# Roles of DNA Looping in Enhancer-Blocking Activity

Naoko Tokuda,<sup>†</sup> Masaki Sasai,<sup>‡§¶</sup> and George Chikenji<sup>‡</sup>\*

<sup>†</sup>Department of Computational Science and Engineering and <sup>‡</sup>Department of Applied Physics, Nagoya University, Nagoya, Japan;<br><sup>§</sup>Kerea Institute for Advanced Study, Secul, Kerea: and <sup>¶</sup>Okazaki Institute for Integrative B Korea Institute for Advanced Study, Seoul, Korea; and <sup>¶</sup>Okazaki Institute for Integrative Bioscience, Okazaki, Japan

ABSTRACT Enhancer-promoter interactions in eukaryotic genomes are often controlled by sequence elements that block the actions of enhancers. Although the experimental evidence suggests that those sequence elements contribute to forming loops of chromatin, the molecular mechanism of how such looping affects the enhancer-blocking activity is still largely unknown. In this article, the roles of DNA looping in enhancer blocking are investigated by numerically simulating the DNA conformation of a prototypical model system of gene regulation. The simulated results show that the enhancer function is indeed blocked when the enhancer is looped out so that it is separated from the promoter, which explains experimental observations of gene expression in the model system. The local structural distortion of DNA caused by looping is important for blocking, so the ability of looping to block enhancers can be lost when the loop length is much larger than the persistence length of the chain.

### INTRODUCTION

Gene expression in eukaryotic cells is often regulated by enhancers that act on promoters in chromatin. The distance between enhancers and promoters is frequently larger than thousands of basepairs (bp) along the sequence and they sometimes are separated in different chromosomes. Questions should then arise about how the range of enhancer-promoter interactions is controlled to prevent promiscuity in their function. An important mechanism employed in cells for specific enhancer-promoter interactions is the action of insulators  $(1-5)$ . Insulators are sequence elements of DNA that prevent inappropriate interactions between adjacent chromatin domains. At least two types of insulator activity, not necessarily exclusive of each other, have been pointed out  $(4,5)$ ; insulators that protect against spreading of heterochromatin regions are barrier insulators, whereas those that protect from activation by enhancers are enhancer-blocking insulators. In this article, the physical mechanism of enhancer-blocking activity is examined by numerically simulating a prototypical system of gene regulation.

Although the molecular mechanism of the enhancerblocking activity has not yet been clarified, several models of activity have been proposed. The promoter decoy model assumes that interactions between insulators and enhancers prevent enhancer-promoter interactions [\(6](#page-7-0)). The structure model assumes that insulator-mediated formation of looped chromatin structures controls the transcription process ([7–9\)](#page-7-0). The tracking model is based on the assumption that RNA polymerase bound to an enhancer site can slide along the chromatin but is trapped by an insulator before it reaches the promoter [\(10](#page-7-0)). Though these models are not mutually

\*Correspondence: [chikenji@tbp.nuap.nagoya-u.ac.jp](mailto:chikenji@tbp.nuap.nagoya-u.ac.jp)

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exclusive, the significance of how the looped structure is formed is evident in the observation that the loop-forming interactions between a pair of tandemly arranged insulators  $(11-13)$  or insulatorlike elements  $(14)$  $(14)$  ([Fig. 1](#page-1-0), a and b) neutralize the insulator activity, making it possible for the unblocked enhancer to promote transcription.

The effects of DNA looping on enhancer-blocking activity were further analyzed by using the designed plasmid sequences, which encoded enhancers and promoters. Designed plasmids have been examined in vitro ([14\)](#page-7-0) and in vivo in transfected HeLa cells [\(15](#page-7-0)) and Drosophila cells ([16,17](#page-7-0)). Bondarenko et al. [\(14](#page-7-0)) used a plasmid of several kilobasepairs (kbp) into which a bacterial enhancer-promoter pair was embedded. Also embedded was a pair of lac operators (lacO) that mimicked eukaryotic insulators. It was shown that the enhancer action of this system in vitro is blocked when interactions between the  $lacO$  pair form two closed loops with enhancer and promoter in separate loops ([Fig. 1](#page-1-0) c). Although the level of gene expression may become high in a supercoiled ring of DNAwhen the slithering motion of DNA brings enhancer and promoter spatially close to each other ([18\)](#page-7-0), Bondarenko et al. suggest that this slithering motion is pinned in a looped structure by the interaction between insulators, so that the expression level is lowered by the looping ([14\)](#page-7-0). Although insulator actions in eukaryotic genomes should be associated with histone modification or chromatin remodeling [\(4](#page-7-0)), the observations of Bondarenko et al. show that DNA looping alone is sufficient to suppress the enhancer activity in this model system and hence should also play an important role in eukaryotic cells.

