

NIH Public Access

Author Manuscript

HIV Med. Author manuscript; available in PMC 2012 February 1

Published in final edited form as:

HIV Med. 2011 February 1; 12(2): 97–108. doi:10.1111/j.1468-1293.2010.00856.x.

Quantifying the risks and benefits of efavirenz use in HIVinfected women of childbearing age in the United States

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Abstract

Objectives—We quantified the benefits (life expectancy gains) and harms (efavirenz-related teratogenicity) associated with using efavirenz in HIV-infected women of childbearing age in the United States.

Methods—We used data from the Women's Interagency HIV Study in an HIV disease simulation model to estimate life expectancy in women who receive an efavirenz-based initial antiretroviral regimen compared with those who delay efavirenz use and receive a boosted protease inhibitor-based initial regimen. To estimate excess risk of teratogenic events with and without efavirenz exposure per 100,000 women, we incorporated literature-based rates of pregnancy, live births, and teratogenic events into a decision analytic model. We assumed a teratogenicity risk of 2.90 events/100 live births in women exposed to efavirenz during pregnancy and 2.68/100 live births in unexposed women.

Results—Survival for HIV-infected women who received an efavirenz-based initial antiretroviral therapy regimen was 0.89 years greater than for women receiving non-efavirenz-based initial therapy (28.91 vs. 28.02 years). The rate of teratogenic events was 77.26/100,000 exposed women, compared with 72.46/100,000 unexposed women. Survival estimates were sensitive to variations in treatment efficacy and AIDS-related mortality. Estimates of excess teratogenic events were most sensitive to pregnancy rates and number of teratogenic events/100 live births in efavirenz-exposed women.

Conclusions—Use of non-efavirenz-based initial antiretroviral therapy in HIV-infected women of childbearing age may reduce life expectancy gains from antiretroviral treatment, but may also

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prevent teratogenic events. Decision-making regarding efavirenz use presents a tradeoff between these two risks; this study can inform discussions between patients and health care providers.

Keywords

HIV/AIDS; efavirenz; women; teratogenicity

INTRODUCTION

In March 2005, Bristol-Myers Squibb issued a "Dear Health Care Provider" letter informing physicians that the Food and Drug Administration (FDA) pregnancy category for efavirenz was changed from Category C (Risk of Fetal Harm Cannot Be Ruled Out) to Category D (Positive Evidence of Fetal Risk).^{1, 2} Efavirenz, a non-nucleoside reverse transcriptase inhibitor (NNRTI), was originally classified as FDA pregnancy category C after pre-clinical animal studies showed malformations in 3 of 20 monkey fetuses exposed to efavirenz (versus 0 of 20 concomitant controls).² The impetus for the category upgrade stemmed from four retrospectively reported cases of neural tube defects in human fetuses exposed to efavirenz during the first trimester of pregnancy.³⁻⁵

Based on comparative clinical studies and safety data,⁶⁻⁹ the current Guidelines for the Use of Antiretroviral Agents in HIV-1-Infected Adults and Adolescents recommend the use of efavirenz as the preferred NNRTI component of initial antiretroviral therapy (ART) regimens.¹⁰ The exception to this is in women who are pregnant (especially during the first trimester), planning to conceive, or not using effective and consistent contraception. Despite these recommendations, anecdotal evidence suggests that some physicians resist prescribing efavirenz to any woman of childbearing age without a definitive form of birth control (e.g., hysterectomy, tubal ligation).

To inform treatment decision-making for HIV-infected women of childbearing age in the United States, we sought to quantify the tradeoff between a potential loss of maternal life expectancy due to use of a non-efavirenz-based initial ART regimen and the anticipated risk of excess teratogenic events from efavirenz use in HIV-infected women who may become pregnant unintentionally.

METHODS

Analytic Overview

To quantify the benefits (life expectancy gains) and harms (efavirenz-related teratogenicity) associated with using efavirenz in HIV-infected women of childbearing age in the U.S., we conducted this analysis in two parts. First, we used a previously published computer simulation model of HIV disease and treatment¹¹⁻¹⁴ informed by data from the Women's Interagency HIV Study (WIHS)¹⁵ and the published literature from the modern ART era to estimate survival in HIV-infected women given the following two efavirenz prescription policies: 1) an efavirenz-based regimen is available and prescribed as a component of first-line therapy regardless of childbearing potential, and 2) an alternate first-line ART regimen is prescribed and efavirenz use is delayed due to concerns related to unintended pregnancy. We then incorporated reported rates of pregnancy, live birth, and teratogenicity among HIV-infected women into a separate decision analytic model to estimate the risk of teratogenic events per 100,000 women exposed to efavirenz compared with those unexposed to efavirenz.

Estimating Long-term Survival in HIV-infected Women

The Cost-Effectiveness of Preventing AIDS Complications (CEPAC) Model is a previously published computer simulation model of HIV infection which incorporates natural history, disease progression, and state-of-the-art therapeutic interventions.¹¹⁻¹⁴ Patients in the model are divided into "health states," including chronic HIV disease, acute clinical events (e.g., opportunistic infections, drug toxicities), and death, which reflect HIV disease progression. In the model, stratified CD4 counts determine the absolute risk of opportunistic infections and HIV-related mortality while stratified HIV RNA levels determine the rate of CD4 count decline in the absence of therapy. While the efficacies of the ART regimens modeled are reported in rates of virologic suppression and CD4 benefit, we use the model to project these results over the long term. To achieve stability in estimates, a cohort of 1,000,000 patients is simulated one at a time, from model entry until death. Model outcomes include mean survival time and mean exposure time for all antiretroviral treatment regimens.

