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## The Association of Genome-Wide Variation with the Risk of Incident Heart Failure in Adults of European and African Ancestry: A Prospective Meta-Analysis from the CHARGE Consortium

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### Abstract

**Background**—Although genetic factors contribute to the onset of heart failure (HF), no large-scale genome-wide investigation of HF risk has been published to date. We investigated the association of 2,478,304 single nucleotide polymorphisms (SNPs) with incident HF by meta-analyzing data from 4 community-based prospective cohorts: the Atherosclerosis Risk in Communities Study, the Cardiovascular Health Study, the Framingham Heart Study, and the Rotterdam Study.

**Methods and Results**—Eligible participants for these analyses were of European or African ancestry and free of clinical HF at baseline. Each study independently conducted genome-wide scans and imputed data to the ~2.5 million SNPs in HapMap. Within each study, Cox proportional

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hazards regression models provided age- and sex-adjusted estimates of the association between each variant and time to incident HF. Fixed-effect meta-analyses combined results for each SNP from the 4 cohorts to produce an overall association estimate and p-value. A genome-wide significance p-value threshold was set *a priori* at  $5.0 \times 10^{-7}$ . During a mean follow-up of 11.5 years, 2,526 incident HF events (12%) occurred in 20,926 European-ancestry participants. The meta-analysis identified a genome-wide significant locus at chromosomal position 15q22 ( $1.4 \times 10^{-8}$ ), which was 58.8 kb from *USP3*. Among 2,895 African-ancestry participants, 466 incident HF events (16%) occurred during a mean follow-up of 13.7 years. One genome-wide significant locus was identified at 12q14 ( $6.7 \times 10^{-8}$ ), which was 6.3 kb from *LRIG3*.

**Conclusions**—We identified 2 loci that were associated with incident HF and exceeded genome-wide significance. The findings merit replication in other community-based settings of incident HF.

## Keywords

epidemiology; genetics; heart failure; genome-wide variation; incidence

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Heart failure (HF) is a serious cardiovascular condition that affects 1 in 5 people during their lifetime.<sup>1</sup> Failure arises primarily from the burden of systemic hypertension, coronary ischemia, or cardiac valvular disease.<sup>2, 3</sup> Regardless of the clinical mechanism responsible for reduced cardiac output in HF, long-term strain triggers maladaptive cellular function and growth, possibly resulting in irreversible myocardial injury.<sup>4,5</sup>

Genetic factors contribute to HF onset, and an estimated ~18% of the risk of HF may be attributable to parental HF.<sup>6</sup> To date, approaches to identifying genetic variants associated with HF risk have relied on candidate genes, primarily those of the renin-angiotensin-aldosterone system, adrenergic receptors, and sarcomeres and cytoskeletal proteins.<sup>7, 8</sup> No large-scale genome-wide investigation of HF risk has been published.<sup>9</sup> This report presents findings from an investigation of ~2.5 million single nucleotide polymorphisms (SNP) and their associations with incident HF among 23,821 adults of European or African ancestry.

## Methods

### Setting

The setting for this meta-analysis is the Cohorts for Heart and Aging Research in Genomic Epidemiology (CHARGE) Consortium and includes data from 4 prospective, population-based cohorts of adults in the US and the Netherlands: the Atherosclerosis Risk in Communities (ARIC) Study, the Cardiovascular Health Study (CHS), the Framingham Heart Study (FHS), and the Rotterdam Study (RS).<sup>10</sup> The designs of these studies have been described elsewhere.<sup>11–16</sup> Briefly, ARIC recruited 15,792 participants 45–64 years of age from 1987 to 1989 from 4 US communities. The Cardiovascular Health Study recruited participants 65 years of age and older from 4 US communities in 2 waves: 5,201 participants in 1989–1990, and an additional 687 African Americans in 1992–1993. The Framingham Heart Study recruited 2 generations of participants 28–72 years of age in 2 time periods: 5,209 Original Cohort participants were recruited in 1948 from Framingham, Massachusetts; and 5,124 Offspring Cohort participants were recruited in 1971–1975. The Rotterdam Study recruited 7,983 participants 55 years of age and older from 1990 to 1993 from Rotterdam, the Netherlands. The ARIC and CHS studies included African-American participants, 27% and 15%, respectively, while FHS and RS were almost exclusively participants of European ancestry. Each study independently conducted genome-wide scans of study participants and within-study analyses were conducted according to a pre-specified plan and results were combined for this prospective meta-analysis.

## Subjects

Eligible participants for these analyses were of European or African ancestry and free of clinical HF. Details about cohort-specific methods for ascertaining prevalent HF at baseline have been published elsewhere.<sup>6, 17–20</sup> Race was self-reported. Each study received IRB approval and all participants provided written informed consent for the use of their DNA for research.

## Measures

**Baseline**—For this analysis, baseline measures of clinical and demographic characteristics were obtained at the time of cohort entry for ARIC, CHS, and RS, and at the time of DNA collection for FHS. Measures were taken using standardized methods as specified by each study and included in-person measures of height, weight, systolic and diastolic blood pressure, and total cholesterol; self-reported history and treatment of hypertension and diabetes; and self-reported history of prevalent coronary artery disease (CAD, including a history of myocardial infarction [MI], angina, or coronary revascularization) and cerebrovascular disease (stroke or transient ischemic attack). For CHS, FHS, and RS, prevalent cardiovascular disease was validated by medical record review.