Similar results were obtained by Ameres et al. by using HeLa cells transfected with designed DNA sequences ([15\)](#page-7-0). They showed that insulatorlike elements in an open fragment of DNA chain exhibit the same enhancer-blocking ability as observed in a closed ring of plasmid: The gene expression is much suppressed when interaction between

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FIGURE 1 Schemes of DNA looping. (a) Looping in a plasmid ring through the interaction between tandemly arranged insulatorlike elements,  $lacO$ , which confines enhancer E and promoter P in the same loop. (b) Looping in a linear DNA chain through the interaction between tandemly arranged insulatorlike elements, I, which does not confine either enhancer or promoter. (c) Looping in a plasmid ring. (d) Looping in a linear DNA chain. In a and b, the enhancer action is not blocked by looping  $(11-14)$ , but in  $c$  and  $d$ , the enhancer is looped out and its activity can be blocked  $(14–17)$ .

insulatorlike elements confines the enhancer in a closed DNA loop separated from the promoter (Fig. 1 d). Because the slithering motion is less important in open fragments of DNA, this result showed that a mechanism other than pinning of slithering motion is important for insulatorlike elements to suppress enhancers. Thus, in this model system, the structure of looped DNA itself should be responsible for enhancer blocking, but this mechanism still remains unclear. Here, we analyze enhancer blocking in this prototypical system, the open short-DNA chain, to shed light on problems in gene regulation:

Open or closed plasmid chains in transfected mammalian cells can interact with histones to form minichromosomes ([19\)](#page-7-0), and this raises intriguing problems regarding the structure and dynamics of plasmid chains in vivo. To express the reporter gene, however, the chain should at least have a relaxed euchromatin structure, and for the enhancer to act efficiently in the small system (Fig. 2), there is no room for a nucleosome inserted around the enhancer. Therefore, interactions of this small system with histones should prevent enhancer activity, so the chromatinized model does not provide an adequate focus on the enhancer-blocking activity of loop formation. We therefore use an unchromatinized naked DNA as the model system in the next part of this article. We discuss the physical mechanism of this model system to take a step toward understanding insulator mechanisms.

#### MODEL

We consider a linearized plasmid  $~6$  kbp in length used in the experiment of Ameres et al. [\(15](#page-7-0)) as a model system of



FIGURE 2 The designed sequence used in the experiment of Ameres et al. [\(15](#page-7-0)). SV40 enhancer is flanked by two boxes, each of which consists of seven repeats of tetR elements. When tD-TetR (TetR protein fused with the dimerization domain tD) binds to tetR elements, the dimerized tD-TetR connects two boxes and loops out SV40 enhancer, which can block the enhancer action on SV40 promoter, leading to the decrease of the level of the reporter protein, luciferase.

gene regulation. This open short DNA chain encoded SV40 enhancer, and its promoter was placed at 2.0 kbp distance from the enhancer. As shown in Fig. 2, the SV40 enhancer was flanked by two boxes of a repeat of seven tet operators (tetO). For further details about this model DNA chain, see Fig. 2. Introduced into HeLa cells together with this DNA chain was a plasmid that encoded a dimerization domain (tD) fused to the C-terminal end of the Tet repressor (TetR). When the tD-TetR produced from this plasmid binds to the  $tetO$  of the DNA chain examined, dimerized tD-TetRs connect two elements of tetO boxes to loop out the enhancer (Fig. 2).

Since this model chain is short enough, it may remain a naked DNA when the gene is actively expressed. We use this assumption to highlight the effects of DNA structure by treating them separately from those of histones. Thus, we use a combined wormlike chain and bead model ([20–23\)](#page-7-0) of DNA to simulate the system. The chain consists of  $i = 1-N$  beads and the segment length is assumed to be<br>30 bp. We use  $N = 200$ , so that the total length of the chain 30 bp. We use  $N = 200$ , so that the total length of the chain is 5970 bp. In this model chain, the enhancer is represented by a sequence of nine beads,  $i = 29-37$ , which in turn<br>represent the SV40 enhancer of length 237 bp (15); the correpresent the SV40 enhancer of length 237 bp [\(15](#page-7-0)); the corresponding promoter is represented by beads  $i = 108-116$ .<br>A box of repeats of *tetO* is simulated by  $n = m + 1$  beads A box of repeats of tetO is simulated by  $n - m + 1$  beads<br>from  $i - m$  to  $i = n$ , which are assumed to interact with from  $i = m$  to  $i = n$ , which are assumed to interact with another box consisting of beads at  $j = j_0 - i$ . Shown in<br>Fig. 3 are five arrangements of sequences tested in this [Fig. 3](#page-2-0) are five arrangements of sequences tested in this study: arrangement A, with no insulatorlike elements ([Fig. 3](#page-2-0) A); arrangement B-1, with  $(m,n) = (18,27)$  and  $j_0 = 66$ ; Arrangement B-2, with  $(m,n) = (3,12)$  and  $j_0 = 66$ ; arrangement B-3, with  $(m,n) = (24,27)$  and  $j_0 = 66$ ; and arrangement C, with  $(m,n) = (50,59)$  and  $j_0 = 129$ .