HIV-infected women in the model are at risk for the following HIV-related comorbidities: *Pneumocystis jirovecii* pneumonia (PCP), *Mycobacterium avium* complex (MAC), toxoplasmosis, cytomegalovirus (CMV), fungal infections, bacterial infections, invasive cervical cancer, and other illnesses (e.g., lymphoma and wasting). Primary and secondary prophylaxis against PCP, toxoplasmosis, and MAC is provided at recommended CD4 thresholds and at efficacy rates reported in the literature.¹⁶⁻²⁴

Antiretroviral therapy functions in the model to suppress HIV RNA with a concomitant increase in CD4 count as reported in treatment trials from the modern ART era.²⁵⁻³⁰ The model allows for numerous sequential drug treatment regimens after failure. However, subsequent therapy regimens generally result in diminishing capacity to suppress virus due to previous drug exposure and development of resistance. Treatment failure, resulting in a switch to the next available regimen, may occur either as a result of virologic failure (defined as a one-log increase in HIV RNA over two consecutive months) or immunologic failure (defined as a decrease in CD4 count over two consecutive months). For cases of nucleoside reverse transcriptase inhibitor (NRTI)-related toxicity, the model has the capacity to incorporate a single NRTI switch with an associated quality of life decrement, without including a switch of the entire regimen. The model provides six sequential ART regimens to indicate possible treatment sequences for a patient who fails multiple therapies. Due to the efficacy of the regimens, approximately one-third of simulated patients die of unrelated causes and neither progress through all six regimens nor die as a result of sequential ART failure. If all regimens are exhausted, an optimized background regimen is maintained to capitalize on the independent effect of antiretroviral therapy in averting opportunistic infections, despite apparent virologic or immunologic treatment failure.³¹

Simulation Model Input Data

The Women's Interagency HIV Study (WIHS) Cohort—The WIHS is a longitudinal cohort of HIV-infected women in 6 U.S. locations (Bronx/Manhattan, NY; Washington DC; San Francisco/Bay Area; Los Angeles/Southern California/Hawaii; Chicago, IL; and Brooklyn, NY). Cohort enrollment from October 1994 through November 1995 resulted in inclusion of 2,056 HIV-infected women.³² Details on the WIHS study have been published elsewhere;¹⁵ resulting studies have informed issues related to the natural history of HIV disease in women,³³ tuberculin positivity,³⁴ disease progression in women on antiretroviral therapy,^{35, 36} and HIV effects on cervical dysplasia.³⁷

For this analysis, cohort characteristics and natural history data used as model inputs for disease progression in the absence of treatment were provided based on all non-pregnant, ART-naive WIHS participants enrolled between 1994 and 1995 and followed through 2002

(Table 1; data provided by collaborating WIHS investigators). At baseline, this cohort of women had a mean CD4 count of 520/ μ l (standard deviation 418/ μ l) and a mean HIV RNA of 4.4 log₁₀ copies/ml (standard deviation 0.9 copies/ml). The rate of CD4 count decline in the absence of treatment varied by HIV RNA and ranged between 2.48 cells/ μ l/month (HIV RNA <3,000 copies/ml) to 2.93 cells/ μ l/month (HIV RNA >100,000 copies/ml).

Opportunistic infection incidence increased with decreasing CD4 count (Table 1). For CD4 counts >200 cells/ μ l, we used the upper bound of the 95% confidence interval for AIDS mortality risks provided by the WIHS because these estimates produced a better match between model-estimated life expectancy and observed long-term patient survival.

Antiretroviral treatment efficacy—Antiretroviral therapy is initiated according to current guidelines at a CD4 count of <350 cells/µl and an HIV RNA of >100,000 copies/ml. ¹⁰ Table 1 provides treatment efficacy data for two possible regimen sequences – one assuming use of efavirenz as a component of first-line ART, and the other assuming use of an alternative boosted protease inhibitor-based initial ART regimen that delays efavirenz use. Treatment efficacy data for a first-line regimen in which nevirapine replaces efavirenz are also included.

To ensure comparability of regimen sequences given the heterogeneity of published ART efficacy reports, we assumed that the CD4 gains in the first and third regimens in the delayed efavirenz use scenario matched the CD4 gains in the first and second regimens of the efavirenz as a component of first-line ART scenario. In addition, we matched the CD4 gain due to the first regimen of the nevirapine strategy (160 cells/µl at 48 weeks) with the CD4 gain of the efavirenz as a component of first-line ART scenario (190 cells/µl at 48 weeks). These assumptions were examined in sensitivity analysis.

Using the simulation model, we assessed the impact of parameter variations on modelestimated survival using sensitivity analyses. Specifically, we conducted one-way sensitivity analyses on AIDS mortality, virologic suppression and CD4 gains due to first-line ART, the CD4 count threshold for ART initiation, and the discount rate. We varied AIDS mortality between the lower and upper limits of 95% confidence intervals and the discount rate from 0% (basecase) to 5%. For first-line ART (with and without efavirenz), we varied CD4 gains by 50%. We also decreased the percentage of patients with HIV RNA <400 copies/ml by 20% and increased this percentage by 20%, up to an absolute maximum of 95%. We conducted an additional analysis in which a nevirapine-based ART regimen was used in place of the recommended efavirenz-based regimen as first-line treatment. To do so, we accounted for the warning regarding hepatoxicity and the CD4 restrictions in women by initiating the regimen at CD4 counts <250 cells/ μ l.

