

Published in final edited form as:

Br J Health Psychol. 2010 May; 15(Pt 2): 321-335. doi:10.1348/135910709X464353.

Effects of personality on self-rated health in a 1-year randomized controlled trial of chronic illness self-management

Anthony Jerant^{1,*}, Benjamin Chapman², Paul Duberstein², and Peter Franks¹

¹Department of Family and Community Medicine, Center for Healthcare Policy and Research, University of California Davis School of Medicine, Sacramento California, USA

²Laboratory of Personality and Development, Department of Psychiatry, University of Rochester School of Medicine and Dentistry, Rochester, New York, USA

Abstract

Objective—Personality factors moderate self-efficacy enhancing effects of some illness self-management interventions, but their influence on self-rated health is unclear This study examined whether high neuroticism and low conscientiousness, extraversion, and agreeableness (the distressed personality profile) moderated the effects of the homing in on health (HIOH) illness self-management intervention on mental and physical health status.

Design—Analysis of data from 384 subjects completing a randomized controlled trial of HIOH.

Methods—Regression analyses examined effects of NEO-five factor inventory scores on SF-36 mental component summary (MCS-36) and physical component summar (PCS-36) scores (baseline; 2, 4, and 6 weeks; 6 months; 1 year), adjusting for age gender, and study group.

Results—Baseline MCS-36 scores were worse in those with the distressed personality profile relative to others: high neuroticism (13.3 points worse, 95% confidence interval (CI) = 11.0, 15.7) and low conscientiousness (6.6 points worse, 95% CI = 4.1, 9.2), extraversion (10.1 points worse, 95% CI = 7.7, 12.5) and agreeableness (4.2 points worse, 95% CI = 1.6, 6.8). Intervention subjects had better MCS-36 scores at 4 and 6 weeks, and benefits were confined to participants with low conscientiousness (4 weeks – 3.7 points better, 95% CI = 0.2, 71; 6 weeks – 5.0 points better, 95% CI = 1.57, 8.4). There were no intervention or personality effects on PCS-36 scores.

Conclusions—Chronically ill self-management intervention recipients with the distressed personality profile had worse self-rated mental health, and conscientiousness moderated the short-term effects of the intervention on self-rated mental health. Measuring personality may help identify individuals more likely to benefit from self-management interventions.

Interventions to help patients manage health conditions hold promise as cost-effective ways to improve chronic illness outcomes (Improving Chronic Illness Care, 2008; Institute of Medicine, 2001; United Kingdom Department of Health, 2008). Research suggests that the Chronic Disease Self-Management Program (CDSMP), designed to enhance participants' illness management *self-efficacy* (or confidence to perform behaviours necessary to manage chronic conditions), can improve illness outcomes. Randomized controlled trials (RCTs) have found the programme yields short-term (4–6 months) improvements in self-efficacy and some facets of self-rated health, regardless of specific diagnosis (Dongbo *et al.*, 2003;

^{*} Correspondence should be addressed to Dr Anthony Jerant, Department of Family and Community Medicine, Center for Healthcare Policy and Research, University of California Davis School of Medicine, 4860 Y Street, Suite 2300, Sacramento, CA 95817, USA (afjerant@ucdavis.edu).

Griffiths et al., 2005; Kennedy et al., 2007; Lorig, Ritter, Laurent, & Plant, 2006; Lorig et al., 1999; Swerissen et al., 2006).

Despite the wide dissemination of the CDSMP, little is known about moderators of its effects. Identifying effect moderators can improve understanding of who is most likely to benefit from interventions, leading to more efficient delivery (Kraemer, Wilson, Fairburn, & Agras, 2002). The extent to which participant dispositional characteristics might moderate the effects of self-management interventions remains unknown, but the five factor model (FFM) personality factors - agreeableness, conscientiousness, extraversion, neuroticism, and openness – appear to be particularly promising targets of study (Costa & McCrae, 1997; Goldberg, 1993; Marshall, Wortman, Vickers, Kusulas, & Hervig, 1994). The result of over seven decades of research (Goldberg, 1993), the FFM is the dominant personality framework among models focused on longitudinally stabl behavioural and dispositional tendencies (McRae & Costa, 2002). The FFM factors are empirically derived, broad clusters of such tendencies (Table 1). They capture the major axes of psychological and behavioural variation in humans and are associated with an array of important health behaviours and outcomes (Bogg & Roberts, 2004; Chapman, Duberstein, & Lyness, 2007a; Chapman, Lyness, & Duberstein, 2007; Friedman, 2000; Mroczek & Spiro, 2007; Roberts, Kuncel, Shiner, Caspi, & Goldberg, 2007).

