

# MicroRNAs in embryonic stem cell function and fate

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Since their discovery in the early 1990s, microRNAs (miRs) have gone from initially being considered an oddity to being recognized as a level of gene expression regulation that is integral to the normal function of cells and organisms. They are implicated in many if not all biological processes in animals, from apoptosis and cell signaling to organogenesis and development. Our understanding of cell regulatory states, as determined primarily by transcription factor (TF) profiles, is incomplete without consideration of the corresponding miR profile. The miR complement of a cell provides robust and redundant control over the output of hundreds of possible targets for each miR. miRs are common components of regulatory pathways, and in some cases can constitute on-off switches that regulate crucial fate decisions. In this review, we summarize our current knowledge about the biogenesis and regulation of miRs and describe their involvement in the pathways that regulate cell division, pluripotency, and reprogramming to the pluripotent state.

Embryonic stem cells (ESCs) are usually derived from the inner cell mass of a blastocyst stage embryo. Their defining trait is self-renewal: the ability to proliferate indefinitely in vitro (absence of a Hayflick limit) while maintaining their main in vivo characteristic, which is the ability to give rise to all differentiated cell types of the adult organism, termed pluripotency. As with any specialized cell type, the phenotype of ESCs is the result of complex regulatory interactions between transcription factors (TFs), chromatin remodeling proteins, signaling molecules, and noncoding RNAs. While capable of continuous cell division in the undifferentiated state, ESCs are permanently "poised" to differentiate as soon as the proper cues arise. This massive transformation of cell phenotype poses a major regulatory challenge to the cell, as the entire makeup of the network must be changed within a short developmental window. What mechanisms does an ESC use to bring about such rapid changes in its proteome? A main regulatory component are the microRNAs (miRs), the subgroup of noncoding RNAs

that is best characterized and for which, unlike other noncoding RNAs, we have a general mechanistic model. miRs were initially considered a species-specific peculiarity (Lee et al. 1993), but today it is widely recognized that they constitute a level of post-transcriptional regulation that is integral to normal cell and organism function in metazoans, and their ability for post-transcriptional coregulation of hundreds of potential targets makes them well suited to bring about rapid transformations in cell phenotype. In this review, we summarize our current knowledge of the involvement of miRs in multiple aspects of ESC function, and argue that to say that miRs have a role in ESC biology is an understatement: Quite simply, the network responsible for ESC behavior cannot function without its miR component.

## Walking down the miR path

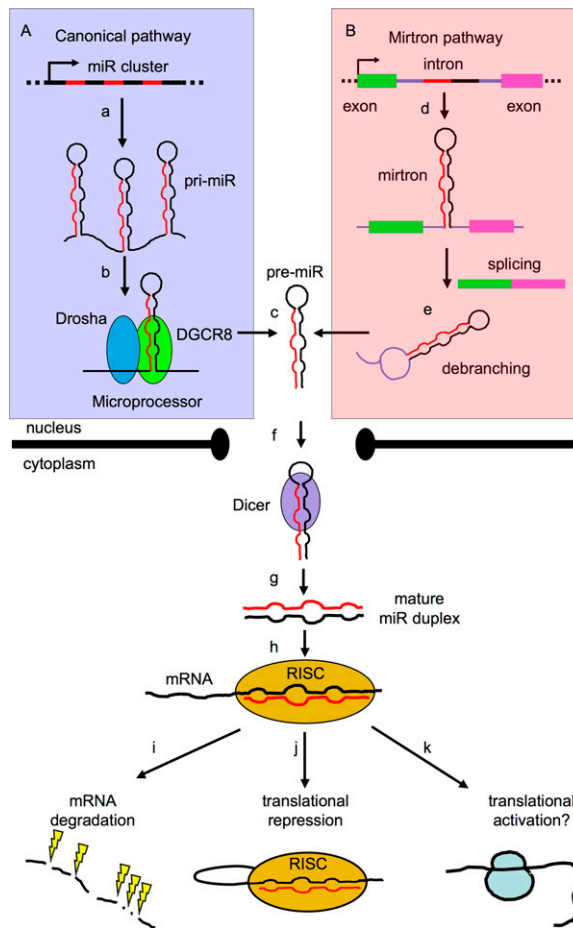
We assume the reader is familiar with the basic events of miR biogenesis and summarize them only briefly in Figure 1. Essentially, they are small 21- to 23-nucleotide (nt) noncoding RNAs capable of modulating mRNA expression by base pair interaction with mRNAs (mostly within their 3' untranslated regions [UTRs]) in the context of a miR-containing ribonucleoprotein (miRNP) complex. In many instances, their expression is tissue-specific and developmentally regulated. miRs number >700 in the human genome, and >60% of human coding genes are predicted to have miR target sites in their 3'UTRs. Bioinformatic and experimental approaches suggest that any given miR can have hundreds of targets (Friedman et al. 2009). The simplest view of the miR pathway is that, directed by the tissue-specific profile of TFs, it constitutively produces a cellular "miR-ome," which fine-tunes the protein output of the transcriptome (Selbach et al. 2008). In this view, the regulatory power of the system is seen mainly in terms of the combinatorial flexibility afforded by the potential of any given miR to target multiple mRNAs, and that a 3'UTR can contain several miR target sites, allowing for extensive coregulation of transcript sets. However, recent research is highlighting the less widely recognized fact that the miR pathway itself is subject to post-transcriptional regulation on multiple levels. For example, Drosha has been found in two complexes: a smaller one with DGCR8, and

