

Lack of anti-tumour reactivity despite enhanced numbers of circulating natural killer T cells in two patients with metastatic renal cell carcinoma

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Introduction

Invariant NK T cells are a distinct set of T cells characterized by expression of an invariant T cell receptor (TCR) V α 14-J α 18 chain, coupled preferentially to V β 8-2,7 or -2 in mice or TCR V α 24-J α 18 and V β 11 in humans [1]. NK T cells recognize glycolipids, rather than peptide antigens, presented by the major histocompatibility complex class I-like molecule CD1d. This results in rapid release of large amounts of T helper type 1 (Th1) [interferon (IFN)- γ] or Th2 [interleukin (IL)-4] cytokines, which in turn can activate dendritic cells, NK cells and B cells as well as conventional CD4⁺ and CD8⁺ T cells [2,3]. Thereby, NK T cells play a pivotal role as intermediates between the innate and the adaptive immune system and have the capacity to enhance

Summary

Natural killer T (NK T) cells play a central role as intermediates between innate and adaptive immune responses important to induce anti-tumour reactivity in cancer patients. In two of 14 renal cell carcinoma (RCC) patients, treated with interferon (IFN)- α , we detected significantly enhanced numbers of circulating NK T cells which were typed phenotypically and analysed for anti-tumour reactivity. These NK T cells were T cell receptor (TCR) V α 24/V β 11⁺, 6B11⁺ and bound CD1d tetramers. No correlation was observed between NK T frequencies and regulatory T cells (T_{regs}), which were also enhanced. NK T cells expressed CD56, CD161, CD45RO and CD69 and were predominantly CD8⁺, in contrast to the circulating T cell pool that contained both CD4⁺ and CD8⁺ T cells, as is found in healthy individuals. It is unlikely that IFN- α triggered the high NK T frequency, as all other patients expressed low to normal NK T numbers. A parallel was observed in IFN- α -related increase in activation of NK T cells with that in conventional T and non-T cells. Normal interleukin (IL)-7, IL-12 and IL-15 plasma levels were found. In one of the patients sporadic NK T cells were detected at the tumour site. α -Galactosylceramide (α GalCer) stimulation of peripheral blood mononuclear cells or isolated NK T cell lines from both patients induced IFN- γ , but no IL-4 and no response towards autologous tumour cells or lysates. The clinical course of disease in both patients was not exceptional with regard to histological subtype and extent of metastatic disease. Therefore, despite a constitutive high peripheral frequency and *in vitro* α GalCer responsiveness, the NK T cells in the two RCC patients did not show anti-tumour responsiveness.

Keywords: IFN- α therapy, immunotherapy, NK T cells, renal cell carcinoma

host immunity to microbial infections and cancer as well as prevent autoimmunity [4–6].

In healthy individuals, the frequency of NK T cells in the peripheral blood is relatively low and ranges between 0.01% to 0.2% of total lymphocytes [7–9].

In cancer patients, NK T cell counts are reduced further compared to age- and gender-matched healthy controls [7,8] and usually defective in IFN- γ production upon stimulation [10,11]. Low circulating NK T cell numbers were found to predict poor clinical outcome in patients with head and neck cancer [12]. Attempts have been made to stimulate NK T cell expansion with the glycolipid α -galactosylceramide (α GalCer) in order to stimulate anti-tumour responses in cancer patients [13–18]. In 10 of 17 non-small cell lung cancer patients this resulted in prolonged median survival time [19].

In an IFN- α trial of patients with metastatic renal cell carcinoma (RCC), a disease that has not been associated with high NK T cell numbers previously, we detected unusually high levels of circulating NK T cells in two of 14 patients. This prompted us to characterize these cells further to elucidate whether they were related to the therapy and had anti-tumour effectivity.