In prior analyses of data from a 1-year RCT of homing in on health (HIOH), a variant of the CDSMP delivered either in subjects' homes or by telephone, it was found that delivering the intervention in participants' homes (but not via telephone) significantly increased illness management self-efficacy, an effect peaking at 6 weeks but attenuating by 1-year follow-up (Jerant, Moore, & Franks, 2009). In other prior analyses from the same RCT, it was demonstrated that the self-efficacy enhancing effects of HIOH were moderated by personality factors, being confined to participants with high neuroticism or low agreeableness, conscientiousness, or extraversion (Franks, Chapman, Duberstein, & Jerant, 2009). Of note, this particular grouping of personality factors, which has been termed the distressed personality profile (Chapman, Duberstein, & Lyness, 2007b), is known to be associated with potentially harmful physiologic responses, including poorer immune functioning (Denollet *et al.*, 2003) and increased cortisol release in response to stress (Habra, Linden, Anderson, & Weinberg, 2003), lower self-ratings of health (Chapman *et al.*, 2007b), and increased cardiovascular risk (Kupper & Denollet, 2007).

The current paper examines whether FFM personality factors moderated the effects of HIOH on the primary outcome of self-rated health, measured using the Medical Outcomes Study SF-36 mental component summary (MCS) and physical component summary (PCS) scores (Ware, Kosinski, *et al.*, 1995). Conducting these analyses also afforded an opportunity to build on scant literature regarding effects of personality factors on MCS-36 and PCS-36 scores. The single prior study examining this issue involved a homogeneous sample of Dutch out-patients with mood and anxiety disorders. The study found a relatively strong association between neuroticism and MCS-36 scores, with much weaker associations between extraversion and openness and MCS-36 scores and agreeableness and PCS-36 scores (van Straten, Cuijpers, van Zuuren, Smits, & Donker, 2007).

Based on the aforementioned results of two prior relevant studies conducted by various study co-authors (Chapman *et al.*, 2007b; Franks *et al.*, 2009), we examined the following hypotheses: (1) higher neuroticism, and lower agreeableness, conscientiousness, and extraversion – in other words, the distressed personality profile – will be associated with lower baseline self-rated mental and physical health; (2) the effects of HIOH on MCS-36 and PCS-36 scores over 1 year will be limited to participants with the distressed personality profile.

Methods

Study setting, sample recruitment, and randomization

The study was conducted from July 2004 to December 2007. The University of California Davis Institutional Review Board provided ethical approval of the study and protocol. Power calculations were based on a minimal clinically important difference (MCID) of three points in SF-36 physical component summary (PCS-36) and mental component summary (MCS-36) scores (Samsa *et al.*, 1999). A two-point MCID was conservatively employed in calculations, approximating an intervention effect size of 0.2 (small effect; Cohen, 1992). Accounting for possible attrition up to 10%, with alpha 0.05, 120 subjects per group were estimated to provide 80% power to detect a two-point difference in scores.

Study subjects were recruited from the 12 offices in a university-affiliated primary care network in Northern California. Billing code information was used to identify patients aged 40 or older with one or more of the following chronic illnesses: arthritis, asthma, chronic obstructive pulmonary disease, congestive heart failure, depression, and/or diabetes mellitus. Mass mailed study announcements and telephone calls were employed to recruit patients who met these criteria.

The study coordinator used a standard script to screen interested patients for further eligibility criteria: ability to speak and read English; residence in a private home with an active telephone; adequate eyesight and hearing to participate via telephone and read study materials; and at least one basic activity impairment, as assessed by the health assessment questionnaire (Fries, Spitz, Kraines, & Holman, 1980), *and/or* a score of four points or greater, suggestive of clinically significant depressive symptoms, on the 10-item version of the Center for Epidemiologic Studies Depression Scale (Irwin, Artin, & Oxman, 1999). The latter were based on focus groups (Jerant, von Friederichs-Fitzwater, & Moore, 2005) and discussions with content area experts, which indicated such individuals might be more likely to participate in HIOH than in the original programme.

A study worker visited eligible individuals in their homes to obtain informed consent, administer the baseline study questionnaire (see Measures), and implement randomized allocation in blocks of 12 subjects via sealed opaque envelopes containing slips of paper printed with group assignments.

Procedures

Study intervention—The study intervention, HIOH, and the CDSMP from which it was derived, have been described in detail previously (Jerant *et al.*, 2009; Lorig & Holman, 2003; Lorig *et al.* 1999; Stanford Patient Education Research Center, 2008). Briefly, HIOH was a one-to-one variant of the group format CDSMP, developed to make the programme content available to individuals less able or willing to participate in group training. HIOH was delivered over six weekly sessions, with content nearly identical to the CDSMP but provided by a single peer one-to-one either in the participant's home or via telephone. The overall aim was mastery of fundamental self-management tasks, with frequent opportunities provided to practice and receive feedback on performance. Specific topics include exercising safely, coping with difficult emotions, and using cognitive symptom management techniques. Four peers underwent week-long training to deliver HIOH. Each provided all six intervention sessions to each of their assigned participants. The same intervention script was employed for both intervention groups. Further details regarding HIOH are available from the authors.

Usual care (control) group—These subjects were also initially visited in their home by a study worker, as described for intervention subjects, and completed the same follow-up telephone questionnaires. They otherwise received care from their usual providers, with no study intervention.