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**Figure 1.** Biogenesis of miRs. (A) The canonical pathway is shown boxed in violet. Most miR promoters characterized to date are typical of RNA polymerase II transcribed genes (Lee and Dutta 2009), and give rise to a 5'-capped, spliced, and polyadenylated primary precursor (pri-miR) (a), formed by one or several concatenated hairpin structures (Lee et al. 2002, 2004; Altuvia et al. 2005) consisting of a stem (containing a miR) and a terminal loop. The pri-miR is recognized cotranscriptionally in the nucleus by the Microprocessor complex (b), whose catalytic core (formed by the Drosha and DGCR8 proteins) processes the base of the stem of the pri-miR and trims away the flanking sequences to release an intermediate stem-loop structure (known as pre-miR) of ~70 nt (c). (B) A second, noncanonical pathway is shown boxed in pink. (d) Some animal miRs ("mirtrons") are embedded in mRNA introns and completely bypass processing by Microprocessor. (e) Instead, they are processed by splicing and debranching (Okamura et al. 2007). In both cases, the resulting pre-miR is transported to the cytoplasm (via the exportin-RanGTP pathway) (f) (Yi et al. 2003), where the loop is further processed by the Dicer complex to release a mature miR duplex (g), which is finally incorporated into the RISC (h) (Hammond et al. 2000). The RISC recognizes the miR duplex, unwinds it, selects the miR strand while degrading the passenger strand, and mediates recognition of the target mRNA. The downstream regulatory effect is degradation of the mRNA (the prevalent mechanism in mammalian cells (i) (Guo et al. 2010) or its translational repression (j) (Mourelatos et al. 2002; Pratt and MacRae 2009), although instances of up-regulation have also been reported (k) (Vasudevan et al. 2007).

a larger one with other proteins, including RNA-binding proteins, RNA helicases, and the Ewing's sarcoma family of proteins (Gregory et al. 2004). In the cytoplasm, Dicer, the core ribonuclease responsible for generating miR duplexes from pre-miRs, interacts with, among others, the TAR RNA-binding protein (TRBP) (Chendrimada et al. 2005), originally characterized in relation to the HIV life cycle (Gatignol et al. 1991), and the PKR-activating protein (PACT) (Y Lee et al. 2006). A number of miRNP effector complexes (of which the RNA-induced silencing complex [RISC] found in *Drosophila* is the best characterized) have been identified, but their exact structure is still unknown. Proteomic analyses have identified a considerable number of Argonaute-interacting proteins (Hock et al. 2007; Landthaler et al. 2008). Therefore, each level of miR biogenesis can undergo protein-protein interactions that offer multiple regulatory options, and RISCs constitute a versatile mRNA-regulating platform that uses miRs (or other small RNAs) to direct several different types of regulatory responses.

Adequate levels of both components of Microprocessor (Drosha and DGCR8) are ensured by a positive-negative feedback loop in which DGCR8 stabilizes Drosha and Drosha down-regulates the DGCR8 mRNA by targeting two hairpin structures in the 5'UTR and early coding sequence of DGCR8 that resemble those found in pri-miRs (Han et al. 2009). Many pri-miRs accumulate without being efficiently processed, until specific developmental or environmental cues arise (Thomson et al. 2006). Such control of Microprocessor activity is determined by interaction with several Drosha-binding partners. For instance, down-regulation of p68 or p72, two DEAD-box RNA helicases that act as cofactors of Drosha, results in reduction of a specific set of miRs (Fukuda et al. 2007). Mediated through p68 and/or p72, several signaling pathways regulate Microprocessor activity with effects on the miR-ome that can be global or more limited to few or even single miRs. In ovariectomized mice, exogenous estradiol binds to the nuclear estrogen receptor ER $\alpha$  and leads to a global p68/p72-dependent down-regulation of Microprocessor activity in the uterus (Yamagata et al. 2009). Cellular stress leads to interaction of the tumor suppressor p53 with Drosha and p68, resulting in enhanced processing of miR-16-1 and miR-143 (Suzuki et al. 2009). Similarly, in smooth muscle cells, BMP4 or TGF- $\beta$  signaling results in interaction of SMADs with p68 and increased processing of pri-miR21 and pri-miR199a (Davis et al. 2008).

