg equilibrium and minor allele frequency for rs10757278.

Logistic and linear regression models were constructed to test the additive effect of the SNPs on CAD phenotypes including severity

and extent, with the SNP coded as 0, 1, or 2 based on the number of risk (G) alleles. Analyses were repeated after adjusting for age, gender, BMI, diabetes, hypertension, hyperlipidaemia, smoking, statin use, and history of MI. Analyses were also repeated after excluding subjects with normal coronary arteries (smooth or less than 10% luminal irregularities) to ensure any observed effect on graded severity was not being driven by those without any CAD in whom risk allele frequency is expected to be significantly lower. Interaction terms were tested for association between the 9p21 SNP and significant determinants of CAD severity, followed by stratified analysis to evaluate significant interactions.

CAD progression was tested as both a continuous variable (change in angiographic score/year) and as a categorical variable (progression vs. non-progression) with regression coefficients and odds ratios (ORs) calculated accordingly. Analyses were adjusted for age, gender, diabetes, statin use, smoking, and baseline angiographic score at first catheterization. A two-tailed P value $<$ 0.05 was considered significant. All statistical analyses were performed using SPSS 17.0 (Chicago, IL, USA).

Results

A total of 2334 self-reported Caucasians were genotyped for the rs10757278 SNP and included in this study. The observed genotypic frequencies were consistent with Hardy–Weinberg equilibrium $(P = 0.11)$ with a risk allele frequency of 0.50 (G allele). Patient characteristics at baseline by rs10757278 genotype are shown in Table 1. The mean age (SD) was 63.9 years (11.1) with a range of 24– 90 years. No significant differences in patient characteristics were observed between rs10757278 genotypes for traditional risk factors, laboratory parameters, or medication usage.

As described previously, we noted a significant association in the prevalence of prior MI, with increasing copies of the risk allele ($P =$ 0.04, Table 1) equating to an allelic OR of 1.18 (95% CI 1.04–1.[3](#page-6-0)4).³ Similarly, there were significant associations with prior percutaneous coronary intervention [OR 1.17 (1.04–1.32)], CABG [OR 1.17(1.02–1.34)], and angiographically significant CAD defined as at least one vessel with 50% disease compared with normal coronary

Table I Patient characteristics by rs10757278 genotype

Mean (SD) or % unless indicated. CAD, coronary artery disease; CABG, coronary artery bypass grafting; PCI, percutaneous coronary intervention; IQR, inter-quartile range; BMI, body mass index; MI, myocardial infarction; BP, blood pressure.

artery patients [OR 1.25 (1.08–1.45)]. When further classified as normal, single, and multi-vessel disease, there was a significant association with greater risk allele frequency with increasing CAD severity $(P = 0.003)$. Angiographic traits considered to be especially heritable, ^{[16](#page-6-0)} were also more common in the carriers of the risk allele: Left main $[OR = 1.36 (1.10-1.68)]$ and proximal disease $[OR 1.32 (1.13-1.54)].$

9p21 association with semi-quantitative coronary artery disease severity and extent scores

Table [1](#page-2-0) shows the association between the rs10757278 genotype and severity/extent of CAD with respect to median Gensini and Sullivan Extent scores. There was a significant additive effect of

Multivariate linear regression model includes age, gender, body mass index (BMI), diabetes, hypertension, hyperlipidaemia, smoking, statin use, history of myocardial infarction (MI), and rs10757278. Model R^2 for log Gensini = 0.356 with R^2 change for rs10757278 = 0.004; model R^2 for log Sullivan = 0.343, R^2 change for $rs10757278 = 0.004$. B (SE), unstandardized regression coefficient with standard error.

the G allele on each measure of CAD. After adjusting for age, gender, BMI, traditional risk factors, statin use, and history of MI, the associations between rs10757278 and both scores remained significant (Gensini $P = 0.016$, Sullivan $P = 0.005$) (Table 2). Thus, possessing one copy of the risk variant equates to greater angiographic scores, which correspond to, for example, a 50% lesion in the proximal LAD, or 15% of the entire LAD intima area (Figure 1).

Analyses were repeated after excluding subjects with normal coronary arteries in order to ensure that the observed effect was not being driven primarily by the absence of disease in one group. In this smaller group ($n = 1849$), the association with both Gensini and Sullivan Extent scores remained significant and independent of covariates (adjusted $P = 0.03$ for both).