Follow-up data collection phone calls to measure study outcomes, including self-rated health, occurred at 2 and 4 weeks, 6 weeks (immediately post-intervention), and 6 and 12 months. As an incentive, subjects were paid \$25 following completion of each scheduled follow-up data collection.

Measure

FFM personality factor—At baseline, subjects completed the 60-item NEO-five factor inventory (NEO-FFI; Costa & McCrae, 1992), an extensively validated abbreviated version of the NEO personality inventory-revised. The five 12-item scales in this measure tap the central FFM factors: neuroticism, extraversion, openness, agreeableness, and conscientiousness (refer Table 1 for example items). Scores were standardized (mean = 0, SD = 1) to facilitate interpretation. A higher score on a given NEO-FFI factor scale indicates a greater propensity to display the behavioural and dispositional tendencies of that factor Cronbach's alpha for the five scales ranged from .70 to .87 in this sample.

Self-rated health—At baseline, 2 and 4 weeks (during the intervention), 6 weeks (immediately following the intervention), and 6 months and 1-year follow-up, subjects completed the Medical Outcomes Study SF-36 questionnaire, which has been validated in population-based samples in a number of countries including the USA (McHorney, Ware, & Raczek, 1993; Ware, Keller, Gandek, Brazier, & Sullivan, 1995). Standardized scoring algorithms are employed to derive MCS-36 and PCS-36 scores (Ware, Kosinski, *et al.*, 1995) ranging from 0 to 100, with higher scores indicating better self-rated health. Both summary scales were designed so that a representative sample of the US population would have a mean score of 50 with a standard deviation of 10.

Analyses

All analyses were conducted using Stata, version 10.1 (StataCorp, College Station, TX). The main analytic approach was to conduct a series of linear regression models with each self-rated health measure (PCS-36 and MCS-36) as the dependent variable in each analysis. For analyses of relationships among personality factors and self-rated health at baseline, ordinary linear regressions of baseline health status measure scores on NEO-FFI factor (each factor included one at a time in each analysis, low vs. high status defined by median split in scores), age, and gender were performed.

For analyses examining the potential role of personality in moderating effects of the intervention on self-rated health, random effects linear regression was used to regress the health status measure at each time point on RCT group, NEO-FFI factor study time, and their interactions. Analyses also adjusted for age and gender. To facilitate interpretation of the findings of these analyses, adjusted mean (95% confidence interval, CI) health status scores by intervention group, time, and median split of personality factors are presented graphically. Prior analyses examining the impact of the intervention revealed no effect of the phone intervention (Jerant *et al.*, 2009). Thus, to facilitate presentation, the phone and control groups were combined in these analyses. Analyses examining the FFM factors as continuous measures were conducted but are not presented, since findings were similar. Because there was no significant association between openness and MCS-36 scores (see Table 3), and because it is not part of the distressed personality profile, results of

moderation, and interaction analyses for this personality factor are not presented. Complete results, including tables of interaction effects, are available from the authors on request.

Results

Figure 1 shows the flow of subjects through the RCT from enrolment through the end of the study. In all, 415 participants were randomized (home intervention = 138, phone intervention = 139, usual care = 138). Table 2 provides a summary of subjects' baseline characteristics. The sample was predominantly female, with a mean age of 60 years (range 41–95). Most reported two or more chronic conditions. Most subjects (94% or 384) completed the NEO-FFI at baseline. Reflecting the study's eligibility requirements, the mean MCS-36 and PCS-36 scores were comparatively low.

Baseline personality and self-rated health relationships

Table 3 displays adjusted relationships between the FFM personality factors and baseline MCS-36 and PCS-36 scores. The distressed personality profile – higher neuroticism, and lower conscientiousness, extraversion, and agreeableness – was associated with lower baseline MCS-36 scores. There were no significant associations between personality factors and baseline PCS-36 scores.

Intervention main effects

Patients assigned to the home group had significantly better MCS-36 scores at 4 weeks (2.4 points higher, 95% CI = 0.0, 4.7) and 6 weeks (2.5 points higher, 95% CI = 0.2, 4.8) than did others, with no significant differences at 6 months or 1 year. There was no significant effect of the intervention on PCS-36 at any time.

Moderation of intervention effects by personality

There was a statistically significant interaction between study group and conscientiousness at 6 weeks (z = 2.24, p = .025). Stratified analyses showed that the intervention (home vs. other) benefits on MCS-36 were confined to those with low conscientiousness (at 4 weeks, score 3.7 points higher in home group, 95% CI = 0.2, 71; at 6 weeks, score 5.0 points higher, 95% CI = 1.57, 8.4). The interaction effect had attenuated by 6 months. The other factors comprising the distressed personality profile – extraversion, neuroticism, agreeableness – did not significantly moderate the effects of the intervention on MCS-36 scores, though there were non-significant trends in each case (see Figure 2). Openness did not significantly moderate the effects of the intervention on MCS-36 scores, and none of the FFM factors moderated intervention effects on PCS-36 scores (Figure 2).