The energy of the system consists of several terms,

$$
E = E_s + E_b + E_{rep} + E_e + E_{loop} + E_{ep}. \qquad (1)
$$

<span id="page-2-0"></span>

FIGURE 3 DNA chains with the different arrangements of sequence elements examined in this article: Arrangement A, no insulatorlike elements; Arrangement B-1, insulatorlike elements at almost the same positions as in the Ameres et al. experiment [\(15](#page-7-0)); Arrangement B-2, insulatorlike elements more widely separated than in the Ameres et al. experiment [\(15](#page-7-0)); Arrangement B-3, shorter insulatorlike elements; and Arrangement C, tandemly arranged insulatorlike elements.

Here, the first four terms of Eq. 1 are potential energies for a wormlike chain, and standard values are used for parameters in those four terms ([18,23](#page-7-0)).  $E_s$  is the energy of stretching the chain,

$$
E_s = \frac{h}{2} \sum_{i=1}^{N-1} (l_i - l_0)^2, \tag{2}
$$

where h is the stretching force constant,  $l_i$  is the length of the *i*th segment, and  $l_0$  is the equilibrium segment length. We chose  $h = 100k_BT/l_0^2$  based on the works of all (20) and lian and Vologodskii (23) where Allison et al. [\(20](#page-7-0)) and Jian and Vologodskii ([23\)](#page-7-0), where  $k_B$  is the Boltzmann constant. We consider the physiological conditions of  $T = 298$  K and 0.2 M monovalent ions in solution, so that the length of the 30-bp segment should be around 10 nm, and hence we use  $l_0 = 10$  nm [\(18](#page-7-0)).  $E_b$  is the energy of bending the chain,

$$
E_b = \frac{g}{2} \sum_{i=1}^{N-2} \theta_i^2,
$$
 (3)

where g is the bending rigidity constant, and  $\theta_i$  is the angular displacement of segment i relative to segment  $i + 1$ . Based on the studies of other groups ([18,24,25](#page-7-0)), we use  $g = 4.81k_BT$ .  $E_{rep}$  is the repulsion of the excluded volume:

$$
E_{rep} = K_{rep} \sum_{j>i+1} \left(\frac{r_{rep}}{r_{ij}}\right)^{12}, \qquad (4)
$$

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where  $r_{i,j}$  is the distance between the *i*th and *j*th beads, and  $\sum_{j>i+1}$  is the summation over all pairs of beads farther away than the nearest neighbor,  $K_{ren} = 1.0k_BT$ , and  $r_{ren} = 2.0$  nm ([26\)](#page-8-0). The electrostatic interaction is approximated as

$$
E_e = \frac{v^2 l_0^2}{D} \sum_{j>i+1} \frac{\exp(-\kappa r_{i,j})}{r_{i,j}},
$$
 (5)

where  $\nu$  is the effective linear charge density of DNA,  $D$  is the dielectric constant of water, and  $\kappa$  is the inverse of the Debye length. We use  $\nu = 9.94$  e/nm for 0.2 M ion concentration ([27\)](#page-8-0), and  $D = 80$ . The Debye length is  $r_D = 0.69$  nm, and hence,  $\kappa = 1.46$  nm<sup>-1</sup>.

 $E_{loop}$  represents interactions between a pair of insulatorlike elements, i.e., interactions necessary for closing the loop. Though we can expect that binding of tD-TetR to tetO brings about torsion or bending of the DNA chain to some extent, we neglect these effects and use a simplified assumption that two elements of  $tetO$  form an antiparallel sheet without torsion. Effects of torsion in looped DNA have been extensively studied on small loops of prokaryotic DNA [\(28–30](#page-8-0)), but here, torsion would give minor effects on the loop larger than the persistence length of  $l_p = 50$  nm (see [Appendix](#page-7-0) for  $l_p$  in the model presented here). Because structure and flexibility of the dimerized tD-TetR complex that mediates the interaction between two  $tetO$  elements are not known, we assume that the dimerized tD-TetR complex is flexible enough, so that the potential energy of interaction between bead  $i$  in one element and bead  $j$  in another element is assumed to be a flat function of  $r_{i,j}$  if  $r_{i,j}$  is smaller than a certain threshold b. For  $r_{i,j} \geq b$ , we consider that the protein complex is stretched to increase  $E_{loop}$ . We do not consider the dynamical opening and closing processes of the loop but compare how the enhancerpromoter interactions are affected depending on whether the loop is set to be opened or closed. The purpose of introducing this energy term is to focus only on the condition of the loop being closed. For this purpose, it is sufficient that the energy function of  $E_{loop}$  is designed so that insulatorlike elements would be constrained to form a loop configuration. As one of the simplest choices for such a function, we choose as the functional form of  $E_{loop}$ 

$$
E_{loop} = \frac{a}{2} \sum_{i=m}^{n} (r_{i,j} - b)^2 \quad \text{when } r_{i,j} \ge b,
$$
  