Materials and methods

Patients

All patients had primary metastatic RCC, patient B2 had clear cell RCC with sarcomatoid component and patient B7 had papillary RCC. All patients gave informed consent to participate in a randomized Phase II trial of nephrectomy followed by IFN- α (arm A) *versus* IFN- α followed by deferred nephrectomy (arm B). The trial was approved by the local ethical committee and closed prematurely after the clinical implementation of tyrosine kinase inhibitors. IFN- α therapy consisted of subcutaneously applied escalating doses of a 2-month induction regimen of IFN- α 2b (Roferon[®], Hoffman-LaRoche, Nutley, NJ, USA): 2 weeks $5 \times 3 \times 10^6$; 2 weeks $5 \times 6 \times 10^6$; 2 weeks $5 \times 9 \times 10^6$; and 2 weeks $3 \times 9 \times 10^6$ IU/week. Tumour and lymph node tissues were obtained at nephrectomy. Peripheral blood mononuclear cells (PBMC) were harvested at regular time-points pre-, during and post-therapy by Ficoll-Hypaque, washed and resuspended in phosphate-buffered saline (PBS) complemented with 0.5% bovine serum albumin (BSA; Sigma Aldrich, Zwijndrecht, the Netherlands) and cryopreserved in liquid nitrogen for later analysis.

Cell lines

RCC tumour cell lines were established from fresh tumour (patient B2) or tumour-involved lymph node (patient B7) after digestion with collagenase type 4 (1 mg/ml; Sigma-Aldrich Chemie B.V., Zwijndrecht, the Netherlands) and expressed the epidermal growth factor receptor (EGFR) and clear cell RCC-associated G250 antigen. Established Epstein-Barr virus (EBV)-transformed B cell lines used were JY, C1R and C1R-huCD1d, the latter transduced with human CD1d (C1R and C1R-huCD1d [20], kindly provided by Dr V. Cerundolo, Weatherall Institute of Molecular Medicine, University of Oxford, Oxford, UK). All cell lines were cultured in RPMI-1640 (Invitrogen Life Sciences/GIBCO, Invitrogen Corporation Carlsbad, CA, USA) supplemented with 10% fetal calf serum (FCS) (inactivated; Greiner Bio-one GmbH, Frickenhausen, Germany), penicillin (100 U/ml) and streptomycin (100 μ g/ml) (Roche Diagnostics, Mannheim, Germany) and were refreshed twice a week.

Establishment and culture of NK T cell lines

NK T cell lines from patients B2 and B7 were established by fluorescence activated cell sorting (FACS) of cells labelled

with anti-TCR V α 24 plus V β 11 antibodies (Beckman Coulter, Woerden, the Netherlands), cultured for 1–3 weeks in serum-free Iscove's modified Dulbecco's medium (IMDM; Invitrogen Life Sciences/GIBCO) supplemented with 2% normal human serum (Invitrogen, Brown Deer, WI, USA), penicillin/streptomycin and IJssel's supplements [21] in the presence of IL-2 (100 U/ml; Eurocetus, Amsterdam, the Netherlands) and IL-15 (5 ng/ml, Peprotech, London, UK) and were refreshed twice a week.

Preparation of RCC tumour cell lysates

Tumour cell lysates were prepared from tumour cell lines or tumour-involved lymph node tissues which were suspended in 250 μ l PBS, followed by snap-freezing three times and sonification on ice.

Enzyme-linked immunospot (ELISPOT) assay

IFN- γ and IL-4 ELISPOT assays were carried out according to the manufacturer's instructions (U-cytech Biosciences, Utrecht, the Netherlands), as described previously [22]. Briefly, flat-bottomed 96-well plates (Costar 3799) were incubated with coating antibody (U-cytech) overnight at 37°C, washed with PBS and incubated with coating buffer for 2 h. The patient PBMC (obtained from samples during IFN- α therapy) were thawed, washed and incubated for 1 h in IMDM supplemented with 5% FCS (Greiner Bio-one) at 2×10^6 cells/ml. The coating buffer was removed from the plates, optimal concentrations of 2×10^5 responder cells in IMDM with 5% FCS were put into each well and 5×10^4 tumour target cells or lysates (equivalent of 2×10^4 cells), optimal concentrations of α GalCer (100 ng/ml, obtained from Dr H. Ovaa, the Netherlands Cancer Institute, Amsterdam, the Netherlands) or phorbol myristate acetate (PMA) (50 ng/ml) plus ionomycin (1 μ g/ml) were added and the plates were incubated overnight at 37°C. In experiments with NK T cell lines, optimal concentrations of responders were used at 5×10^2 /well, targets at 2×10^3 cells/well and external antigen-presenting cells C1R-huCD1d or C1R at 2×10^3 cells/well. After removal of the cell suspension, the plates were washed with PBS, developed according to the manufacturer's instructions and read using the Bioreader 4000 pro-X ELISPOT reader (Bio-sys, Karben, Germany).

