

# Topoisomerase 1 provokes the formation of short deletions in repeated sequences upon high transcription in *Saccharomyces cerevisiae*

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High transcription is associated with genetic instability, notably increased spontaneous mutation rates, which is a phenomenon termed Transcription-Associated-Mutagenesis (TAM). In this study, we investigated TAM using the chromosomal *CAN1* gene under the transcriptional control of two strong and inducible promoters (*pGAL1* and *pTET*) in *Saccharomyces cerevisiae*. Both *pTET*- and *pGAL1*-driven high transcription at the *CAN1* gene result in enhanced spontaneous mutation rates. Comparison of both promoters reveals differences in the type of mutagenesis, except for short (–2 and –3 nt) deletions, which depend only on the level of transcription. This mutation type, characteristic of TAM, is sequence dependent, occurring preferentially at di- and trinucleotides repeats, notably at two mutational hotspots encompassing the same 5'-ACATAT-3' sequence. To explore the mechanisms underlying the formation of short deletions in the course of TAM, we have determined *Can<sup>R</sup>* mutation spectra in yeast mutants affected in DNA metabolism. We identified topoisomerase 1-deficient strains (*top1Δ*) that specifically abolish the formation of short deletions under high transcription. The rate of the formation of (–2/–3nt) deletions is also reduced in the absence of *RAD1* and *MUS81* genes, involved in the repair of Top1p–DNA covalent complex. Furthermore ChIP analysis reveals an enrichment of trapped Top1p in the *CAN1* ORF under high transcription. We propose a model, in which the repair of trapped Top1p–DNA complexes provokes the formation of short deletion in *S. cerevisiae*. This study reveals unavoidable conflicts between Top1p and the transcriptional machinery and their potential impact on genome stability.

Genetic instability is both beneficial for organisms as raw material for evolution and generally detrimental for individuals as it can suppress advantageous if not essential function, and in the case of higher eukaryotes, can lead to cancer and ageing. Transcription influences genetic stability in a complex manner by affecting DNA repair, replication, recombination and mutagenesis (1–3). Although transcription enhances the repair capacity of the cell in the transcription-coupled repair (TCR) pathway, conflicts between DNA replication and transcription are likely associated with genomic instability (1, 2). A recent study identified about 1,400 natural replication pause sites in the yeast genome including highly expressed genes, which points to transcription at the origin of stalled replication forks (3, 4). Conflicts between transcription and replication have been shown to elevate recombination rates in yeast and mammalian cells, a process called transcription-associated recombination (TAR; refs. 5–7). High transcription level is also associated with enhanced spontaneous mutagenesis in *Escherichia coli* (8, 9), bacteriophage T7 (10), and mammalian cells (11, 12).

In *Saccharomyces cerevisiae*, an increased transcription level stimulates spontaneous mutagenesis, a phenomenon termed transcription associated mutagenesis or TAM (13). Under high transcription, the reversion rate of the *pGAL-lys2ΔBgl* allele was increased by about 30-fold, compared with the one measured under low-transcription condition. The use of a reversion assay imposed a biased ascertainment, because only a specific subset of mutation types could be detected. To overcome this limitation,

the same team has investigated mutation spectra using a forward mutation assay at the *LYS2* gene, placed under the control of *pGAL1* promoter. In the high-transcription condition, short insertion-deletion mutations predominated, most notably two-nucleotide deletions (–2nt) revealing a mutational signature for TAM (14).

In the present study, we developed a forward mutation assay to assess TAM at the *CAN1* locus in *S. cerevisiae*. The *CAN1* gene was placed under the control of two strong and inducible promoters (*pGAL1* and *pTET*) at its endogenous location on Chromosome V. Our results show that both *pTET*- and *pGAL1*-driven high transcription at the *CAN1* gene result in enhanced spontaneous mutation rate. Sequencing the full *CAN1* gene in *Can<sup>R</sup>* mutants isolated under high transcription reveals a strong impact of –2nt and –3nt deletions at repeated di- or trinucleotides sequences. To explore the mechanisms of TAM and more specifically the formation of short deletions, we have determined mutation spectra in a variety of mutants affected in DNA metabolism. Among them, we identified the topoisomerase 1-deficient strains (*top1Δ*) that abolish –2nt and –3nt deletions under high transcription. The role of Top1p in TAM is also revealed by the enrichment of Top1p–DNA covalent complexes on the *CAN1* ORF when the gene is highly transcribed. Our data suggest that, under high transcription, conflicts frequently occur between the transcription machinery and Top1p. We propose a model in which the repair of irreversible Top1p–DNA complexes will provoke –2nt and –3nt deletions that are the hallmark of TAM in *S. cerevisiae*.

