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# Health Care Utilization

# Impact of Chronic Condition Status and Severity on the Time to First Dental Visit for Newly Medicaid-Enrolled Children in Iowa

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**Objective.** To assess the extent to which chronic condition (CC) status and severity affected how soon children had a dental visit after enrolling in Medicaid.

**Data Source.** Enrollment and claims data (2003–2008) for newly Medicaid-enrolled children ages 3–14 in Iowa.

**Study Design.** 3M Clinical Risk Grouping methods were used to identify CC status (no/yes) and CC severity (less severe/more severe). Survival analysis was used to identify the factors associated with earlier first dental visits after initially enrolling in Medicaid. **Principal Findings.** Children with a CC were 17 percent more likely to have earlier first dental visits after enrolling in Medicaid (p < .0001). There was no significant difference by CC severity. Children who lived in a dental health professional shortage area and those who did not utilize primary medical care had significantly later first Medicaid dental visits, whereas these factors failed to reach statistical significance for children with a CC. **Conclusion.** While newly Medicaid-enrolled children with a CC were significantly more likely to have earlier first Medicaid dental visits, we failed to detect a relationship between CC severity and the time to first Medicaid dental visit. The determinants of first Medicaid dental visits were heterogeneous across subgroups of newly Medicaid-enrolled children. Future studies should identify the sociobehavioral factors associated with CCs that are potential barriers to earlier first Medicaid dental visits for newly Medicaid-enrolled children.

Key Words. Medicaid, utilization, dental health services, disabled children, survival analysis

A number of studies have examined dental utilization for Medicaid-enrolled children (Dubay and Kenney 2001; Lee and Horan 2001; Macek, Edelstein, and Manski 2001; Slayton, Damiano, and Willard 2001; Savage et al. 2004).

Fewer investigations have evaluated dental utilization for children after they are initially enrolled in Medicaid (Damiano et al. 2008; Chi et al. 2010). Findings from these latter studies suggest that subgroups of newly Medicaidenrolled children, including those identified with an intellectual and/or developmental disability (IDD), have significantly later first Medicaid dental visits. Children identified with an IDD are part of a larger group of children with chronic conditions (CCs), which are defined as conditions lasting  $\geq 12$ months in 75 percent of identified cases (Muldoon, Neff, and Gay 1997). While dental care is the most common unmet health care need for children with a CC (Newacheck et al. 2000; Lewis, Robertson, and Phelps 2005), there is limited knowledge on (a) the impact of having a CC on how soon after enrolling in Medicaid children visit a dentist; and (b) the impact of CC severity on how soon newly Medicaid-enrolled children visit a dentist. This lack of knowledge is a critical barrier in the development of population-based interventions and policies aimed at improving dental utilization for children who are newly enrolled in Medicaid. The purpose of this study was to identify the determinants of how soon newly Medicaid-enrolled children visited a dentist, with an emphasis on the relationship between CC status and severity, respectively, and the time to first Medicaid dental visit.

In addition to drinking fluoridated water, regular toothbrushing with fluoridated toothpaste, and a diet low in fermentable carbohydrates, first Medicaid dental visits can help to prevent dental caries, the most common childhood disease in the United States (U.S. Department of Health and Human Services 2000). Earlier first Medicaid dental visits enable children to benefit from preventive treatments such as topical fluoride and dental sealants (Bhuridej et al. 2007; Azarpazhooh and Main 2008a, b). During these visits, dentists are also able to assess a child's future risk of developing caries; provide caregivers with risk-based anticipatory guidance; and detect incipient disease

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(Nowak and Casamassimo 1995; Sanchez and Childers 2000; Hale and American Academy of Pediatric Section on Pediatric Dentistry 2003; American Academy of Pediatric Dentistry Council on Clinical Affairs 2005–2006). In addition, first Medicaid dental visits are a starting point for subsequent episodes of dental care. While the evidence is anecdotal, these episodes of care typically consist of preventive care and periodic checkups for children with no dental disease and no need for restorative care (e.g., dental fillings, crowns, tooth extractions) and checkups for children with dental disease. There is also a proportion of children with treatment needs who do not return to the dentist after the first Medicaid dental visit. These observations suggest that first Medicaid dental visits are only part of the solution in improving the long-term oral health of Medicaid-enrolled children.