**Follow-up and Heart Failure Diagnosis**—Potential incident HF events were identified during follow-up by annual or semi-annual self-report, from administrative data, or from periodic in-study examinations. Briefly, ARIC relied on ICD-9 codes collected from hospital discharge summaries and death certificates.<sup>20, 21</sup> For CHS, FHS, and RS, HF events identified by self-report or administrative data were validated by physician review of medical records. CHS and Framingham applied their published criteria,<sup>22, 23</sup> and RS applied the European Society of Cardiology criteria.<sup>18, 24</sup> Details can be found in supplemental Table S1 and in previously published reports.

**Genotyping and Imputation**—Genotyping used DNA collected from phlebotomy. Genome-wide assays of SNPs were conducted independently in each cohort using various technologies: Affymetrix 6.0 for ARIC, Illumina 370CNV for CHS, Affymetrix 500K and 50K for FHS, and Illumina 550K v3 for RS. Genotype quality control and data cleaning were conducted independently by each study and are summarized in Supplemental Table S2. Methods were very similar across studies and reflect those used in other published reports on genome-wide associations.<sup>25, 26</sup>

We investigated genetic variation in the 22 autosomal chromosomes. For European-ancestry participants, each study independently imputed their genotype data to the ~2.5 million SNPs identified in HapMap CEU samples.<sup>27, 28</sup> For African-ancestry participants, CHS imputed to combined CEU and YRI samples and ARIC did not impute. Supplemental Table S2 provides details about the imputation methods for each cohort and the quality control criteria applied to the imputed genotypes. Imputation results were summarized as an “allele dosage” defined as the expected number of copies of the minor allele at that SNP (a continuous value between 0 and 2) for each genotype. Each cohort calculated a ratio of observed versus expected variance (OEV) of the dosage statistic for each SNP. This value, which generally ranges from 0 to 1 (poor to excellent), is a measure of imputation quality. High-signal SNPs that had low OEV values in CHS (<0.8) were directly measured to improve the quality of the signal. No other cohort conducted additional genotyping.

## Statistical Analyses

Investigators from all cohorts jointly developed the pre-specified analytic plan. Within each study, Cox proportional hazards regression models were used to test the association between each SNP and time to incident HF while adjusting for sex and baseline age. Time-to-event

models are standard for longitudinal study designs. CHS adjusted for study site. FHS adjusted for generation, and for ancestry using principal components.<sup>29</sup> Time of entry to the analysis was the date of cohort entry (ARIC, CHS, RS) or DNA collection (FHS) and failure time was the time of HF diagnosis. Participants without incident HF were censored at the time of death, last date of contact, or at the end of follow-up, whichever came first. For each SNP, additive genetic models were used to estimate the regression coefficient for the hazard ratio (HR) for allele dosage, and its respective standard error. In ARIC and CHS, analyses were conducted separately in 2 ancestry groups, European and African. For each analysis, a genomic control coefficient ( $\lambda$ ) was calculated, that estimated the extent of underlying population structure.

Meta-analyses were performed using MetABEL software (<http://mga.bionet.nsc.ru/~yurii/ABEL/>).<sup>30</sup> For the populations of European ancestry, fixed-effect meta-analyses combined regression  $\beta$  coefficients and  $\lambda$ -adjusted standard errors across the 4 cohorts for each SNP to produce an overall  $\beta$  coefficient, standard error, and p-value. We found that asymptotic assumptions of survival analysis models were not accurate when the number of cases carrying the variant allele was small, so we excluded SNPs for which the post-meta-analysis population-size-weighted minor allele frequency (MAF) was less than 0.015.

We created a quantile-quantile (Q-Q) plot of the distribution of the observed and expected p-values associated with the successful SNPs along with a plot of all the p-values according to location within each of the 22 chromosomes. The *a priori* threshold of genome-wide significance was set at  $5.0 \times 10^{-7}$ , also used by the Wellcome Trust Case Control Consortium.<sup>31</sup> For 2.5 million tests, this threshold limits the expected number of genome-wide false positives to approximately 1. We also report high-signal SNPs that were associated with p-values of less than  $1.0 \times 10^{-5}$ , the point where observations deviate from the expected on the Q-Q plot. When more than 1 SNP clustered at a locus, we picked the SNP with the smallest p-value as the locus marker. Forest plots that included cohort-specific findings were created for genome-wide significant SNPs.

In sensitivity analyses, we subjected our top associations to time-to-event analyses that excluded participants with a baseline history of clinical MI and censored those with an incident MI during follow-up. This approach allowed us to estimate the association of selected SNPs and HF onset among participants whose HF was less likely to be ischemic in origin.

For participants of African ancestry, a similar analytic approach was undertaken in ARIC and CHS. The ARIC cohort did not have imputed data on those of African ancestry so testing was restricted to successfully genotyped SNPs on their Affymetrix 6.0 chip that had an average weighted MAF of at least 0.075 in meta-analyses. Genome-wide significance was set at  $5.0 \times 10^{-7}$ . High-signal SNPs from the meta-analysis in the European- and African-ancestry populations were compared.

## Results

A total of 20,926 eligible participants of European ancestry and 2,895 participants of African ancestry were free of HF at cohort entry and had genome-wide data that met cohort-specific quality control measures. Characteristics of the 23,821 cohort participants included in these analyses are provided in Table 1. The average age ranged from 53.3 to 72.6 for the 4 cohorts, and the majority of the participants were women (57%). Other demographic and clinical characteristics were similar across the 4 cohorts. A total of 2,526 incident HF events (12.1%) were identified over a weighted average of 11.5 years of follow-up among those of

European ancestry and 466 events (16.1%) over a weighted average of 13.7 years among those of African ancestry. The average age at the time of HF onset was 73.6 years (range 44 to 101) and 52% of the 2,992 events occurred among women. Among European and African ancestry participants, 73% and 78%, respectively, of the events were diagnosed in the absences of a prior MI.