## Results and Discussion

**Increase of Spontaneous Mutagenesis at *CAN1* Under High Transcription.** In this study, we developed a forward mutation assay to explore TAM at the *CAN1* locus in *S. cerevisiae*. The *CAN1* gene at its endogenous localization on Chromosome V was placed under the transcriptional control of two strong promoters (*pGAL1* and *pTET*), whose activity can be regulated by galactose and doxycycline (Dox), respectively. The properties of the resulting strains (*pGAL1-CAN1* and *pTET-CAN1*) were compared with those of the control strain (*pCAN1-CAN1*); strains used in this study are listed in Table S1). Together, these strains permit the study of forward mutagenesis at the *CAN1* locus under five different transcriptional conditions: (i) low transcription from endogenous *CAN1* promoter (*pCAN1*); (ii) low transcription from *pGAL1* in the presence of glucose (*pGAL1-off*); (iii) high transcription from *pGAL1* in the presence of galactose (*pGAL1-on*); (iv) low transcription from *pTET* in the presence of Dox (*pTET-off*); and (v) high transcription

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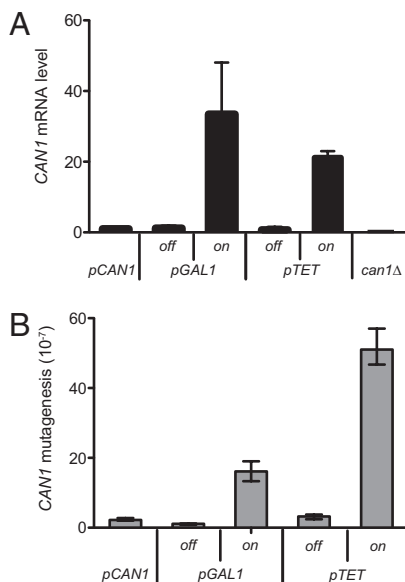
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from *pTET* in the absence of Dox (*pTET-off*). High-transcription conditions, *pGAL1-on* and *pTET-on*, result in a strong increase in *CAN1* mRNA level, 20- to 30-fold compared with *pCAN1* (Fig. 1A). In the *pGAL1-off* and *pTET-off* conditions, *CAN1* mRNA level is decreased down to *pCAN1* level, but remains higher than in *can1Δ* strain, indicating that *CAN1* repression is not complete (Fig. 1A). High transcription at *CAN1* generates genetic instability, because the spontaneous *Can<sup>R</sup>* mutation rate is increased by 7-fold under *pGAL1-on* and 23-fold under *pTET-on* conditions, compared with the endogenous *pCAN1* (Fig. 1B). This mutagenesis requires transcription activation, because it is not observed under low-transcription conditions (*pGAL1-off* and *pTET-off*; Fig. 1B). These data strongly suggest that TAM can be generalized, because it applies at least to two different loci, *LYS2* and *CAN1*, in *S. cerevisiae*.

**Can<sup>R</sup> Mutation Spectra Under Low and High Transcription.** To get further information about the mechanisms of TAM, *Can<sup>R</sup>* mutants generated under the different transcription conditions were collected, and mutation spectra were determined by sequencing the entire *CAN1* gene. Under low transcription (*pCAN1*), base substitutions (BPS) predominate (83% of total) followed by one-nucleotide indels ( $-1/+1nt$ ; 8% of total; Table 1). Under high transcription (*pTET-on*), we observed an overall enhanced *Can<sup>R</sup>* mutation rate (23-fold) compared with *pCAN1*, corresponding to enhanced rates for all types of mutations such as BPS (11-fold) and ( $-1/+1nt$ ) indels (52-fold; Table 1). The *pTET-on* spectra also revealed a new and major (30% of total) class of mutations, short deletions of two or three nucleotides ( $-2/-3nt$ ), not represented in the control spectrum ( $>385$ -fold increase; Table 1). Some *Can<sup>R</sup>* mutants (6 of 83) exhibit no mutation inside the ORF of *CAN1*. Further analysis showed that these *Can<sup>R</sup>* clones have mutations in the gene coding for *tetR*-VP16 hybrid transcription activator, located upstream from *CAN1* in the *pTET-CAN1* construct (Fig. S1A), whose inactivation leads to the absence of *CAN1* expression (Fig. S1B) and thus to canavanine resistance.



**Fig. 1.** Increase of *CAN1* spontaneous mutagenesis associated with high transcription. (A) Steady-state level of *CAN1* mRNA in wild-type, *pGAL1-CAN1*, *pTET-CAN1*, and *can1Δ* strains grown under various conditions: (i) YPD for *pCAN1* and *can1Δ*, (ii) YPD (*pGAL1-off*) or YP Galactose (*pGAL1-on*), (iii) YPD plus Dox (1 mg/L; *pTET-off*) or YPD (*pTET-on*). *CAN1* mRNA was quantified by RT-PCR. (B) *Can<sup>R</sup>* forward mutagenesis was measured in saturated cultures. Rates of forward mutation at the *CAN1* locus were determined from the number of *Can<sup>R</sup>* mutant colonies by the method of the median (38). The 95% confidence intervals are calculated.