As many as one in three children in the United States has a CC (Kuhlthau et al. 2002). Children with a CC are at increased risk for poor oral health because of long-term use of prescription medications that contain sugar (Feigal, Jensen, and Mensing 1981) or alter saliva production (Keene, Galasko, and Land 2003); behavioral comorbidities that make it hard for caregivers to provide regular oral hygiene (Ferguson and Cinotti 2009) or dentists to provide necessary care in an office setting; and reluctance on the part of dentists to treat children with special needs because of inadequate training (Casamassimo, Seale, and Ruehs 2004). Furthermore, over 70 percent of children with a CC are enrolled in state Medicaid dental programs (Kaiser Commission on Medicaid and the Uninsured 2007), which introduces additional program-related barriers to dental utilization (Lam, Riedy, and Milgrom 1999; Iben, Kanellis, and Warren 2000; Mayer et al. 2000; Nainar 2000; Al Agili et al. 2004; Al Agili et al. 2007).

Delayed first Medicaid dental visits may explain, in part, why subgroups of newly Medicaid-enrolled children are at increased risk for oral health disparities. While children with a CC share characteristics that make them less likely to visit a dentist shortly after enrolling in Medicaid, there is currently no empirical support for this hypothesis. In this study, we evaluated the determinants of how soon a child visited a dentist after initially enrolling in Medicaid. Our primary interest was the relationship between CC status (no/yes) and CC severity (less severe/more severe), respectively, and how soon a child saw a dentist for the first time after enrolling in the Iowa Medicaid Program. We used enrollee-level administrative data to test the following hypotheses:

1. There is no difference in the rates at which children with and without a CC visit a dentist for the first time after enrolling in Medicaid.

2. Among children with a CC, there is no difference in the rates at which children visit a dentist for the first time after enrolling in Medicaid by CC severity.

This work is a continuation of efforts to identify potential reasons why subgroups of Medicaid-enrolled children tend to have poor oral health. Our findings will be used to develop future research as well as clinical interventions and policies that seek to improve dental utilization for newly Medicaid-enrolled children.

# METHODS

#### Conceptual Model

This study adapted an oral health disparities model proposed by Patrick et al. (2006). Our conceptual model classifies the potential determinants of first dental visits after initial enrollment in Medicaid into five domains: ascribed factors (population descriptors), proximal factors (health actions and beliefs), immediate factors (mediating pathways between proximal and intermediate factors), intermediate factors (social environment), and distal factors (health care resource allocation).

#### Data

Medicaid enrollment and claims files from calendar years (CY) 2005–2008 were obtained under an agreement with the Iowa Department of Human Services. All patient identifiers were removed from the raw data files to ensure confidentiality. The study protocol was approved by the University of Iowa Institutional Review Board.

The enrollment files contained each patient's program identification number (used to link the Medicaid files), birth date, sex, race/ethnicity, Medicaid eligibility program, zip code and county of residence, and a case number used to link Medicaid enrollees from the same household.

The claims files included all the patient's inpatient, outpatient, prescription medication, and dental claims from January 01, 2005 to December 31, 2008 submitted on behalf of the enrollee by a health care professional. When applicable, each inpatient and outpatient claim contained the enrollee's medical diagnoses (International Classification of Disease, Ninth Revision, Clinical Modification Code) and information on any health services received. The dental claims files contained information on all dental services for which a claim was submitted by a dentist and coded by American Dental Association (ADA) Current Dental Terminology Codes (ADA 2004).

# Study Population

Our study focused on a cohort of children ages 3–14 who were newly enrolled in the Iowa Medicaid program in CY2005. "Newly enrolled" was defined as no evidence of Medicaid enrollment in the 12-month period before the child's first month of enrollment in 2005. In addition, each child had to be enrolled in CY2005 for  $\geq 6$  months, which is the minimum amount of health service data required by the 3M Clinical Risk Grouping (CRG) methods (3M Health Information Systems 2008). We excluded children under age 3 from our analyses because this group typically exhibits low rates of dental utilization (Kanellis, Damiano, and Momany 1997). In addition, we excluded children ages 15–17 (to allow for our outcome measure to span up to 4 years) and children with malignancies because these children have health service utilization patterns that differ from other children (Merrill, Nagamine, and Hambrick 2007). The final dataset contained 10,270 children.

