

Improved antigen cross-presentation by polyethyleneimine-based nanoparticles

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Purpose: In the development of therapeutic vaccines against cancer, it is important to design strategies for antigen cross-presentation to stimulate cell-mediated immune responses against tumor antigens.

Methods: We developed a polyethyleneimine (PEI)-based protein antigen delivery system to promote cross-presentation through the major histocompatibility complex (MHC) I pathway using ovalbumin (OVA) as a model antigen. PEIs formed nanoparticles with OVA by electrostatic interactions, as demonstrated by electrophoresis analysis, scanning electron microscopy, and photon correlation spectroscopy analysis.

Results: The nanoparticles were used to stimulate mouse bone marrow-derived dendritic cells in vitro and resulted in significantly more OVA₂₅₇₋₂₆₄/MHC I complex presentation on dendritic cell surfaces. The activated dendritic cells interacted specifically with RF33.70 to stimulate interleukin-2 secretion. The cross-presentation promoting effect was more prominent in dendritic cells that had been cultured for longer periods of time (13 days). Further studies comparing the antigen presentation efficacies by other polyanionic agents, such as PLL or lysosomotropic agents, suggested that the unique "proton sponge effect" of PEI facilitated antigen escape from the endosome toward the MHC I pathway.

Conclusion: Such a PEI-based nanoparticle system may have the potential to be developed into an effective therapeutic vaccine delivery system.

Keywords: cross-presentation, polyethyleneimine, dendritic cells, vaccine

Introduction

Cancer vaccines are viewed as promising tools to fight tumors.^{1,2} The aim of a cancer vaccine is to activate the patient's own immune system against tumor cells. There have been many cancer vaccine strategies proposed and tested, including tumor cell lysates, tumor antigen-pulsed dendritic cells, recombinant tumor antigens, and various DNA vaccine constructs.^{3,4} However, the outcomes are highly variable and there have been safety and quality control problems. Based on these concerns, vaccine constructs containing recombinant tumor-associated antigens or epitopes are considered more desirable because of the advantages of easy production, convenient administration, and proven safety.⁵

Protein-based cancer vaccines in general have limited therapeutic efficacy because they induce predominantly antibody responses instead of antigen-specific cytotoxic T lymphocyte responses, which are critical for immunity against tumors.⁶ Controlling the antigen-processing process inside antigen-presenting cells and presenting them through the major histocompatibility complex (MHC) I pathway is the key to

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stimulating cytotoxic T lymphocytes.^{7,8} Nanoparticle-based delivery systems have shown some efficacy in protecting antigens from degradation and improving uptake by antigen-presenting cells.⁹ In order to help the antigens to escape from endosomes inside antigen-presenting cells and to access the MHC I pathway, some endosome-disruptive agents were applied. Based on the listeriolysin O protein, Stier et al designed a listeriolysin O-liposome system that could create pores within the endosomal/lysosomal membrane.¹⁰ Bungener et al used reconstituted virosomes from the influenza virus and reported membrane fusion between the virosome membrane and the endosome membrane.¹¹ Kwon et al and Murthy et al prepared a responsive polymer microsphere that degraded in the acidic lysosome to release the antigen.^{12,13} Antigen cross-presentation was considered essential for the efficacy of therapeutic vaccines.

In this study we developed a new antigen delivery system based on the cationic polymer polyethyleneimine (PEI) and demonstrated that its endosome-disruptive effect was sufficient and effective for cross-presentation. Ovalbumin (OVA) was used as a model antigen, and various aspects of immune recognition and stimulation were demonstrated. Mouse bone marrow-derived dendritic cells were used to evaluate cross-presentation efficiency, because dendritic cells were viewed as the most powerful antigen-presenting cells.^{14,15} Our study indicates that the PEI-based nanoparticles show promise as antigen delivery systems for therapeutic cancer vaccines.