Discussion

The study findings add to the limited research regarding personality and self-rated mental and physical health in people with chronic illnesses. They also provide new information on the potential moderating role of personality on the effects of chronic illness self-management interventions.

Regarding the baseline relationships, as hypothesized, trial participants with the distressed personality profile (Chapman *et al.*, 2007b) – higher neuroticism and lower agreeableness, conscientiousness, and extraversion – had lower baseline self-rated mental health, as measured by the MCS-36, than those with the opposite standing on these factors. Also as predicted, the strongest of these associations was for neuroticism.

These findings are generally consistent with those of the only prior study to examine this issue, which involved Dutch out-patients with mood and anxiety disorders. That study found a comparably strong association between neuroticism and MCS-36 scores, with weaker associations between extraversion and openness and MCS-36 scores and agreeableness and PCS-36 scores (van Straten *et al.*, 2007). Prior research has linked higher levels of neuroticism to psychological distress and mood and anxiety disorders (Jylha & Isometsa, 2006; Kendler, Gatz, Gardner, & Pedersen, 2006). Individuals high in neuroticism tend to be more aware of and/or more likely to raise concerns about their health than others (Kressin, Spiro, & Skinner, 2000). The findings of the current and prior study suggest this tendency towards increased perception and/or reporting of health concerns may be greater in relation to psychological than to physical concerns.

Considerable differences in study samples and methodology probably contributed to the differences in findings related to effects of personality factors other than neuroticism on mental and physical health status in the prior and current study. In particular, the lack of association between baseline personality and physical health status in our study could reflect the mitigating influences of our study chronic illnesses, which were less prevalent in the Dutch study sample. Duration of diagnosis might also have played a role: most of our participants had been living with their chronic conditions for some time, and the influence of personality on self-rated health may vary at different points in the chronic illness trajectory.

Regarding effects of the intervention, there was a short-term main effect of in-home (but not telephone) HIOH on self-rated mental health: 4 and 6 week MCS-36 scores were significantly better in the home intervention group as compared with others, an effect that attenuated by 6 months follow-up. HIOH had no significant effects on self-rated physical health at any follow-up point. While the reasons for this finding are not fully clear, it may in part reflect sample homogeneity or temporal issues, as the role of personality might vary at different points in the chronic illness trajectory. It may also be that the small to moderate effect of the intervention on illness management self-efficacy (effect size 0.3) is not of sufficient magnitude to lead to significant changes in physical health and functioning. Finally, self-efficacy is conceptually more closely related to mental than physical health (Bandura, 1997). The only prior 1-year RCT of a CDSMP variant also found only short-term effects on self-rated health (with respondents rating their health globally as excellent, very good, good, fair, or poor on a single question), with no effects at 1 year (Lorig et al., 2006). Though comparisons between the studies are limited somewhat by their use of different selfrated health measures, it appears the CDSMP and its variants may result in small to moderate and relatively short-term effects on self-rated health, possibly limited to effects on mental health.

In partial support of hypotheses regarding moderating effects of personality, analyses revealed short-term benefits of the home intervention on MCS-36 scores were present only in those with low conscientiousness, an interaction that attenuated by 6 months. This finding echoes the results of the prior interim analysis from the RCT, which found beneficial effects of in-home HIOH on illness management self-efficacy were confined to patients lower in conscientiousness (Franks *et al.*, 2009). There are several possible explanations for these findings. First, low conscientiousness individuals tended to have lower illness management self-efficacy at baseline than did other participants in the RCT, regardless of study arm. Thus, they appeared to have the most room for improvement in self-efficacy, the putative mediator of illness self-management interventions such as HIOH, and when assigned to an intervention that aggressively targeted this deficit, both self-efficacy and self-rated mental health improved.

Additionally, dispositional tendencies that cluster under the conscientiousness category may affect the way participants perceive and respond to specific components, demands, and features of interventions like HIOH (Christensen, 2000). For example, core dispositional elements of conscientiousness are self-control, organization, and goal-orientation. Low levels of these tendencies are likely to give rise to worse health behaviours within the disease self-management domain, including poor diet and exercise habits (Bogg & Roberts, 2004; Goldberg & Strycker, 2002; Roberts, Walton, & Bogg, 2005). Several aspects of the HIOH intervention would appear particularly beneficial to individuals low in conscientiousness. For example, the concept of 'action planning', or setting and periodically re-evaluating and revising personal health goals, is emphasized throughout the intervention. This instructive scaffolding may have been particularly useful to less conscientious persons, who tend to be disorganized, have lower levels of self-control, and are less likely to set and follow through with goals. Gaining mastery of such habits may foster improved mental health. Such hypotheses remain speculative, since they were not tested in the current study. Future studies might examine whether the components of chronic illness self-management interventions interface with participant dispositional tendencies.