# Measures

The outcome variable was how soon after enrolling in Medicaid a child visited a dentist, measured over 4 years (CY2005–2008). There were two main predictor variables: (1) CC status (no/yes) that was measured for all children and (2) CC severity (less severe/more severe) that was measured for children with a CC. We used the 3M Health Information Systems CRG Software (Version 6.1) to identify CC status and severity. As described previously by Hughes et al. (2004), the CRG system classifies children in administrative datasets into a mutually exclusive and hierarchical core health status group (CHSG) based on medical diagnoses, health service utilization, and prescription medication use. Based on enrollee claims data from CY2005, we used the CRG software to classify each child into one of the following eight CHSGs:

- 1. Healthy
- 2. Acute condition
- 3. Single minor CC
- 4. Minor CC in multiple systems
- 5. Single dominant or moderate CC
- 6. Significant CC in multiple systems
- 7. Dominant CC in three or more organs
- 8. Catastrophic CC

For the first set of analyses, which examined the relationship between CC status and how soon a child saw a dentist, children from CHSGs 1–2 were classified as having no CC; children from CHSGs 3–8 were classified as having a CC. The second set of analyses focused on children with a CC to examine the effects of CC severity on how soon a child saw a dentist. We used methods developed by Neff et al. (2009) to restructure CHSGs 3–8 into two mutually exclusive CC severity groups: (1) less severe (children from CHSGs 3–4 and those with a moderate CC from CHSG 5) and (2) more severe (children with a single dominant CC from CHSG 5 and those from CHSGs 6–8).

In addition, we evaluated the following variables measured in CY2005 for inclusion in our multiple variable regression models:

- Ascribed factors: Age (three levels: 3–7, 8–12, 13–14 years); sex; race/ ethnicity; Medicaid eligibility program (Temporary Assistance to Needy Families [TANF], Supplemental Security Income [SSI], Foster Care, Institutionalization, Home and Community Based Waiver Program); total length of Medicaid enrollment in months (five levels: 6, 7–12, 13–24, 25–36, ≥ 37); CC status (no/yes); CC severity (less severe/more severe).
- *Proximal factor*: Whether the child utilized primary medical care.
- *Immediate factors*: Whether the child had a Medicaid-enrolled sibling under age 18 in the household; whether there was any Medicaid-enrolled adult age 18 or older in the household.
- *Intermediate factor*: Rurality of the child's county of residence based on modified U.S. Department of Agriculture Rural-Urban Continuum Codes (four levels: metropolitan, urban adjacent to metropolitan, urban not adjacent to metropolitan, rural) (U.S. Department of Agriculture Economic Research Service 2003).
- *Distal factor*: Whether the child lived in a dental health professional shortage area (HPSA).

#### Statistical Analyses

Descriptive statistics were generated for the study population and for the comparison groups. We established the  $\alpha$ -level at 0.05 for all statistical tests. The  $\chi^2$  test was used to compare proportions, the *t*-test and one-way analysis of variance to test for differences in means (equal variances assumed), and the log rank test to compare utilization rates across strata (unadjusted for covariates but adjusted for censored data). We tested for a potential interaction between

the two immediate factors (additional Medicaid-enrolled child  $\times$  any Medicaid-enrolled adult) and included this term in the final regression models if it was statistically significant ( $\alpha = 0.05$ ). Survival analytic techniques were used to compare the rates at which children visited a dentist after enrolling in Medicaid. Data were censored when the child (1) became disenrolled from Medicaid or (2) failed to have a dental visit by December 31, 2008. Kaplan-Meier curves were generated for the main predictor variables. We used timedependent variables to assess for the proportional hazards assumption and to adjust for any covariates that violated this assumption. Cox-proportional hazards regression models (with time-dependent covariates when appropriate) were constructed to identify the factors related to how soon a child had a first dental visit after enrolling in Medicaid. We did not include the number of months of enrollment in Medicaid in the final models because enrollment could extend beyond the date of the dental visit. Including this variable would introduce the potential for temporality violations. All data were analyzed using SAS 9.2 for Windows (PROC LIFETEST and PROC TPHREG statements) (SAS Institute Incorporated 2002–2003).

# RESULTS

#### Population Characteristics

About 50 percent of the children in our study population were age 3–7 (Table 1). Over 90 percent of all children were eligible for Medicaid through TANF. The mean length of enrollment was 29 months.

Thirteen percent of children had a CC. The mean age of children with a CC was greater than those without a CC (9.0 versus 7.8 years, respectively; p < .0001). A significantly larger proportion of children with a CC were male than those without a CC (63 percent versus 49.3 percent, respectively; p < .0001). Children with a CC were enrolled in Medicaid significantly longer than those without a CC (p < .0001).

