# Prospective Survey of $(1\rightarrow 3)$ - $\beta$ -D-Glucan and Its Relationship to Invasive Candidiasis in the Surgical Intensive Care Unit Setting<sup> $\nabla$ </sup>

John F. Mohr,<sup>1</sup> Charles Sims,<sup>1</sup> Victor Paetznick,<sup>1</sup> Jose Rodriguez,<sup>1</sup> Malcolm A. Finkelman,<sup>2</sup> John H. Rex,<sup>1,3</sup> and Luis Ostrosky-Zeichner<sup>1</sup>\*

Division of Infectious Diseases and Center for the Study of Emerging and Re-emerging Pathogens, University of Texas Health Science Center, Houston, Texas<sup>1</sup>; Associates of Cape Cod, Falmouth, Massachusetts<sup>2</sup>; and Astra Zeneca, Macclesfield, United Kingdom<sup>3</sup>

Received 18 June 2010/Returned for modification 4 August 2010/Accepted 27 October 2010

Non-culture-based diagnostic strategies are needed for diagnosing invasive candidiasis (IC). We evaluated serial serum  $(1\rightarrow 3)$ - $\beta$ -D-glucan (BG) levels in patients in the surgical trauma intensive care unit (SICU) patients with clinical evidence of IC. Serum samples from patients admitted to the SICU for a minimum of 3 days were collected twice weekly and analyzed for BG by using a Fungitell kit with a positive cutoff of  $\geq$ 80 pg/ml. Diagnosis of IC was done using a set of predefined and validated clinical practice-based criteria. A total of 57 patients consented to participate and were enrolled. The median ICU stay was 16 days (range, 3 to 51). A total of 14 of 57 (25%) false positives were observed in the first sample (ICU day 3) and, overall, 73% of the day 3 samples had higher BG levels than subsequent samples. On the date of clinical diagnosis of IC, the sensitivity of a positive BG for identifying invasive candidiasis was 87%, with a 73% specificity. In patients with evidence of IC (171 versus 48 pg/ml, P = 0.02), respectively. In the three patients with proven IC, BG was detected 4 to 8 days prior to diagnosis. BG serum detection may be a useful tool to aid in the early diagnosis of IC in SICU patients, particularly after day 3 and in patients with at least two positive samples drawn several days apart. Elevated BG levels within the first 3 days need to be further characterized.

Invasive candidiasis is the most common serious fungal infection identified in non-neutropenic patients being cared for in the intensive care unit (20, 21). Although blood cultures have long been used as the principal diagnostic marker for invasive candidiasis, they have limited sensitivity (6). In addition to catheter-related candidemia, acute disseminated candidiasis frequently involves the bloodstream in its evolution, while chronic disseminated candidiasis and deep organ candidiasis are less frequently associated with candidemia.

As a consequence of the difficulties with diagnosis, significant effort has gone into developing non-culture-based diagnostic techniques for detecting invasive candidiasis. These have included detection of *Candida* enolase and antibodies to enolase (23), *Candida* mannoproteins (2, 25),  $(1\rightarrow3)$ - $\beta$ -D-glucan (BG) (11, 15, 16), the candidal metabolic produce D-arabinitol (5), and *Candida* DNA by PCR (3, 9, 22, 24). BG is a component of the cell wall of most fungi and is particularly found on the surface of all *Candida* spp. (4, 13, 14, 16, 17).

The purpose of the present study was to determine whether serial measurements of serum BG levels provide laboratory support for the clinical diagnosis of invasive candidiasis in high-risk surgical ICU patients.

(These data were presented in part at the 46th Interscience Conference on Antimicrobial Agents and Chemotherapy, San Francisco, CA, in 2006 September.)