Materials and methods

Materials

OVA_{257–264} peptide was synthesized by GL Biochem Ltd, Shanghai, China. OVA and PEI (branched, 10 kDa) were purchased from Sigma-Aldrich, St Louis, MO, USA. Goat antimouse IgG–FITC was purchased from the KangChen Bio-tech Company, Shanghai, China. Mouse granulocyte–macrophage colony-stimulating factor and mouse interleukin (IL)-4 were purchased from R & D Systems, Minneapolis, MN, USA. Cell culture media were obtained from Gibco Invitrogen, Carlsbad, CA, USA.

RF33.70 cells (K^b restricted T cell hybridoma and specific for OVA_{257–264}) were kindly provided by Professor Xuetao Cao from the Second Military Medical University, Shanghai, China. These cells were maintained in RPMI-1640 culture medium with 10% heat-inactivated fetal bovine serum and antibiotics.

The hybridoma cells that produced the monoclonal antibody 25-D1.16, specific for the OVA_{257–264}/MHC I complex,

were kindly provided by Professor Kyung-Dall Lee from the University of Michigan, Ann Arbor, MI, USA. The cell line was grown in RPMI-1640 with 10% fetal bovine serum.

Five- to six-week-old male C57BL/6 mice (H-2b) were purchased from the Shanghai Laboratory Animal Center, Shanghai, China, and were housed in a specific pathogen-free environment at Shanghai Jiao Tong University School of Pharmacy. All experiments were approved by the Animal Care and Use Committee of Shanghai Jiao Tong University School of Pharmacy, Shanghai, China.

The bone marrow-derived dendritic cells were prepared based on the typical procedure described in the literature.¹⁶ Briefly, bone marrow from C57BL/6 mice was collected and cultured in RPMI-1640 complete medium (10% heat-inactivated fetal bovine serum, 100 U/mL penicillin, 100 U/mL streptomycin) supplemented with 10 ng/mL mouse granulocyte–macrophage colony-stimulating factor and 1 ng/mL mouse IL-4. Nonadherent cells were removed after three days, and the adherent cells were replanted in fresh RPMI-1640 complete medium supplemented with mouse granulocyte–macrophage colony-stimulating factor and IL-4. The nonadherent and loosely adherent cells were harvested as dendritic cells after another three days.

Preparation of PEI–OVA and PLL–OVA nanoparticles

The nanoparticles were prepared following the protocols that were used to prepare polymer–DNA complex with modification.^{17,18} OVA was dissolved in 1 mM HEPES (pH 7.4) solution to get an OVA concentration of 5 mg/mL. Branched PEI₁₀₀₀₀ (Sigma) or PLL₂₆₀₀₀ (Sigma) was dissolved in distilled water to yield different concentration. Polymer–OVA particles were prepared by adding OVA solution to polymer solution at equal volume and vortexing for 30 seconds at room temperature.

Nanoparticle characterization

The polymer–OVA nanoparticles were analyzed using both native sodium dodecyl sulfate polyacrylamide gel electrophoresis (PAGE, 8%–16% Tris–Glycine gel) and denatured sodium dodecyl sulfate PAGE (4%–12% Bis–Tris gel from Invitrogen). Gels were stained with Coomassie Blue (Invitrogen).

The surface morphology of nanoparticles was observed by scanning electron microscopy (SEM, FEI SIRION 200 system, FEI Company). After the samples were deposited on silica wafers and dried, they were observed at 5 kV.

The size distribution and zeta potential of the nanoparticles were determined using photon correlation spectroscopy (Zetasizer Nano ZS90, Malvern Corp, Malvern, UK) at a scattering angle of 90°C and a temperature of 25°C.

OVA₂₅₇₋₂₆₄/MHC I complex characterization on dendritic cells

Polymer–OVA nanoparticles were added to dendritic cells at different concentrations. Dendritic cells were incubated overnight at 37°C and then harvested and washed with phosphate-buffered saline. The expression of OVA₂₅₇₋₂₆₄/MHC I on the surface of the dendritic cells was determined by staining the cells with 25-D1.16 monoclonal antibodies at 4°C. Thirty minutes later, the dendritic cells were washed and incubated with secondary antibody for a further 30 minutes. The dendritic cells were then washed again and analyzed by flow cytometry.