Estimating the Risk of Teratogenic Events

For women eligible to receive antiretroviral therapy, we constructed a decision analytic model using TreeAge Pro decision modeling software (TreeAge Pro 2009; Williamstown, MA), incorporating literature-based rates of pregnancy,³⁸ live births,³⁸ and teratogenic events^{39, 40} for HIV-infected women to calculate the risk of teratogenic events per 1,000 women. The decision analytic model simulates pregnancy risk for HIV-infected women, as well as live birth rates conditional on pregnancy and teratogenic event risk conditional on live birth. Simulations are conducted for women receiving an efavirenz-based antiretroviral therapy regimen and women receiving a non-efavirenz-based regimen. The primary outcome of the model is teratogenic events per 100,000 HIV-infected women.

For the base case decision model analysis, we used pregnancy and live birth rates reported by the WIHS (Table 2).³⁸ The Antiretroviral Pregnancy Registry provided data on rates of

teratogenic events in women receiving efavirenz during pregnancy (Table 2).³⁹ This is a voluntary, prospective registry which enrolls approximately 1,300 pregnant women in the United States exposed to antiretroviral drugs each year, representing approximately 15% of the 8,650-8,900 HIV-positive women⁴¹ who give birth to live infants annually in the United States. As of January 31, 2009, the Registry had enrolled 579 pregnant women exposed to efavirenz during the first trimester, resulting in 477 live births. Fourteen of these 477 live births (2.9%, 95% confidence interval: 1.6-4.9%) experienced a teratogenic event.³⁹ For women not receiving efavirenz during pregnancy, the Metropolitan Atlanta Congenital Defects Program (MACDP) provided a population-based estimate of the rate of teratogenic events (2.72%, 95% confidence interval: 2.68-2.76%).^{39, 40, 42} Since the rate of teratogenic events with efavirenz reported by the Antiretroviral Pregnancy Registry is not statistically different from the population-based rate, we conducted a sensitivity analysis using the upper 95% confidence limit (4.9% of the rate) in women who received efavirenz.

In addition, since pregnancy rates for HIV-infected women vary substantially with age,⁴³ disease state, and treatment status, we varied these rates widely in sensitivity analyses to ascertain the impact of fertility and child-bearing decision-making on the incidence of teratogenic events. Specifically, we conducted a sensitivity analysis using age-group-specific pregnancy rates for women aged 15-24 years, 25-34 years, and 35-44 years. For women aged 15-24 years, we used a pregnancy rate of 18.1 pregnancies per 100 person-years, while for women aged 25-34 and 35-44 years, we used pregnancy rates of 6.5 and 1.4 pregnancies per 100 person-years, respectively.⁴³

To project a possible range for the risk of teratogenic events, we used one-way and two-way sensitivity analyses to vary all uncertain parameters. The plausible range for each parameter was based on 95% confidence intervals when available, published data, or expert opinion.

RESULTS

Simulation model survival estimates

In the base case simulation model analysis, mean projected life expectancy for women receiving an efavirenz-based first-line ART regimen starting at CD4 <350 cells/ μ l regardless of childbearing potential was 28.91 life years (Table 3). In comparison, mean life expectancy for women who delayed efavirenz use and were treated with an alternative initial ART regimen which did not contain efavirenz was 28.02 years. The life expectancy gain attributable to using an efavirenz-based initial antiretroviral regimen was 0.89 years. For women receiving an efavirenz-based initial regimen, mean total exposure time to efavirenz was 4.07 years per woman. For women delaying efavirenz use and receiving alternate first-line therapy, mean exposure time to efavirenz was 3.37 years per woman.

In sensitivity analysis, we examined how the life expectancy gains attributed to initial and delayed use of efavirenz varied with changes in selected simulation model input parameters in one-way sensitivity analyses (Table 3). Results were most sensitive to changes in HIV RNA suppression and CD4 count gains due to ART, mortality due to AIDS, and the discount rate. The incremental life expectancy gain with efavirenz-based first-line ART ranged from 0.44 to 0.78 years of life as viral suppression rates of the first-line regimens were increased by 20% to a maximum of 95% and decreased by 20% (Table 3).

When CD4 gains for first-line ART were increased and decreased by 50%, incremental gains in life expectancy due to first-line efavirenz ranged from 0.89 to 0.67 years. For women delaying efavirenz use, estimated life expectancy increased from 28.02 to 28.74 years when the CD4 gains for the first and third regimens in the sequence were increased from 190 cells/ μ l at 48 weeks to 203 cells/ μ l at 48 weeks for the first regimen and 86 cells/ μ l

at 16 weeks to 273 cells/µl at 96 weeks for the second regimen, as reported in the literature. This increase in survival for women delaying efavirenz narrowed incremental survival gains due to first-line efavirenz use to 0.17 years (base case: 0.89 years).

When an initial nevirapine-based regimen substituted for the recommended efavirenz-based therapy and ART was initiated at CD4<250 cells/µl, the mean life expectancy for women receiving the nevirapine-based therapy was 25.49 years. For an efavirenz-based first-line ART regimen starting at CD4<250 cells/µl, estimated survival was 27.08 years. Time on initial treatment using a nevirapine-based regimen was 3.02 years compared to 4.00 years with first-line efavirenz.

The incremental gain in life expectancy from efavirenz-based first-line ART ranged from 0.78 to 0.96 years when the monthly probability of chronic AIDS mortality was increased or decreased by 50%. Use of mean chronic AIDS mortality risks for CD4 cells counts >200 cells/µl, rather than the upper bound of the 95% confidence interval used in the base case analysis, decreased the incremental gain in life expectancy due to first-line efavirenz use to 0.51 years.