In addition, processing along the miR pathway is dependent on the miR precursor substrates being available to the processing complexes; binding of other RNA-binding proteins to these substrates could therefore modulate maturation. For example, the RNA-binding protein Lin28 has been suggested to inhibit processing of pri-let7 by binding to a conserved sequence in the precursor loop (Viswanathan and Daley 2010). Another RNA-binding protein, KSRP, binds to a different sequence on the loop of pri-let7 (and other miR precursors), resulting in enhanced processing. A regulatory mechanism has been proposed in which both proteins regulate pri-let7 processing by

competing for binding, as both binding sites are close enough to cause steric hindrance (Trabucchi et al. 2009). While in principle such a mechanism seems plausible, it still awaits direct experimental confirmation. The exportin pathway is known to be rate-limiting, but no major regulatory event has yet been detected (Yi et al. 2005).

In the cytoplasm, the MAPK/ERK pathway can promote miR maturation by stabilizing Dicer through phosphorylation of TRBP (Paroo et al. 2009). Mature let7 is highly expressed in differentiated cells, and let7 target sites have been found in Dicer mRNA, suggesting that Dicer activity might be dampened to a certain extent in differentiated cells (Forman et al. 2008). In summary, increasing evidence points to the fact that the miR pathway itself is inherently flexible and subject to regulation at multiple stages.

### The stem cell clockworks

The ESC phenotype is supported by a molecular program formed by a specific collection of TFs, signaling pathways, chromatin modifiers, and noncoding RNAs. The ongoing study of ESCs has revealed certain general characteristics, including a hierarchical transcriptional network and a particular cell cycle profile.

### The Oct4–Sox2–Nanog triumvirate rules the ESC transcriptional hierarchy

A substantial body of literature accumulated since the discovery of the TF Oct4 in 1989 (Scholer et al. 1989) has clearly determined that the TFs Oct4, Sox2, and Nanog act coordinately and are central to the establishment and maintenance of the ESC regulatory program, and has been demonstrated dramatically in the context of direct reprogramming of somatic cells to induced pluripotent stem cells (iPSCs). Reprogramming was first achieved using a group of four TFs: *Oct4*, *Sox2*, *Klf4*, and *c-Myc* (Takahashi and Yamanaka 2006). *Oct4*, *Sox2*, *Nanog*, and *Lin28* (Yu et al. 2007) formed a second group of four TFs also used to reprogram fibroblasts to iPSCs. Research in the last 3 years has shown that certain cell types can be reprogrammed with fewer factors: Human cord blood progenitors can be reprogrammed with only *Oct4* and *Sox2* (Giorgetti et al. 2009), and neural stem cells can be reprogrammed with only *Oct4* (Kim et al. 2009). The current thinking is that the number and identity of the reprogramming proteins can vary depending on which factors are initially expressed—and at what level—in the starting cell type. However, while the central role of the Oct4–Sox2–Nanog triumvirate is widely accepted, the regulatory network of pluripotency involves other players whose level of expression also influence the self-renewal state, such as *Esr1b*, *Zfx*, *Klf4*, *c-Myc*, *STAT3*, and *Ronin*, among others (Niwa et al. 1998; Cartwright et al. 2005; Ivanova et al. 2006; Galan-Caridad et al. 2007; Chen et al. 2008; Dejosez et al. 2008; Jiang et al. 2008).

The “modus operandi” of the triumvirate involves three levels of action (Fig. 3, below). First, the trio forms a positive autoregulatory circuit in which each member