Enzyme-linked immunosorbent assay (ELISA) for IL-7, IL-12 and IL-15

Plasma samples were obtained at various time-points during IFN- α therapy, preserved at -70°C and tested using ELISA according to the manufacturer's instructions (human IL-7 Quantikine ELISA kit HS750, human IL-12 Quantikine ELISA kit D1200 and human IL-15 Quantikine ELISA kit D1500; R&D Systems, Abington, UK).

Flow cytometry

PBMC subset analysis was performed as described previously [23]. Briefly, cells or cell lines were stained for 20 min at room temperature followed by washing steps in PBS containing 0.5% BSA with the following conjugated antibodies directed at: CD3-fluorescein isothiocyanate [fluorescein isothiocyanate (FITC)/CD(16+56)-phycoerythrin (PE) (B&D Biosciences), CD8-FITC, CD56-PE cyanine5 (PC5), CD19-PC5, CD69-PE Texas Red [electrochemical detection (ECD)], CD8-PC5, CD3-PE cyanine7 (PC7), CD45-FITC/CD14-PE, CD45RO-ECD and CD4-ECD (all from Beckman Coulter, Woerden, the Netherlands). For detection of NK T cells, staining with anti-TCR V α 24-FITC and V β 11-PE in combination with anti-CD3-PC7 was used; in some experiments, NK T cells were measured using anti-TCR V β 11-PE in combination with 6B11-FITC [24] (BD Biosciences Pharmingen, San Diego, CA, USA). Further NK T subset typing was performed using antibodies to CD4-ECD, CD8-PC5, CD56-PC5, CD69-ECD, CD45RO-ECD (all Beckman Coulter) and CD161-biotin (Ancell, Bayport, MN, USA). For enumeration of regulatory T cells (T_{reg}), antibodies were used directed at: CD4-FITC, CD8-PE, CD45-ECD, CD25-PC5, CD3-PC7 (Beckman Coulter) and forkhead box P3 (FoxP3) (eBioscience kit; eBioscience, Inc. San Diego, CA, USA). In all experiments gates were set on viable [propidium iodide (PI)-negative] cells and fluorochrome-labelled isotype control antibodies were included in each assay to determine background staining. FACS analysis was performed with a Beckman Coulter flow cytometer FC500 and computer software Beckman Coulter program CXP.

CD1d tetramer assay

The capacity of NK T cells to bind CD1d-presented ligand was tested using PE-conjugated CD1d tetramer, complexed to PBS57, an α GalCer analogue, obtained through the NIH Tetramer Facility (NIH Tetramer Facility, Germantown, MD, USA). HIV tetramer (Sanquin, Amsterdam, the Netherlands) served as negative control (<0.05% positive). We measured CD1d tetramer binding to T cells that were negative for a mixture of FITC-conjugated anti-CD13 (Beckman Coulter), anti-CD14, anti-CD16 and anti-CD19 (B&D Biosciences, San Jose, CA USA) instead of positive for CD3 antibody to avoid blocking or hindering of tetramer binding.

In situ immunofluorescence multi-colour staining

NK T cells in tissues were examined by triple immunofluorescence staining by anti-CD3 antibody combined with anti-TCR V α 24 and V β 11 antibodies and analysis by confocal laser scanning microscopy, as described previously [25,26]. In brief, 4- μ m cryostat sections from primary tumour and lymph nodes from patients B2 and B7 were air-dried over-

night, fixed in acetone for 10 min at room temperature, pre-incubated in 5% (vol/vol) normal goat serum (Sanquin) and incubated successively with mouse anti-CD3 antibody (Dako A/S, Glostrup, Denmark), biotinylated goat anti-mouse antibody (Dako), normal mouse serum (Sanquin), mouse anti-human TCR V α 24-FITC, mouse anti-human TCR V β 11-PE (Beckman Coulter) and rabbit anti-PE antibody (Biogenesis, Poole, UK), followed by Cy3-conjugated goat anti-rabbit antibody and Cy5-conjugated streptavidin (Jackson Immunoresearch Laboratories, Inc., Palo Alto, CA, USA). Between incubations, sections were rinsed extensively in PBS. For each fluorochrome label, isotype-matched control antibodies were included and found negative. For counting of NK T cells, 2000 CD3⁺ T cells in two separate tissue sections were examined.