Mean projected life expectancy for women receiving an efavirenz-based first-line ART regimen starting at CD4 <500 cells/ μ l was 30.45 life years, while mean life expectancy for women who delayed efavirenz use and were treated with an alternative initial ART regimen which did not contain efavirenz was 29.53 life years. The life expectancy gain attributable to using an efavirenz-based initial antiretroviral regimen was 0.92 years.

Increasing the discount rate from 0% (base case) to 5% lowered incremental life expectancy gains due to use of an efavirenz-based first-line ART regimen from 0.89 to 0.21 years, a difference of 0.68 years.

Decision Model Calculations of Excess Teratogenic Events

For women without efavirenz exposure during pregnancy, the rate of teratogenic events was 72.46 events per 100,000 women (Table 4). For women exposed to efavirenz during pregnancy, the rate was 77.26 events per 100,000 women.

We conducted a sensitivity analysis using age-group-specific pregnancy rates for women aged 15-24 years, 25-34 years, and 35-44 years. Using a pregnancy rate of 18.1 pregnancies per 100 person-years for women aged 15-24 years, the number of teratogenic events with use of efavirenz was 188.96 events per 100,000 women (11.73 excess events per 100,000 women). In contrast, using a pregnancy rate of 1.4 pregnancies per 100 person-years for women aged 35-44 years, the risk of excess teratogenic events decreased to 0.91 events per 100,000 women.

Results of other one-way sensitivity analyses on the rate components of the decision model are summarized in Table 4. When the live birth rate was varied from 27%-45% (base case rate: 36%), the excess risk of teratogenic events attributable to efavirenz use ranged from 3.60 to 5.99 events per 100,000 women. When the rate of teratogenic events with efavirenz was varied from 1.60% to 4.90%, the excess teratogenicity risk ranged from -29.84 to 58.08 events per 100,000 women. Here, a negative risk of excess teratogenic events suggests that efavirenz use confers no excess teratogenicity risk beyond the background risk.

Figure 1 shows the results of a two-way sensitivity analysis on the prevalence of teratogenic events with efavirenz use and the pregnancy rate. For women aged 15-24 years with the highest pregnancy rate (18.1 pregnancies per 100 person-years) and the highest teratogenicity risk (4.9%; the upper bound of the 95% confidence interval for the mean rate

of teratogenicity with efavirenz), the estimated number of excess teratogenic events was 142.05 events per 100,000 women. For women aged 35-44 years with the lowest pregnancy rate (1.4 pregnancies per 100 person-years) and lowest teratogenicity risk (1.6%; the lower bound of the 95% confidence interval for the mean rate of teratogenicity with efavirenz), the estimated number of excess teratogenic events was -5.65 events per 100,000 women (not shown in figure).

DISCUSSION

Whether to use efavirenz in women of childbearing age remains controversial. In the context of existing options for antiretroviral therapy, limiting efavirenz use as a component of firstline therapy in HIV-infected women of childbearing age may lead to reductions in projected life expectancy from antiretroviral therapy, but may also prevent teratogenic events. In this analysis, we found that projected survival for HIV-infected women receiving an efavirenzbased initial antiretroviral therapy regimen 0.89 years greater than for women delaying efavirenz use and using an alternate first-line regimen (28.91 vs. 28.02 years), but efavirenz exposure was associated with a small (4.80 per 100,000 women) increased risk of teratogenic events. These life expectancy gains are larger than those associated with the use of both PCP and MAC prophylaxis (2.6 months, or 0.22 years).¹⁴ The number of excess teratogenic events in women aged 15-24 years. The higher rate of excess teratogenic events in younger women is attributable to their increased rate of pregnancy (18.1 versus 1.4 pregnancies per 100 person-years).

Sensitivity analyses demonstrated that estimates of life expectancy and risk of excess teratogenic events are influenced by several important parameters. In the estimate of the risk of excess teratogenic events, the pregnancy rate and the teratogenicity risk with efavirenz exposure were the most influential parameters. Not surprisingly, the risk of excess teratogenic events attributable to efavirenz use was greater for women who are more likely to become pregnant. Data on pregnancy rates and outcomes in the modern ART era are limited. Due to the paucity of these data, we used pregnancy rates and outcomes reported in both the modern ART and pre-ART eras. Because more potent regimens have become available since these data were reported, we varied the rates widely in sensitivity analysis to allow for changes in fertility and child-bearing decisions made by HIV-infected women.

In sensitivity analysis, the greatest impact on life expectancy occurred when the discount rate was increased from 0% (base case) to 5%. Changing the discount rate changes the relative attractiveness of treatment strategies that accrue benefits along different timelines. This is a way of giving more weight to events which occur immediately compared to those in the distant future. Changes in first-line ART viral suppression rates and CD4 benefits yielded less dramatic effects on life expectancy. However, sensitivity analysis does demonstrate variation in the efavirenz-related survival benefit.