### Participants of European Ancestry

A total of 2,478,304 SNPs were investigated across the 4 cohorts. The  $\lambda$  coefficients for analyses of European participants in each of the 4 cohorts were small ( $\leq 1.03$ ) and suggested negligible test statistic inflation. Supplemental Figure 1A presents the Q-Q plot of meta-analyzed p-values derived from the 2,478,304 tests performed plotted against the expected distribution. Overall, evidence for population admixture was small ( $\lambda = 1.02$ ). At the lowest p-values, the observed exceeded the expected.

Figure 1A presents all 2,478,304 p-values organized by chromosome and genomic position. Among these SNPs, 5 exceeded the genome-wide significance threshold ( $5.0 \times 10^{-7}$ ) and marked 2 loci, 1 on chromosome 13 (13q22) and the other on chromosome 15 (15q22). (See Supplemental Table S3.) Top SNPs at both loci were poorly imputed in CHS so each was genotyped. After inclusion of the newly measured SNPs in CHS, only the 15q22 locus retained genome-wide significance. An additional 12 loci on 9 chromosomes were marked by 28 SNPs with p-values less than  $1.0 \times 10^{-5}$ . (See Supplemental Table S3.) Top SNPs for 7 loci were poorly imputed in CHS so these were genotyped. Among the 7 SNPs, 3 retained a p-value less than  $1.0 \times 10^{-5}$  after inclusion of newly measured SNPs. Table 2 lists the top SNP for each of the 9 loci, after genotyping in CHS.

### Genome-wide Significant Locus in European-Ancestry Participants—

Chromosomal position 15q22 was marked by 1 SNP, *rs10519210*, which had a MAF of 0.032 and was associated with a p-value of  $1.4 \times 10^{-8}$ . The HR for this SNP was 1.53 per G allele. This SNP is located between 2 genes: 58.8 kb from *USP3* (ubiquitin specific peptidase 3) and 63.9 kb from *CA12* (carbonic anhydrase XII). Figure 2a presents detailed information about the LD and p-values of regional markers for this locus. This SNP was genotyped in 1 population (ARIC) and imputed in the 3 others. The cohort-specific estimates are provided in Table 3 and Figure 3a. Risk estimates were generally consistent across cohorts.

There were 19,195 participants of European ancestry at risk for HF who did not have a history of clinical MI at baseline. Among these participants, 1,854 had an incident HF event without a prior incident MI during follow-up. For locus 15q22 (*rs10519210*), the p-value and the HR were diminished slightly but similar to findings for all HF events: p-value =  $2.8 \times 10^{-6}$  and HR = 1.51 (see supplemental Table S4).

**Loci with a P-value  $< 1.0 \times 10^{-5}$  in European-Ancestry Participants—**Nine additional loci on chromosomes 1, 3, 7, 8, 9, 10, 12, 13 and 19, were associated with SNPs that had p-values less than  $1.0 \times 10^{-5}$  (Tables 2 and S3). Among the 9, 2 loci were intronic: *rs11118620* (MAF = 0.292) in *LOC100129376* and *rs11880198* (MAF = 0.134) in *GNAI5* (guanine nucleotide binding protein [G protein], alpha 15 [Gq class]). Four loci were close to known genes: *rs13225783* (MAF = 0.048) within 43.9 kb of *EVX1* (even-skipped homeobox 1); *rs10812610* (MAF = 0.496) within 4.1 kb of *MOBK2B* (*MOB1*, Mps One Binder kinase activator-like 2B) and 4.1 of *IFNK* (interferon, kappa); *rs11203032* (MAF = 0.103) within 1.1 kb of *CH25H* (cholesterol 25-hydroxylase) and 8.7 kb of *LIPA* (lipase A, lysosomal acid, cholesterol esterase), and *rs548097* (MAF = 0.018) within 82.5 kb of *TBC1D4* (*TBC1* domain family, member 4). The remaining 3 loci were not within 100 kb of

known genes and nearest genes were *BCHE* (butyrylcholinesterase) for *rs1523288*, *SNX16* (sorting nexin 16) for *rs6473383*, and *PRICKLE1* (prickle homolog 1) for *rs1520832*. Supplemental Figures S2a-i present detailed information about the LD and p-values of regional markers for these 9 loci. Cohort-specific details on these loci are presented in Table 3, and sensitivity analyses restricted to those without a prior MI are presented in Supplemental Table S4.

### Participants of African Ancestry

A total of 692,330 SNPs were investigated across the 2 cohorts that included participants of African ancestry. The  $\lambda$  coefficients were small ( $<1.02$ ) and suggested negligible population structure. Supplemental Figure 1B presents the Q-Q plot from the 692,330 tests performed and Figure 1B presents all p-values organized by chromosome and position. No SNP had a p-value that exceeded the genome-wide significance threshold ( $5.0 \times 10^{-7}$ ). Seven loci on 4 chromosomes were marked by 10 SNPs with p-values less than  $1.0 \times 10^{-5}$ . (See Supplemental Table S3.) Five loci were marked by poorly imputed SNPs in CHS and 2 of these were genotyped. Among the 2, 1 reached genome-wide significance (12q14) and 1 did not retain a p-value less than  $1.0 \times 10^{-5}$ . Table 2 lists the top SNP for the 6 loci with a p-value less than  $1.0 \times 10^{-5}$ .

#### Genome-wide Significant Locus in African-Ancestry Participants—

Chromosomal position 12q14 was marked by 1 SNP, *rs1172782*, which had a MAF of 0.29 and was associated with a p-value of  $6.7 \times 10^{-8}$ . The HR for this SNP was 1.46 per G allele. This SNP is located 6.3 kb from *LRIG3* (leucine-rich repeats and immunoglobulin-like domains 3). Figure 2b presents detailed information about the LD and p-values of regional markers for this locus. The cohort-specific estimates are provided in Table 3 and Figure 3b. Risk estimates were consistent across both cohorts.