= 0 when  $r_{i,j} < b$ , (6)

where we use  $b = 10$  nm as the typical size of protein homodimers. For simplicity, we use the same constant for the stretching rigidity of the DNA chain,  $a = 100k_BT/l_0^2$ , for<br>arrangements other than Arrangement A (for Arrangement arrangements other than Arrangement A (for Arrangement A,  $a$  is set to be zero). By estimating the thermal fluctuation of stretching energy as  $a(r_{i,j} - b)^2 \le k_B T$ , we can see that the distance should be stretched from h due to the thermal flucdistance should be stretched from  $b$  due to the thermal fluctuation as  $\langle r_{i,j}\rangle \leq b+1$  nm for this choice of a, exhibiting

the reasonable amplitude of fluctuation for a dimerized protein complex. By using a smaller rigidity value, such as  $a = 1k_B T/l_0^2$ , for comparison, we have  $\langle r_{ij} \rangle \le b + 10$  nm,<br>but the simulated results of the enhancer-promoter interacbut the simulated results of the enhancer-promoter interactions in the latter case were almost the same as those shown in this article; the results are robust against the choice of the parameter value a.

 $E_{ep}$  is the energy to form a transcription complex that involves transcription factors, enhancer, and promoter ([8,31,32\)](#page-7-0). Structure and dynamics of the transcription complex are not known, but there are many examples of how the intrinsically disordered proteins constitute core parts of transcription complexes ([33\)](#page-8-0), and hence, we assume that the distance between enhancer and promoter in the transcription complex can flexibly change without a large energy loss. Here, the formation of the transcription complex is simulated by juxtaposition of the enhancer element and the promoter element. Corresponding to the assumed structural flexibility of the transcription complex, we define  $E_{ep}$  to be insensitive to the structural change of the juxtaposed enhancer-promoter pair by using the same form of a linear function of distance as in Huang et al. [\(18](#page-7-0));

$$
E_{ep} = -\sum_{i=k}^{l} \mu r_{ij} \quad \text{when } r_{i,j} \le 10 \text{ nm},
$$
  
= 0 \quad \text{when } r\_{i,j} > 10 \text{ nm}, \tag{7}

where  $r_{i,j}$  is the distance between a bead in the enhancer element and a bead in the promoter element, and the enhancer element extends from  $i = k$  to  $i = l$  with  $(k, l) = (29, 37)$ , and the promoter element is the corresponding region of  $j = 145 - i$ . As an alternative to the linear functional form of Eq. 7, we also examined with linear functional form of Eq. 7, we also examined with a square-well potential, but the simulated results were qualitatively the same, so that the results are insensitive to the detailed functional form of  $E_{ep}$ . Formation of the transcription complex results in formation of a loop of the DNA chain in addition to the preexisting loop formed through interactions between insulatorlike elements. Unlike the constrained interactions between insulatorlike elements in this model, we consider the dynamical association and dissociation of the enhancer-promoter pair and measure the equilibrium probability,  $P_{prox}$ , of forming the proximity pair of enhancer and promoter. Torsion of the DNA chain may play a role in evaluating the free energy of loops in general, but we neglect such effects here, since there should be a minor statistical effect to calculate  $P_{prox}$  in a loop much longer than the persistence length.

Here, we define the average enhancer-promoter distance,  $\overline{r}$ ;

$$
\overline{r} = \frac{1}{9} \sum_{i=29}^{37} \langle r_{i,145-i} \rangle, \tag{8}
$$

where  $\langle \ldots \rangle$  implies the thermal average. Then,  $P_{prox}$  is defined by the probability that  $\overline{r}$  < 10 nm. In Eq. 7,  $\mu$  is calibrated to be  $\mu = 0.356k_BT$  to make  $P_{prox} \approx 0.5$  in the simulated results for Arrangement A. This value of  $\mu$ corresponds to  $E_{ep} \approx -32k_B T$  when the transcription<br>complex is fully formed with  $r_{\text{tot}} = 10$ pm for all *ii* pairs complex is fully formed with  $r_{i,j} = 10$ nm for all *ij* pairs, which is of the same order as the typical energy of binding of transcription factors to DNA, 10–15 kcal/mol ([34\)](#page-8-0), so that this choice of  $\mu$  should be consistent with its physical meaning as a coefficient to decide the energy of binding of transcription factors to enhancer and promoter regions. The effects of variation of the value of  $\mu$  will be argued in the Discussion section.

By using thus defined energy function, we perform Metropolis Monte Carlo (MC) calculations to simulate the equilibrium distribution of structures of the DNA chain. See Appendix for details of the MC calculation.