Confocal laser scanning microscopy analysis

Confocal fluorescence images were obtained on a Leica TCS SP (Leica Microsystems, Heidelberg, Germany) confocal system, equipped with an Argon/Krypton/HeliumNeon laser combination. Images were taken using a 40 \times 1.25 NA objective. Possible spectral leak-through between FITC, Cy3 and Alexa 647, which could give rise to false-positive co-localization of different signals, was avoided by careful selection of the imaging conditions. Colour photomicrographs were taken from electronic overlays.

Statistical analysis

Statistical significance was determined using the Student's *t*-test.

Results

Identification of peripheral NK T cells

Immunomonitoring of RCC patients in the IFN- α trial revealed an exceptionally high percentage of circulating CD3⁺CD56⁺ T cells in patient B2 (Table 1). Further analysis indicated that this patient and patient B7 showed significantly elevated levels of NK T cells expressing TCR V α 24/V β 11 in their peripheral blood compared to a panel of healthy donors (Table 1). There were no large differences between NK T cell numbers pre-, during and post-treatment in each patient, as is reflected in the relatively low standard deviation (s.d.) values for the mean (Table 1). The increase in NK T cell number in patients B2 and B7 is unlikely to be due to the IFN- α treatment, as the number of circulating NK T cells in all other RCC patients, regardless of time of treatment, was in the low to normal range (Table 1). Moreover, patient B7 had already presented with high NK T frequency before the start of the IFN- α therapy (see Fig. 3b; no pre-therapy sample available from patient B2). PBMC subset analysis of the RCC patients in the two treatment arms of the

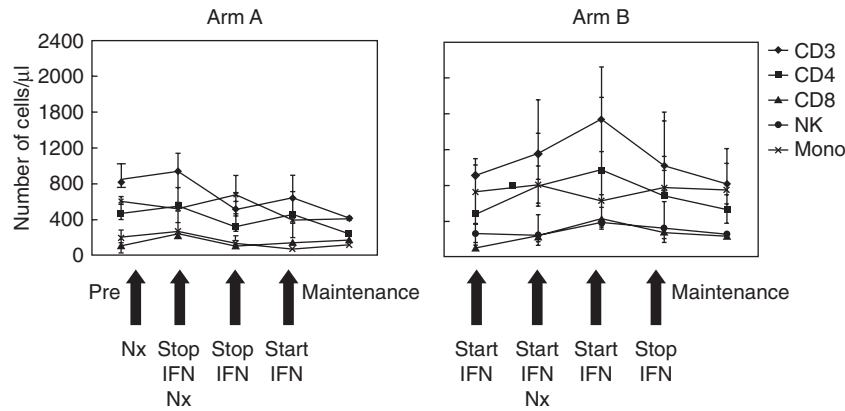


Fig. 1. Analysis of peripheral blood mononuclear cell lineages during the course of interferon (IFN)- α treatment in renal cell carcinoma patients of trial arms A and B. Absolute cell counts for CD3⁺CD56⁻ T cells, CD3⁺CD4⁺ T cells, CD3⁺CD8⁺ T cells, CD3⁺CD16⁺56⁺ natural killer (NK) cells and CD14⁺ monocytes were determined at various time-points pre-, during and post-IFN- α therapy. Patient values are within the normal range (for CD3⁺ T cells 700–1900, CD4⁺ T cells 400–1300, CD8⁺ T cells 200–700, NK cells 100–400 and for monocytes 100–1000 cells/ μ l). Nx, nephrectomy.

IFN- α trial showed normal absolute numbers of CD3, CD4 or CD8 T cells, NK cells or monocytes (Fig. 1).

In addition, T_{regs}, measured as the percentage of FoxP3⁺ cells within the CD4⁺ T cell population, were increased in RCC patients at nephrectomy and during therapy, significantly in B2 compared to 10 healthy donors (8.0 \pm 3.9% versus 3.0 \pm 2.4%, mean \pm s.d.; *P* < 0.05) (Table 2). No significant differences were found between RCC patients in arm A and arm B (Table 2).