There were 2,631 participants of African ancestry at risk for HF who did not have a history of clinical MI at baseline. Among these participants, 372 had an incident HF event without a prior incident MI during follow-up. For locus 12q14 (*rs1172782*), the p-value and the HR were similar to findings for all HF events: p-value =  $6.5 \times 10^{-8}$  and HR = 1.53 (see supplemental Table S4).

**Loci with a P-value  $<1.0 \times 10^{-5}$  in African-Ancestry Participants—**Five loci on chromosomes 2, 9, 11 and 12 were associated with SNPs that had p-values less than  $1.0 \times 10^{-5}$  (Table 2). Two loci were close to hypothesized or known genes: *rs13418717* (MAF = 0.202) within 4.8 kb of LOC339760 and *rs563519* (MAF = 0.344) within 43.6 kb of *RPUSD4* (RNA pseudouridylylase synthase domain containing 4). The remaining 3 loci were not within 100 kb of known genes and nearest genes were *SH3GL2* (SH3-domain GRB2 [growth factor receptor-bound protein 2]-like 2) for *rs2210327*, *TMTC1* (transmembrane and tetratricopeptide repeat containing 1) for *rs2046383*, and *BTGI* (B-cell translocation gene 1, anti-proliferative) for *rs17019682*. Supplemental Figures S2j-n present detailed information about the LD and p-values of regional markers for the 5 loci. Cohort-specific details on these loci are presented in Table 3 and sensitivity analyses restricted to those without a prior MI are presented in supplemental Table S4.

### Across-Ancestry Comparisons

The genome-wide significant SNP in European-ancestry participant, *rs10519210*, was not associated with HF in those of African ancestry:  $7.6 \times 10^{-1}$  (HR = 1.06; MAF = 0.179). The genome-wide significant SNP in African-ancestry participant, *rs1172782*, was uncommon and not associated with HF in those of European ancestry:  $5.6 \times 10^{-1}$  (HR = 0.91; MAF = 0.018).

From among the 9 high-signal SNPs identified in European-ancestry participants, none was genotyped in ARIC and therefore none was included in African-ancestry genome-wide analyses. When these 9 SNPs were examined in CHS participants of African ancestry, 1 of the 9 SNPs was associated with a p-value of less than 0.05: *rs10812610* had a p-value of  $9.1 \times 10^{-3}$  (HR = 1.52; MAF = 0.766 [C allele was not minor allele in these participants]). When meta-analyzed with the European-ancestry findings, the resulting combined-ancestry p-value for this locus was  $7.5 \times 10^{-7}$ . From among the 5 high-signal SNPs in African-ancestry participants, none was associated with HF risk in European-ancestry participants (all p-values  $> 5.0 \times 10^{-2}$ ).

## Comments

Among 20,926 European-ancestry participants with 2,526 incident HF events, we identified 1 locus with a SNP whose p-value ( $1.4 \times 10^{-8}$ ) exceeded the genome-wide statistical significance threshold of  $5 \times 10^{-7}$  and was associated with a 53% increase in HF risk. Among 2,895 African-ancestry participants who had 466 incident events, we identified 1 locus with a SNP whose p-value ( $6.7 \times 10^{-8}$ ) exceeded the genome-wide statistical significance and was associated with a 46% increase in HF risk. For both loci, risk estimates were similar for those subjects who HF was not attributable to MI. We identified an additional 14 loci in European-ancestry and African-ancestry participants that were marked by high-signal SNPs, p-value less than  $1.0 \times 10^{-5}$ , but that did not reach genome-wide significance. For most loci, risk estimates were modest and did not appear to differ in a subject of participants without an MI preceding HF onset.

## Genes Associated with Genome-wide Significant and High-Signal Findings

Our results suggest that there may be several genomic regions associated with new-onset HF in older adults, and support the hypothesis that common genetic variation, regardless of the clinical mechanism responsible for reduced cardiac output in HF, contribute to risk. The 2 loci with marker SNPs that reached genome-wide significance were within 60kb of known genes: *USP3* and *CA12* in European-ancestry participants and *LRIG3* in African-ancestry participants. The product of the *USP3* gene is a ubiquitin-specific protease (USP). Ubiquitin is a highly conserved 76-amino acid protein involved in the regulation of intracellular protein breakdown, cell cycle regulation, and stress response. It is released from degraded proteins by disassembly of the polyubiquitin chains, which is mediated by USPs.<sup>32</sup> The *USP3* gene may also have a role in genome stability.<sup>33</sup> The gene product from *CA12* is a membrane protein highly expressed in many tissue beds and may have a role in cancer prognosis.<sup>34</sup> The *LRIG3* gene is a member of the LRIG family of genes that is widely expressed and impacts tissue development and tumor progression<sup>35–37</sup>

Among the 14 high-signal SNPs, 2 were intronic, 1 of which in a known gene, *GNA15*, and 1 in hypothetical gene *LOC100129376*. The *GNA15* gene, marked by *rs11880198*, is a guanine nucleotide binding protein of the Gq class, found in some G-protein-coupled receptors. This family of receptors include adrenergic, endothelin, and angiotensin II receptors, which regulate cardiac output, arterial pressure, and blood volume.<sup>38, 39</sup> Three important amino acid substitutions (1 nonsense, 2 missense) and 1 frame shift variant have been identified in the *GNA15* gene. None of these variants were included in the imputed SNP panel and LD with the HF SNP in our data is not known. Two additional high-signal SNPs were within 10kb of known genes, which included *MOBKL2B* and *IFNK*, and *CH25H* and *LIPA*. None appears to have known functional qualities related to cardiac processes.<sup>40, 41</sup> Three additional high-signal SNPs were within 100kb of known genes including *EVX1*, *TBC1D4*, and *RPUSD4*. Several papers have been published on the protein product of the *TBC1D4* gene, known as AS160, and its role in handling insulin in skeletal muscles.<sup>42–44</sup> The remaining 6 SNPs were not within 100 kb of genes.