Among children with a CC (n = 1,355), the majority (73.3 percent) had a less severe CC. Children with a more severe CC were significantly older than children with a less severe CC (p < .0001). In terms of differences across immediate factors, compared with children with a less severe CC, smaller proportions of children with a more severe CC had a Medicaid-enrolled sibling (22.7 percent and 14.4 percent, respectively) or adult (15.5 percent and 8.8 percent, respectively).

Table 1: Description of Population Subgroups and Total Study Population of Newly Medicaid-Enrolled Children Ages	owa $(N = 10, 270)$	
Table 1: Description	3-14 in Iowa ( $N=10,270$	

		Ţ	Any Chronic Condition (N= 1,355)	ion $(N = 1, 355)$	1
1 Variable	Vo Chronic Condition (N= 8,915) n (%)	No Chronic Condition Any Chronic Condition (N = 8,915) $(N = 1,355)n \ (\%) n \ (\%)$	Less Severe Chronic Condition (N= 993) n (%)	More Severe Chronic Condition (N= 362) n (%)	Total Study Population (N= 10,270) n (%)
Ascribed factors Age (years)					
$Mean \pm standard deviation$	$7.8 \pm 3.5$	$9.0 \pm 3.4$	$8.8\pm3.4$	$9.7\pm3.2$	$8.0\pm3.5$
3-7	4,515~(50.6)	487(35.9)	383 (38.6)	104 (28.7)	5,002 $(48.7)$
8-12	3,219(36.1)	602(44.4)	435(43.8)	167(46.1)	3,821 (37.2)
13 - 14	1,181(13.3)	266(19.6)	175(17.6)	91(25.1)	1,447 $(14.1)$
Sex					
Female	4,523~(50.7)	502(37.0)	380(38.3)	122 (33.7)	5,025 $(48.9)$
Race/ethnicity					
White	4,349~(48.8)	803 (59.3)	608 (61.2)	195(53.9)	5,152 $(50.2)$
Black	558(6.3)	54(4.0)	39(3.9)	15(4.1)	612(6.0)
Other	$1,285\ (14.4)$	95(7.0)	73(7.4)	22 (6.1)	1,380 (13.4)
Unknown or	2,723(30.5)	403(29.7)	273(27.5)	130(35.9)	3,126(30.4)
missing					
Medicaid eligibility program		1,000,000,1			
lemporary	8,483 (95.2)	1,125(83.1)	882 (88.9)	243 (67.1)	9,608 (93.6)
assistance to					
needy families					

Chronic Conditions and First Medicaid Dental Visits

579 ~

		ļ	Any Chronic Condition $(N = 1, 355)$	ion $(N = 1, 355)$	
Variable	No Chronic Condition (N= 8,915) n (%)	No Chronic Condition Any Chronic Condition (N = 8,915) $(N = 1,355)n$ (%) $n$ (%)	Less Severe Chronic Condition (N = 993) n (%)	More Severe Chronic Condition (N= 362) n (%)	Total Study Population (N= 10,270) n (%)
Supplemental	66(0.7)	59~(4.4)	28(2.8)	31 (8.6)	125(1.2)
security income					
Foster care	317(3.6)	131(9.7)	71(7.2)	60(16.6)	448(4.4)
Institutionalization	34 (0.4)	31(2.3)	9(0.9)	22(6.1)	65(0.6)
Home and community-	11 (0.1)	8(0.6)	2(0.2)	6(1.7)	19(0.2)
based waiver					
Total length of Medicaid enrollment (months), 2005–2008	enrollment (months), 20(	05-2008			
$\mathrm{Mean}\stackrel{\scriptstyle \scriptstyle \leftarrow}{=}\mathrm{standard}$	$28.8 \pm 13.7$	$33.1\pm13.4$	$31.9\pm13.6$	$36.3\pm12.3$	$29.4 \pm 13.8$
deviation					
6	163(1.8)	15(1.1)	11 (1.1)	4(1.1)	178(1.7)
7-12	$1,682 \ (18.9)$	158(11.7)	133 (13.4)	25 (6.9)	1,840(17.9)
13-24	1,926 (21.6)	241(17.8)	$194\ (19.5)$	47(13.0)	$2,167\ (21.1)$
25 - 36	$1,684\ (18.9)$	214(15.8)	162(16.3)	52 (14.4)	1,898 (18.5)
37 or more	3,460 (38.8)	727(53.7)	493(49.6)	234(64.6)	4,187 $(40.8)$
Proximal factor					
Child used primary medical care in 2005	al care in 2005				
Yes	6,627 $(74.3)$	$1,218\ (89.9)$	895(90.1)	323 (89.2)	7,845 ( $76.4$ )
Immediate factors					
At least one Medicaid-enrolled sibling in the household	olled sibling in the hous	ehold			
Yes	1,630 (18.3)	277~(20.4)	225(22.7)	52 (14.4)	1,907 (18.6)
At least one Medicaid-enrolled adult in the household	olled adult in the house	plot			
Yes	1,077 (12.1)	$186\ (13.7)$	$154\ (15.5)$	32 (8.8)	$1,263\ (12.3)$