binds to (and activates) its own promoter as well as to the promoters of the two other members of the group (Boyer et al. 2005), resulting in maintenance of high levels of expression of all three TFs (Bosnali et al. 2009). Second, the interaction of specific members of the trio with a small number of other TFs regulates crucial early development fate decisions. For example, the interaction of Oct4 and the caudal-type homeodomain TF Cdx2 determines the choice between inner cell mass fate and trophoectoderm fate (Niwa et al. 2005), and, similarly, the interplay between Nanog and the TFs GATA4 and GATA6 regulates the switch to the primitive endoderm fate (Fujikura et al. 2002; Capo-Chichi et al. 2005). Third, mapping of genomic binding sites (by chromatin immunoprecipitation followed by sequencing) of the three factors in mouse and human ESCs indicates that, despite some species-specific differences, they co-occupy the regulatory regions of hundreds of genes (Boyer et al. 2005; Loh et al. 2006) divided in two sets. The first set is transcriptionally active in ESCs and includes ESC-specific TFs, chromatin modifiers, and components of stem cell-specific signaling pathways. The second set is silenced in ESCs and comprises a number of TFs involved in differentiation and lineage commitment. Their silent state is explained by the fact that many of the genes of this set are also co-occupied by members of the polycomb group (PcG) proteins (Bernstein et al. 2006; TI Lee et al. 2006); PcG proteins are involved in the formation of at least two distinct polycomb repressor complexes (PRC1 and PRC2–PRC3), which ultimately result in chromatin condensation and epigenetic silencing (Schuettengruber et al. 2007). While this setup (up-regulation of stem cell functions alongside repression of differentiation functions) for maintaining the ESC state makes sense, how the system determines what genes belong to which set, how PcG proteins are recruited to the proper sites, and the molecular details of how the regulatory network changes when differentiation cues arise are currently far from clear. It must also be kept in mind that this relatively straightforward view of the transcriptional regulation of ESCs is a consequence of limiting the analysis to just three TFs. When mapping of genomic binding sites is extended to other ESC-specific TFs, the complexity increases significantly. As an example, one such analysis done on 10 TFs in addition to Oct4, Sox2, and Nanog has described that the number of genomic binding sites for the 13 TFs varied roughly between 1000 and 40,000; a total of 3586 genomic sites were co-occupied by four or more TFs, with an extreme example being provided by the *Oct4* distal enhancer, which was bound by 11 TFs. When analyzing the collection of genomic sites binding multiple TFs, four major combinations of TFs that tended to be found together could be discerned. Furthermore, an analysis of the distribution of each of the TFs among these four major combinations revealed that, while each of them showed clear “preferences,” all of them could be found in all groups with significant frequencies (Chen et al. 2008). Therefore, while certain general correlations are indeed evident, the highly combinatorial nature of TF binding to regulatory

sequences across the genome underscores the fact that our understanding of the regulatory network governing ESC transcriptional regulation is only beginning.

### Undifferentiated ESCs display a particular cell cycle profile

In striking contrast to differentiated somatic cells, in which the regulators of the cell cycle fluctuate periodically, mouse ESCs (mESCs) show stable and high levels of activators of the cell cycle (high activity of *cdk2-cyclin E/A* and *cdk6-cyclin D3*) and an absence of cell cycle inhibitors (cdk inhibitors p21cip and p27Kip1, and the INK4a family member p16INK4a) (Faast et al. 2004; Becker et al. 2010). In somatic cells, transition from G1 to S phase requires overcoming the G1-to-S restriction (R) checkpoint, where the cell cycle becomes independent of external growth factors and is determined by the inactivation of the pRb protein followed by the subsequent release of E2F factors (Blagosklonny and Pardee 2002). In mESCs, pRb is permanently inactivated by hyperphosphorylation, leading to constitutive activity of E2F TFs, which in turn allows an R-point-independent short transition through G1 phase (Savatier et al. 1994; Stead et al. 2002). Although differences were observed when compared with mESCs (Conklin and Sage 2009), similar mechanisms are presumed to regulate human ESCs (hESCs). Indeed, the ability to support at least two independent rounds of cell division in the absence of external growth factors suggests the existence of autocrine mechanisms supporting R-point-independent cell cycling in hESCs (Becker et al. 2010). Hence, in contrast to somatic cells, which depend on mitogenic signaling to proceed through the R point, ESCs proliferate in a mitogen-independent manner, leading to a short G1 phase. It is thought that ESCs initiate differentiation during G1 phase, which constitutes a “window of opportunity” in which, for example, a developmental signal can accumulate until it surpasses the threshold level that triggers a differentiation pathway. Therefore, control of the G1-phase length becomes a way to control the gateway to differentiation (Savatier et al. 1996; Burdon et al. 2002; Jirmanova et al. 2002). This concept is re-enforced by the fact that pRb, depending on the specific cellular context, can also act as a transcriptional activator or repressor of genes that function as master inducers of differentiation. pRb has been shown recently to have a clear role in mesenchymal stem cell choice between the adipocyte versus osteogenic fate; in this instance, lack of pRb biases the choice toward the adipocyte fate and, furthermore, can restore committed preosteoblasts to a progenitor multipotent state (Calo et al. 2010). However, a direct mechanistic link between cell cycle and differentiation is still lacking.