#### RESULTS

In the experiment of Ameres et al. [\(15](#page-7-0)), expression level of the reporter gene was monitored and compared among different experimental conditions for looped and unlooped DNA chains. When the probability that the transcription complex is formed is denoted by  $P_{on}$ , the expression level of the reporter gene, that is, the amount of synthesized reporter protein, is estimated by  $N_p \approx gP_{on}/k_d$ , where g is the rate of protein synthesis and  $k_d$  is the rate constant of protein degradation ([35\)](#page-8-0).  $P_{on}$  on the other hand, should be proportional to  $P_{prox}$  as  $P_{on} = qP_{prox}$ , where  $q < 1$  is the probability that the transcription factors are properly arranged to function on the juxtaposing enhancer and promoter. In this simulation, various looped and unlooped chains with different arrangements of sequence are compared ([Fig. 3](#page-2-0)). When we write  $N_{p, q}$ , and  $P_{prox}$  in the sequence of Arrangement X as  $N_p(X)$ ,  $q(X)$ , and  $P_{prox}(X)$ , the relative expression level with different arrangements of  $X'$  and  $X$  is expressed by the ratio

$$
\frac{N_p(X')}{N_p(X)} \approx \frac{q(X')}{q(X)} \frac{P_{prox}(X')}{P_{prox}(X)}.
$$
\n(9)

Because DNA looping can bring about local structural distortion at the enhancer site, the looping may distort the transcription complex and lower  $q$ . Hence, for  $X$  of the unlooped chain and  $X'$  of the looped chain, we can expect the inequality  $q(X')/q(X) < 1$ , so that  $P_{prox}(X')/P_{prox}(X)$ <br>gives the upper bound of the relative level of gene expresgives the upper bound of the relative level of gene expression in the looped chain. Hereafter, we estimate  $P_{prox}$ from MC calculation of DNA conformation and examine whether the calculated  $P_{prox}$  is consistent with the experimentally observed enhancer-blocking effects of DNA looping ([15\)](#page-7-0).

Shown in [Fig. 4](#page-4-0) are the simulated results of  $P_{prox}$  for Arrangements A, B-1, and B-2. Arrangement A has no insulatorlike element. Arrangement B-1, on the other hand, has insulatorlike elements at almost the same positions as in the



FIGURE 4 The simulated proximity probability,  $P_{prox}$ , to form juxtaposed pair of enhancer and promoter for Arrangement A, B-1, and B-2.

experiment ([15\)](#page-7-0). Arrangement B-2 also has two boxes of insulatorlike elements, but they are separated farther from each other than in Arrangement B-1 to form a larger loop than observed in the experiment. We can see that the DNA looping in Arrangement B-1 much suppresses  $P_{prox}$  as  $P_{prox}$ (B - 1)/ $P_{prox}(A) \approx 0.033$ , which is consistent with the experimentally observed apparent blocking tent with the experimentally observed apparent blocking of enhancer through looping (see Fig. 4 of Ameres et al. [\(15](#page-7-0))). In Arrangement B-2, on the other hand,  $P_{prox}(\mathbf{B} - 2)/P_{prox}(\mathbf{A}) \approx 0.748$  and blocking is not much evident. Thus the blocking activity of looping is sensitive evident. Thus, the blocking activity of looping is sensitive to the size of the loop, and the simulated results suggest that the loop size has to be small enough to assure blocking.

In Fig. 5, simulated  $P_{prox}$  results for Arrangements B-3 and C are compared with the result for Arrangement A. In the experiment, seven repeats of  $tetO$  were used for the assured binding of tD-TetR to the DNA chain [\(15](#page-7-0)), but in the case of stronger binding affinity of proteins to DNA, the smaller number of repeats of binding sites should be enough for looping. Arrangement B-3 corresponds to such a case of a smaller number of binding sites with shorter

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insulatorlike elements.  $P_{prox}$  in B-3 is similar to that in B-1 (Figs. 4 and 5), implying that  $P_{prox}$  is insensitive to the length of insulatorlike elements when there is strong enough binding affinity of proteins to mediate the looping. When the binding affinity is not strong, the shorter insulatorlike elements should bring about a smaller probability of looping to decrease the enhancer-blocking activity. It would be interesting to experimentally assess these predictions by using engineered TetRs whose binding affinities to DNA are altered [\(36](#page-8-0)). In Arrangement C,  $P_{prox}$  is slightly larger than that in Arrangement A, which is consistent with the observations that the enhancer blocking ability in vivo  $(11,12)$  $(11,12)$  $(11,12)$  or in vitro  $(14)$  $(14)$  is lost when a tandem pair of insulators or insulatorlike elements is inserted between enhancer and promoter, as in Arrangement C.