In the *pGAL1-on* condition (high transcription), an overall enhanced *Can<sup>R</sup>* mutation rate (sevenfold compared with *pCAN1*) is also observed (Table 1). This increase reflects mostly ( $-2/-3nt$ ) deletion formation (89% of total and  $>325$ -fold increase), with a modest stimulation of ( $-1/+1nt$ ) indels (8% of total and 6-fold increase; Table 1). Furthermore, the rate of formation of ( $-2/-3nt$ ) deletions is similarly enhanced under *pGAL1-on* and *pTET-on* conditions ( $13.0 \times 10^{-7}$  and  $15.4 \times 10^{-7}$ , respectively), which is coherent with a similar increase in *CAN1* mRNA levels (Table 1 and Fig. 1A). Thus, ( $-2/-3nt$ ) short deletions seem to be a direct consequence of high transcription, independently of the promoter (*pGAL1* or *pTET*) and the reporter gene used (*CAN1* or *LYS2*; this study and ref. 14). Interestingly, our results also show major differences in mutation spectra between *pGAL1-* and *pTET-driven* transcription of *CAN1*, primarily the absence of stimulation of BPS in *pGAL1-on*. The molecular bases for these differences remain unexplained.

**Identification of TAM-Associated Mutational Hotspots for ( $-2/-3nt$ ) Deletions in *CAN1*.** Because ( $-2/-3nt$ ) deletions are the hallmark of TAM, we decided to focus our attention on this class of events. We collected the position of 76 ( $-2/-3nt$ ) deletions from both *pTET-on* and *pGAL1-on* conditions (Table 2 and Fig. S2). These deletions are mostly found (89%) inside di- and trinucleotide tandem repeats without any correlation between the number of repeats and the occurrence of the deletion (Table 2). For instance, the four-repeat sequence (5'-AGAGAGAG-3') at the position 254–261 is associated with only 1 ( $-2nt$ ) deletion, whereas 23 ( $-2nt$ ) deletions occur inside the repeat (5'-ATAT-3') at the position 1127–1130 (Table 2 and Fig. S2). Most of the ( $-2nt$ ) events occur at (5'-ATAT-3') repeats rather than monotonous runs of (As) or (Ts) in *CAN1*, the classical targets of replication errors (Table 2 and Fig. S2). In addition, the distribution of ( $-2/-3nt$ ) deletions along *CAN1* points to the identification of two strong mutational hotspots for ( $-2nt$ ) deletions at position 275 and 1127 (47% of all short deletions) and to a third hotspot for ( $-3nt$ ) deletions at position 970 (Table 2).

The two ( $-2nt$ ) deletion hotspots display the same nucleotide sequence (5'-ACATAT-3'), which does not occur elsewhere inside *CAN1*. Interestingly, this sequence is absent from the *LYS2* fragments sequenced in the other TAM studies (14, 15). The occurrence of an excess of *Can<sup>R</sup>* mutants at the (5'-ACATAT-3') sequences in both *pGAL1-on* and *pTET-on* condition assesses this hexameric sequence as a genuine hotspot for ( $-2nt$ ) deletions. The in-frame deletion of three nucleotides ( $-3nt$ ) is translated in the removal of one amino acid in the protein. Therefore, the ( $-3nt$ ) deletion hotspot may reflect an important amino acid for the *Can1p* function rather than a true mutation hotspot. Our data reveal information about TAM in yeast: (i) ( $-2/-3nt$ ) deletions occur almost exclusively inside repeated sequence; (ii) the number of repeats does not influence the occurrence of deletions; (iii) most deletions occur inside (5'-ATAT-3') repeats; and (iv) the (5'-ACATAT-3') sequence behaves as a mutation hotspot for TAM.