Sensitivity analysis did not reveal any significant interactions with age, gender, or presence of diabetes, hypertension, hyperlipidaemia, statin use, or smoking (data not shown). However, we did observe an interaction with the history of MI ($P = 0.03$). Stratified analysis revealed no association between rs10757278 and CAD scores in subjects with MI ($n = 751$; Gensini P = 0.91, Sullivan $P = 0.74$), while those with no history of MI (n = 1583; Gensini and Sullivan $P < 0.001$) maintained a significant association with both scores.

9p21 association with coronary artery disease progression

Of the 2334 patients, 308 were identified as having had repeat angiograms. These patients did not differ by genotype for basic characteristics and were similar to the main cohort (Table [3](#page-4-0)). The median length of time between angiographies was 4.5 years (IQR 2.5-7 years). There was a significant additive effect of the G allele on risk of progression when the net change in Gensini score per year was used to quantify progression ($P = 0.023$) with homozygotes for the risk allele progressing at a mean covariate adjusted rate of 5 Gensini points/year compared with the referent group progressing at under 3 points/year (Figure [2A](#page-5-0)). Furthermore when treated as a binary variable, heterozygotes for the risk allele were more than twice as likely to be progressors

Mean (SD) or % unless indicated. IQR, inter-quartile range; BMI, body mass index. ^aHardy-Weinberg equilibrium test: $P = 0.6$.

(Methods) [OR 2.51 (95% CI 1.26–4.99)] when compared with non-carriers, while homozygotes were greater than three times more likely [OR 3.42 (95% CI 1.54–7.6)] after adjustment for age, gender, diabetes, statin use, smoking, and baseline CAD Gensini score (Figure [2B](#page-5-0)). A similar trend was observed when the Sullivan Extent score was used to assess progression in this manner. After adjustment for the same covariates, we observed a significant association between rs10757278 and the net change in Sullivan Extent score/year ($P = 0.003$) as well as with a binary categorization of progression (Methods) [heterozygote OR 1.57 (95% CI 0.8-3.1); homozygote OR 2.49 (95% CI 1.1-5.4)] (Figure [2](#page-5-0)C and D).

Discussion

Using detailed angiographic data and thereby refining the phenotype, we demonstrated a positive association between the rs10757278 SNP and the Gensini and Sullivan Extent scores that define the severity and extent of angiographic CAD. Furthermore, we demonstrated that each copy of the risk allele leads to a higher risk of CAD progression over time. Our findings add significantly to the existing clinical and functional studies linking the 9p21 risk locus to atherosclerosis, by demonstrating an independent association with a quantitative CAD phenotype, and importantly with CAD progression.

A quantitative measure of CAD is preferable to a binary phenotype as it (i) avoids misclassification bias owing to the timesensitive nature of coronary disease, (ii) gives a better indication of lifelong cumulative burden of disease, and (iii) may be more sensitive to the small effect size of common variants. We therefore chose to use two validated semi-quantitative angiographic scores which can be easily applied as a means to estimate severity and extent of CAD. While moderately correlated with each other $(r = 0.7, P < 0.01)$, each score represents a slightly different aspect of CAD.

Our results demonstrate a significant association with rs10757278 for both scores using an additive genetic model. As an example, each copy of the risk allele contributes approximately one 50% lesion in the LAD. Even after excluding subjects with normal coronary arteries, whose inclusion may potentially be driving the effect given, they were shown to have a lower frequency of the risk allele, the additive trend persisted. Interestingly, while we confirmed an absence of any significant interactions between 9p21 and common risk factors, we did observe an interaction with MI, with a non-significant relationship in those who had a previous MI. This likely represents a skewed distribution of disease, as MI patients tend to have a greater degree of CAD burden at the upper range of Gensini and Sullivan Extent scores, and thus a smaller range of disease in which to identify a trend.