Although we have described genes nearest to high-signal markers as potential culprits in the observed associations it is possible that the true casual variant—if one exists—lies in neighboring genes or within non-protein coding transcripts in intergenic regions.<sup>45</sup> In this article, we have identified the genes nearest to the high-signal marker as good candidates but identifying the true causal genes will require further scientific investigation.

The consistency of findings across the European-ancestry and African-ancestry populations was modest at best. For the 2 genome-wide significant findings, the MAFs differed greatly between African-ancestry and European-ancestry participants. These high signal markers are probably not themselves causal and are likely to be in linkage disequilibrium with the causal variants. In view of the large differences in MAFs and the different patterns of LD between the populations, it is perhaps not surprising that the associations are not consistent across ancestral groups. Indeed, the optimal replication sample for the findings in those of European ancestry would be other similar populations of European ancestry.

### Strengths and Limitations

This is the first large-scale attempt to discover genetic risk variants for incident HF, and included more than 23,000 individuals and ~2.5 million markers spread throughout the genome. Data came from 4 large, population-based cohort studies that included cardiovascular outcomes as primary study endpoints. Over 2,500 incident HF events among European-ancestry participants were included in our analyses which allowed 80% power (2-sided  $\alpha=5\times 10^{-7}$ ) to detect HRs as small as 1.6, 1.4, and 1.3 for variants with a MAF of 0.05, 0.1, and 0.2, respectively. For African-ancestry participants, minimal detectable HRs were larger: 2.6, 2.2, and 1.9, respectively. Statistical power for African-ancestry analyses was limited. We found 1 SNP of genome-wide significance for each ancestry population, on par with what one would expect by chance alone. Replication of associations between these SNPs and incident HF will clarify what role—if any—these SNPs have in the genesis of clinical HF. Each cohort relied on slightly different criteria to classify HF events and it was not feasible to reclassify all 2,992 events using a standard protocol. The between-study variation in HF classification likely introduced some heterogeneity that may have diminished our power to detect associations. Furthermore, we did not have comparable and complete data across cohorts on the type of failure. Previously published data from CHS and FHS indicate that half of patients with new-onset HF have cardiac systolic dysfunction at the time of clinical onset.<sup>46, 47</sup> Not all 2,478,304 variants tested in those of European ancestry were directly genotyped and the imputation quality varied across SNPs. For poorly imputed SNPs there was reduced statistical power to detect an association. We excluded SNPs with low MAF from meta-analyses since asymptotic assumptions of survival analysis models may not be accurate if the number of cases with the variant allele is low. For European-ancestry participants, the MAF threshold of 0.015 translates to ~75 participants with incident HF who are carriers of 1 or more variant alleles. This relatively large number of events is not expected to violate asymptotic assumptions and p-values are likely accurate. Most of the across-ancestry comparisons were limited to data from CHS, where imputation quality for African-ancestry participants was generally poor because the Illumina 370CNV chip was not designed to optimize coverage in this ancestry.

### Summary

Using data from 4 prospective cohort studies that included 23,821 participants and prospectively identified 2,992 incident HF events in older adults, we identified 2 SNPs that reached genome-wide significance, 1 in European-ancestry and 1 in African-ancestry participants. An additional 14 sub-threshold but high-signal loci were identified and included intronic markers in attractive candidate genes. Our findings merit replication in other community-based settings of incident HF.