580

Table 1. Continued

# HSR: Health Services Research 46:2 (April 2011)

	5,557~(54.1)	2,060(20.1)		2,030 (19.8)		618 (6.0)			6,552 ( $63.8$ )
	208(57.5)	$69\ (19.1)$		74(20.4)		11(3.0)			233 (64.4)
	487 (49.0)	193 (19.4)		243 (24.5)		(6.9)			613 (61.7)
	695 (51.3)	262 (19.3)		317(23.4)		80(5.9)			846~(62.4)
ıf residence	4,862 $(54.5)$	1,798 (20.2)		1,713 (19.2)		538 (6.0)		ofessional shortage area	$5,706\ (64.0)$
Intermediate factor Rurality of child's county of residence	Metropolitan	Urban adjacent to	metropolitan	Urban nonadjacent	to metropolitan	Rural	Distal factor	Lived in a dental health professional shortage area	Yes

#### Dental Utilization

Table 2 presents the Kaplan–Meier derived proportions of children with a dental visit after enrolling in Medicaid at specified intervals (adjusted for censoring but not for covariates). About 31 percent of children had a dental visit within 6 months of being enrolled in the Medicaid program; the cumulative proportion increased to 46 percent, 61 percent, 70 percent, and 78 percent by months 12, 24, 36, and 48, respectively. Over the 48-month study period, children with a CC were significantly more likely to have seen a dentist sooner than those without a CC (p < .0001) (Table 2). While larger proportions of children with a more severe CC had a dental visit at all time intervals than those with a less severe CC, these differences were not statistically significant (p = .25) (Table 2).

The subgroups with the smallest proportion of children with a first dental visit within 6 months were those eligible for Medicaid through the Home and Community Based Waiver Program (11 percent) or SSI (19 percent). After being in Medicaid for 1 year, 54 percent of children eligible for Medicaid through foster care had a dental visit—the subgroup with the largest proportion.

#### **Regression Models**

We dropped the Medicaid eligibility program variable from our models because of partial collinearity with CC status. In addition, we excluded race/ ethnicity because a large proportion of children had unknown or missing data (30.4 percent). Imputation methods were not used because of the uncertain accuracy of the race/ethnicity variable. All other covariates, including an interaction term between the two immediate factors (having a Medicaid-enrolled sibling and/or adult) were included in our regression models. No covariates violated the proportional hazards assumption. The results are summarized in Table 3.

*CC Status (Model 1).* After adjusting for model covariates, children with a CC were 17 percent more likely to have had earlier first dental visits after initially enrolling in Medicaid than those without a CC (p<.0001). Children who lived in a dental HPSA, a distal factor, were 6 percent less likely whereas those who used primary medical care, a proximal factor, were 43 percent more likely to have had earlier first dental visits after enrolling in Medicaid. Regarding the immediate-level interaction term, there was no difference in the time to first dental visit for children with no enrolled sibling and no

			Cumula 1 1 m		Outcome. Froportion with Any Denual Visit		4 Value for Comparison
Variable/Strata	N	6 months	12 months	24 months	36 months	48 months	p-vaue jor Comparison across Strata †
All children	10,270	0.31	0.46	0.61	0.70	0.78	NA
fain independent variables							
Chronic condition status							p < .0001
Yes	1,355	0.36	0.52	0.67	0.77	0.82	7
No	8,915	0.30	0.45	0.60	0.69	0.77	
Chronic condition severity							p = .25
Less severe chronic condition	993	0.35	0.52	0.67	0.76	0.81	
More severe chronic condition	362	0.37	0.53	0.69	0.78	0.85	
Ascribed factors							
Age (years)							p = .07
3-7	5,002	0.30	0.46	0.61	0.71	0.78	
8-12	3,821	0.33	0.48	0.62	0.70	0.78	
13–14	1,447	0.31	0.43	0.57	0.68	0.77	
Sex							p < .05
Female	5,025	0.32	0.47	0.62	0.72	0.78	4
Male	5,245	0.30	0.45	0.60	0.69	0.77	
Race/ethnicity							p < .0001
White	5,152	0.33	0.48	0.63	0.73	0.80	I
Black	612	0.24	0.38	0.49	0.62	0.70	
Other	1,380	0.30	0.46	0.60	0.67	0.75	
Unknown or missing Medicaid eligibility program	3,126	0.29	0.45	0.60	0.70	0.77	p < .0001
Temporary assistance to needy families	9,608	0.31	0.46	0.61	0.70	0.78	4
Supplemental security income	125	0.19	0.33	0.55	0.68	0.72	