None of the other three factors that make up the distressed personality type – agreeableness, extraversion, and neuroticism – significantly moderated short-term intervention effects on MCS-36 scores, though Figure 2 indicates there were nonsignificant trends in this direction. The reasons why the study findings did not support hypothesized moderating effects of these three personality factors on self-rated mental health are not clear, though again the small to moderate effect of the intervention on self-efficacy may have played a role. The absence of personality moderation of intervention effects on self-rated physical health scores is perhaps not surprising, since the concept of self-efficacy is again more closely related to mental than physical health (Bandura, 1997). Alternatively, this finding might primarily reflect the lack of HIOH intervention effects on physical health status in our sample in general.

Identification of patients more or less likely to benefit from chronic disease interventions can facilitate allocation of resources towards suitable candidates, improving the interventions' efficiency, or ratio of clinical benefit to delivery effort (Issel, 2004). The utility of targeting medical interventions to those most likely to benefit is well-established, though it has thus far primarily been employed to guide prescription drug therapy. For example, a widely employed evidence-based algorithm to determine the need for and intensity of drug therapy for hyperlipidemia encourages careful consideration of each individual's overall risk for cardiovascular disease, rather than basing treatment solely on serum lipid values (National Cholesterol Education Program, 2001). Our findings suggest this approach might also be useful in targeting illness self-management interventions to those most likely to benefit – in the case of HIOH, individuals low in conscientiousness. Brief (≤ 5 min to administer), valid, and reasonably reliable personality measures have been developed that could facilitate such targeting in clinical settings (Benet-Martinez, 1998; Gustavsson, 2003).

Future trials of chronic illness self-management interventions might block or stratify on participants' conscientiousness standing, and/or explore the utility of offering alternative versions of the interventions to those who the current results suggest are unlikely to respond favourably to the 'standard' programmes. The goal would be to begin to shift the emphasis from exclusively studying whether or not such interventions 'work' to determining *in whom* they are likely to be most effective.

This study had some limitations. It involved a sample of chronically ill out-patients who volunteered for a RCT, which may limit generalizability to other groups and settings. Mean MCS-36 and PCS-36 scores were somewhat lower than in the general population (Ware,

Kosinski, *et al.*, 1995). Likewise, mean neuroticism scores were somewhat higher and mean scores for the other FFM factors somewhat lower than in the general population (Costa & McCrae, 1992). Women were also slightly overrepresented compared with the general primary care population, in part due to the higher prevalence of depression (one of the six study diagnoses) in women relative to men (Kuehner, 2003). Finally, several hypotheses were examined, raising the possibility of chance findings due to multiple hypothesis testing. However, the consistency of the current results with those in two prior relevant studies involving the distressed personality profile suggests it is unlikely our findings arose due to chance.

In conclusion, this study found significant relationships between several FFM personality factors and self-rated mental health, but no significant relationships between personality and self-rated physical health. Participants in a RCT of an illness self-management intervention with the distressed personality profile – higher neuroticism and lower agreeableness, conscientiousness, and extraversion – had worse baseline self-rated mental health than participants with opposite standing on these factors. Additionally, one of the FFM factors, conscientiousness, was found to moderate the short-term beneficial effects of the illness self-management intervention on self-rated mental health, with non-significant trends observed for the other three factors in the distressed profile. The differences observed in study findings for self-rated mental versus physical health emphasize the importance of employing measures that separately capture each facet when examining relationships among personality, intervention effects, and self-rated health. They also underscore the need for additional studies exploring such relationships, involving a wide array of study designs (e.g. observational vs. interventional), samples, and settings, to determine whether important contextual differences in associations may exist.

Acknowledgments

This research was funded in part by the Agency for Healthcare Research and Quality grant number R01HS013603 and National Institute of Health grants number T32 MH073452, K08AG031328, and K24MH072712.

References

- Bandura, A. Self-efficacy: The exercise of control. New York: Freeman; 1997.
- Benet-Martinez V, John DP. Los Cinco Grandes across cultures and ethnic groups: Multitrait multimethod analyses of the Big Five in Spanish and English. Journal of Personality and Social Psychology 1998;75(8):729–750. [PubMed: 9781409]
- Bogg T, Roberts BW. Conscientiousness and health-related behaviors: A meta-analysis of the leading behavioral contributors to mortality. Psychological Bulletin 2004;130(6):887–919. [PubMed: 15535742]
- Chapman BP, Duberstein PR, Lyness JM. Personality traits, education, and health-related quality of life among older adult primary care patients. Journals of Gerontology Series B: Psychological Sciences and Social Sciences 2007a;62(6):P343–P352.
- Chapman BP, Duberstein PR, Lyness JM. The distressed personality type: Replicability and general health associations. European Journal of Personality 2007b;21(7):1–19.
- Chapman BP, Lyness JL, Duberstein PD. Personality and medical illness burden among older adults in primary care. Psychosomatic Medicine 2007;69(3):277–282. [PubMed: 17401059]
- Christensen AJ. Patient-by-treatment context interaction in chronic disease: A conceptual framework for the study of patient adherence. Psychosomatic Medicine 2000;62(3):435–443. [PubMed: 10845357]
- Cohen J. A power primer. Psychological Bulletin 1992;112:155–159. [PubMed: 19565683]
- Costa, PT.; McCrae, RR. Revised NEO personality inventory and NEO five factor inventory: Professional manual. Odessa, FL: Psychological Assessment; 1992.