### MATERIALS AND METHODS

All patients in the Memorial Hermann–Texas Medical Center Surgical ICU for at least 48 h with an expected length of stay of at least 3 additional days were eligible for inclusion in the study. The study was conducted from April 2003 to February 2007. The study was approved by the Committee for the Protection of Human Subjects, which is the Institutional Review Board for the University of Texas Health Science Center at Houston. A signed informed consent was obtained from all patients.

Baseline demographics and patient characteristics were collected. When patients showed signs and symptoms of a presumed infection which included, but was not limited to, unexplained fever and leukocytosis, a thorough evaluation for the presumed infectious etiology was carried out based on the standardized protocols in the surgical ICU and included a laboratory evaluation; microbiologic evaluation of blood, urine, respiratory secretions, and/or wounds, where appropriate; and an evaluation of sites with foreign body presence, including catheters, chest tubes, and orthopedic devices.

In addition to the clinical and laboratory evaluation for infection, serum was collected twice weekly during ICU stay and tested for BG using a Fungitell kit. Specimens were frozen at  $-70^{\circ}$ C until testing was performed in triplicate and averaged according to the manufacturer's instructions at the Mycology Research Laboratory at the University of Texas Medical School, Houston, TX. According to the kit package insert, BG levels of  $\geq$ 80 pg/ml were considered positive. Clinicians did not have access to BG data, since the testing was carried out retrospectively. The clinical course of the patients was monitored until 7 days after ICU discharge for evaluation of evidence of invasive candidiasis based on the criteria (1, 18) established in the ICU in which the present study was being conducted (Table 1). The sensitivity and specificity of the BG assay were determined based on the number of positive samples obtained over the ICU stay relative to the diagnostic criteria outlined in Table 1.

## RESULTS

A total of 57 sequential patients met the criteria for enrollment and provided informed consent. The baseline characteristics for these patients are shown in Table 2. Based on the clinical diagnostic criteria for the present study, 15/57 (26%)

<sup>\*</sup> Corresponding author. Mailing address: Division of Infectious Diseases, University of Texas Health Science Center at Houston, 6431 Fannin, MSB 2.112, Houston, TX 77030. Phone: (713) 500-6734. Fax: (713) 500-5495. E-mail: luis.ostrosky-zeichner@uth.tmc.edu.

<sup>&</sup>lt;sup>v</sup> Published ahead of print on 3 November 2010.

TABLE 1. Unit clinical criteria for establishing a diagnosis of proven, possible, or probable invasive candidiasis

Type of candidiasis	Criteria				
	. Candidemia with temporally related clinical signs and symptoms compatible with the relevant organism . Candida spp. from sterile site other than (i) urine or (ii) peritoneal fluid in a setting of gastrointestinal perforation				
	<ol> <li>Candidemia without the clinical findings of criteria I.1</li> <li><i>Candida</i> spp. from ≥2 nonsterile sites in association with all of the following within the preceding or subsequent 3 days (day of positive cultures ± 3 days). If the nonsterile site cultures are obtained on different days, then the time window for the listed supporting factors extends from 3 days before the first positive culture for <i>Candida</i> spp. to 3 days after the last positive culture for <i>Candida</i> spp.:</li> </ol>				
4	<ul> <li>(a) Temperature ≥ 38.5°C (101.3°F) on at least on occasion</li> <li>(b) WBC<sup>c</sup> ≥ 12,000/mm<sup>3</sup> on at least one occasion</li> </ul>				
	<ul> <li>(c) WDC = 12,000/mm on a reast one occasion</li> <li>(c) No bacterial pathogens at any possibly infected site, with the exception of coagulase-negative staphylococci in the blood or on a catheter tip</li> </ul>				
	3. Candida spp. from urine at $\geq 100,000$ CFU/ml plus other criteria as in II.2				
	<ul> <li>4. <i>Candida</i> spp. from a central venous catheter tip at ≥15 CFU in association with all of the following within the preceding or subsequent 3 days (day of positive culture ± 3 days):</li> <li>(a) Temp ≥ 38.5°C (101.3°F) OR WBC ≥ 12,000/mm<sup>3</sup></li> </ul>				
	(b) No bacterial pathogens at any possibly infected site, with the exception of coagulase-negative staphylococci in the blood or on a catheter tip				
	5. Empirical treatment with systemic antifungal agents initiated due to a persistent temp of ≥38.5°C (101.3°F) OR WBC ≥ 12,000/mm <sup>3</sup> despite ≥3 days of broad-spectrum antibiotics in association with one of the following clinical scenarios:				
	Scenario A—the patient shows both of the following: (a) <i>Candida</i> spp. from at least one nonsterile site OR at least one central venous catheter tip at <15 CFU				
	<ul> <li>(b) No bacterial pathogens at any possibly infected site within the preceding or subsequent 3 days</li> <li>(day of initiation of therapy ± 3 days) with the exception of coagulase-negative staphylococci in th</li> <li>blood or on a catheter tip</li> </ul>				
	<ul> <li>Scenario B<sup>a</sup>—the patient has <i>Candida</i> spp. at ≥2 of the following:</li> <li>(a) Any nonsterile site</li> <li>(b) A central venous catheter tip at &lt;15 CFU</li> </ul>				
	<ol> <li>Candida from a central venous catheter tip at ≥15 CFU not satisfying criteria as in II.4<sup>b</sup></li> <li>Empirical treatment as in II.5, but without data satisfying either clinical scenario</li> </ol>				