This analysis has several limitations. First, since the computer simulation model excludes pregnancy status and specific changes in immunity associated with pregnancy, life expectancy estimates are based on natural history and treatment data from non-pregnant HIV-infected women. While pregnancy rates in the base case are initially drawn from WIHS data reported in 2004, we recognize these may not be fully representative of rates seen in the more modern ART era.³⁸ We therefore varied such rates widely in sensitivity analyses using additional ART-era data.⁴³ In addition, the model does not allow simulated patients to switch ART regimens based on pregnancy. As such, this analysis focuses on risk of teratogenic events for women who have not proactively switched antiretroviral regimens in anticipation of becoming pregnant. Although the analysis is specific to women, some data

used in the computer simulation model are derived from clinical trials that also include men. However, consistent with literature reporting comparable virologic and immunologic responses to antiretroviral therapy between men and women,⁴⁴ it is likely that women will benefit equally from these regimens. Third, the evidence for a reduced life expectancy in women treated with non-efavirenz-based regimens comes primarily from cross-trial comparisons. These results should be interpreted with caution, as patients recruited across trials may differ in sociodemographic characteristics. The trials themselves may also vary in study design, which could ultimately result in differences in reported outcomes. As new ART regimens become approved for first-line use, the relative attractiveness of efavirenzbased first-line ART may decline, as evidenced by recently reported results of a study showing equivalent virologic suppression and CD4 gains in patients randomized to boosted atazanavir compared to efavirenz.⁴⁵⁻⁴⁷ Finally, we assume no effect of HIV status or treatment with ART agents other than efavirenz on rates of teratogenicity (i.e., we assume that HIV status itself has no teratogenic effect, and we assume that efavirenz is the only agent which has a teratogenic effect beyond that of the US population risk).

By assessing the tradeoff between gains in maternal life expectancy with the use of efavirenz and the risk of teratogenic events in children born to mothers receiving efavirenz during pregnancy, this analysis does not position the health of the mother and the child in equal terms (i.e., it does not consider survival time for both mothers and children). It does, however, enumerate that the life expectancy benefits achievable for thousands of women may result in putting a very small number of unborn children at risk. These benefits, and risks, discussed by HIV-infected women and clinicians considering options for antiretroviral therapy may well be articulated as a tradeoff between maternal survival and teratogenic events in children.

While considerable discussion has been dedicated to the use of efavirenz in women of childbearing age,⁴⁸ it is important to note the potential teratogenicity risks of other drugs. For efavirenz (and several other antiretroviral medications), sufficient numbers of first trimester exposures have been monitored by the Antiretroviral Pregnancy Registry to detect at least a two-fold increase in risk of overall birth defects compared with the overall population risk, but no such increase has been detected.³⁹ However, the rates of efavirenz teratogenicity reported by the US-based Antiretroviral Pregnancy Registry are consistent with those reported internationally. For example, a recent publication by Bera et al. reports experience with 818 HIV-infected pregnant women at a regional South African hospital exposed to efavirenz during pregnancy.⁴⁹ In the 807 live births, they found a teratogenicity rate of 3.3% (95% CI: 1.2-7.0%) for first trimester exposures to efavirenz and 2.6% (95% CI: 1.5-4.2%) for second and third trimester exposures. These rates are similar to the baseline rate used in this analysis (2.72%).

In our analysis, the estimated range of the rate of teratogenic events with efavirenz used in sensitivity analysis (1.6%-4.9%) extends above and below the U.S. background rate of 2.72%. As such, estimates of excess teratogenic events compared to background number of events includes both negative and positive values (range: -72.98 to 142.05 events per 100,000 women), depending on the rates of pregnancy and teratogenicity of efavirenz. This suggests that use of efavirenz may have less of an impact on teratogenicity compared to background rates than this analysis predicts. More data are needed to better estimate the true risk of teratogenic events in pregnant women receiving efavirenz as well as other antiretroviral medications.

The benefits and risks -- both known and unknown -- of antiretroviral therapy should be discussed with HIV-infected women of childbearing age.⁴⁸ These discussions should address not only the potential survival advantage for the infected woman and the potential

for reduction of mother-to-child transmission, but also the possible risks with respect to toxicity, pregnancy outcomes, and the health of the fetus or infant. Clinical decisions about using efavirenz-based treatment present a potential trade-off between life expectancy gains in women and risk of teratogenicity; these results should inform discussions between women and their health care providers.

Acknowledgments

This research was supported in part by the National Institute of Allergy and Infectious Diseases (K24AI062476, R37AI42006).

The Cost-Effectiveness of Preventing AIDS Complications (CEPAC) investigators include: Massachusetts General Hospital, Boston, MA: John J. Chiosi, Sarah Chung, Andrea L. Ciaranello, Kenneth A. Freedberg, Heather E. Hsu, Elena Losina, Zhigang Lu, Caroline Sloan, Stacie Waldman, Rochelle P. Walensky, Bingxia Wang, Angela Wong, Hong Zhang; Brigham and Women's Hospital, Boston, MA: Paul E. Sax; Harvard School of Public Health, Boston, MA: Sue J. Goldie, April D. Kimmel, Kara L. Cotich, Marc Lipsitch, Chara E. Rydzak, George R. Seage III, Milton C. Weinstein; Yale School of Medicine, New Haven, CT: A. David Paltiel; Weill Cornell Medical College, New York City, NY: Bruce R. Schackman.

Data in this manuscript were collected by the Women's Interagency HIV Study with centers: New York City/Bronx Consortium (Kathryn Anastos); Brooklyn, NY (Howard Minkoff); Washington DC Metropolitan Consortium (Mary Young); The Connie Wofsy Study Consortium of Northern California (Ruth Greenblatt); Los Angeles County/Southern California Consortium (Alexandra Levine); Chicago Consortium (Mardge Cohen); Data Analysis Center (Stephen Gange). The WIHS is funded by the National Institute of Allergy and Infectious Diseases with supplemental funding from the National Cancer Institute, and the National Institute on Drug Abuse (U01-AI-35004, U01-AI-31834, U01-AI-34994, U01-AI-34989, U01-AI-34993, and U01-AI-42590). Funding is also provided by the National Institute of Child Health and Human Development (U01-HD-32632) and the National Center for Research Resources (M01-RR-00071, M01-RR-00079, M01-RR-00083).