Costa PT Jr, McCrae RR. Stability and change in personality assessment: The revised NEO personality inventory in the year 2000. Journal of Personality Assessment 1997;68(1):86–94. [PubMed: 9018844]

- Denollet J, Conraads VM, Brutsaert DL, De Clerck LS, Stevens WJ, Vrints CJ. Cytokines and immune activation in systolic heart failure: The role of type D personality. Brain, Behavior, and Immunity 2003;17(4):304–309.
- Dongbo F, Fu H, McGowan P, Shen Y, Zhu L, Yang H, et al. Implementation and quantitative evaluation of chronic disease self-management programme in Shanghai, China: Randomized controlled trial. Bulletin of the World Health Organization 2003;81:174–182. [PubMed: 12764513]
- Franks P, Chapman B, Duberstein P, Jerant A. Five factor model personality factor moderated the effects of an intervention to enhance chronic disease management self-efficacy. British Journal of Health Psychology 2009;14(3):473–478.10.1348/135910708X360700 [PubMed: 18808733]
- Friedman HS. Long-term relations of personality and health: Dynamisms, mechanisms, tropisms. Journal of Personality 2000;68(6):1089–1107. [PubMed: 11130733]
- Fries JF, Spitz P, Kraines RG, Holman HR. Measurement of patient outcome in arthritis. Arthritis and Rheumatism 1980;23(2):137–145. [PubMed: 7362664]
- Goldberg LR. The structure of phenotypic personality traits. American Psychologist 1993;48(1):26–34. [PubMed: 8427480]
- Goldberg LR, Strycker LA. Personality traits and eating habits: The assessment of food preferences in a large community sample. Personality and Individual Differences 2002;32(1):49–65.
- Griffiths C, Motlib J, Azad A, Ramsay J, Eldridge S, Feder G, et al. Randomised controlled trial of a lay-led self-management programme for Bangladeshi patients with chronic disease. British Journal of General Practice 2005;55(520):831–837. [PubMed: 16281998]
- Gustavsson JP, Jonsson EG, Linder J, Weinryb RM. The HPS inventory: Definition and assessment of five health-relevant personality traits from a five-factor model perspective. Personality and Individual Differences 2003;35(1):69–89.
- Habra ME, Linden W, Anderson JC, Weinberg J. Type D personality is related to cardiovascular and neuroendocrine reactivity to acute stress. Journal of Psychosomatic Research 2003;55(3):235–245. [PubMed: 12932797]
- Improving Chronic Illness Care. The chronic care model. Self-management support. 2008. Retrieved from http://www.improvingchroniccare.org/index.php?p=Self-Management_Support&s=22
- Institute of Medicine. Crossing the quality chasm: A new health system for the 21st century. Washington, DC: National Academy Press; 2001.
- Irwin M, Artin KH, Oxman MN. Screening for depression in the older adult: Criterion validity of the 10-item center for epidemiologic studies depression scale (CES-D). Archives of Internal Medicine 1999;159(15):1701–1704. [PubMed: 10448771]
- Issel, LM. Health program planning and evaluation: A practical, systematic approach for community health. Vol. chap. 8. Sudbury, MA: Jones and Bartlett; 2004. Process evaluation. Measuring inputs and outputs.
- Jerant AF, Moore M, Franks P. Home-based, peer-led chronic illness self-management training: Findings from a one-year randomized controlled trial. Annals of Family Medicine 2009;7:319–327.10.1370/afm.996 [PubMed: 19597169]
- Jerant AF, von Friederichs-Fitzwater MM, Moore M. Patients' perceived barriers to active self-management of chronic conditions. Patient Education and Counseling 2005;57(3):300–307. [PubMed: 15893212]
- Jylha P, Isometsa E. The relationship of neuroticism and extraversion to symptoms of anxiety and depression in the general population. Depression and Anxiety 2006;23(5):281–289. [PubMed: 16688731]
- Kendler KS, Gatz M, Gardner CO, Pedersen NL. Personality and major depression: A Swedish longitudinal, population-based twin study. Archives of General Psychiatry 2006;63(10):1113– 1120. [PubMed: 17015813]
- Kennedy A, Reeves D, Bower P, Lee V, Middleton E, Richardson G, et al. The effectiveness and cost effectiveness of a national lay-led self care support programme for patients with long-term