Genetic factors contribute to heart failure (HF) onset and, to date, most approaches to identifying genetic variants associated with HF risk have relied on candidate genes. No large-scale genome-wide investigation of HF risk has been published. We investigated the association of ~2.5 million single nucleotide polymorphisms (SNPs) with incident HF by meta-analyzing data from 4 community-based prospective cohorts: the Atherosclerosis Risk in Communities Study, the Cardiovascular Health Study, the Framingham Heart Study, and the Rotterdam Study. Among 20,926 European-ancestry participants with 2,526 incident HF events, we identified 1 locus with a SNP whose p-value ( $1.4 \times 10^{-8}$ ) exceeded the genome-wide statistical significance threshold of  $5 \times 10^{-7}$  and was associated with a 53% increase in HF risk. Among 2,895 African-ancestry participants who had 466 incident events, we identified 1 locus with a SNP whose p-value ( $6.7 \times 10^{-8}$ ) exceeded the genome-wide statistical significance and was associated with a 46% increase in HF risk. We identified an additional 14 loci in European-ancestry and African-ancestry participants that were marked by high-signal SNPs, p-value less than  $1.0 \times 10^{-5}$ . For most loci, risk estimates were modest and did not appear to differ in subjects without an MI preceding HF onset. Our results suggest that there may be several genomic regions associated with new-onset HF in older adults, and support the hypothesis that common genetic variation, regardless of the clinical mechanism responsible for reduced cardiac output in HF, contribute to risk. These findings merit replication in other community-based settings of incident HF.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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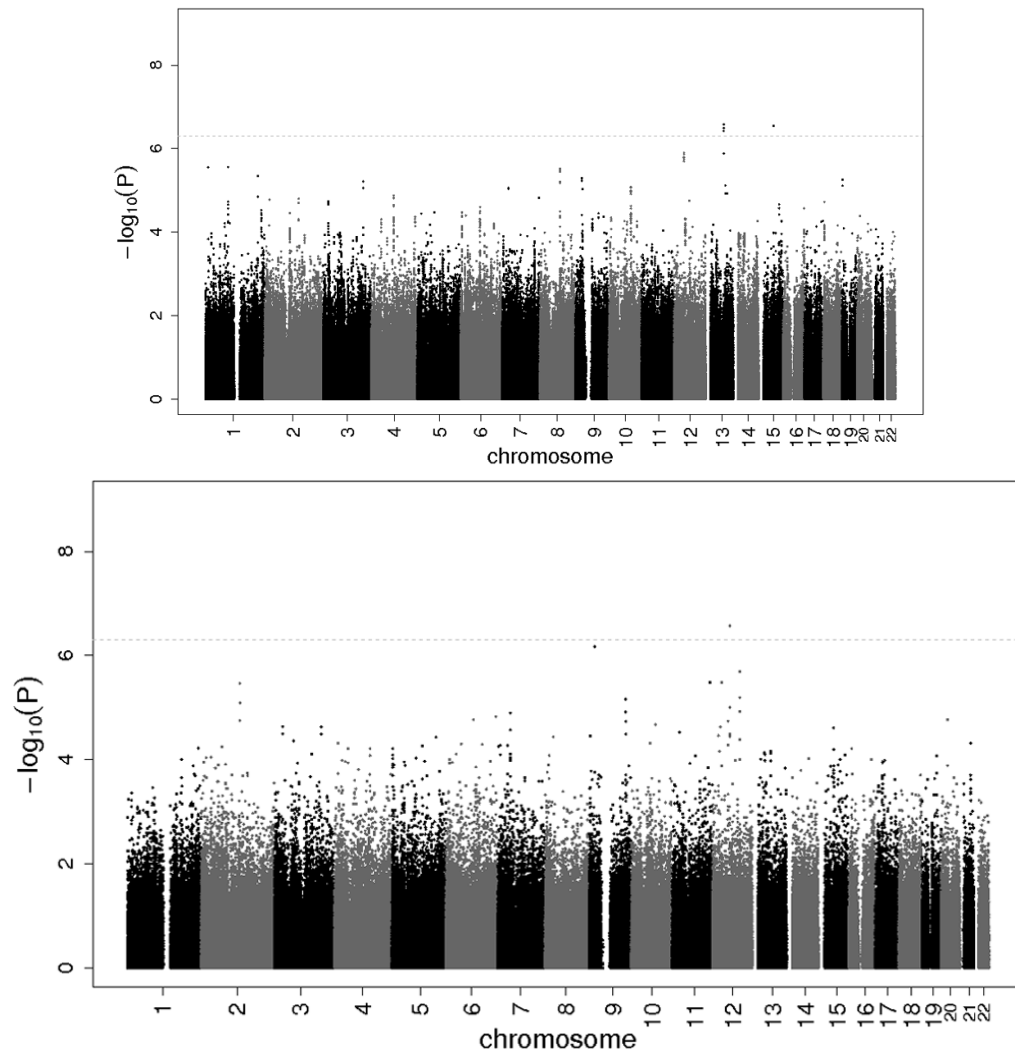
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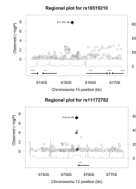
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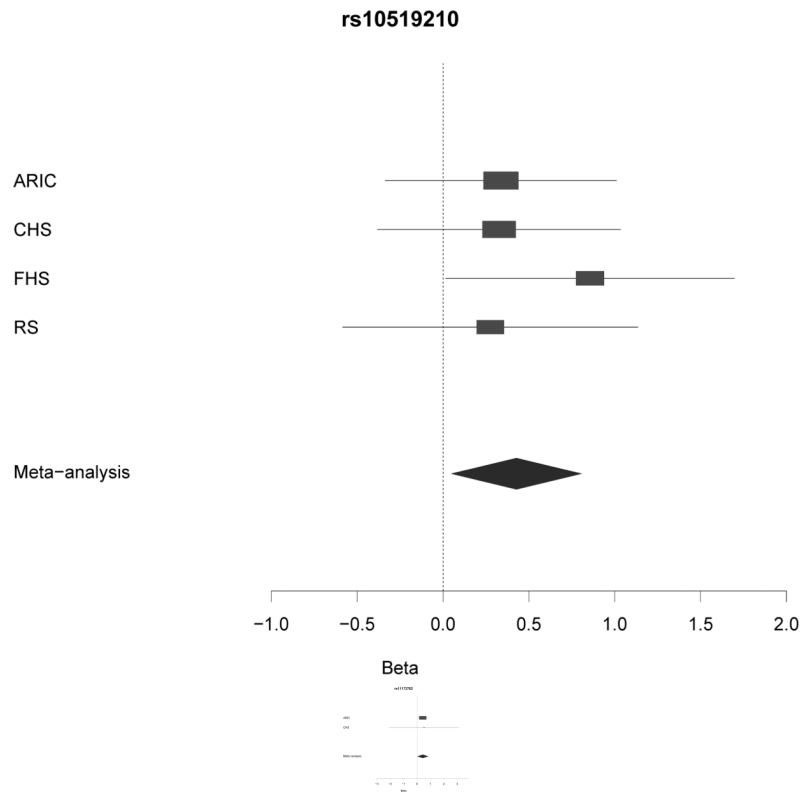


**Figure 1.** Figures 1a–b. The log, base-10, p-value of the additive genetic model for each single nucleotide polymorphisms with a minor allele prevalence of greater than or equal to 1.5% for European-ancestry participants (1a) and 7.5% for African-ancestry participants (1b) according to location in the 22 autosomal chromosomes. Horizontal line indicates the  $5 \times 10^{-7}$  threshold of genome-wide significance.