**Topoisomerase 1 Is Required for the Formation of TAM-Associated ( $-2/-3nt$ ) Deletions.** To get insight into the mechanisms of TAM, we looked for yeast mutants that would affect *Can<sup>R</sup>* mutation rate and mutation spectrum under high transcription using the *pTET-CAN1* strain. In a gene-specific approach, we tested mutants involved in the DNA metabolism and the maintenance of genetic stability. Among them, the *rev3Δ* and the *top1Δ* strains showed a significant (greater than twofold) reduction in overall *Can<sup>R</sup>* mutation rate (Table 3). Analysis of *Can<sup>R</sup>* mutation spectra shows that *REV3* deletion has no significant impact on the rate of ( $-2/-3nt$ ) deletions, whereas it nearly completely suppresses BPS and ( $-1/+1nt$ ) events in the *pTET-on* condition (Table 3). The position of ( $-2/-3nt$ ) deletions in the *rev3Δ* context is not modified compared with the wild type (Fig. S2). Accordingly, the mutagenesis observed in *pGAL1-on*, in which TAM consist mainly of ( $-2/-3nt$ ) deletions, is essentially unchanged in the

**Table 1. Can<sup>R</sup> mutation spectra upon low and high transcription**

Mutation	<i>pCAN1</i> (low)		<i>pTET-on</i> (high)		<i>pGAL1-on</i> (high)	
	Freq. (%)	Rate* (10 <sup>-7</sup> )	Freq. (%)	Rate* (10 <sup>-7</sup> )	Freq. (%)	Rate* (10 <sup>-7</sup> )
Total		2.2 (2.0–2.8) <sup>†</sup>		51.0 (47–57) <sup>†</sup>		16.1 (13–19) <sup>†</sup>
BPS	51/62 (83)	1.8	32/83 (39)	19.7	7/63 (11)	1.8
BPS at GC	39/62 (63)	1.4	28/83 (34)	17.2	6/63 (10)	1.5
BPS at AT	12/62 (19)	0.4	4/83 (5)	2.5	1/63 (2)	0.3
Indels	7/62 (11)	0.3	42/83 (51)	25.8	56/63 (89)	14.3
(–1/+1) nt	5/62 (8)	0.2	17/83 (21)	10.5	5/63 (8)	1.3
(–2/–3) nt	0/62 (0)	<0.04	25/83 (30)	15.4	51/63 (81)	13.0
Other ins/del	2/62 (3)	0.1	0/83 (0)	<0.6	0/63 (0)	<0.3
Complex <sup>‡</sup>	4/62 (6)	0.1	3/83 (4)	1.8	0/63 (0)	<0.3
Out of ORF <sup>‡</sup>	0/62 (0)	<0.04	6/83 (7)	3.7	0/63 (0)	<0.3

\*Mutation rates were determined by multiplying the proportion occurrence of specific mutation types by the overall mutation rate for that strain. When no events were observed, the rate was estimated assuming the occurrence of one event.

<sup>†</sup>Numbers in parentheses correspond to 95% confidence intervals.

<sup>‡</sup>Complex mutation refers to a mutation composed of more than one molecular event. Out of ORF events corresponds to Can<sup>R</sup> mutants displaying no mutation inside *CAN1* ORF.

*rev3Δ* mutant (Table 4). These results indicate that (–2/–3nt) deletions are formed independently of Polζ.

In contrast, our results show that *TOP1* deletion is associated with a nearly complete suppression of (–2/–3nt) deletions both in *pTET-on* (Table 3) and *pGAL1-on* conditions (Table 4). Interestingly, Top1p has not been involved so far into any mutagenic pathway in *S. cerevisiae*. Indeed, *top1Δ* in the *pCAN1* context has a Can<sup>R</sup> mutation rate and spectrum very similar to the wild type (Table S2). Top1p relaxes positive and negative supercoiling, relieving the torsional stress associated with DNA replication, transcription, and chromatin condensation (16). Here, we propose that, under high transcription, Top1p more frequently catalyzes irreversible single-strand cleavage, covalently attaching the 3' end of broken DNA, and that the processing of these

Top1p–DNA intermediates could lead to the formation of (–2/–3nt) deletions.

Alternatively to a direct role of Top1p on the formation of short deletions, two other hypotheses can be proposed. First, the absence of Top1p could impact the mutagenesis through its interaction with other proteins, independently of its topoisomerase activity. To test this hypothesis, *top1Δ* strains were complemented with a chromosomal version of Top1p (wild type) or a catalytically dead Top1p-Y727F (17). In the *pGAL1-on* condition, the mutant Top1p-Y727F is not able to restore a wild-type Can<sup>R</sup> mutagenesis, whereas the wild-type Top1p does (Fig. S3). Thus, the topoisomerase catalytic activity of Top1p seems necessary for the formation of short deletions. Second, Top1p could act indirectly modulating the transcriptional activity at *CAN1*. Thus, *top1Δ*