583

			Outcome: Prop	ortion with An	Outcome: Proportion with Any Dental Visit*		
Variable/Strata	Ν	6 months	12 months	24 months	36 months	48 months	p-vaue jor comparison across Strata †
Foster care	448	0.34	0.54	0.68	0.76	0.80	
Institutionalization	65	0.23	0.29	0.36	0.49	0.58	
Home and community-based	19	0.11	0.11	0.32	0.51	0.51	
waiver program							
Total length of Medicaid enrollment 2005–2008 (months)	08 (months	s)					$\mathbf{NA}^{\ddagger}$
6 Č	178	0.26	ł	ł	ł	ł	
7–12	1,840	0.32	0.41	ł		ł	
13-24	2,167	0.28	0.42	0.53			
25–36	1,898	0.30	0.46	0.59	0.69	ł	
37 or more	4,187	0.32	0.50	0.67	0.76	0.81	
Proximal factor							
Child used primary medical care in 2005							p < .0001
Yes	7,845	0.33	0.49	0.64	0.73	0.81	I
No	2,425	0.24	0.37	0.52	0.61	0.68	
Immediate factors							
At least one Medicaid-enrolled sibling in the household	nousehold						p < .05
Yes	1,907	0.31	0.50	0.64	0.72	0.79	I
No	8,363	0.31	0.45	0.60	0.70	0.78	
At least one Medicaid-enrolled adult in the household	usehold						p = .48
Yes	1,263	0.29	0.49	0.63	0.70	0.78	
No	9,007	0.31	0.46	0.61	0.71	0.77	
Intermediate factor Rurality of child's county of residence							p < .05
Metropolitan	5,557	0.32	0.47	0.62	0.71	0.78	4

584

Table 2. Continued

HSR: Health Services Research 46:2 (April 2011)

Urban adjacent to metropolitan	2,060	0.29	0.45	0.60	0.70	0.77	
Urban nonadjacent to metropolitan	2,030	0.30	0.44	0.58	0.68	0.77	
Rural	618	0.32	0.50	0.64	0.74	0.93	
Distal factor							
Lives in a dental health professional shortage area	ge area						p < .05
Yes	6,552	0.31	0.46	0.60	0.70	0.77	1
No	3,718	0.31	0.47	0.63	0.72	0.79	
*From Kaplan-Meier curves (adjusted for censored data).	isored data).						
		- - -					

 $^{\dagger}\text{Univariate}$  log rank test results for each covariate (unadjusted for other covariates).

<sup>4</sup>Significance testing not reported because of temporality-related issues (explained in the Analyses subsection of the "Methods" section).

Table 3: Multiple Variable Cox-Proportional Hazards Regression Models 1 and 2 for Time to First Dental Visit for Newly Medicaid-Enrolled Children Age 3–14 in Iowa	lazards Regression Iowa	Models 1 and 2 f	or Time to First L	Dental Visit for
	Model 1: Regression Model for Total Study Population (N= 10,270)	Aodel for Total Study V= 10,270)	Model 2: Regression Model for Children with a Chronic Condition (N= 1,355)	Model for Children dition (N= 1,355)
Covariate	Adjusted Hazard Ratio	95% Confidence Interval	Adjusted Hazard Ratio	95% Confidence Interval
Main independent variables Has a chronic condition				
Yes	1.17	1.09, 1.25	NA	NA
No*	1.00	ł	NA	NA
Chronic condition severity				
Less severe chronic condition*	NA	NA	1.00	ł
More severe chronic condition	NA	NA	1.05	0.91, 1.20
Ascribed factors				
Age (years)				
3–7	1.05	0.97, 1.13	0.92	0.77, 1.09
8-12	1.11	1.03, 1.20	0.96	0.81, 1.14
$13 - 14^*$	1.00	ł	1.00	ł
Sex				
Female	1.07	1.02, 1.13	1.04	0.91, 1.18
Male*	1.00	ł	1.00	ł
Proximal factor				
Child used primary medical care in 2005				
Yes	1.43	1.34, 1.52	1.20	0.97, 1.49
No*	1.00	ł	1.00	ł
Immediate factors				
At least one Medicaid-enrolled sibling in the household	int	ł	int	ł
At least one Medicaid-enrolled adult in the household	int	ł	int	ł