IL-2 secretion by OVA₂₅₇₋₂₆₄/MHC I complex-specific T cells

After addition of polymer–OVA nanoparticles, dendritic cells were harvested after 12 hours and diluted by RPMI-1640 complete medium. 2×10^4 dendritic cells and 2×10^5 RF33.70 cells per well were mixed and incubated in 96-well plates. After 24 hours of incubation, IL-2 concentration in the culture supernatant was determined by mouse IL-2 enzyme-linked immunosorbent assay (ELISA) kit (Bender MedSystems, Austria).

Results

Preparation and characterization of PEI–OVA particles

We prepared PEI–OVA nanoparticles based on electrostatic interactions between the positive amino groups on PEI and the negative surface charges on OVA above its pKa. The two agents were mixed in different weight ratios, and the resulting complexes were examined by gel electrophoresis. Figure 1A shows the native gel electrophoresis analysis of the complexes, which indicates that OVA was completely combined with PEI when the PEI/OVA weight ratio was over 0.04. However, the sodium dodecyl sulfate PAGE analysis showed that free OVA still existed at the same weight ratio (Figure 1B). This phenomenon demonstrated that sodium dodecyl sulfate could compete with OVA and disturb the combination of PEI and OVA.

The microscopic morphology of the resulting particles was examined by SEM. The PEI–OVA nanoparticles were, in general, spherical and polydispersed. The SEM image of PEI–OVA 0.04 nanoparticles is shown in Figure 2A.

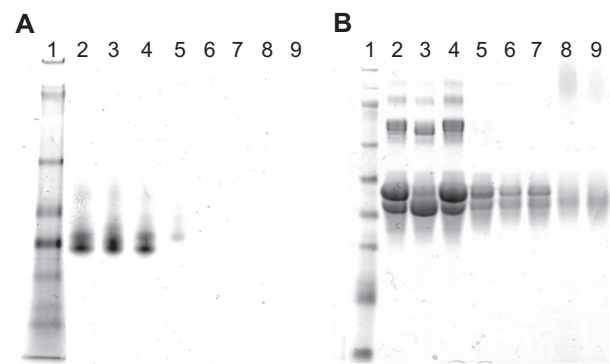


Figure 1 Combination of PEI and OVA. **A)** Native gel electrophoresis of PEI–OVA particles in different ratios (PEI/OVA w/w). Lane 1, protein marker; Lane 2, OVA; Lane 3, 0.01; Lane 4, 0.02; Lane 5, 0.04; Lane 6, 0.06; Lane 7, 0.08; Lane 8, 0.12; Lane 9, 0.16. **B)** SDS–PAGE of PEI–OVA particles in different weight ratios. Lane 1, protein marker; Lane 2, OVA; Lane 3, 0.01; Lane 4, 0.02; Lane 5, 0.04; Lane 6, 0.06; Lane 7, 0.08; Lane 8, 0.12; Lane 9, 0.16.

Abbreviations: PEI, polyethyleneimine; OVA, ovalbumin; SDS–PAGE, sodium dodecyl sulfate polyacrylamide gel electrophoresis.

The particles were further evaluated by measuring their size distributions and surface zeta potential. As shown in Table 1, the zeta potentials of the particles increased with increasing ratios of PEI to OVA. The particles were positive when the PEI/OVA ratios were over 0.12. Table 1 also shows the average sizes of these particles, which were around several hundred nanometers between PEI/OVA ratios of 0.01 to 0.16.

For comparison, we also prepared different polycation–antigen nanoparticles. PLL is another kind of widely used cationic polymer in gene transfection.^{19–21} PLL could form nanoparticles with OVA by electronic interaction. The zeta potential and size distribution were also determined by photon correlation spectroscopy. The results were similar to those we obtained from PEI–OVA nanoparticles (Table 2). SEM results also showed that the surface morphology looks similar (Figure 2B).