### The role of miRs in ESC cell cycle control

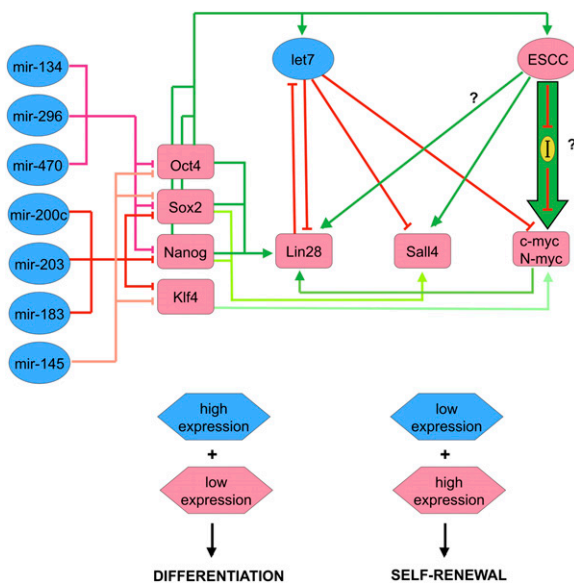
Insight into the role of these ESC-specific miRs has been gained through the analysis of *Dicer*-null and *DGCR8*-null ESC lines. In both cases, the lines are viable, completely

lack their repertoire of mature canonical miRs, and show similar phenotypes. Both cell lines maintain expression of pluripotency markers and proliferate slowly compared with wild-type ESCs. They also fail to efficiently down-regulate pluripotency markers and up-regulate differentiation markers when induced to differentiate. However, the phenotypes are not identical. Upon deletion of both *Dicer* alleles, mESCs experience a complete proliferation block (Kanellopoulou et al. 2005; Murchison et al. 2005) and resemble the phenotype resulting from knockout of all four *Argonaut* family members (Su et al. 2009). Continued culture of the *Dicer*-null cells eventually gives rise to clones that proliferate at rates comparable with that of *DGCR8*-null ESCs (Murchison et al. 2005). In contrast, *DGCR8*-null cells do not show this complete initial block of proliferation (Wang et al. 2007). Lack of *Dicer*, but not *DGCR8*, has been reported to result in heterochromatin instability (Kanellopoulou et al. 2005), but this result failed to be reproduced by a different research group (Murchison et al. 2005). *Dicer* has also been implicated in telomere maintenance and DNA methylation (Benetti et al. 2008), while *DGCR8* has not yet been studied in this regard. Some of these differences might be explained by the involvement of *Dicer* in the maturation of non-Microprocessor-dependent endogenous siRNAs and other small RNAs (Babiarz et al. 2008). Therefore, the role of miRs in ESCs is better illustrated in the *DGCR8*-null lines. Cell cycle analysis of *DGCR8*<sup>-/-</sup> cells revealed that they accumulated in the G1 phase of the cell cycle, indicating a defect in the G1-to-S-phase transition. A screen testing for the effect of individually reintroducing 461 miRs on proliferation of *DGCR8*-null cells showed that the defect could be rescued by 14 different miRs. These rescuing miRs belong to several different families of miRs (mainly the *miR-290*, *miR-302*, and *miR-17-92* clusters) that are highly expressed in ESCs and down-regulated upon differentiation. They were collectively called ESCC (for ESC-specific cell cycle-regulating) miRs, and, significantly, they shared the same or a very similar seed sequence, suggesting that they were redundantly directed against the same targets. A search for these targets uncovered p21cip, the Retinoblastoma-like 2 protein (Rbl2), and *Lats2*, all previously known inhibitors of the cyclinE/cdk2 pathway, which regulates the G1/S transition. ESCC miRs ensure rapid progression through the R point by down-regulating these inhibitors and therefore increasing cyclinE/cdk2 activity (Wang et al. 2008; Smith et al. 2010). At least one ESCC family member, miR-106, has been confirmed to induce cell cycle progression by inhibition of p21cip independently (Ivanovska et al. 2008). These results were consistent with a previous report showing that p21cip protein levels (but not mRNA levels) increased upon differentiation of ESCs (Sabapathy et al. 1997). Significantly, other miRs have been identified in similar roles. miR-372 and miR-92b (abundantly expressed in hESCs) target p21cip and p57 (another inhibitor of G1/S progression), respectively, and miR-195 has been shown to down-regulate the G2-M checkpoint inhibitory kinase WEE1, an inhibitor of the G2 cyclin B-Cdk complex (Qi et al. 2009; Sengupta et al.

2009). These results clearly show that, in ESCs, miRs redundantly counteract inhibitors of the cell cycle (Fig. 3, below), effectively removing its brakes and playing a crucial role in the establishment and maintenance of the peculiar ESC cell cycle profile.