586

# HSR: Health Services Research 46:2 (April 2011)

Interaction between having at least one Medicaid-enrolled sibling and adult variables				
No enrolled sibling/no enrolled adult*	1.00	1.00	1.00	ł
No enrolled sibling/enrolled adult	1.03	0.92, 1.15	0.99	0.75, 1.29
Enrolled sibling/no enrolled adult	1.36	1.12, 1.64	1.67	1.10, 2.55
Enrolled sibling/enrolled adult	1.86	1.43, 2.41	2.68	1.48, 4.85
Intermediate factor				
Rurality of child's county of residence				
Metropolitan*	1.00	ł	1.00	ł
Urban adjacent to metropolitan	0.90	0.84, 0.96	0.76	0.64, 0.90
Urban nonadjacent to metropolitan	0.90	0.84, 0.96	0.83	0.71, 0.97
Rural	1.06	0.96, 1.18	0.75	0.57, 0.99
Distal factor				
Lives in a dental Health Professional Shortage Area				
Yes	0.94	0.89, 0.99	0.94	0.82, 1.07
$N_{0}^{*}$	1.00	ł	1.00	ł
*Reference group.				

NA, variable not included in the model. int, significant interaction; refer to results for each combination of factor levels below.

587

enrolled adult (the reference group) and children with no enrolled sibling and an enrolled adult. However, children with an enrolled sibling, regardless of whether they lived with a Medicaid-enrolled adult, were 36–86 percent more likely to have had an earlier first dental visit compared with children in the reference group.

*CC Severity (Model 2).* Among children with a CC, there was no significant difference in the time to first dental visit after enrolling in Medicaid by CC severity (p = .53). The only two factors for which there were significant differences in the hazard ratios were the interaction term between the two immediate factors (Medicaid-enrolled sibling and/or adult) and the intermediate factor (rurality). Similar to findings from Model 1, children with an enrolled sibling, regardless of whether there was a Medicaid-enrolled adult in the household, were 1.67–2.68 times as likely to have an earlier first dental visit after enrolling in Medicaid, compared with children in the reference group (no enrolled sibling and no enrolled adult). Children who lived in nonmetropolitan areas were significantly less likely to have an earlier first visit than those living in metropolitan areas.

# DISCUSSION

#### Main Findings

This is the first published study to date that examined the factors related to the rates at which newly Medicaid-enrolled children had a first dental visit, with an emphasis on the impact of CC status and severity. There are three main findings.

First, after adjusting for covariates, children with a CC were 17 percent more likely than those without a CC to have had earlier first dental visits after initially enrolling in Medicaid. This finding suggests that compared with newly Medicaid-enrolled children without a CC, children with a CC in Iowa are (a) able to overcome the barriers to dental care at higher rates; and (b) more likely to utilize all types of care, including dental care. These explanations are not mutually exclusive, as Medicaid-enrolled children with a CC may be more successful at obtaining dental care as a result of the effort involved in obtaining medical care.

Second, among children with a CC, there was no statistically significant difference in the time to first Medicaid dental visit by CC severity. There are

two potential explanations. Because of sparse data, especially in the most severe CHSGs, we dichotomized the CC severity variable. This approach could have eliminated potential differences that existed across CC subgroups. However, a competing problem associated with the alternative approach comparing the time to first dental visit for unbalanced CC severity subgroups—is low statistical power. Another explanation for these results is that CC severity may be a less important determinant of the time to first dental visit for newly Medicaid-enrolled children than other factors, such as behavioral or medical comorbidities, that make it difficult for dentists to treat such patients or for caregivers to take their child to a dentist.

Third, there were similarities and differences across the two models in terms of the combination of factors related to the timing of first Medicaid dental visits. For instance, use of primary medical care and not living in a dental HPSA were associated with earlier visits in Model 1 and were statistically insignificant in Model 2, whereas the interaction between the two proximal factors (having at least one Medicaid-enrolled sibling and/or adult in the household) was significant in both models. These results suggest that the determinants of earlier first dental visits for newly Medicaid-enrolled children are heterogeneous across subgroups. To ensure optimal effectiveness, future clinical interventions may need to consider this heterogeneity to address the needs of child subgroups within the Medicaid population.