Improved OVA₂₅₇₋₂₆₄/MHC I complex presentation on dendritic cells

OVA antigen processing and epitope loading by dendritic cells after interacting with the PEI–OVA nanoparticles were

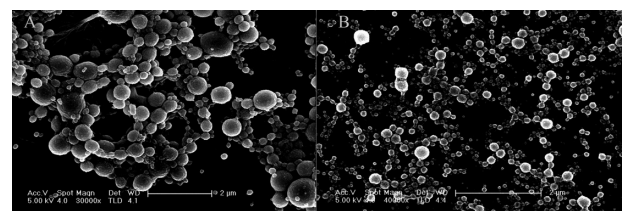


Figure 2 SEM image of **A)** PEI–OVA and **B)** PLL–OVA particles on silicon wafer. **Abbreviations:** PLL, polylysine; PEI, polyethyleneimine; OVA, ovalbumin; SEM, scanning electron microscopy.

Table 1 Average size and zeta potential of PEI–OVA particles were varied when mixed in different ratios

PEI/OVA weight ratio	0.01	0.02	0.04	0.06	0.08	0.12	0.16
Average size (nm)	69.4	104.4	169.0	342.7	359.7	262.0	297.5
Zeta potential (mV)	–23.1	–20.3	–12.4	–4.6	–4.4	12.4	20.0

Abbreviations: PEI, polyethyleneimine; OVA, ovalbumin.

examined by determining the expression of OVA_{257–264}/MHC I complexes on dendritic cell surfaces using the specific antibody 25-D1.16 monoclonal antibodies.²² No surface OVA_{257–264}/MHC I complexes were detected by the 25-D1.16 monoclonal antibodies on naïve dendritic cells. Pulsing the dendritic cells with OVA antigen alone would generally result in antigen processing inside the endosome/lysosome and presentation through the MHC I pathway. Therefore, the OVA_{257–264}/MHC I complex signal was very low. Pulsing with PEI–OVA nanoparticles resulted in antigen release from endosomes and cross-presentation through the MHC I pathway. Figure 3A shows the dendritic cell surface OVA_{257–264}/MHC I signals after pulsing with PEI–OVA nanoparticles at different ratios. The PEI–OVA nanoparticle group that was prepared in a weight ratio of 0.04 had the highest mean signal intensity, approximately eight times higher than that of the OVA group.

The dendritic cell surface OVA_{257–264}/MHC I signals after pulsing with PLL–OVA nanoparticles at different ratios showed a similar trend. The highest signal intensity was obtained at a weight ratio of 0.08 (Figure 3B).

IL-2 secretion by early and late dendritic cells after PEI–OVA pulsing

PEI–OVA pulsed dendritic cells were then incubated with a murine T cell hybridoma specific for OVA_{257–264} (RF33.70), and the resulting IL-2 concentration in the supernatant was measured as an indicator of the T cell stimulation effect of dendritic cells. Only those dendritic cells that contained antigens cross-presented by MHC I complexes interacted specifically with these T cells and upregulated IL-2 secretion.

Table 2 Average size and zeta potential of PLL–OVA particles were varied when mixed in different ratios

PLL/OVA weight ratio	0.01	0.02	0.04	0.06	0.08	0.12	0.16
Average size (nm)	51.8	109.7	172.8	124.4	92.4	82.4	80.8
Zeta potential (mV)	–18.4	–17.6	–5.8	32.9	35.6	43.5	47.7

Abbreviations: PLL, polylysine; OVA, ovalbumin.

Two types of pulsed dendritic cells were examined. Bone marrow-derived dendritic cells that were harvested at day 6 were designated as early dendritic cells, whereas the cells harvested at day 13 were designated as late dendritic cells.¹¹ In both cases, dendritic cells pulsed by the PEI–OVA 0.04 nanoparticles showed a significantly improved T cell stimulation effect compared with dendritic cells pulsed by OVA solution at the same concentration (Figure 4). We can see that the increasing extent was more obvious in late dendritic cells, although the value was larger in early dendritic cells.