- conditions: A pragmatic randomised controlled trial. Journal of Epidemiology and Community Health 2007;61(3):254–261. [PubMed: 17325405]
- Kraemer HC, Wilson GT, Fairburn CG, Agras WS. Mediators and moderators of treatment effects in randomized clinical trials. Archives of General Psychiatry 2002;59:877–883. [PubMed: 12365874]
- Kressin NR, Spiro A III, Skinner KM. Negative affectivity and health-related quality of life. Medical Car 2000;38(8):858–867.
- Kuehner C. Gender differences in unipolar depression: An update of epidemiological findings and possible explanations. Acta Psychiatrica Scandinavia 2003;108(3):163–174.
- Kupper N, Denollet J. Type D personality as a prognostic factor in heart disease: Assessment and mediating mechanisms. Journal of Personality Assessment 2007;89(3):265–276. [PubMed: 18001227]
- Lorig KR, Holman H. Self-management education: History, definition, outcomes, and mechanisms. Annals of Behavioral Medicine 2003;26(1):1–7. [PubMed: 12867348]
- Lorig KR, Ritter PL, Laurent DD, Plant K. Internet-based chronic disease self-management: A randomized trial. Medical Care 2006;44(11):964–971. [PubMed: 17063127]
- Lorig KR, Sobel DS, Stewart AL, Brown BW Jr, Bandura A, Ritter P, et al. Evidence suggesting that a chronic disease self-management program can improve health status while reducing hospitalization: A randomized trial. Medical Care 1999;37(1):5–14. [PubMed: 10413387]
- Marshall GN, Wortman CB, Vickers RR Jr, Kusulas JW, Hervig LK. The five-factor model of personality as a framework for personality-health research. Journal of Personality and Social Psychology 1994;67(2):278–286. [PubMed: 7932063]
- McHorney CA, Ware JE Jr, Raczek AE. The MOS 36-item short-form health survey (SF-36): II. Psychometric and clinical tests of validity in measuring physical and mental health constructs. Medical Care 1993;31(3):247–263. [PubMed: 8450681]
- McRae, RR.; Costa, PT. Personality in adulthood: A five-factor theory perspective. 2nd. New York: Guilford Press; 2002.
- Mroczek DK, Spiro A III. Personality change influences mortality in older men. Psychological Science 2007;18(5):371–376. [PubMed: 17576273]
- National Cholesterol Education Program. Executive Summary of the third report of the National Cholesterol Education Program (NCEP) expert panel on detection, evaluation, and treatment of high blood cholesterol in adults (Adult Treatment Panel III). Journal of the American Medical Association 2001;285(19):2486–2497. [PubMed: 11368702]
- Roberts BW, Kuncel NR, Shiner R, Caspi A, Goldberg LR. The power of personality: The comparative validity of personality traits, socioeconomic status, and cognitive ability for predicting important life outcomes. Perspectives on Psychological Science 2007;2(4):313–345.
- Roberts BW, Walton KE, Bogg T. Conscientiousness and health across the life course. Review of General Psychology 2005;9(2):156–168.
- Samsa G, Edelman D, Rothman ML, Williams GR, Lipscomb J, Matchar D. Determining clinically important differences in health status measures: A general approach with illustration to the health utilities index mark 2. Pharmacoeconomics 1999;15:141–155. [PubMed: 10351188]
- Stanford Patient Education Research Center. Stanford self-management programs. 2008. Retrieved from http://patienteducation.stanford.edu/programs/
- Swerissen H, Belfrage J, Weeks A, Jordan L, Walker C, Furler J, et al. A randomised control trial of a self-management program for people with a chronic illness from Vietnamese, Chinese, Italian and Greek backgrounds. Patient Education and Counseling 2006;64(1–3):360–368. [PubMed: 16859871]
- United Kingdom Department of Health. Saving lives, our healthier nation. 2008. Retrieved from http://www.archive.official-documents.co.uk/document/cm43/4386/4386.htm
- van Straten A, Cuijpers P, van Zuuren FJ, Smits N, Donker M. Personality traits and health-related quality of life in patients with mood and anxiety disorders. Quality of Life Research 2007;16(1):1–8. [PubMed: 17033892]
- Ware JE Jr, Keller SD, Gandek B, Brazier JE, Sullivan M. Evaluating translations of health status questionnaires. Methods from the IQOLA project. International Quality of Life Assessment.

International Journal of Technology Assessment in Health Care 1995;11(3):525–551. [PubMed: 7591551]

Ware JE Jr, Kosinski M, Bayliss MS, McHorney CA, Rogers WH, Raczek A. Comparison of methods for the scoring and statistical analysis of SF-36 health profile and summary measures: Summary of results from the medical outcomes study. Medical Care 1995;33(Suppl 4):AS264–AS279. [PubMed: 7723455]



Figure 1.

Flow of participants through the study. CES-D, 10-item Center for Epidemiologic Studies Depression Scale; HAQ, health assessment questionnaire; HIOH, homing in on health; NEO-FFI, NEO-five factor inventory.

Figure 2.

Significant relationships among personality factors, group, time, and self-rated mental health. N, neuroticism; C, conscientiousness; E, extraversion; A, agreeableness. Other, telephone intervention and control groups combined; MCS-36, SF-36 mental component summary score. Low versus high status of each personality factor was defined by median split in scores.