Peripheral NK T cells and subsets

As shown in Fig. 2a, NK T cells were detected similarly by staining with antibodies to TCR V α 24/V β 11 as by staining with CD1d tetramer, indicating that the NK T cells could

bind CD1d-presented ligand. In addition, NK T cells were also positive for the NK T marker 6B11 (Fig. 2b). Comparable low percentages within the CD3 population were found for NK T cell frequencies (range < 0.01–0.09%), either tested by V α 24/V β 11 or V β 11/6B11 monoclonal antibody (mAb) combinations in RCC patients A1, A2, A3, A4, A7, B1 or B3 (data not shown).

The main phenotype of the NK T cells in both patients was CD3⁺CD4⁻CD8⁺, with a minor fraction being CD3⁺CD4⁻CD8⁻ and virtually no cells being CD3⁺CD4⁺CD8⁻, in contrast to the total peripheral blood T cell pool that contained both CD4⁻CD8⁺ and CD4⁺CD8⁻ T cells (Fig. 2c, Table 3). In RCC patients and healthy individuals with NK T cell numbers in the normal range, both CD4⁻CD8⁺ and CD4⁺CD8⁻ NK T subsets were detectable. No

Table 1. Peripheral CD3⁺CD56⁺ T cells and natural killer (NK) T cells in renal cell carcinoma patients of interferon (IFN)- α trial arms A and B.

Patient	% CD3 ⁺ CD56 ⁺	<i>n</i> [†]	% V α 24/V β 11 ⁺	<i>n</i>
A1 [‡]	8.0 \pm 4.1 [§]	10	0.02 \pm 0.02	12
A2	0.7 \pm 0.7	5	0.02 \pm 0.01	5
A3	3.1 \pm 1.8	3	0.01 \pm 0.00	4
A4	6.9 \pm 2.0	6	0.02 \pm 0.03	6
A5	n.t.		0.01 \pm 0.01	2
A6	8.6 \pm 4.9	2	0.32 \pm 0.11	3
B1	0.9 \pm 0.1	2	0.04 \pm 0.01	5
B2	36.8 \pm 9.3	4	1.65 \pm 0.54 [¶]	5
B3	8.3 \pm 2.2	4	0.05 \pm 0.02	6
B4	8.5 \pm 3.3	4	0.01 \pm 0.01	4
B5	7.0 \pm 4.1	3	0.14 \pm 0.04	3
B6	7.0 \pm 2.6	2	0.00 \pm 0.00	4
B7	9.1 \pm 5.8	3	7.64 \pm 1.54 [¶]	9
B8	4.9 \pm 2.6	2	0.13 \pm 0.10	2
Total without B2 and B7			0.06 \pm 0.09	12
Healthy donors	5.1 \pm 5.1	11	0.13 \pm 0.15	14

[†]Number of tests. [‡]Patients receiving IFN- α treatment after (A) or before and after (B) nephrectomy. [§]Mean percentage \pm standard deviation of CD3⁺ T cells in peripheral blood mononuclear cells, calculated from all samples taken pre-, during and post-IFN- α therapy. [¶]Significantly different from healthy donor controls (*P* < 0.001); n.t.: not tested.

Table 2. Percentages of regulatory T cells in renal cell carcinoma patients in relation to natural killer (NK) T cells.

Patient	% V α 24/V β 11 ⁺	% FoxP3	% V α 24/V β 11 ⁺	n [†]	% FoxP3	n [†]
	At Nx [‡]		During treatment period [§]			
A1 [¶]	0.02	6.5	0.02 ± 0.02	12	10.6 ± 8.0	11
A2	0.03	12.0	0.02 ± 0.01	5	12.8 ± 1.3	2
A3	0.01	4.9	0.01 ± 0.00	4	5.1 ± 1.2	3
A4	0.06	6.1	0.02 ± 0.03	6	8.0 ± 2.0	3
A6	0.27	3.7	0.32 ± 0.11	3	4.3 ± 0.9	2
					8.2 ± 4.0 ^{††}	
B1	0.03	5.6	0.04 ± 0.01	5	6.1 ± 0.4	4
B2	1.41	9.2	1.65 ± 0.54	5	8.0 ± 3.9 ^{‡‡}	5
B3	0.05	2.3	0.05 ± 0.02	6	5.0 ± 6.3	4
B4	0.01	10.0	0.01 ± 0.01	4	10.0	1
B7	7.00	12.2	7.64 ± 1.54	9	7.9 ± 6.7 ^{‡‡}	5
B8	0.15	8.0	0.13 ± 0.10	2	10.2 ± 3.1	2
					7.9 ± 2.1 ^{††}	
Healthy donors			0.13 ± 0.15	14	3.0 ± 2.4	10