The corresponding free energy of the chain,  $F$ , is shown in Fig. 6 as a function of  $\overline{r}$ .  $F(\overline{r})$  shows a minimum at the juxtaposing position of  $\bar{r} = r_{\mu} \approx 10$  nm in all sequence arrangements as well as at the distance for the separated enhancer-promoter pair at  $\overline{r} = r_{sep} \approx 260$  nm in Arrangements A, B-1, and B-2 and  $\overline{r} = r_{sep} \approx 190$  nm in Arrangement C.<br>We write  $\Delta E(\overline{r}) = E(\overline{r}) = E(r_{\perp})$ . The free energy to stable We write  $\Delta F(\overline{r}) = F(\overline{r}) - F(r_{jux})$ . The free energy to stabi-<br>lize the juxtanceed state  $\Delta F(r) = F(r) - F(r_{i-})$  is lize the juxtaposed state,  $\Delta F(r_{sep}) = F(r_{sep}) - F(r_{jux})$ , is  $\Delta F(r) = 3.95 k_{\rm B} T$  in Arrangement A 0.75 $k_{\rm B} T$  in Arrange- $\Delta F(r_{sep}) = 3.95k_BT$  in Arrangement A,  $0.75k_BT$ in Arrangement B-1, and  $4.70k_BT$  in Arrangement C, demonstrating the insufficient stabilization of the juxtaposed state in Arrangement B-1.

The reason for the small  $\Delta F(r_{sep})$  in Arrangement B-1 is clarified when  $F(\overline{r})$  is decomposed into the averaged energy,  $E(\overline{r})$ , and entropy,  $S(\overline{r})$ . Plotted in [Figs. 7 and 8](#page-5-0) are  $\Delta E(\overline{r}) = E(\overline{r}) - E(r_{jux})$  and  $\Delta S(\overline{r}) = S(\overline{r}) - S(r_{jux})$ , respectively. We can see that  $\Delta F(r)$  is smaller in Arrangement tively. We can see that  $\Delta E(r_{sep})$  is smaller in Arrangement B-1 than in Arrangements A, B-2, or C, so that the juxtaposed state in Arrangement B-1 is energetically destabilized. On the other hand,  $\Delta S(r_{sep})$  of Arrangement B-1 is



FIGURE 5 The simulated proximity probability,  $P_{ppx}$ , of forming a juxtaposed enhancer-promoter pair for Arrangements A, B-3, and C.



FIGURE 6 Free energy to stabilize the juxtaposed state,  $\Delta F(\overline{r})$ , i.e., the relative free energy of the state at the average enhancer-promoter distance,  $\bar{r}$ , to the state at  $\bar{r} = r_{iux} \approx 10$  nm is plotted as a function of  $\bar{r}$ , for Arrangements A (red), B-1 (blue), B-2 (orange), and C (green).

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FIGURE 7 The average energy to stabilize the juxtaposed state,  $\Delta E(\overline{r})$ , i.e., the relative energy at the average enhancer-promoter distance,  $\bar{r}$ , to the state at  $\bar{r} = r_{jux} \approx 10$  nm is plotted as a function of  $\bar{r}$  for Arrangements A (red), B-1 (blue), B-2 (orange), and C (green).

almost the same as that of A and B-2, so the strong suppression of enhancer activity in Arrangement B-1 is due not to the entropic effect but to the energetic effect of the DNA chain configuration.  $\Delta S(r_{sep})$  of Arrangement C is smaller than those of other arrangements, showing that the juxtaposed state in Arrangement C is entropically stabilized compared with other arrangements.

Shown in Fig. 9 are snapshots of configurations of the simulated DNA chain. In Arrangements A and C, both the enhancer and promoter elements tend to have linear extended configurations. In Arrangement B-1, on the other hand, it is shown that the enhancer element confined in a small loop is bent and the enhancer-promoter complex



FIGURE 8 Entropy cost to form the juxtaposed state,  $\Delta S(\overline{r})$ , i.e., the relative entropy at the average enhancer-promoter distance,  $\bar{r}$ , to the state at  $\bar{r} = r_{\mu x} \approx 10$  nm is plotted as a function of  $\bar{r}$  for Arrangements A (*red*), B-1 (blue), B-2 (orange), and C (green).



FIGURE 9 Snapshots of Monte Carlo simulations of a linear chain of  $\sim$  6 kbp DNA. In each column, the left image is the state where the enhancer and promoter are separated and the right is the state where they are juxtaposed. The enhancer element (240 bp) is red, the promoter element (240 bp) is yellow, and the insulatorlike regions (270 bp) are gray.

has a distorted configuration, which should necessarily raise the energy of the complex and destabilize the juxtaposed state. This distortion should be a natural consequence that the loop size,  $L_{loop}$ , of Arrangement B-1 is  $L_{loop} =$  $120 \text{ nm} = 2.4l_p$ , whereas the condition to make the enhancer element undistorted should be  $L_{loop}/2 \gg l_p$ .