<sup>a</sup> Note that this scenario does not exclude concomitant bacterial infections at other sites. This is the weakest of the probable forms.

<sup>b</sup> Note that growth of <15 CFU from a catheter tip without findings as in II.5 does not even meet the definition of possible and is not coded as a candidal infection at all.

<sup>c</sup> WBC, white blood cell count.

patients developed invasive candidiasis during their ICU stay. A total of 3/15 (20%) of the invasive fungal infections were proven, 6/15 (40%) were probable, and 6/15 (40%) were possible. The three patients with proven invasive candidiasis all

TABLE 2. Patient demographics and risk factors for invasive candidiasis at study entry

Parameter	Finding	
Sex (no. male/no. female)	. 40/17	
Median age in yrs (range)		
Median ICU LOS <sup>a</sup> (range)	.16 (3–51)	
No. (%) of invasive candidiasis risk factors <sup><math>b</math></sup>		
Presence of central venous catheter	.54 (95)	
Received any antibiotics		
Any surgery under general anesthesia		
Intra-abdominal surgery		
Pancreatitis	. 2 (4)	
Neutropenia (<500 WBC/mm <sup>3</sup> )	.1(2)	
Steroids (>20 mg prednisone equivalent)	. 5 (9)	
Dialysis		
≥4 risk factors		
≥5 risk factors		
	. /	

a LOS, length of stay in days.

<sup>b</sup> That is, the number detected during the first 48 h of ICU admission.

had a positive blood culture for *C. albicans*, and the patients with probable invasive candidiasis had a variety on nonsterile sites, such as urine, peritoneal fluid, and intravenous catheter tips, that were positive for a variety of *Candida* species with concurrent clinical signs and symptoms.

There were 239 samples obtained from the 57 patients. The median number of samples obtained from each patient was 4 (range, 1 to 11). Diagnostic performance according to the different diagnostic categories based on the total number of positive samples obtained was analyzed (Table 3). On the date of clinical diagnosis of IC, the sensitivity of a positive BG for identifying IC was 87%, with a 73% specificity.

Of the 35 patients without any clinical evidence of invasive candidiasis and with more than 1 sample obtained, 9 had a positive BG at baseline. In 8 patients, the BG level decreased by an average of 237 pg/ml (range, 10 to 983 pg/ml), and 3 returned to normal with the subsequent sample, despite the lack of antifungal therapy. When eliminating the sample obtained in the first 72 h, the sensitivities and specificities of two consecutive positive BG levels for identifying proven, provenplus-probable, or proven-plus probable plus-possible IC were 100 and 72%, 90 and 80%, and 78 and 86%, respectively.