[†]Number of tests. [‡]% forkhead box P3 (FoxP3) of CD3⁺CD4⁺ T cells tested at time of nephrectomy (Nx). [§]Mean % FoxP3 ± standard deviation of CD3⁺CD4⁺ T cells tested in multiple peripheral blood mononuclear cell samples during treatment period. [¶]Patients receiving interferon- α treatment after (A) or before and after (B) nephrectomy. ^{††}% FoxP3 not significantly different between arm A and arm B ($P > 0.05$). ^{‡‡}% FoxP3 in B2 significantly ($P < 0.05$) and in B7 not significantly ($P > 0.05$) different from healthy donors.

association was found between NK T frequency and patient age. NK T cells in patients B2 and B7 expressed NK T-associated antigens CD45RO, CD161, CD56 and were CD69⁺ (Fig. 2c). During IFN- α treatment, this phenotype remained stable except that CD69 expression was lost upon withdrawal of therapy (Fig. 3). Expression of CD69 in patients B2, B7, A6 and in healthy donors was relatively high on NK T cells compared to conventional T and non-T cells. IFN- α treatment of our patients does not appear to be a trigger for high NK T frequency, but was found to enhance

the activation state in a co-stimulatory manner. As shown in Table 4, it increased CD69 expression of NK T cells, sometimes with a short delay. Particularly in patients B2 and B7, changes in activation of conventional T and non-T cells, parallel to NK T cells, were observed, indicating that IFN- α treatment also affected these cell types.

Identification of NK T cells at the tumour site

To examine whether NK T cells could be detected directly in tumour or lymph node tissues, *in situ* triple-staining analysis

Table 3. CD3 and natural killer (NK) T cell subsets in relation to NK T cell frequency and age in patient peripheral blood mononuclear cell samples obtained just before nephrectomy and healthy donors.

Patient	Age	% of CD3 ⁺			% of NK T [‡]		
		TCR V α 24 ⁺ V α 11 ⁺	CD4 ⁺	CD8 ⁺	CD4 ⁺	CD8 ⁺	DN
B7	61	7.00 [§]	74	18	1	66	32
B2	46	1.41	40	54	1	70	29
A6	60	0.27	65	28	31	33	31
B8	55	0.15	61	31	22	56	15
B5	27	0.15	43	49	15	16	68
A7	60	0.08	80	15	30	2	69
B3	56	0.05	55	17	87	5	3
HD							
HD1		0.45	41	58	4	75	21
HD13		0.34	62	25	36	13	50
HD5		0.17	55	38	65	8	25
HD6		0.14	63	34	50	38	9
HD7		0.05	71	27	65	22	10
HD8		0.02	74	23	29	13	58
HD9		0.02	74	21	18	41	41
HD10		0.01	75	20	86	11	2
HD11		< 0.01	60	25	70	10	19

[†]Within CD3 gate. [‡]Within V α 24/V α 11⁺ gate. [§]Renal cell carcinoma patient peripheral blood mononuclear cell samples obtained just before nephrectomy. HD: healthy donor (ages between 20 and 60 years); TCR: T cell receptor.