IL-2 secretion by dendritic cells after PLL–OVA pulsing

In contrast, as shown in Figure 5, there was no detectable increase observed in IL-2 secretion when RF33.70 cells were coincubated with dendritic cells pulsed by PLL–OVA nanoparticles prepared at all weight ratios. This indicated that PLL–OVA nanoparticles could not improve antigen cross-presentation or stimulate antigen-specific T cells.

Effect of chloroquine and NH₄Cl on OVA processing and cross-presentation

Because compounds such as chloroquine and NH₄Cl had been reported to be able to buffer and delay acidification of endosomes and lysosomes, the intracellular fate of exogenous antigens may be changed by addition of these compounds. To study the mechanism of antigen processing, we tested their effects on the outcome of antigen processing and presentation after PEI–OVA pulsing. As shown in Figure 6, these two compounds hindered the cross-presentation efficiency of dendritic cells, which resulted in decreased IL-2 expression following incubation with RF33.70 cells, as compared with the effect when the endosomes/lysosomes had a normal acidification process.

Discussion

The intracellular fate of antigens is pivotal to the immune effect. Exogenous antigens are usually degraded by enzymes in acidic endosomes, which causes failure to induce the cytotoxic T lymphocyte response. This is one of the main difficulties in the development of therapeutic cancer vaccines. As discussed earlier, methods have been developed to assist antigen escape and showed improved cross-presentation. The aim of our research was to provide a new strategy for antigen delivery.

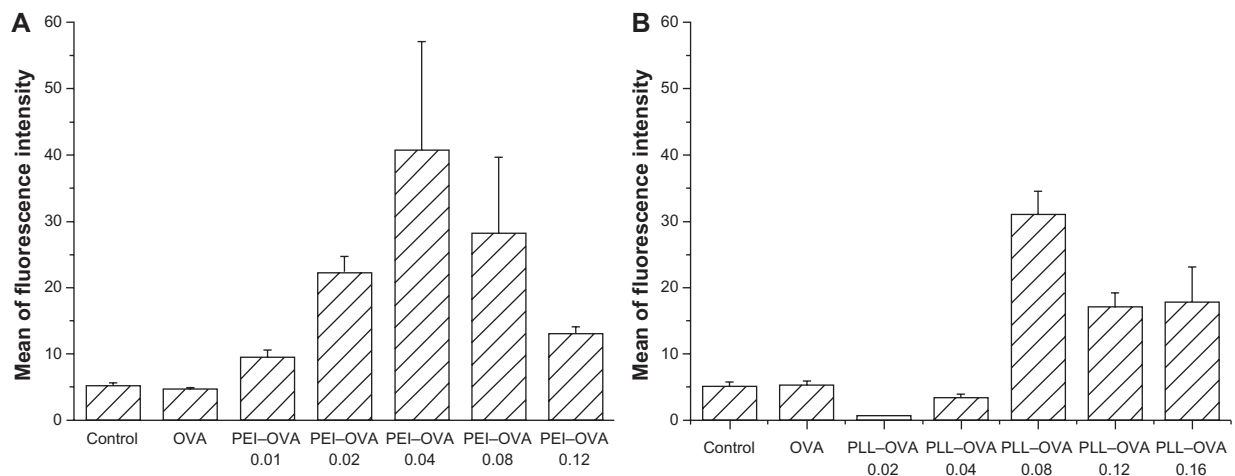


Figure 3 Quantification of dendritic cell surface OVA₂₅₇₋₂₆₄/MHC I complexes. **A)** OVA₂₅₇₋₂₆₄/MHC I complexes on the surface of dendritic cells after pulsing with PEI-OVA nanoparticles which were made using different ratios of PEI and OVA. Data are presented as mean \pm standard deviation, n = 3. **B)** OVA₂₅₇₋₂₆₄/MHC I complexes on the surface of dendritic cells after pulsing with PLL-OVA nanoparticles which were made using different ratios of PLL and OVA. Data are presented as mean \pm standard deviation, n = 3, *P < 0.05 versus OVA solution group, **P < 0.01 versus control group.