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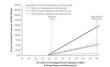


Figure. Two-way sensitivity analysis on age-specific pregnancy rates and the prevalence of teratogenic events with exposure to efavirenz

Prevalence of teratogenic events among live births to women exposed to efavirenz during pregnancy is on the horizontal axis. These values range from the lower to the upper bound of the 95% confidence interval of the mean prevalence of teratogenic events with efavirenz exposure reported by the Antiretroviral Pregnancy Registry.³⁹ The mean prevalence of teratogenic events for women exposed to efavirenz (2.9%; used for base case analysis) and the upper bound of the 95% confidence interval for this estimate (4.9%) are indicated by the dashed vertical lines. The vertical axis shows the excess number of teratogenic events per 100,000 women for efavirenz-exposed women compared to unexposed women as estimated by the decision model. The 4 diagonal lines represent different age-specific pregnancy rates. All 4 diagonal lines cross the horizontal axis at 2.72%, the point at which the prevalence of teratogenic events among women exposed and unexposed to efavirenz are equal.

Table 1

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Parameter		Base	Base Case		Source			
Mean age (SD)		36.1	36.1 (8.0) years		WIHS*			
Mean CD4 cell count (SD)	(D)	520 (520 (417) cells/µl	П	SHIW			
Distribution of initial HIV RNA (copies/ml)	IV RNA (copies/1	(lu					
> 100,000		0.26			WIHS			
30,001-100,000		0.20						
10,001 - 30,000		0.18						
3,001-10,000		0.25						
501-3,000		0.07						
0-500		0.05						
Mean monthly CD4 cell decline (cells/µl) by HIV RNA stratum (copies/ml)	l decline (cells/µ]	by HIV R	NA stra	tum (copies	(ml)		
> 100,000		2.93			SHIW			
30,001 - 100,000		2.61						
3,001-30,000		2.59						
≤3,000		2.48						
Chronic AIDS mortality, % monthly risk by CD4 stratum (cells/μا)	ty, % mo	nthly ri	isk by CD	4 stratu	m (cells/µl)	*	SHIW	 ~
-	0-50 5	51-100	101-200	201-300	0 301-500	00 >500	_	
Without prior OIs	1.65 (0.42	0.42	0	0	0	_	
With prior OIs	6.14	3.45	2.39	0.83	0.50	0.25		I
Severe opportunistic diseases, % monthly risk by CD4 stratum (cells/µl)	liseases, 9	6 mont	hly risk by	/ CD4 st	ratum (cel	(lµl)		SHIW
	0-50		51-100 101	101-200	201-300	301-500	>500	
PCP	1.49		1.00 0.	0.42	0.25	0.08	0.08	
MAC	1.14		0.00 0.	0.04	0.04	0.02	0.02	
Toxoplasmosis	0.18		0.25 0.	0.04	0.04	0.00	0.01	
Cytomegalovirus	0.37		0.25 0.	0.04	0.02	0.03	0.02	
Fungal infections	2.47		1.08 0.	0.33	0.33	0.17	0.08	
Bacterial infections	0.66		0.17 0.	0.33	0.42	0.25	0.17	

Invasive cervical cancer Other	0.18	0.26 0.0 1.65 1.0	0.04 1.08	0.02 0.08 0.58 0.50	0.04 0.58		
Efficacy of antiretroviral therapy regimens	herapy r	sgimens					
Efavirenz as a component of first-line ART	nt of first	-line ART	Η ₹	HIV RNA suppression (<400 copies/ml)	n CD4 Benefit (cells/µl)	it.	
1 st -line: EFV + 2 NRTIs	°si Si		86.1	86.0% at 24 weeks	190 at 48 weeks	eeks	28, 50
2 nd -line: ATV/RTV + 2 NRTIs [‡]) NRTIs⊄		1.67	79.0% at 24 weeks	110 at 48 weeks	eeks	29, 51
3 rd -line: RAL + DRV/RTV + OBR	TV + OF	ßR	90.0	90.0% at 16 weeks [§]	86 at 16 weeks	eks	52
4^{th} -line: T20 + OBR <i>or</i> MVC + OBR +/- T20 l	MVC + 0	3BR +/− T20 ^{<i>l</i>}		40.0% at 24 weeks	117 at 48 weeks	eeks	25, 27
5 th -line: OBR (2 PIs +2 NRTIs)	NRTIs)		15.0	15.0% at 24 weeks	45 at 48 weeks	eks	27
Alternate initial regimen with delayed use of efavirenz	with delay	'ed use of efav.	virenz				
1 st -line: ATZ/RTV + 2 NRTIs	NRTIs		78.4	78.0% at 48 weeks	190 at 48 weeks#	eeks#	53
2 nd -line: RAL + DRV/RTV + OBR	RTV + O	3R	90.1	90.0% at 16 weeks	86 at 16 weeks	eks	52
3^{rd} -line: LPV/RTV + EFV **	FV^{**}		72	72.5% at 24 weeks	110 at 48 weeks	eeks	6
4^{th} -line: T20 + OBR <i>or</i> MVC + OBR +/- T20 l	MVC + 0	JBR +/− T20 ^Å		40.0% at 24 weeks	117 at 48 weeks	eeks	25, 27
5 th -line: OBR (2 PIs +2 NRTIs)	NRTIS)		15.4	15.0% at 24 weeks	45 at 48 weeks	eks	27
Sensitivity Analysis: Nevirapine (substitution for efavirenz in first-line)	pine (sub:	stitution for efs	favirenz	in first-line)			
1 st -line: NVP + 2 NRTIs	ls		65	65.4% at 24 weeks	190 at 48 weeks $^{\dagger \dot{\tau}}$	eeks††	54
2^{nd} -line: ATV/RTV + 2 NRTIs [†]	t NRTIs∱		19.	79.0% at 24 weeks	110 at 48 weeks	eeks	29, 51
3 rd -line: RAL + DRV/RTV + OBR	IV + OF	IR	90.	90.0% at 16 weeks	86 at 16 weeks	eks	52
4 th -line: T20 + OBR <i>or</i> MVC + OBR +/- T20 ll	MVC + 0	3BR +/− T20 ⁴		40.0% at 24 weeks	117 at 48 weeks	eeks	25, 27
5 th -line: OBR (2 PIs +2 NRTIs)	NRTIs)		15.0	15.0% at 24 weeks	45 at 48 weeks	eks	27