This effect can be quantitatively analyzed by plotting bond angle  $\{\theta_i\}$  as a function of segment number i. Shown in [Fig. 10](#page-6-0) are distributions of  $\{\theta_i\}$  in the state that enhancer and promoter are juxtaposing to form a proximity pair. In Arrangements A, B-2, and C, angles at the enhancer and promoter elements show values smaller than the average, implying that the juxtaposed enhancer-promoter pair has a more straightened structure than that in the free DNA chain. The same effect can be seen in the pair of insulatorlike elements. In Arrangements B-1 and C, on the other hand, bond angles in the loop region exhibit large values corresponding to the structural distortion (Fig. 9). In Arrangement C, this structural distortion is separated from the enhancer and promoter regions and gives no significant effect on  $\Delta E(r_{sep})$ . In Arrangement B-1, however, this

<span id="page-6-0"></span>

FIGURE 10 The average bond angle,  $\{\theta_i\}$ , of the DNA chain in which enhancer and promoter are juxtaposed to form a proximity pair is plotted as a function of the segment number, i. The average was taken over 100 samples, and error bars are standard deviations.

distorted region overlaps with the enhancer region, and hence, the juxtaposing promoter is also distorted to have large angles. The distorted conformation increases  $E_b$  of Eq. 3 to bring about the small stabilization of the juxtaposed state and the corresponding small  $\Delta E(r_{sep})$ , which leads to the small  $P_{prox}$  in Arrangement B-1. Thus, the small size of the loop that confines the enhancer is the origin of enhancer blocking in this model system: the simulated local structural features of the DNA chain explain the observed data of gene expression.

## DISCUSSION

In this article, a prototypical system of gene regulation was investigated with MC simulation, and the enhancer activity in this system was shown to be blocked when the enhancer is confined in a small loop, which inevitably induces local structural distortion at the enhancer site to destabilize the juxtaposition of enhancer and promoter. This effect is energetic, which is in sharp contrast to the mechanism of the reduction of entropy due to the pinning of slithering motion expected in a small ring of DNA. The simulation results showed that the blocking effect can be less evident as the loop size gets larger, with the pair of insulatorlike elements further separated. Since we did not explicitly examine the factor  $q$  in Eq. 9 in this simulation, we cannot exclude the possibility that small  $q$  leads to the low expression level, even in the case where  $P_{prox}$  is not much reduced. The bond-angle distributions in Fig. 10, however, show that the structure of the DNA chain in Arrangement B-2 is similar to those in Arrangements A and C, and hence, we can maybe expect that the transcription complex in Arrangement B-2 is not much distorted and exhibits a relatively large  $q$  value . It is possible to test this prediction by experimentally examining a series of arrangements with different distances between insulatorlike elements.

In this model, the electrostatic interactions were treated with the Debye-Hückel approximation, but ion-specific modeling is required for a more realistic description of electrostatics at short distances ([37\)](#page-8-0). Even with the Debye-Hückel treatment described here, however, the model suggests an interesting effect that can be tested with experiments: when charges on DNA are further screened due to the larger strength of counterions, the stiffness of the DNA chain is decreased and the persistence length,  $l_p$ , hence becomes smaller, which increases both  $P_{prox}(A)$  and  $P_{prox}$ (B - 1), but with a more prominent increase in<br> $P_{z}$  (B - 1), As a result the enhancer-blocking activity in  $P_{prox}$ (B – 1). As a result, the enhancer-blocking activity in R<sub>-1</sub> is reduced as demonstrated by the larger value of B-1 is reduced, as demonstrated by the larger value of  $P_{prox}$ (B – 1)/ $P_{prox}($ A) compared with the results presented<br>here In this model, with the limit of  $D - \infty$  for example here. In this model, with the limit of  $D = \infty$ , for example, the simulated results show that  $P_{prox}(A) \approx 0.9$  and  $P_{prox}$ (B – 1)  $\approx$  0.55.<br>DNA chains in the

DNA chains in the cell are in a crowded environment, which affects interactions in biopolymers and promotes their compaction [\(38](#page-8-0)). In the model presented here, this effect should enhance the probability of enhancer-promoter juxtaposition, which could be implicitly represented by the increase in value of  $\mu$  in Eq. 7. It is obvious that in the limit of large  $\mu$ ,  $E_{ep}$  dominates E in Eq. 1 and  $P_{prox}(A) \approx P_{prox}(B - 1) \approx 1$ , and in the limit of small  $\mu$ ,<br>we should have  $P(A) \approx P(P - 1) \approx 0$ . The insulator we should have  $P_{prox}(A) \approx P_{prox}(B-1) \approx 0$ . The insulator<br>action is evident at intermediate u. The simulated action is evident at intermediate  $\mu$ . The simulated results showed that  $P_{prox}(\mathbf{B} - 1)/P_{prox}(\mathbf{A}) \leq 0.16$  for  $0.3$ nm<sup>-1</sup>  $\lt \mu/k_pT \leq 0.4$ nm<sup>-1</sup> so the results shown in this  $0.3$ nm<sup>-1</sup>  $< \mu/k_BT < 0.4$ nm<sup>-1</sup>, so the results shown in this article are valid over a wide range (30% difference in  $\mu$ ) around the assumed physiological condition. It is interesting to examine the effects of crowding on  $P_{prox}$ (B - 1)/<br>  $P = (A)$  in vitro by changing the crowding condition in  $P_{prox}(A)$  in vitro by changing the crowding condition in solution.