The positive association between the 9p21 risk genotype and graded severity of CAD is a novel finding, not previously shown in angiographic cohorts. Initial studies demonstrated association with the presence/absence of CAD, either defined clinically or by 50% disease criteria on angiography.^{5,[17](#page-6-0)} Early studies failed to demonstrate an association between 9p21 risk genotype and CAD severity by the number of vessels affected in Asian populations. This may have been owing to their low power to detect small effects along with a relatively insensitive estimate of sever-ity.^{18,[19](#page-6-0)} Another study, by Anderson and colleagues, demonstrated that presence of CAD was correlated with 9p21 in 2100 Caucasian subjects but not with the extent as assessed by a vessel score and the Duke CAD index. 20 Both scores may be insensitive to the changes expected, given the complexity of the disease and the small effect size of this SNP. The Duke CAD index is a validated hierarchical prognostic score, including only vessels with $>50\%$ disease and is less suited to quantifying multiple lesions. For example, a left main lesion with 95% luminal stenosis would score a maximum of 100 for the Duke CAD Index with no room to quantify further disease that may exist in other vessels, unlike the Gensini score. Population stratification or differences in clinical selection criteria for coronary invasive investigation may also account for the divergent results.

Importantly, our study adds additional information by demonstrating association with CAD progression over time. In subjects with repeat angiograms, we observed an additive effect of the risk allele on the rate of change of Gensini score per year. When classified as 'progressors' and 'non-progressors', homozygotes for the risk allele were three times more likely to be progressors compared with non-carriers, even when subjects without baseline coronary disease were excluded (data not shown). Similar findings were observed using the Sullivan Extent score to document progression. In contrast, one study based on a

Figure 2 Coronary artery disease (CAD) progression by rs10757278 genotype. CAD progression by genotype using angiographic scores is illustrated here as a continuous parameter showing the mean Gensini (A) and Sullivan extent rate (C), defined as the net change in score/years between procedures, by genotype. Odds ratios and 95% confidence intervals for progression vs. non-progression are also illustrated for Gensini (B) and Sullivan Extent scores (D). All values are adjusted for age, gender, diabetes, statin use, smoking, and baseline CAD angiographic score and presented after reverse log-transformation. Dagger (†) denotes patients with intermediate rates of change who were omitted for Gensini $(n = 24)$ and Sullivan extent $(n = 11)$ definitions of progression/non-progression (Methods). The risk allele is G.

quantitative angiography analysis of subjects enrolled in a statin trial (treated 147, placebo 141) reported no evidence of progression over 2 years in relation to 9p21 genotype, despite post hoc calculations to suggest adequate power. 21 This difference may be a consequence of strict patient selection or shorter follow-up time, compared with our study. On the other hand, supportive evidence comes from studies reporting progression of subclinical atherosclerosis with carotid intima media thickening²² and greater revascularization outcomes in carriers of the 9p21 risk allele.⁸

Our findings on the whole thus support the notion proposed by clinical and functional genomic studies that cell proliferation and atherosclerosis are mediated by this locus. $9 - 11$ $9 - 11$ $9 - 11$ In addition to studies associating this locus with coronary calcium scores 23 and peripheral vascular disease, 24 others have also reported association with intracranial aneurysms and arterial stiffness suggesting that the locus may also act outside of the traditional atherosclerotic pathway, perhaps by influencing vascular structure.^{[25](#page-6-0),[26](#page-6-0)}

Some strengths of our study include: (i) a large sample size, with a broad range of disease from normal to severe multi-vessel involvement enabling accurate assessment of severity; (ii) use of detailed coronary angiography phenotyping, moving beyond simple vessel scoring to carefully quantify disease burden and (iii) evaluation of progression of disease. There are also some important limitations to our study. First, the use of coronary angiography to visually

quantify atherosclerosis is limited as remodelling may obscure substantial disease burden in arterial walls that can be detected by intravascular ultrasound,[27](#page-6-0),[28](#page-6-0) but relatively small and limited numbers of genomic ultrasound registries are available to date. Also, subjects undergoing first or repeat catheterization are a select group who are symptomatic or otherwise at high risk and thus may not be representative of the general population. Furthermore, variations in healthcare systems and referral patterns for angiography could also be a source of selection bias. Finally, we only ascertained the effect of one SNP in this region. However, this SNP was chosen as the marker of this region based on robust prior data and is in tight linkage disequilibrium with many other commonly used 9p21 markers (for example rs1333049, $r^2 = 1$) and genotyping these would thus add little incremental value.

In conclusion, we have shown that the rs10757278 SNP at the 9p21 risk locus is associated with severity, extent, and progression of CAD in a population undergoing coronary angiography, suggesting a role for this locus in influencing atherosclerosis and its progression.

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Conflict of interest: Authors whose affiliations are listed as deCODE Genetics are shareholders and/or employees of deCODE Genetics, Reykjavik, Iceland.

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