The median (range) BG level in patients with proven, prob-

No. of positive BG samples	Sensitivity and specificity (%)							
	Proven $(n = 3)$		Proven plus probable $(n = 9)$		Proven plus probable plus possible $(n = 15)$			
	Sensitivity	Specificity	Sensitivity	Specificity	Sensitivity	Specificity		
1	100	50	91	57	93	61		
2	100	59	66	73	73	80		
≥3	100	67	63	73	71	80		

TABLE 3. Sensitivity and specificity of positive  $(1\rightarrow 3)$ - $\beta$ -D-glucan assay for invasive candidiasis in surgical ICU patients based on all samples obtained

able, or possible IC was 171 (5 to 490), and it was 48 (3 to 388) in patients without any evidence of invasive candidiasis (P = 0.02) (Student *t* test). In patients with proven and proven-plusprobable IC, the first positive BG was detected an average of 6 and 4 days prior to the clinical diagnosis being made, respectively, based on the date in which the B-glucan demonstrated a positive result and the initial culture results grew yeast.

## DISCUSSION

This study presents a systematic survey of BG levels in surgical ICU patients. Our survey found a sensitivity and a specificity of 100 and 50%, respectively, for proven IC. When the number of positive samples required to make a diagnosis was increased to two or three, the specificities increased to 59 and 67%, respectively, without experiencing a decrease in sensitivity. Furthermore, when adding probable and possible cases using clinically relevant definitions (recognizing that the gold standard—blood culture—only has a sensitivity of 50 to 70% in autopsy studies), we documented modest decreases in sensitivity and corresponding slight increases in specificity. Our diagnostic performance findings are similar to those of Pazos et al. (19) in the critical care setting and are in general agreement with large recent surveys of BG in other patient populations and autopsy studies (4, 10, 13, 16, 17, 19). As previously reported, BG levels were able to discern between patients that were ultimately diagnosed with IC, and the BG levels tended to be elevated several days before a positive culture or diagnosis of IC was made (16).

An interesting finding is the frequency of positive BG early in the IC admission and the subsequent decrease in levels. It is unknown whether these represent subclinical infection early in the ICU admission or whether this is related to iatrogenic causes such as translocation/leaching, or introduction, of BG into the bloodstream, such as has been described with surgical gauze, transfusions, hemodialysis, and certain drugs (7, 8, 10, 12). The reason for high BG levels on day 3 of ICU stay and the subsequent decrease remains to be studied in detail.

Aside from a limited sample size, our study is limited by the low frequency of cases of proven IC in this data set, as well as by the use of the local clinical definitions of IC. However, we believe it is important to share this information as it represents performance of BG outside of a clinical trial setting with the typical incidence of IC and practice parameters one would see in a surgical ICU.

The present study confirms the diagnostic value of BG to detect invasive fungal infection (IFI) earlier that waiting for culture results in the surgical critical care setting and describes elevated BG levels in patients without a documented IFI early in the ICU admission. The significance of this finding remains to be explored, until then BG levels should be approached with caution in the first 3 days of ICU admission.

## ACKNOWLEDGMENTS

L.O.-Z. currently receives grant funding from Associates of Cape Cod, Inc. M.A.F. is an employee of Associates of Cape Cod, Inc. This study was funded by a grant from Associates of Cape Cod, Inc.