### The role of miRs in ESC differentiation

The molecular mechanism underlying the inability of ESCs lacking miRs to efficiently silence pluripotency markers upon differentiation has been investigated in differentiating *Dicer*-null ESCs (Benetti et al. 2008; Sinkkonen et al. 2008). Two groups identified *Rbl2*, a transcriptional repressor, as a target of the miR-290 miR family, and found these cells to have decreased levels of DNA methyltransferases *Dnmt3a* and *Dnmt3b*, involved in de novo DNA methylation. The de novo DNA methylation activity could be restored by exogenous expression of de novo methyltransferases or reintroduction of miR-290 family members. Considering a previous study (Feldman et al. 2006) had established that silencing of pluripotency markers requires de novo methylation, Benetti et al. (2008) and Sinkkonen et al. (2008) suggest that absence of the miR-290 family leads to up-regulation of *Rbl2*, which transcriptionally represses de novo DNA methyltransferases and results in the observed inability of *Dicer*<sup>-/-</sup> cells to silence the pluripotency markers and differentiate. However, other miRs are also required to turn over key pluripotency proteins for differentiation to proceed (Fig. 2; Wang et al. 2008). Loss-of-function and gain-of-function experiments have shown that, during differentiation, mESCs up-regulate miR-134, miR-296, and miR-470,



**Figure 2.** Regulatory network illustrating the role of miRs in ESC differentiation. Proteins are in boxes, and miRs are in ovals. Pro-self-renewal elements are in pink, and pro-differentiation elements are in blue. Green-shaded arrows represent activating interactions, and red-shaded lines represent repressive interactions. See the text.

which target and down-regulate *Nanog*, *Oct4*, and *Sox2* (Tay et al. 2008); similarly, miR-200c, miR-203, and miR-183 repress *Sox2* and *Klf4* (Wellner et al. 2009). In differentiating hESCs, miR-145 represses *Oct4*, *Sox2*, and *Klf4* (Xu et al. 2009).

Another example involves the *let7* family and illustrates how the transition from the undifferentiated to differentiated state can be influenced by an intricate interplay between different miR families. *pri-let7* is transcribed in ESCs (Thomson et al. 2006; Wulczyn et al. 2007), processed to *pre-let7*, and exported to the cytoplasm (Rybak et al. 2008). However, mature *let7* family members are essentially absent in ESCs and accumulate only upon ESC differentiation, eventually ending up broadly expressed in differentiated cell types (Viswanathan et al. 2008). This observation prompted the hypothesis that the *let7* family could be involved in shutting down the pluripotency program upon differentiation (Melton et al. 2010). Transfection of *let7* into *DGCR8*<sup>-/-</sup> cells rescued the differentiation defect, allowing the cells to shut down the self-renewal program more efficiently. However, transfection of *let7* into *DGCR8* wild-type cells (or into *DGCR8*-null cells that received ESCC miR family members along with *let7*) had no effect on expression of pluripotency genes. This led to a model in which the ESCC and *let7* family members oppose each other, with ESCC favoring the pluripotency state and the *let7* family opposing it (Fig. 2; Melton et al. 2010). Microarray analysis revealed that the mechanism underlying this effect was mediated not only by the effect of each miR family on mRNAs bearing their target binding sites, but also by opposing effects on both families of miRs on the TFs *c-Myc* and *n-Myc*. ESCC miR family members up-regulate *c-Myc* through a still unknown mechanism, presumably by down-regulating a *c-Myc* inhibitor. In certain cellular contexts, members of the *let7* family directly target and down-regulate *c-Myc* (Kumar et al. 2007). In ESCs, the effect of *let7* on *c-Myc* is smaller, but it does strongly down-regulate *n-Myc*, and consequently the *let7* family down-regulates the set of mRNA transcripts that are under positive transcriptional regulatory control by *c-Myc* and *n-Myc*. Other transcripts are also found to be subject to this “tug of war,” and, among them, two stand out significantly. One is *Sall4*, a TF involved in pluripotency. The second is *Lin28*, which is highly expressed in ESCs and is down-regulated upon differentiation (Newman et al. 2008; Viswanathan et al. 2008). *Lin28* has been found to bind to *pre-let7* and promote its polyuridylation, resulting in both an inhibition of *Dicer* activity and targeting of the *pre-let7* for degradation (Heo et al. 2008). *Lin28* also regulates other miRs (miR-107, miR-143, and miR-200c) similarly (Heo et al. 2009). The *Lin28* promoter is co-occupied by the *Oct4*–*Sox2*–*Nanog* trio as well as by *Tcf3* (Marson et al. 2008), suggesting that it is under their transcriptional control. *Lin28* depletion does not, in isolation, cause cells to differentiate. However, as differentiation starts, the levels the *Oct4*–*Sox2*–*Nanog* trio begin to fall, possibly leading to down-regulation of *Lin28*. This would allow accumulation of mature *let7* and further down-regulation of the expression of *Lin28* by

binding directly to its mRNA (Reinhart et al. 2000; Rybak et al. 2008). Once a critical threshold is surpassed, the let7 family dominates the ESCC family and the transition is stabilized. Hence, the *Lin28/let7* interaction provides a degree of robustness to the differentiation switch (Melton et al. 2010). These results highlight how miRs can be used at several different levels to quickly and coordinately turn over the key regulatory proteins of a given cell phenotype to facilitate the establishment of a new one (Fig. 2).