**Table 2. Sequence context at sites of (–2/–3nt) deletions in the high-transcription conditions**

Position*	<i>pGAL1-on</i> occurrence	<i>pTET-on</i> occurrence	Mutation	Sequence context <sup>†</sup>
254	1	0	–AG/GA	GA <u>AGAGAGAG</u> CT
275	10	3	–AT/TA	AC <u>ATAT</u> TG
294	0	1	–TGG/GGT/GTG	CT <u>TGGTGGT</u> AC
369	1	1	–AT/TA	CT <u>TATAT</u> CA
375	0	2	–AT/TA	TC <u>ATAT</u> TT
381	1	0	–AT/TA	TT <u>TAT</u> TT
392	0	1	–TTC/TCT/CTT	GG <u>TTC</u> TG
399	3	0	–AT/TA	GC <u>ATAT</u> TC
463	1	0	–GT/TG	CA <u>GTG</u> TC
470	0	1	–AC/CA	CT <u>CACA</u> AA
539	0	1	–CT	CA <u>CT</u> TT
704	0	1	–TT	AG <u>TTTT</u> AG
727	1	0	–AT/TA	TA <u>ATAT</u> AC
964	2	3	–AA	TC <u>AAAAAA</u> GT
970	7	0	–GTT/TTG/TGT	AA <u>GTTGTT</u> TT
973	0	1	–GT/TG	GT <u>TGT</u> TT
1002	1	0	–CT/TC	GG <u>CTCTCT</u> AT
1127	18	5	–AT/TA	AC <u>ATAT</u> CT
1170	1	0	–AA	GC <u>AAA</u> TT
1199	0	1	–TT	TA <u>TTTT</u> AT
1363	1	0	–ATC/TCA	AT <u>ATCA</u> CT
1372	1	0	–GTT/TTG	GG <u>TGTTG</u> CA
1406	1	0	–TC/CT	AA <u>TCTC</u> GC
1448	0	3	–TC/CT	CA <u>TCTCTC</u> GT
1454	1	0	–GT/TG	TC <u>GTG</u> AC
1464	0	1	–AC	TT <u>AC</u> CA

\*Positions are relative to +1 of coding sequence and correspond to numbering in Fig. S2.

<sup>†</sup>Underlined characters represent the sequence where the deletion occurred.



**Table 3. Can<sup>R</sup> mutation spectra under high transcription (*pTET-on*) in *top1Δ* and *rev3Δ* mutant strains**

Type of event	<i>pTET-on</i> wild type (83 events)	<i>pTET-on top1Δ</i> (39 events)	<i>pTET-on rev3Δ</i> (48 events)
Total*	51.0 (47–57) <sup>†</sup>	24.0 (22–26) <sup>†</sup>	14.2 (13–16) <sup>†</sup>
BPS	19.7	8.0	0.6
(–1/+1) nt	10.5	7.4	1.2
(–2/–3) nt	15.4	<0.6	10.1
Larger ins/del	<0.6	1.8	<0.3
Other*	5.5	6.8	2.4

\*Mutation rates were determined by multiplying the proportion occurrence of specific mutation types by the overall mutation rate for that strain. When no events were observed, the rate was estimated assuming the occurrence of one event.

<sup>†</sup>Numbers in parentheses correspond to 95% confidence intervals.

\*Other refers to complex mutations (composed of more than one molecular event) and mutations outside *CAN1* ORF.

strains would not be able to transcribe *CAN1* at high level, which in turn would suppress TAM. The data shown in Fig. S4 suggest this hypothesis is incorrect, because the steady-state levels of *CAN1* mRNA in *pTET-on* condition are not different in wild-type and *top1Δ* strains. Similarly, the impact of Rev3p on TAM at the level of BPS and (–1/+1nt) cannot be explained by a reduced accumulation of *CAN1* mRNA (Fig. S4). Therefore, our data strongly suggest that the formation of (–2/–3nt) deletions, which are the signature activity of the TAM process, is directly linked to Top1p topoisomerase activity.

**Role of Rad1p/Mus81p in the Formation of TAM-Associated (–2/–3nt) Deletions.** To support a direct role of Top1p in the formation of short deletions, we explored the impact of genes that contribute to the removal of trapped Top1p on DNA. Using camptothecin (Cpt), a Top1p-specific poison, several pathways have been identified to repair trapped Top1p on DNA: (i) Cleavage of the Top1p–DNA phosphodiester bond by the tyrosyl DNA phosphodiesterase Tdp1p (18, 19); (ii) removal of a DNA fragment containing trapped Top1p by a redundant network of endonucleases, notably Rad1–Rad10 and Mus81–Mms4 (20, 21); and (iii) collision between blocked Top1p and replication fork, generating a double-strand break repaired by homologous recombination (22). Here, we investigated the formation of TAM-associated (–2/–3nt) deletions in *tdp1Δ* and in *mus81Δ rad1Δ* double-mutant strains. We chose the *mus81Δ rad1Δ* double mutant because single mutants may have very minor phenotype, as already reported for their sensitivity to the lethal action of Cpt (21).