Abbreviations: PEI, polyethyleneimine; OVA, ovalbumin; PLL, polylysine; MHC, major histocompatibility complex.

We prepared PEI-OVA nanoparticles by mixing PEI solution and OVA solution together. The nanoparticles interacted due to electrostatic interactions between the cationic polymer-PEI and the negatively charged protein-OVA. The electrostatic complexation process was confirmed by electrophoresis, SEM, zeta potential, and particle size analysis. The nanoparticles formed were quite stable and their electrophoretic profiles, zeta potential, and size distribution data remained the same for several days. Stability is important in the potential application of these nanoparticles as therapeutic vaccine carriers.

The OVA₂₅₇₋₂₆₄ peptide is a class I (K^b)-restricted peptide epitope of OVA that is presented by the MHC I complex on antigen-presenting cells. We measured the amount of OVA₂₅₇₋₂₆₄/MHC I complexes on dendritic cell surfaces as an indicator of the efficiency of antigen cross-presentation after OVA antigen pulsing. The 25-D1.16 antibody specifically recognizes OVA₂₅₇₋₂₆₄/MHC I complexes.²³ Our data indicated that the expression of OVA₂₅₇₋₂₆₄/MHC I increased significantly after pulsing with PEI-OVA nanoparticles overnight. The extent of this increment was also found to be related to the PEI-OVA mixing weight ratio.

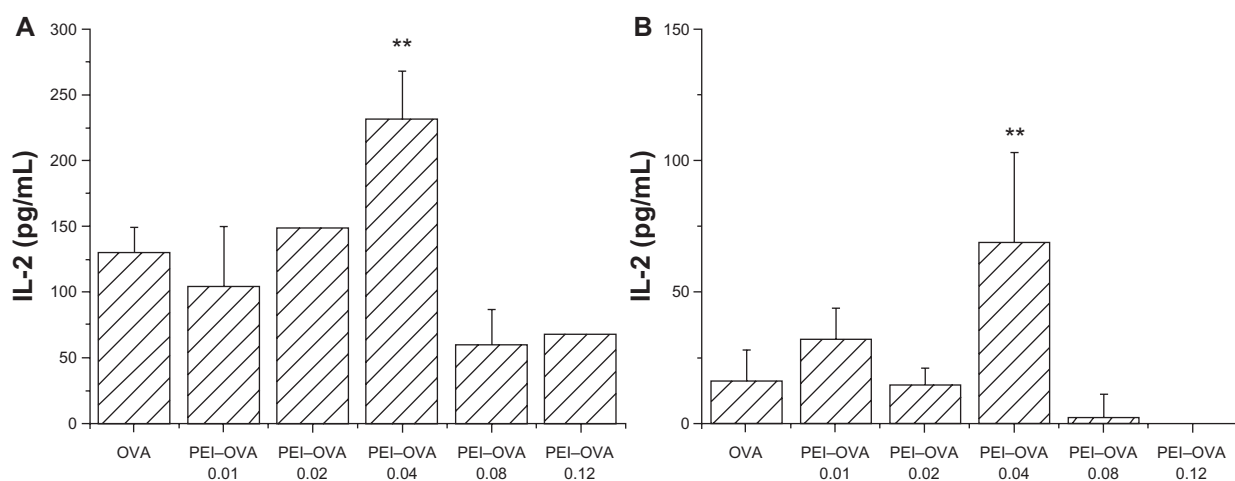


Figure 4 Improved cross-presentation efficiency on early dendritic cells and late dendritic cells. Interleukin-2 concentration in supernatant was measured by ELISA when RF33.70 cells were cocultured for 24 hours with dendritic cells harvested at six days **A)** or dendritic cells harvested at 13 days, **B)** pulsed with PEI-OVA nanoparticles. Data are presented as mean \pm SD, n = 4, **P < 0.01 versus OVA solution group.