Ameres et al. ([15\)](#page-7-0) have discussed three scenarios to describe the mechanism of insulator action: 1), the internal contact scenario, in which the tandemly arranged insulatorlike elements form loops but the enhancer is not included in these loops; 2), the bead-string scenario, in which a loop is not formed due to the insulatorlike elements; and 3), the loop scenario, in which the enhancer is looped out due to the interactions between insulatorlike elements, as simulated in Arrangement B in this article. Ameres et al. have shown experimentally that the internal contact scenario cannot explain the experimental results, but the loop scenario is consistent with the observed data [\(15](#page-7-0)). In this simulation, the small  $P_{prox}(\mathbf{B}-1)/P_{prox}(\mathbf{A})$  explains the validity of the<br>loop scenario, and the large  $P_{z} = (C)/P_{z} = (A)$  shows the loop scenario, and the large  $P_{prox}(C)/P_{prox}(A)$  shows the

<span id="page-7-0"></span>implausibility of the internal contact scenario. Ameres et al. have also shown that binding of TetR to  $tetO$  alone slightly affects the expression level, but this effect is insignificant when the loop is not formed, and hence, the bead-string scenario is inappropriate (15). Such a small change in the observed expression level in the case where the loop is not formed may be due to the distortion at the  $tetO$  region to which TetR binds, but this delicate effect could not be examined using the simplified model in this study.

Results of this study showed that the topological effect of looping, which has been discussed only qualitatively, should be reexamined in light of local structural deformation in the DNA-protein complex. It is reminiscent of prokaryotic cases that the local structure deformation plays a key role in the topological effect of the DNA chain [\(39](#page-8-0)). In the eukaryotic genome, of course, the structures of the looped chromatin should be much more complex than in this model system by interacting with histones or with the nuclear surface structures. Comparison between structural simulations of DNA and experimental observation of simplified prototypical systems, however, provides bases for a deeper understanding of the local DNA structure change in gene regulation and forms a guide for further simulation studies using coarse-grained models of chromatin with larger persistence length and with additional necessary energy terms [\(40](#page-8-0)) or using models that explicitly consider nucleosome structures [\(41](#page-8-0)). The results presented here show the importance of the local structure of chromatin and suggest that the local structure of more sophisticated chromatin models should play key roles in our understanding of enhancer-blocking activity in the eukaryotic genome.

#### **APPENDIX**

We adopt two types of trial MC moves: 1), the local move, with which one bead *i* is randomly selected and its coordinate is randomly displaced from  $\vec{r}_i$ to  $\vec{r}_i + \Delta \vec{r}$ , with  $|\Delta \vec{r}| < 1$ nm; and 2), the global move, the same move adopted in Jian and Vologodskii  $(23)$ , where one bead i is randomly selected and the chain of  $k > i$  is rotated with a randomly chosen set of Euler angles. In each MC step, the local move is selected with the probability 0.4, and the global move is selected with the probability 0.6. Either of these types of motion is applied as a trial and accepted or rejected with the Metropolis criterion. The initial conformation of the chain is a zigzag structure;  $\vec{r}_i = (10/\sqrt{2}i, 0, 0)$  for even i and  $\vec{r}_i = (10/\sqrt{2}i, 10/\sqrt{2}, 0)$  for odd i. Start-<br>ing from this conformation  $4 \times 10^7$  stars of moves are tried and the data are ing from this conformation,  $4 \times 10^7$  steps of moves are tried and the data are sampled every 10<sup>3</sup> steps by disregarding the initial  $2 \times 10^7$  steps for equilibration.

When we put  $a = \mu = 0$ , i.e., when we use the ordinary wormlike chain model and  $L = 1990$  nm (5970 bp), the simulated mean-square end-to-end distance of the chain,  $\langle R_e^2 \rangle$ , can be fitted by the expression  $\langle R_e^2 \rangle = 2LI = 2\frac{\rho_1}{I} = 2\frac{\rho_2}{I} = \exp(-I/I)$  with a persistance langth of  $I_1 = 50 \text{ nm}$  $\langle R_e^2 \rangle = 2l_pL - 2l_p^2(1 - \exp(-L/l_p))$  with a persistence length of  $l_p = 50$  nm<br>(42) confirming that the model presented here is consistent with the gener [\(42](#page-8-0)), confirming that the model presented here is consistent with the generally accepted notion that the persistence length of DNA in the physiological condition is ~50 nm.

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