Under high transcription (*pGAL1-on*), the *tdp1Δ* mutant displays no significant effect on the Top1p-dependent formation of (–2/–3nt) deletions (Table 4). In contrast, the (–2/–3nt) deletion rate is partially but significantly reduced (2.7-fold) in the *mus81Δ rad1Δ* double mutant (Table 4). These results suggest that 3'-flap endonucleases promote repair pathways for trapped Top1p–

DNA responsible for (–2/–3nt) deletions, whereas Tdp1p does not. It has been shown that Tdp1p has a very weak activity on 3'-phosphotyrosine in a double-strand nicked DNA, a structure that could mimic the one generated by conflicts between RNA polymerase and Top1p (23). In contrast, Rad1–Rad10 and Mus81–Mms4 can act efficiently on Top1p covalently bound at the 3'-side of the nick in double-stranded DNA. In the absence of these enzymes, Top1p covalent complex should be repaired either by other endonucleases such as Slx1–Slx4 and the MRX complex (20, 21), yielding (–2/–3nt) deletions or in an error-free manner by homologous recombination after the formation of a double-strand break (22).

**Enrichment in Covalent Top1p–DNA Adducts in Highly Transcribed *CAN1*** The impact of Rad1–Rad10 and Mus81–Mms4 on the formation of Top1p-dependent (–2/–3nt) deletions suggests the accumulation of Top1p covalently trapped to DNA under high transcription. To explore this possibility, we developed a ChIP assay for Top1p–GFP without cross-linking agent (*SI Text*). Importantly, the Top1p–GFP fusion protein behaves as a fully active topoisomerase 1, because it confers sensitivity to Cpt (Fig. S5) and provokes the formation of (–2/–3nt) deletions (Table S2), like the Top1p. This ChIP assay should allow us to detect Top1p–DNA covalent complexes, notably in the presence of Cpt, which stabilizes those complexes. Indeed, under the low-transcription condition, Cpt-treated cells displayed a significant enrichment of Top1p–DNA in the *CAN1* locus (promoter, ORF and downstream region) compared with untreated cells (Fig. S6). Thus, our ChIP assay without cross-linking agent most likely reveals Top1p–DNA adducts. Under the high-transcription condition (*pGAL1-on*), we observed a significant enrichment of Top1p–DNA (4- to 5-fold) along the ORF of *CAN1* compared to the low-transcription (*pGAL1-off*) condition (Fig. 2). In contrast, enrichment of Top1p–DNA is much weaker (<2-fold) in the promoter and in the downstream regions of *CAN1* under high transcription of *CAN1* (Fig. 2). The presence of covalently bound Top1p on the ORF of *CAN1* under high transcription points to a direct role of Top1p in the formation of (–2/–3nt) deletions, suggesting that mutations occur nearby its site of fixation. This conclusion addresses the question of the two hotspots observed in this study (Table 2 and Fig. S2). Interestingly, the regions that span the two deletion hotspots (position 275 and 1127) do not show significantly higher level of Top1p–DNA complexes compared with the other *CAN1* regions (Fig. 2).

**Model for Top1p-Dependent Formation of TAM-Associated (–2/–3nt) Deletions.** Taken together, our results support a model in which Top1p catalytic activity is the direct cause of TAM-associated short deletions in tandem repeats in *S. cerevisiae* (Fig. 3). The model decomposes in three successive steps: (i) The formation of a trapped covalent Top1p–DNA complex at (nearby) the site of mutation, facilitated under high transcription condition; (ii) the elimination of the Top1p-containing end by an endonuclease (Rad1–Rad10/Mus81–Mms4), which results in the formation of

**Table 4. Can<sup>R</sup> mutation spectra under high transcription (*pGAL1-on*) in *top1Δ*, *rev3Δ*, *tdp1Δ*, and *rad1Δ mus81Δ* mutant strains**

Type of event	<i>pGAL1-on</i> wild type (63 events)	<i>pGAL1-on rev3Δ</i> (30 events)	<i>pGAL1-on top1Δ</i> (31 events)	<i>pGAL1-on tdp1Δ</i> (30 events)	<i>pGAL1-on mus81Δ rad1Δ</i> (58 events)
Total*	16.1 (13–19) <sup>†</sup>	13.4 (11–20) <sup>†</sup>	5.1 (3.5–5.9) <sup>†</sup>	13.5 (11–18) <sup>†</sup>	11.9 (9–14) <sup>†</sup>
Base substitution	1.8	<0.5	3.1	<0.5	2.3
(–1/+1nt)	1.3	<0.5	1.7	0.9	2.9
(–2/–3nt)	13.0	13.0	0.2	11.3	4.9
Larger ins/del	<0.3	0.5	<0.2	0.9	0.4
Complex*	<0.3	<0.5	0.2	0.5	1.4

\*Mutation rates were determined by multiplying the proportion occurrence of specific mutation types by the overall mutation rate for that strain. When no events were observed, the rate was estimated assuming the occurrence of one event.