**Figure 2.**

Figures 2a–b. Regional plots for genome-wide significant marker loci: 2a. rs10519210 in European-ancestry participants; 2b. rs11172782 in African-ancestry participants. The SNP with the smallest p-value is presented in black font and neighboring variants are presented in different black saturations based on linkage disequilibrium: dark gray:  $r^2 \geq 0.5$  and  $< 0.8$ ; light gray:  $r^2 \geq 0.2$  and  $< 0.5$ ; and white:  $r^2 < 0.2$ . There were no SNPs in the region with a linkage disequilibrium  $r^2 \geq 0.8$  with the top hit.



**Figure 3.** Figures 3a–b. Meta-analysis and study-specific  $\beta$ -coefficients and confidence intervals ( $\alpha=0.0000005$ ) for genome-wide significant findings for genome-wide significant single nucleotide polymorphisms: 3a. rs10519210 in European-ancestry participants; 3b. rs11172782 in African-ancestry participants.



Table 1

Baseline characteristics of participants included in incident heart failure analyses stratified by cohort and race

Characteristics	ARIC			CHS			FHS	RS
	EA	AA	EA	EA	AA	AA		
Participants, n	7,644	2,321	3,295	574	4,268	5,719		
Mean age, yrs	54.2	53.3	72.3	72.6	64.6	69.1		
Age range, yrs	45 to 64	45 to 64	65 to 98	65 to 92	30 to 100	55 to 99		
Female, %	52.6	61.7	60.9	63.9	55.3	59.1		
BMI, kg/m <sup>2</sup>	26.9	29.5	26.3	28.5	27.7	26.3		
Systolic blood pressure, mmHg	118.3	128.7	135.2	142.5	130.7	139.3		
Diastolic blood pressure, mmHg	71.7	80.0	70.4	76.9	74.1	73.8		
Total cholesterol, mg/dL	214.8	215.9	212.9	209.4	202.4	255.2		
Treated hypertension, %	25.3	54.4	30.2	51.7	35.6	23.2		
Treated diabetes, %	8.0*	19.0*	5.4	11.2	6.5	3.8		
Prevalent CAD, %	8.8	6.9	0	0	12.1	13.8		
Myocardial infarction, %	3.6	3.1	0	0	4.9	10.3		
Angina, %	4.4	3.4	0	0	8.6	3.2		
Coronary revascularization, %	3.1	1.8	0	0	4.9	2.5		
Prevalent CBD, %	2.1	2.9	0	0	5.0	4.1		
Current smoker, %	24.9	29.9	11.3	16.6	14.2	22.8		
Mean follow-up, yrs	15.3	14.6	11.8	10.1	5.5	10.8		
Incident heart failure, n (%)	691 (9.0)	331 (14.3)	838 (25.4)	135 (23.5)	249 (5.8)	748 (13.1)		

EA = European ancestry; AA = African ancestry; BMI = body mass index; CAD = coronary artery disease (myocardial infarction, angina, revascularization); CBD = cerebrovascular disease (stroke, transient ischemic attack, and/or carotid endarterectomy); NA = not available.

Note: classification of comorbidities varies by cohort and may not be directly comparable.

\* Includes diabetics without treatment.

**Table 2**  
Description and association of single-nucleotide-polymorphism markers of the top loci associated with incident heart failure

POP	RS number	CHR	Region	Position	Variant	MAF	P-value	HR (CI)*	Closest Gene
Genome-wide significant findings ( $<5.0 \times 10^{-7}$ )									
EA	rs10519210	15	15q22	61524978	T→G	0.032	$1.4 \times 10^{-8}$	1.53 (1.05–2.24)	58.8 kb from USP3
AA	rs11172782	12	12q14	57545895	A→G	0.290	$6.7 \times 10^{-8}$	1.46 (1.03–2.09)	6.3 kb from LRIG3
Other high-signal findings ( $<1.0 \times 10^{-5}$ )									
EA	rs11118620	1	1q41	219095131	T→C	0.292	$7.3 \times 10^{-6}$	1.15 (0.98–1.35)	0.0 kb from LOC100129376 ( <i>intron</i> )
EA	rs1523288	3	3q26.1	166762903	T→C	0.354	$6.1 \times 10^{-6}$	0.87 (0.74–1.02)	210.5 kb from BCHE
EA	rs13225783	7	7p15	27296581	C→T	0.048	$6.8 \times 10^{-6}$	1.38 (0.96–1.99)	43.9 kb from EVX1
EA	rs6473383	8	8q21.1	83831675	G→A	0.145	$3.1 \times 10^{-6}$	1.19 (0.99–1.44)	918.3 kb from SNX16
EA	rs10812610	9	9p21	27523984	A→C	0.496	$5.1 \times 10^{-6}$	1.14 (0.99–1.31)	4.1 kb from MOBKL2B
EA	rs11203032	10	10q23	90954594	T→G	0.103	$8.3 \times 10^{-6}$	1.22 (0.97–1.53)	1.1 kb from CH25H
EA	rs1520832	12	12q12	41539682	G→T	0.036	$1.2 \times 10^{-6}$	1.39 (0.99–1.95)	269.9 kb from PRICKLE1
EA	rs48097	13	13q22	74674269	T→G	0.018	$6.4 \times 10^{-7}$	1.62 (1.00–2.63)	82.5 kb from TBC1D4
EA	rs11880198	19	19p13.3	31110769	A→G	0.134	$5.6 \times 10^{-6}$	1.23 (0.98–1.54)	0.0 kb from GNAI5 ( <i>intron</i> )
AA	rs13418717	2	2q20	127379367	T→A	0.202	$3.4 \times 10^{-6}$	1.46 (0.97–2.20)	4.8 kb from LOC339760
AA	rs2210327	9	9p22	18099235	T→A	0.185	$6.8 \times 10^{-7}$	3.14 (0.99–10.0)	282.0 kb from SH3GL2
AA	rs563519	11	11q24	125533927	C→T	0.344	$3.3 \times 10^{-6}$	0.69 (0.46–1.03)	43.6 kb from RPU5D4
AA	rs2046383	12	12p11.2	29995409	T→G	0.302	$3.3 \times 10^{-6}$	1.39 (0.97–1.97)	166.5 kb from TMTC1
AA	rs17019682	12	12q22	90829401	A→T	0.165	$2.0 \times 10^{-6}$	1.47 (0.98–2.22)	231.6 kb from BTGI