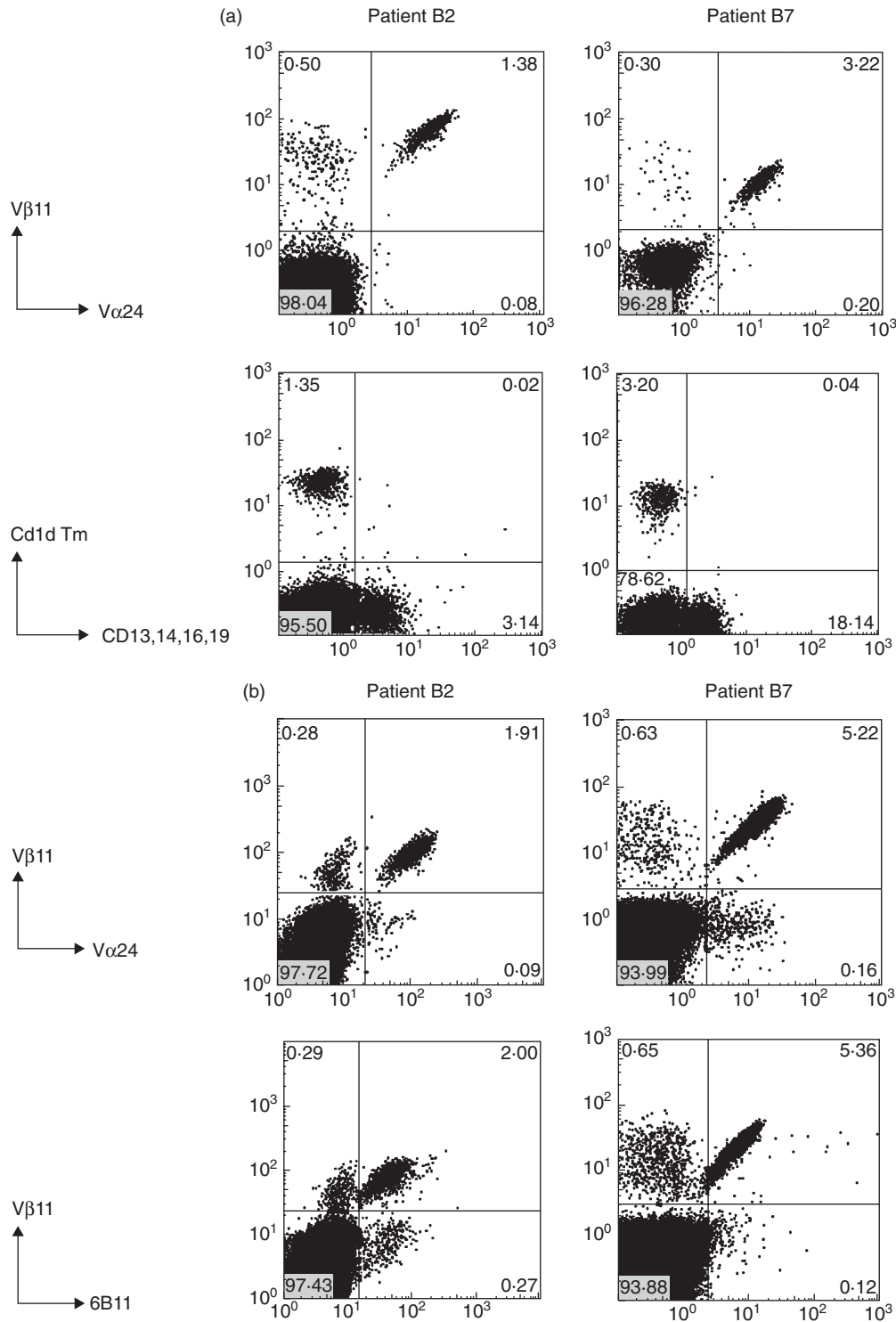


Fig. 2. T cell receptor (TCR) $V\alpha 24/V\beta 11$ and 6B11 expression, CD1d tetramer staining and natural killer (NK) T subset analysis of peripheral blood mononuclear cells of patients B2 and B7. (a) Cells double-stained with anti-TCR $V\alpha 24$ -fluorescein isothiocyanate (FITC) and $V\beta 11$ -phycoerythrin (PE) are detected within lymphocyte and CD3 gate; for CD1d tetramer staining, cells were stained with PE-conjugated PBS57 (α GalCer homologue) loaded CD1d tetramer combined with negative selection antibodies (a mixture of FITC-conjugated anti-CD13, 14, 16, 19). Numbers indicate the percentage of positive cells in each quadrant; (b) cells double-stained with anti-6B11-FITC and $V\beta 11$ -PE are detected within lymphocyte and CD3 gate; (c) expression of CD4, CD8, CD56, CD161, CD45RO and CD69 on peripheral blood NK T cell subsets of patients B2 and B7 analysed within the indicated TCR $V\alpha 24/V\beta 11$ gate compared to CD4- and CD8-positive T cell subsets analysed within the indicated CD3 gate. Note absence of CD3⁺CD4⁺ cells within the NK T cell population and presence within the total peripheral blood T cell population of patients B2 and B7.