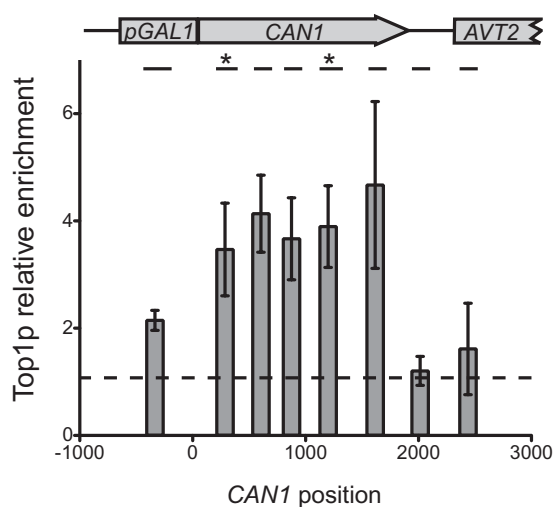
<sup>†</sup>Numbers in parentheses correspond to 95% confidence intervals.

\*Complex mutation refers to a mutation composed of more than one molecular event.

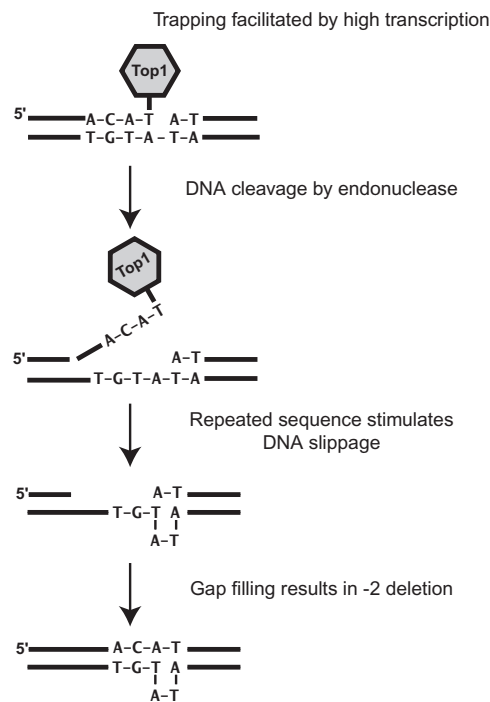
a gap into DNA; (iii) the DNA repair synthesis, which is accompanied by the sliding of the template strand and realignment. The fact that (–2/–3nt) deletions occur at di- and trinucleotide tandem repeats probably reflects a “facilitated sliding-realignment” of the template. Finally, the 5'-end is processed, ligation occurs and mutation is fixed after a round of replication or elimination of the loop by MMR.

The enhanced trapping of Top1p on highly transcribed DNA could result from the recently described association between high transcription and DNA damage (24). Indeed, these data have shown that high transcription enhances the incorporation of dUMP that can be further converted into abasic sites and single-strand breaks. All these DNA lesions have been shown to stabilize covalent Top1p–DNA complexes in vitro (25, 26). Alternatively, trapped Top1p–DNA complexes may reflect conflicts between the transcription machinery (RNA polymerases) and Top1p at highly transcribed undamaged DNA. A recent study points to the role of Top1p in suppressing genomic instability by preventing interference between replication and transcription (27). The probability of conflicts is proportional to the occupancy of the ORF by RNA polymerases in the elongation state and the need of Top1p for relaxing topological constraints. Indeed, RNA polymerase can hit Top1p before religation has occurred, generating the trapped Top1p–DNA complex (28). These two modes of formation of Top1p–DNA complexes are not exclusive to each other. It should be noted that the frequency of the conflicts between transcription and Top1p cannot be evaluated from the (–2/–3nt) deletion rates reported in this study. Indeed, conflicts might be much more frequent but mainly solved in an error-free manner in agreement with our model. The presence of Top1p on highly transcribed DNA should be essentially beneficial to the cell. Although unavoidable conflicts can occur, only a minor fraction of them lead to deleterious (–2nt) deletions.

Another unsolved question about the formation of Top1p-induced deletions is their sequence specificity at nonmonotonous di- and trinucleotide tandem repeats and hotspots at (5'-ACA-TAT-3'). In the accompanying paper, Lippert et al. (29) show that this hexameric sequence becomes a (–2nt) deletion hotspot when transposed into the *LYS2* frameshift reversion assay. Their data point to a strong correlation between the short deletion formation and the hotspots sequence, which could point to a preferential region for Top1p recruitment. Top1p preferential



**Fig. 2.** Top1p recruitment on *CAN1* locus under high and low transcription. Top1p-GFP recruitment under *pGAL1-on* and *pGAL1-off* condition was analyzed by ChIP without cross-linking agent as described in *SI Text*. The figure shows the ratio between *pGAL1-on* and *pGAL1-off* signal. The position of the primers couples inside *CAN1* regions is represented above. Asterisks correspond to the (–2nt) deletion hotspots.