HIV Med. Author manuscript; available in PMC 2012 February 1.

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SHIW

>500

0-50 51-100 101-200 201-300 301-500

Severe opportunistic diseases, % monthly risk by CD4 stratum (cells/µl)

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* Data provided by collaborating WIHS investigators.

 \dot{f} For CD4 cell counts >200 cells/µl, we used the upper bound of the 95% confidence interval for chronic AIDS mortality data provided by the WIHS because these estimates produced a better match between model-estimated life expectancy and observed long-term patient survival.

ATV/r arm, <4 PI mutations

 $^{\&}$ Although the virologic suppression for this regimen was reported at 16 weeks, the model treats it as 24 week data.

 $M_{\rm We}$ assumed that 50% of the cohort received T20 + OBR (HIV RNA 32.7% suppressed at 24 weeks; CD4 benefit of 119 cells at 48 weeks²⁷) and 50% received MVC + OBR +/- T20 (BID arm: HIV RNA 60.4% suppressed at 24 weeks and a CD4 benefit of 111 cells at 24 weeks²⁵; 46% of these patients received T20). The viral suppression rate and the CD4 benefits reported in this table are weighted averages of these two regimens.

"delayed efavirenz" scenarios, we assumed that the CD4 gains in the first and third regimens in the "delayed efavirenz" scenario matched the CD4 gains in the first and second regimens of the "efavirenz as a #The CD4 gain of the first regimen of the "efavirenz delayed" scenario was originally reported as 203 cells/µl at 48 weeks. To ensure comparability of the "efavirenz as a component of first-line ART" and component of first-line ART" scenario. In addition, we matched the CD4 gain due to the first regimen of the nevirapine strategy (160 cells/µl at 48 weeks) with the CD4 gain of the "efavirenz as a component of first-line ART" (190 cells/µl at 48 weeks).

** LPV/r arm, all patients; published CD4 benefit: 273 cells/µl at 96 weeks

 $\dot{\tau}\dot{\tau}$ Published CD4 benefit: 160 cells/µl at 48 weeks.

Table 2

Epidemiologic Data Used in Decision Model

Epidemiologic Data	Base Case	Range	Source		
Pregnancies (per 100 person-years) in HIV-infected women	7.40	1.40-18.10*	38, 43		
Pregnancy outcomes among HIV-infe	ected women				
Live births per pregnancy	36.00%	27.00-45.00%	38		
Probability of teratogenic events among HIV-infected women					
Prevalence of teratogenic events, no efavirenz exposure $^{\dot{T}}$	2.72%	95% CI: 2.68-2.76%	39, 42		
Prevalence of teratogenic events with efavirenz exposure	2.90%	95% CI: 1.60-4.90%	39		

*The range for this sensitivity analysis was derived using age-stratified pregnancy rates.43

[†]The confidence intervals reported around the general population rate of teratogenic events from the Metropolitan Atlanta Congenital Defects Program (MACDP) used for the prevalence of teratogenic events with no efavirenz exposure were calculated by the Antiretroviral Pregnancy Registry.³⁹ MACDP does not publish confidence intervals because population-based surveillance does not involve sampling.

Table 3

Survival Estimates

	Estimated Survival (years)	Change in Survival from Same Strategy in the Base Case (years)	Incremental Gain in Life Expectancy (years)
Base Case (Start ART at CD4	<350 cells/µl)		
No ART	11.45		
PI-based first-line ART	28.02		16.57
EFV as first-line ART	28.91		0.89