#### REFERENCES

- Ascioglu, S., J. H. Rex, B. de Pauw, J. E. Bennett, J. Bille, F. Crokaert, D. W. Denning, J. P. Donnelly, J. E. Edwards, Z. Erjavec, D. Fiere, O. Lortholary, J. Maertens, J. F. Meis, T. F. Patterson, J. Ritter, D. Selleslag, P. M. Shah, D. A. Stevens, and T. J. Walsh. 2002. Defining opportunistic invasive fungal infections in immunocompromised patients with cancer and hematopoietic stem cell transplants: an international consensus. Clin. Infect. Dis. 34:7–14.
- Cao, L., K. M. Chan, D. Chen, N. Vanittanakom, C. Lee, C. M. Chan, T. Sirisanthana, D. N. Tsang, and K. Y. Yuen. 1999. Detection of cell wall mannoprotein Mp1p in culture supernatants of *Penicillium marneffei* and in sera of penicilliosis patients. J. Clin. Microbiol. 37:981–986.
- Cerikcioglu, N., B. Aksu, T. D. Dal, U. Deniz, H. S. Bilgen, E. Ozek, and G. Soyletir. 2010. Seminested PCR for detection and identification of *Candida* species directly from blood culture bottles. New Microbiol. 33:57–62.
- Digby, J., J. Kalbfleisch, A. Glenn, A. Larsen, W. Browder, and D. Williams. 2003. Serum glucan levels are not specific for presence of fungal infections in intensive care unit patients. Clin. Diagn. Lab. Immunol. 10:882–885.
- Hui, M., S. W. Cheung, M. L. Chin, K. C. Chu, R. C. Chan, and A. F. Cheng. 2004. Development and application of a rapid diagnostic method for invasive candidiasis by the detection of D-/L-arabinitol using gas chromatography/ mass spectrometry. Diagn. Microbiol. Infect. Dis. 49:117–123.
- Kami, M., U. Machida, K. Okuzumi, T. Matsumura, S. Mori Si, A. Hori, T. Kashima, Y. Kanda, Y. Takaue, H. Sakamaki, H. Hirai, A. Yoneyama, and Y. Mutou. 2002. Effect of fluconazole prophylaxis on fungal blood cultures: an autopsy-based study involving 720 patients with hematological malignancy. Br. J. Haematol. 117:40–46.
- 7. Kanda, H., K. Kubo, K. Hamasaki, Y. Kanda, A. Nakao, T. Kitamura, T. Fujita, K. Yamamoto, and T. Mimura. 2001. Influence of various hemodialysis membranes on the plasma  $(1\rightarrow 3)$ - $\beta$ -D-glucan level. Kidney Int. 60:319–323.
- Kato, A., T. Takita, M. Furuhashi, T. Takahashi, Y. Maruyama, and A. Hishida. 2001. Elevation of blood (1→3)-β-D-glucan concentrations in hemodialysis patients. Nephron 89:15–19.
- Lau, A., C. Halliday, S. C. Chen, E. G. Playford, K. Stanley, and T. C. Sorrell. 2010. Comparison of whole blood, serum, and plasma for early detection of candidemia by multiplex-tandem PCR. J. Clin. Microbiol. 48: 811–816.
- Mean, M., O. Marchetti, and T. Calandra. 2008. Bench-to-bedside review: Candida infections in the intensive care unit. Crit. Care 12:204.
- Miyazaki, T., S. Kohno, H. Koga, M. Kaku, K. Mitsutake, S. Maesaki, A. Yasuoka, K. Hara, S. Tanaka, and H. Tamura. 1992. G test, a new direct method for diagnosis of *Candida* infection: comparison with assays for β-glucan and mannan antigen in a rabbit model of systemic candidiasis. J. Clin. Lab. Anal. 6:315–318.
- Nakao, A., M. Yasui, T. Kawagoe, H. Tamura, S. Tanaka, and H. Takagi. 1997. False-positive endotoxemia derives from gauze glucan after hepatectomy for hepatocellular carcinoma with cirrhosis. Hepatogastroenterology 44:1413–1418.
- Obayashi, T., K. Negishi, T. Suzuki, and N. Funata. 2008. Reappraisal of the serum (1-3)-β-D-glucan assay for the diagnosis of invasive fungal infections: a study based on autopsy cases from 6 years. Clin. Infect. Dis. 46:1864–1870.
- 14. Obayashi, T., M. Yoshida, T. Mori, H. Goto, A. Yasuoka, H. Iwasaki, H.