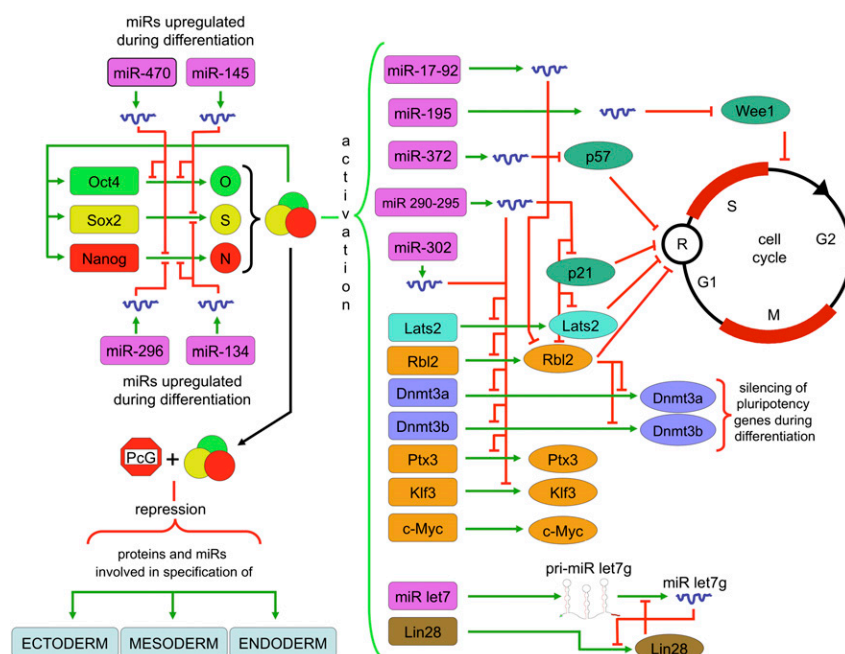
### Integration of miRs into the basic molecular circuit of pluripotency

Integration of miR expression into the network governing pluripotency has required mapping of miR promoters and analysis of their occupancy by a tetrad of key pluripotency TFs (*Oct4*, *Sox2*, *Nanog*, and *Tcf3*) (Marson et al. 2008). Approximately 20% of all known miRs are under the control of this tetrad of TFs, and these miRs can be divided into two sets: one comprised of miRs active in ESCs and whose promoters are occupied by the tetrad (many of which have already been implicated in pluripotency maintenance, such as the ESCC miR group and the *let7* family), and a second set of miRs inactive in ESCs (but up-regulated in differentiated cells) whose promoters are occupied by the tetrad and PcG-repressive complexes. Other reports have established direct connections between expression of ESC-specific miRs and the *Myc* family of TFs. Both *c-Myc* and *N-Myc* have been shown to bind to the promoter of the ESC-specific *miR-290* cluster (Chen et al. 2008); *c-Myc* has also been demonstrated to activate the expression of several ESC-specific miRs (Card et al. 2008; Lin et al. 2009; Smith et al. 2010), and, in turn, *miR-294* can indirectly activate the expression of *c-Myc* (Melton et al. 2010). Therefore, the general

strategy of transcriptional control used by the ESC regulatory state applies equally to protein and miR-encoding genes (Fig. 3).

### The role of miRs in reprogramming

The two basic results on which the field of direct reprogramming is founded was the discovery that fibroblasts can be reprogrammed to iPSCs by retroviral-mediated delivery of two groups of ESC regulators: *Oct4*, *Sox2*, *Klf4*, and *c-Myc* on one hand (Takahashi and Yamanaka 2006), and *Oct4*, *Sox2*, *Nanog*, and *Lin28* on the other (Yu et al. 2007). Recently, a number of reports have highlighted a role for miRs in reprogramming. Several of the members of the reprogramming cocktails have “miR connections.” As mentioned above, *Oct4*, *Sox2*, *Nanog*, and *c-Myc* have been found to control the expression of ESC-specific miR families, with *Oct4*, *Sox2*, and *Nanog* inducing the expression of the *miR-290* and *miR-302* clusters (Barroso-delJesus et al. 2008; Card et al. 2008; Marson et al. 2008) and *c-Myc* inducing the *miR-17-92* cluster (O'Donnell et al. 2005). *c-Myc* can also repress *let7* family members indirectly through up-regulation of *Lin28B* (Chang et al. 2009), while *Lin28* controls and is controlled by the *let7* family (John et al. 2004; Heo et al. 2008; Newman et al. 2008; Rybak et al. 2008). Interestingly, transient overexpression of ESC-specific miRs could replace *c-Myc* when reprogramming fibroblasts with *Oct4*, *Sox2*, and *Klf4*, with *miR-294* increasing iPSC derivation efficiencies by ~20 fold (Judson et al. 2009), but adding both *c-Myc* and *miR-294* at the same time had no effect, suggesting that one reason behind the enhancing effect of *c-Myc* on reprogramming is the induction of ESC-specific miRs. There has also been one report showing that overexpression of *miR-302* alone can reprogram human