Table 1Dispositional tendencies within FFM personality factors

FEM factor	Dispositional tendencies	Example personality questionnaire items
Agreeableness	Cooperative, compassionate	I make people feel at ease I sympathize with others' feelings I take time out for others I am not interested in other people' problems* I am not really interested in others*
Conscientiousness	Painstaking, careful, planning, achievement-driven	I am always prepared I am exacting in my work I follow a schedule I get chores done right away I like order
Extraversion	Stimulation-seeking, tendency to experience positive emotions, sociable	I feel comfortable around people I start conversations I talk to a lot of different people at parties I am quiet around strangers* I laugh a lot
Neuroticism	Tendency to experience negative emotions	I am easily disturbed I change my mood a lot I get irritated easily I get stressed out easily I get upset easily
Openness	Explore new ideas and experiences, intellectually curious	I have a vivid imagination I have excellent ideas I spend time reflecting on things I use difficult words I am not interested in abstract ideas*

Note. Asterisk denotes reverse scored items.

Table 2

Characteristics of participants

Characteristic	Home (N = 138)	Other (N = 279)
Age, years, mean (SD)	59.8 (11.2)	60.7 (11.6)
Female, number (%)	108 (78)	213 (76.9)
Race/ethnicity, number (%)		
Non-Hispanic White	103 (75)	225 (81)
Black	20 (15)	26 (9)
Other/declined to answer	15 (10)	28 (10)
Education level, number (%)		
≤ 12 years	19 (14)	42 (15)
13–15 years	53 (38)	108 (39)
16 years	42 (30)	80 (29)
> 16 years	24 (17)	42 (15)
Declined to answer	0 (0)	5 (2)
Uninsured, number (%)	3 (2)	7 (3)
Chronic conditions, number (%)		
1	55 (40)	115 (41)
2	51 (37)	105 (38)
3	18 (13)	42 (15)
≥ 4	14 (10)	15 (5)
Specific study diagnoses, number $(\%)^a$		
Arthritis	83 (60)	150 (54)
Depression	59 (43)	134 (48)
Diabetes	64 (46)	108 (39)
Asthma	34 (25)	64 (23)
Congestive heart failure	17 (12)	31 (11)
Chronic lung disease	15 (11)	28 (10)
Personality factors, mean (SD)		
Agreeableness	34.4 (4.8)	33.3 (5.7)
Conscientiousness	31.3 (7.5)	32.0 (6.6)
Extraversion	25.9 (8.0)	26.0 (7.3)
Neuroticism	20.6 (9.9)	21.6 (9.2)
Openness	28.2 (6.6)	28.7 (6.2)
MCS-36 scores, mean (SD)		
Baseline	45.6 (14.2)	45.5 (13.7)
2 week follow-up	48.7 (13.8)	47.0 (13.1)
4 week follow-up	51.2 (11.7)	48.9 (12.8)
6 week follow-up	51.6 (12.1)	48.7 (12.5)
6 month follow-up	49.6 (13.7)	47.1 (13.3)
1 year follow-up	51.2 (12.1)	48.4 (12.4)
PCS-36 scores, mean (SD)		

Characteristic	Home (N = 138)	Other (N = 279)
Baseline	33.6 (12.0)	33.9 (11.7)
2 week follow-up	35.4 (11.9)	36.1 (11.3)
4 week follow-up	34.9 (12.3)	36.9 (11.6)
6 week follow-up	34.9 (11.9)	36.8 (11.3)
6 month follow-up	36.2 (12.0)	37.3 (11.6)
1 year follow-up	35.1 (12.2)	36.7 (11.9)

Note. Other, telephone intervention and control groups combined; *SD*, standard deviation; MCS-36, SF-36 mental component summary score; PCS-36, SF-36 physical component summary score.

 $^{^{}a}$ Percentages exceed 100 because many participants had more than one condition.

Table 3

Adjusted relationships of personality factors with baseline MCS-36 and PCS-36 scores

Personality factor	Status	MCS-36 mean (95% confidence interval)	PCS-36 mean (95% confidence interval)
Neuroticism	Low	52.6 (51.0, 54.2)	32.4 (30.7, 34.1)
	High	39.3 (37.7, 40.8)	34.6 (33.0, 36.2)
Conscientiousness	Low	42.4 (40.6, 44.1)	33.1 (31.5, 34.8)
	High	49.0 (47.2, 50.8)	33.9 (32.2, 35.5)
Extraversion	Low	40.7 (39.0, 42.4)	32.4 (30.8, 34.1)
	High	50.8 (49.1, 52.5)	34.7 (33.0, 36.3)
Agreeableness	Low	43.5 (41.6, 45.4)	32.9 (31.2, 34.6)
	High	47.7 (45.9, 49.4)	34.0 (32.4, 35.7)
Openness	Low	45.6 (43.7, 47.4)	33.0 (31.4, 34.7)
	High	45.8 (44.0, 47.6)	34.0 (32.3, 35.6)

Note. MCS-36, SF-36 mental component summary score; PCS-36, SF-36 physical component summary score. Means are adjusted for age and gender. Low versus high status of personality factors defined by median split in scores.