**Fig. 3.** Model for Top1p-dependent formation of TAM-associated (–2/–3nt) deletions.

binding site has been previously described in vitro using calf thymus or wheat germ Top1p (30). According to this study, the sequence 5'-ACAT-3' could be a good substrate for Top1 cleavage. However, several other sites for short deletions are also potential Top1p-binding sites (Table 2). In addition, our ChIP experiment does not reveal a positive correlation between the frequency of Top1 trapping onto DNA and (–2/–3nt) deletion hotspots (Fig. 2). Therefore, we are in favor of a model in which the mutation hotspots are primarily determined by the capacity of sequence encompassing the 5'-ACATAT-3' hexanucleotide to undergo a “facilitated sliding-realignment” reaction.

In mammalian cells, Top1p trapping in neurons causes genetic disorder called spinocerebellar ataxia with axonal neuropathy *SCAN1* (31, 32). Therefore, the enhanced formation of irreversible Top1p–DNA complexes under high transcription demonstrated here may have deleterious effects, particularly in nondividing cells. Indeed, the model we propose does not require DNA replication and may apply to nondividing cells, like neurons.

## Materials and Methods

**Media and Growth Conditions.** Yeast strains were grown at 30 °C in YPD medium (1% yeast extract, 1% bacto-peptone, and 2% glucose, with 2% agar for plates), YP Gal medium (1% yeast extract, 1% bacto-peptone, and 2% galactose), YNBD medium (0.7% yeast nitrogen base without amino acids and 2% glucose, with 2% agar for plates) supplemented with appropriate amino acids and bases or YNB Gal medium (YNB with 2% galactose). Supplemented YNBD and YNB Gal medium lacking arginine but containing L-canavanine (Sigma) at 60 mg/L were used for the selective growth of canavanine-resistant (*Can<sup>R</sup>*) mutants on plates. The doxycycline cultures were performed in YPD medium containing 1 mg/L doxycycline (Sigma).

**Yeast Strains.** *S. cerevisiae* strains used in the present study are listed in Table S1. All strains are haploid and isogenic to the wild-type strain FF18733 (*MATa*, *leu2-3-112*, *trp1-289*, *his7-2*, *ura3-52*, *lys1-1*; ref. 33). The *pGAL1-CAN1* strain was constructed by the replacement at the endogenous location on the chromosome V of the 250 nucleotides downstream of *CAN1* with a PCR-generated cassette from *pFA6a-kanMX6-pGAL1* (34). The *GAL1* cassette consists in the *GAL1* upstream region containing *GAL1-10* promoter and the *KanMX6* marker. The *pTET-CAN1* strain was similarly constructed by

replacement of the downstream *CAN1* region with a PCR-generated cassette from pCM225 (35) that includes seven repeats of the *tetO* sequence motif, the *tetR-VP16* transcriptional activator gene under the control of the CMV promoter, and the KanMX4 marker. Correct integration of both promoters was confirmed by PCR and sequence analysis. For analysis of *TOP1* catalytically dead mutant, *top1Δ pGAL1-CAN1* strain was transformed with a shuttle vector based on pRS305, which carried wild-type or mutated *TOP1* gene under the control of the endogenous *TOP1* promoter, for integration into the *LEU2* locus. The empty vector pRS305 served as control. For ChIP experiments, the C terminus of Top1p was tagged with GFP as described (34). Mutant strains in the *pGAL1-CAN1* and *pTET-CAN1* context were constructed using PCR-based allele replacement techniques (34) or by crossing and genetic analysis of meiotic events.

**Spontaneous Mutation Rates.** For each strain, 11 independent cultures were inoculated with about  $10^3$  cells in 2 mL of YPD or YP Gal and grown at 30 °C for 3 d. Cell density was measured by plating dilutions on YPD agar plates and counting the colonies after 2 d at 30 °C. The quantification of canavanine-resistant mutants (*Can<sup>R</sup>*) was determined after plating on selective medium YNB Gal agar plates containing 60 mg/L L-canavanine for *pGAL1-CAN1* strains and YNBD agar plates containing 60 mg/L L-canavanine for the other strains (36). Colonies were counted after 3–4 d at 30 °C. All experiments were repeated independently at least three times. Mutation rates were determined from the number of *Can<sup>R</sup>* colonies by the method of the median (37).

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