Abbreviations: ELISA, enzyme-linked immunosorbent assay; PEI, polyethyleneimine; OVA, ovalbumin.

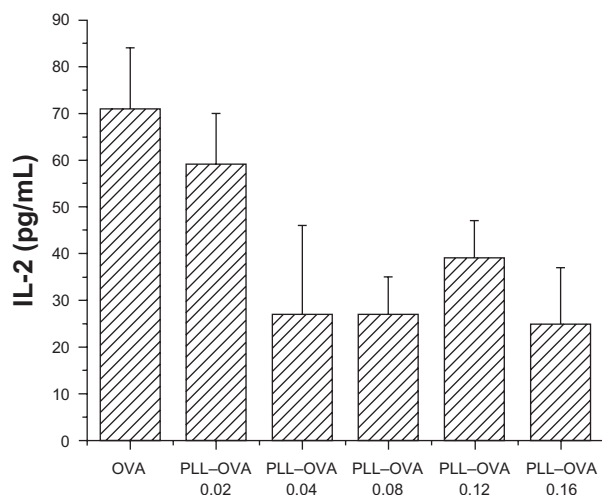


Figure 5 PLL-OVA nanoparticles did not improve antigen cross presentation effect. Interleukin-2 concentration in supernatant was measured by ELISA when RF33.70 cells were cocultured for 24 hours with dendritic cells harvested at six days. Data are presented as mean \pm standard deviation, $n = 4$, * $P < 0.05$ versus OVA solution group, ** $P < 0.01$ versus OVA solution group.

Abbreviations: PEI, polyethyleneimine; OVA, ovalbumin; ELISA, enzyme-linked immunosorbent assay.

The nanoparticles with best immune effect were prepared at a weight ratio of 0.04. The cross-presentation effect was further confirmed by IL-2 secretion of RF33.70 cells that were OVA₂₅₇₋₂₆₄/MHC I-specific hybridoma cells. The dendritic cells pulsed by PEI-OVA nanoparticles at a weight ratio of 0.04 stimulated the most significant IL-2 secretion by RF33.70 cells. Other PEI-OVA ratio particles had very little effect. We suspect this may be because PEI had some cytotoxicity, which interfered with the interaction between dendritic cells and RF33.70 cells. If a less toxic cationic

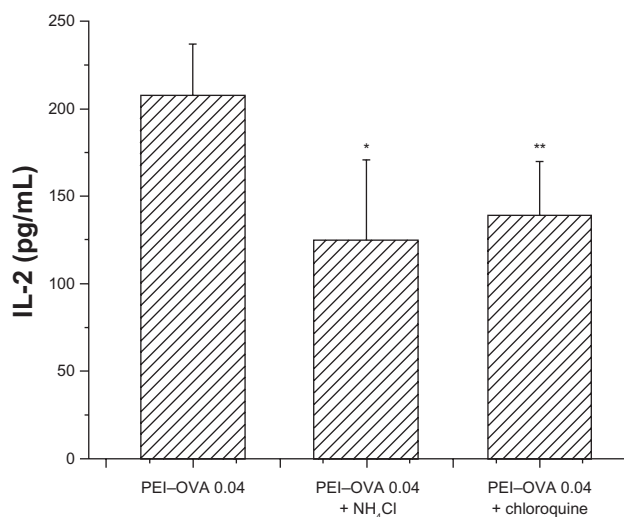


Figure 6 Interleukin-2 concentration was slightly decreased when RF33.70 cells were cocultured for 24 hours with dendritic cells pulsed with PEI-OVA nanoparticles in the presence of chloroquine or NH₄Cl. Data are presented as mean \pm standard deviation, $n = 4$, * $P < 0.05$, ** $P < 0.01$ versus PEI-OVA nanoparticles group.

Abbreviations: PEI, polyethyleneimine; OVA, ovalbumin.

polymer can be developed with similar functions, we may see greater improvements in antigen processing and cross-presentation efficiencies.