**Figure 3.** Regulatory network of ESCs integrating miRs and proteins controlled by the Oct4–Sox2–Nanog trio of TFs. Genes are represented by boxes and proteins are represented by ovals. Green arrows represent activation. Red lines represent repression. Proteins and genes are color-coded according to class/function: miRs are in purple, cell cycle regulators are in dark green, transcriptional regulators are in orange, DNA methyltransferases are in violet, miR regulators are in brown, and signaling molecules are in light blue. Oct4, Sox2, and Nanog are depicted in green, yellow, and red, respectively.

cancer cells to the iPSC state (Lin et al. 2008), and, recently, this observation has been extended to human hair follicle cells (Lin et al. 2010). The opposing effect of the ESCC and let7 miR families prompted testing of the hypothesis that down-regulating the let7 family could increase reprogramming efficiency of fibroblasts, and it was found that, indeed, inhibition of let7 by means of an antisense inhibitor could enhance reprogramming efficiency when using *Oct4*, *Sox2*, and *Klf4*, regardless of whether *c-Myc* was added to the mix (Melton et al. 2010).

Another instance in which miRs have been implicated with reprogramming relates to the question of whether iPSCs are identical (i.e., completely reprogrammed) to ESCs. This question is still being debated, but a recent finding has been that, when mESCs and iPSCs from identical genetic backgrounds are compared, the transcriptional profile is extremely similar, with the exception of one locus: *Dlk1-Dio3* (Stadtfeld et al. 2010). This locus is paternally imprinted, and therefore the genes it encodes are expressed from the maternal allele in ESCs. Interestingly, a majority of iPSCs failed to reactivate the maternal allele, and the reactivation status of the *Dlk1-Dio3* locus in iPSCs was shown to be correlated with the ability of these clones to give rise to live mice by tetraploid complementation; lack of reactivation resulted in embryonic lethality. Of note, the *Dlk1-Dio3* locus encodes ~50 miRs, and 18 of them were expressed in ESCs but not iPSCs. However, the evidence suggests that the miRs of the *Dlk1-Dio3* locus are involved in embryonic development and are not part of the self-renewal network of pluripotency.

### Transitions between cell states

Transitions between cell states can involve generation of intermediate states. Two recent studies (Li et al. 2010; Samavarchi-Tehrani et al. 2010) suggest that the earliest state of reprogramming is remarkably similar to a mesenchymal-to-epithelial transition (MET), showing that initiation of reprogramming is marked by down-regulation of mesenchymal markers such as Snail and N-Cadherin and up-regulation of epithelial markers such as E-cadherin and Epcam. Overexpression of Snail- or RNAi-mediated down-regulation of E-cadherin—both events known to inhibit MET—substantially reduces iPSC formation. Overexpression of miR-200 and miR-205 (which had been shown previously to down-regulate mesenchymal genes in the context of MET) in fibroblasts accelerated the up-regulation of MET-related genes compared with the control (Li et al. 2010; Samavarchi-Tehrani et al. 2010). These results underscore the concept that transitions between cell states can be driven by down-regulating the molecular support for the initial state while up-regulating the molecular support for the final state, regardless of whether the support itself is TF-based, miR-based, or both.

### Conclusions

miRs are important components of the regulatory network that governs ESCs. The study of gene regulation in

many different systems has led to the observation that certain regulatory motifs are found repeatedly. The study of their structure has allowed certain basic types to be defined: Examples of these motifs are positive or negative feedback loops and coherent or incoherent feed-forward loops, where a small number of molecules (proteins or RNAs) are functionally related to each other in a way that forms an operational unit with predictable behavior (Alon 2007). A gene regulatory network can be seen as a complex structure formed by the association of many such operational units. In many cases, miRs are structural components of these regulatory motifs, and their absence can have major consequences on the behavior of the regulatory network they are a part of. The concept that miRs are central to the ESC phenotype is highlighted by the simple observation that ESCs that lack miRs cease, in fact, to be stem cells. Indeed, the definition of an ESC is an operational one: Above all, a stem cell must self-renew; i.e., it must be capable of ongoing cell division in vitro while retaining the ability to differentiate to all cell types of the adult organism. The analysis of the phenotype of *DGCR8*- or *Dicer*-null ESCs clearly shows that they no longer fulfill this requirement, as lack of miRs results in the cells remaining trapped in a state of ongoing cell division. When induced to differentiate, they fail to turn off the pluripotency regulatory program. Turning off pluripotency and switching to differentiation are aspects of a single molecular network, which cannot function normally in the absence of miRs. It would not be surprising to find novel ways in which miRs are integrated into (and necessary for) the normal function of the self-renewal regulatory program.

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