Viral Suppression Rate of First-line Antiretroviral Therapy

Viral Suppression Rate of First	line Antireti	roviral Therapy	
20% Increase in Viral Suppre to a Maximum of 95% Suppre		r First-line Antiretroviral Therapy	
No ART	11.45	0.00	
PI-based first-line ART	29.07	1.14	17.62
EFV as first-line ART	29.51	0.60	0.44
20% Decrease in Viral Suppre	ession Rate fo	or First-line Antiretroviral Therapy	
No ART	11.45	0.00	
PI-based first-line ART	26.97	-1.06	15.52
EFV as first-line ART	27.75	-1.16	0.78
CD4 Benefit of First-line Antire	troviral The	rapy	
50% Increase in CD4 Benefit	s for First-lin	e Antiretroviral Therapy	
No ART	11.45	0.00	
PI-based first-line ART	28.99	0.97	17.54
EFV as first-line ART	29.88	0.97	0.89
50% Decrease in CD4 Benefit	s for First-lin	ne Antiretroviral Therapy	
No ART	11.45	0.00	
PI-based first-line ART	25.49	-2.53	14.04
EFV as first-line ART	26.16	-2.75	0.67
CD4 Benefits Increased to 203	cells/µl *		
No ART	11.45	0.00	
PI-based first-line ART	28.74	0.72	17.29
EFV as first-line ART	28.91	0.00	0.17
Nevirapine-based First-line AR	T (Start AR]	Γ at CD4<250 cells/μl)	
No ART	11.45	0.00	
NVP as first-line ART	25.49		14.04
PI-based first-line ART	26.25	-1.77	0.76
EFV as first-line ART	27.08	-1.83	0.83
Monthly Probability of Chronic	AIDS Morta	ality	
50% Increase in Monthly Pro	bability of Ch	aronic AIDS Mortality	
No ART	10.25	-1.20	
PI-based first-line ART	24.83	-3.19	14.58

	Estimated Survival (years)	Change in Survival from Same Strategy in the Base Case (years)	Incremental Gain in Life Expectancy (years)
EFV as first-line ART	25.60	-3.31	0.78
50% Decrease in Monthly Pr	obability of C	hronic AIDS Mortality	
No ART	13.78	2.33	
PI-based first-line ART	32.83	4.81	19.06
EFV as first-line ART	33.79	4.88	0.96
Chronic AIDS Mortality, as	reported by W	IHS [†]	
No ART	9.31	-2.14	
PI-based first-line ART	20.15	-7.87	10.83
EFV as first-line ART	20.65	-8.26	0.51
Starting ART at CD4<500 ce	lls/µl		
No ART	11.45		
PI-based first-line ART	29.53	1.51	18.08
EFV as first-line ART	30.45	1.54	0.92
Discount Rate (base case: 0%)			
Discount Rate: 3%			
No ART	9.01	-2.44	
PI-based first-line ART	17.51	-10.51	8.49
EFV as first-line ART	17.86	-11.05	0.35
Discount Rate: 5%			
No ART	7.86	-3.59	
PI-based first-line ART	13.73	-14.29	5.86
EFV as first-line ART	13.93	-14.98	0.21

Abbreviations: ART: antiretroviral therapy; EFV: efavirenz; PI: protease inhibitor

* The CD4 gain of the first regimen of the "efavirenz delayed" scenario was originally reported as 203 cells/µl at 48 weeks. The CD4 gain of the third regimen of the "efavirenz delayed" scenario was originally reported as 273 cells/µl at 96 weeks.

[†]Chronic AIDS mortality as a % monthly risk by CD4 stratum as reported by the WIHS: 0-50 cells/µl: 1.65; 51-100 cells/µl: 0.42; 101-200 cells/µl: 0.42; 201-300 cells/µl: 0.08; and >500 cells/µl: 0.83 without a history of opportunistic infections and 0-50 cells/µl: 6.14; 51-100 cells/µl: 3.44; 101-200 cells/µl: 2.39; 201-300 cells/µl: 1.57; 301-500 cells/µl: 1.00; and >500 cells/µl: 066 for women with a history of opportunistic infections. See methods for further details.

Table 4

Estimated Teratogenic Events per 1,000 HIV-infected Women

	Teratogenic Events per 100,000 HIV-infected Women	Excess Events per 100,000 HIV-infected Women
Base Case		
Age-adjusted Pregnancy Rates	: 7.4 pregnancies per 100 perso	n-years
No efavirenz exposure	72.46	
With efavirenz exposure	77.26	4.80
Sensitivity Analysis Results		
Age-specific Pregnancy Rates		
Ages 15-24 years: 18.1 pregnan	cies per 100 person-years	
No efavirenz exposure	177.24	
With efavirenz exposure	188.96	11.73
Ages 25-34 years: 6.5 pregnanc	ies per 100 person-years	
No efavirenz exposure	63.65	
With efavirenz exposure	67.86	4.21
Ages 35-44 years: 1.4 pregnanc	ies per 100 person-years	
No efavirenz exposure	13.71	
With efavirenz exposure	14.62	0.91
Live Birth Rate (base case: 36	%)	
Live birth rate: 27%		
No efavirenz exposure	54.35	
With efavirenz exposure	57.94	3.60
Live birth rate: 45%		
No efavirenz exposure	90.58	
With efavirenz exposure	96.57	5.99
Sensitivity Analysis on Rates o	of Teratogenic Events Among H	IIV-Infected Women
Prevalence of Teratogenic Eve	ents with Efavirenz (base case:	2.9%)
Lower Bound of the 95% Confi	dence Interval for the Mean (1.	6%)
No efavirenz exposure	72.46	
With efavirenz exposure	42.62	-29.84
Upper Bound of the 95% Confi	dence Interval for the Mean (4.	9%)
No efavirenz exposure	72.46	
With efavirenz exposure	130.54	58.08
Prevalence of Teratogenic Eve	ents without Efavirenz (base ca	se: 2.72%)
Lower Bound of the 95% Confi	dence Interval for the Mean (2.	68%)
No efavirenz exposure	71.40	
With efavirenz exposure	77.26	5.86
Upper Bound of the 95% Confi	dence Interval for the Mean (2.2	76%)
No efavirenz exposure	73.53	
×.		

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	Teratogenic Events per 100,000 HIV-infected Women	Excess Events per 100,000 HIV-infected Women
With efavirenz exposure	77.26	3.73