On the contrary, the cross-presentation effect of the PLL-OVA nanoparticles was somewhat different. We did observe a significant OVA₂₅₇₋₂₆₄/MHC I signal increase with 25-D1.16 antibody staining, but there was no stimulation of IL-2 secretion by RF33.70 cells. The highest 25-D1.16 antibody staining signal was observed at a PLL to OVA weight ratio of 0.08 and above. Those nanoparticles had quite high positive surface charges, which may attract nonspecific binding of 25-D1.16 antibodies, resulting in false signal increase. However, because the PLL-OVA pulsed dendritic cells had no effect on stimulating IL-2 secretion by RF33.70 cells, we concluded that PLL-OVA nanoparticles were not capable of promoting antigen cross-presentation in dendritic cells.

To understand the mechanism of PEI-mediated antigen cross-presentation, Yang and Hsu, and Yan et al proposed that it may be due to the positive surface charge that promoted uptake by dendritic cells and promoted the production of reactive oxygen species. The main evidence was the increased expression of CD80/CD86 on dendritic cell surfaces.^{24,25} However, our findings were different. The PEI-OVA 0.04 nanoparticles had a negative surface potential, and there was no significant upregulation of CD80/86 expression on dendritic cells. However, the improvement of specific OVA₂₅₇₋₂₆₄/MHC I presentation and functional stimulation of IL-2 secretion was significant. We believe that the PEI-OVA nanoparticles we prepared may be effective as a result of the special endosome-disruptive effect of PEI.

PEI is well known for its proton sponge effect, whereby the unprotonated amines of PEI can buffer influent protons, leading to osmotic swelling and rupture of endosomes.²⁶ Such an endosomal rupturing effect may help antigen release from the endosome and its subsequent cross-presentation by the MHC I pathway. To find support for such a hypothesis, we further examined the effect of endosomal pH on cross-presentation efficiency. We made an interesting observation that the PEI effect on cross-presentation with dendritic cells harvested at 13 days was more prominent than that with dendritic cells harvested at six days. This may be due to the different endosome acidification characteristics in dendritic cells harvested at six days versus dendritic cells harvested at 13 days. Endosomal acidification was believed to control exogenous antigen degradation by influencing the activity of lysosomal proteases.²⁷ Hotta et al reported that late dendritic cells were usually not capable of cross-presenting exogenous antigens because the endocytic compartments

would acidify rapidly in late dendritic cells after antigen uptake, whereas in early dendritic cells the pH would be maintained at a mildly acidic level and allow some antigen escape from endosomes for cross-presentation.²⁸ Therefore, our data suggest that PEI can buffer the influent protons in late dendritic cells and prevent rapid acidification, which resulted in greatly improved cross-presentation efficiency in dendritic cells harvested at 13 days.

We also examined the effects of two other lysosomotropic agents, chloroquine and NH_4Cl , on cross-presentation efficiency. Both chloroquine and NH_4Cl inhibit acidification of endosomes and have been reported to increase cross-presentation of exogenous antigens.^{29–31} However, treatment with chloroquine or NH_4Cl actually reduced PEI–OVA nanoparticle-mediated cross-presentation (Figure 6). We think this is because the inhibition of endosome acidification by chloroquine and NH_4Cl reduced the proton influx and prevented endosome rupture and antigen escape. This is consistent with a previous report that the use of chloroquine slightly decreased the gene transfection activity of PEI.¹⁷

Conclusion

In summary, our data demonstrate that PEI–OVA nanoparticles were formed by electronic interactions, and the proton sponge effect of PEI was essential for promoting antigen cross-presentation for therapeutic vaccine application. This system is attractive due to its simplicity, flexibility, stability, and high loading efficiency. The main limitation is the toxicity of PEI.³² Further studies are needed to synthesize new polymers that have the proton sponge effect but lower toxicity. Additionally, a targeting strategy may be necessary for in vivo applications.³³

Acknowledgments

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Disclosure

The authors report no conflicts of interest in this work.

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