Teshima, S. Kohno, A. Horiuchi, A. Ito, et al. 1995. Plasma  $(1\rightarrow 3)$ - $\beta$ -D-glucan measurement in diagnosis of invasive deep mycosis and fungal febrile episodes. Lancet **345**:17–20.

- Obayashi, T., M. Yoshida, H. Tamura, J. Aketagawa, S. Tanaka, and T. Kawai. 1992. Determination of plasma (1→3)-β-D-glucan: a new diagnostic aid to deep mycosis. J. Med. Vet. Mycol. 30:275–280.
- 16. Odabasi, Ż., Ġ. Mattiuzzi, E. Estey, H. Kantarjian, F. Saeki, R. J. Ridge, P. A. Ketchum, M. A. Finkelman, J. H. Rex, and L. Ostrosky-Zeichner. 2004. β-D-Glucan as a diagnostic adjunct for invasive fungal infections: validation, cutoff development, and performance in patients with acute myelogenous leukemia and myelodysplastic syndrome. Clin. Infect. Dis. 39:199–205.
- Ostrosky-Zeichner, L., B. D. Alexander, D. H. Kett, J. Vazquez, P. G. Pappas, F. Saeki, P. A. Ketchum, J. Wingard, R. Schiff, H. Tamura, M. A. Finkelman, and J. H. Rex. 2005. Multicenter clinical evaluation of the (1--3)-β-D-glucan assay as an aid to diagnosis of fungal infections in humans. Clin. Infect. Dis. 41:654-659.
- Paphitou, N. I., L. Ostrosky-Zeichner, and J. H. Rex. 2005. Rules for identifying patients at increased risk for candidal infections in the surgical intensive care unit: approach to developing practical criteria for systematic use in antifungal prophylaxis trials. Med. Mycol. 43:235–243.
- 19. Pazos, C., M. D. Moragues, G. Quindos, J. Ponton, and A. del Palacio. 2006.

Diagnostic potential of (1,3)- $\beta$ -D-glucan and anti-*Candida* albicans germ tube antibodies for the diagnosis and therapeutic monitoring of invasive candidiasis in neutropenic adult patients. Rev. Iberoam. Micol. **23**:209–215.

- Pfaller, M. A., and D. J. Diekema. 2007. Epidemiology of invasive candidiasis: a persistent public health problem. Clin. Microbiol. Rev. 20:133–163.
- Pfaller, M. A., and D. J. Diekema. 2010. Epidemiology of invasive mycoses in North America. Crit. Rev. Microbiol. 36:1–53.
- Vollmer, T., M. Stormer, K. Kleesiek, and J. Dreier. 2008. Evaluation of novel broad-range real-time PCR assay for rapid detection of human pathogenic fungi in various clinical specimens. J. Clin. Microbiol. 46:1919–1926.
- Walsh, T. J., J. W. Hathorn, J. D. Sobel, W. G. Merz, V. Sanchez, S. M. Maret, H. R. Buckley, M. A. Pfaller, R. Schaufele, C. Sliva, et al. 1991. Detection of circulating candida enolase by immunoassay in patients with cancer and invasive candidiasis. N. Engl. J. Med. 324:1026–1031.
- White, P. L., A. Shetty, and R. A. Barnes. 2003. Detection of seven Candida species using the Light-Cycler system. J. Med. Microbiol. 52:229–238.
- 25. Woo, P. C., C. M. Chan, A. S. Leung, S. K. Lau, X. Y. Che, S. S. Wong, L. Cao, and K. Y. Yuen. 2002. Detection of cell wall galactomannoprotein Afmp1p in culture supernatants of *Aspergillus fumigatus* and in sera of aspergillosis patients. J. Clin. Microbiol. 40:4382–4387.