#### Previous Work

Compared with previous findings reported by Damiano et al. (2008), the proportion of children in our study with a first dental visit by 36 months (70 percent) was lower than for Medicaid-enrolled children ages 0–18 (75 percent), which is surprising given that we excluded children <3 years. The proportion of children with a CC with a visit within 36 months in our study (77 percent) was greater than for children in the Medicaid program (73 percent) but less than the rates for children enrolled in the Separate State Children's Health Insurance Program (S-SCHIP) I or III (80 percent and 82 percent, respectively). This latter finding suggests that Medicaid-enrolled children with a CC are more similar to children from higher-income households and may explain why children with a CC were more likely to have earlier first dental visits after initially enrolling in Medicaid than those without a CC.

#### Other Determinants of Earlier First Medicaid Dental Visits

Regarding the other factors from our models, children living in nonmetropolitan areas (an intermediate factor) were generally less likely to have earlier first dental visits after initially enrolling in Medicaid, which is consisted with findings reported by Damiano et al. (2008). This may be related to variations in the distribution of dentists in metropolitan versus nonmetropolitan areas such as distance to the nearest dentist who accepts Medicaid insurance. In addition, from both models, the interaction term between the two proximal factors-having a Medicaid-enrolled sibling or adult in the household-was significant. Compared to children with no enrolled sibling and no enrolled adult, children with an enrolled sibling were more likely to have an earlier first visit after enrolling in Medicaid. There are limitations to these findings given that we lacked other household-level measures such as employment status of the caregiver. Even so, these findings provide preliminary support for policies and interventions that enroll all children from the same household into Medicaid as a way to ensure timely first dental visits after initial enrollment in the Medicaid program.

#### Contributions, Limitations, and Future Research

Strengths and Weaknesses. This study had a number of strengths. We assessed dental utilization over multiple years, adopted analytic techniques that enabled us to maximize the use of our data through censoring, and used validated methods of identifying children with a CC. However, there are two main limitations. Because we lacked clinical data, we were not able to measure the level of unmet need or the appropriateness of care received by children who had a dental visit. In addition, our study design precluded us from including in our models the sociobehavioral determinants of utilization as reported by caregivers and dentists, which may be even more important than CC status or severity as implied by the model proposed by Patrick and colleagues. We expect these limitations to be addressed in the future through clinical research efforts that incorporate survey and clinical data. These primary data elements can then be combined with administrative data to further expand our conceptual and empirical understanding of the determinants of the time to first dental visit after initial enrollment in Medicaid.

*Policy Implications.* Regardless of CC status, 22 percent of children in our study did not have a dental visit within 4 years of being enrolled in the

Medicaid program. Comparable estimates for privately insured children are not available. In our study, 54 percent of children did not utilize dental care within 1 year, compared with 64.2 percent of poor children ages 2-17 in the United States who did not have a dental visit in 2003 (Brown 2006). This comparison suggests that our 4-year nonuse rate of 22 percent may be lower than the national average. Furthermore, while the numbers of children eligible for Medicaid through the Home and Community Based Waiver Program was small (n = 19), 49 percent did not have a dental visit. The latter finding is worrisome given that most of these children were long-term enrollees ( $\geq$  37 months) and young (ages 3–7)—a subgroup for whom timely first dental visits and preventive care are critical in minimizing oral healthrelated morbidities and the high costs associated with providing treatment under general anesthesia. In light of previous findings that Medicaid-enrolled children are at increased risk for caries (Brickhouse, Rozier, and Slade 2008), Medicaid policies need to ensure that all enrolled children see a dentist shortly after joining the program.

### CONCLUSIONS

Our findings suggest that newly Medicaid-enrolled children with a CC did not have later first dental visits than children without a CC. And while children with more severe CCs may encounter greater barriers to care, we found that CC severity was not significantly associated with the time to first dental visit after initially enrolling in Medicaid. Future studies should identify the sociobehavioral factors associated with CC severity that may be more important determinants of first dental visits for newly Medicaid-enrolled children. We also found that the determinants of the time to first dental visit after enrolling in Medicaid vary for all Medicaid-enrolled children versus Medicaid-enrolled children with a CC. These findings play an important role in the development of future clinical interventions and policies aimed at improving dental utilization for specific subgroups of children in the Medicaid program that exhibit the greatest disparities in utilization and oral health.

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Appendix SA1: Author Matrix.

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