POP = population; CHR = chromosome; MAF = minor allele frequency; HR = hazard ratio from additive genetic model; CI = confidence interval; EA = European ancestry; AA = African ancestry

\* Represents confidence intervals based on a 2-sided  $\alpha = 0.0000005$ .

Table 3

Cohort specific results for the top loci associated with incident heart failure

POP	RS number	CHR	ARIC			CHS			FHS			RS		
			HR	P	OEV*	HR	P	OEV	HR	P	OEV	HR	P	OEV
Genome-wide significant findings ( $<5.0 \times 10^{-7}$ )														
EA	rs10519210	15	1.40	$1.6 \times 10^{-2}$	0.998	1.18	$1.9 \times 10^{-2}$	Remx	2.35	$2.4 \times 10^{-7}$	0.977	1.32	$1.2 \times 10^{-1}$	0.897
AA	rs11172782	12	1.54	$3.5 \times 10^{-7}$	NA	1.32	$2.9 \times 10^{-2}$	Remx	NAA	NAA	NAA	NAA	NAA	NAA
Other high-signal findings ( $<1.0 \times 10^{-5}$ )														
EA	rs11118620	1	1.16	$1.2 \times 10^{-2}$	0.994	1.08	$1.7 \times 10^{-1}$	Remx	1.17	$9.8 \times 10^{-2}$	1.024	1.22	$7.3 \times 10^{-4}$	0.863
EA	rs1523288	3	0.88	$2.6 \times 10^{-2}$	0.934	0.85	$2.9 \times 10^{-3}$	0.966	0.85	$2.4 \times 10^{-1}$	0.364	0.87	$1.3 \times 10^{-2}$	0.962
EA	rs13225783	7	1.40	$1.9 \times 10^{-2}$	0.649	1.19	$2.3 \times 10^{-1}$	Remx	1.60	$3.5 \times 10^{-2}$	0.531	1.47	$1.9 \times 10^{-3}$	0.816
EA	rs6473383	8	1.04	$6.4 \times 10^{-1}$	0.996	1.30	$2.3 \times 10^{-5}$	1.002	1.30	$2.0 \times 10^{-2}$	1.004	1.16	$3.1 \times 10^{-2}$	0.995
EA	rs10812610	9	1.11	$5.8 \times 10^{-2}$	0.986	1.19	$3.5 \times 10^{-4}$	0.992	1.25	$1.3 \times 10^{-2}$	1.002	1.08	$1.6 \times 10^{-1}$	0.999
EA	rs11203032	10	1.23	$1.3 \times 10^{-2}$	0.999	1.13	$1.1 \times 10^{-1}$	0.958	1.49	$4.3 \times 10^{-3}$	0.924	1.23	$1.7 \times 10^{-2}$	0.985
EA	rs1520832	12	1.37	$1.6 \times 10^{-2}$	0.986	1.29	$5.0 \times 10^{-2}$	Remx	0.81	$4.4 \times 10^{-1}$	0.972	1.61	$3.6 \times 10^{-5}$	0.991
EA	rs548097	13	1.89	$4.5 \times 10^{-4}$	0.998	1.12	$6.0 \times 10^{-1}$	Remx	1.38	$3.1 \times 10^{-1}$	0.992	1.81	$6.4 \times 10^{-4}$	0.966
EA	rs11880198	19	1.34	$4.6 \times 10^{-2}$	0.313	1.23	$7.1 \times 10^{-4}$	1.021	2.05	$3.0 \times 10^{-2}$	0.168	1.17	$4.9 \times 10^{-2}$	0.867
AA	rs13418717	2	1.41	$5.6 \times 10^{-5}$	NA	1.91	$8.0 \times 10^{-3}$	0.348	NAA	NAA	NAA	NAA	NAA	NAA
AA	rs2210327	9	3.51	$7.1 \times 10^{-7}$	NA	1.95	$2.1 \times 10^{-1}$	0.189	NAA	NAA	NAA	NAA	NAA	NAA
AA	rs563519	11	0.69	$1.5 \times 10^{-5}$	NA	0.72	$6.7 \times 10^{-2}$	0.512	NAA	NAA	NAA	NAA	NAA	NAA
AA	rs2046383	12	1.30	$1.5 \times 10^{-3}$	NA	1.62	$2.0 \times 10^{-4}$	0.972	NAA	NAA	NAA	NAA	NAA	NAA
AA	rs17019682	12	1.53	$4.4 \times 10^{-6}$	NA	1.31	$1.1 \times 10^{-1}$	0.817	NAA	NAA	NAA	NAA	NAA	NAA

EA = European ancestry; AA = African ancestry; POP = population; CHR = chromosome; HR = hazards ratio; P = p-value; OEV = observed-expected variance; Remx = re-measured variants; NAA = OEV results not available since all SNPs were measured; NAA = no African-ancestry participants.

\* Estimated using  $r^2$ .