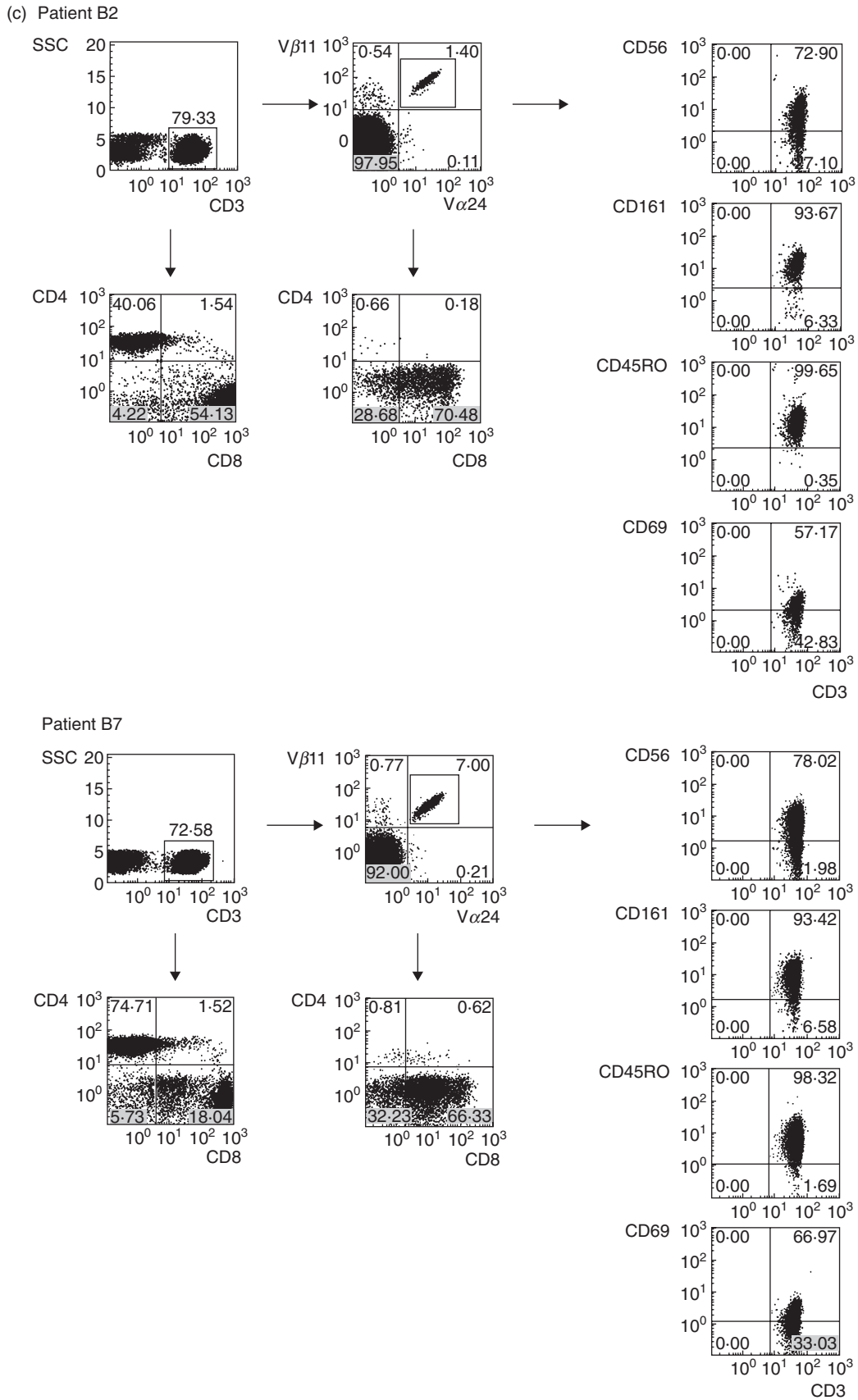


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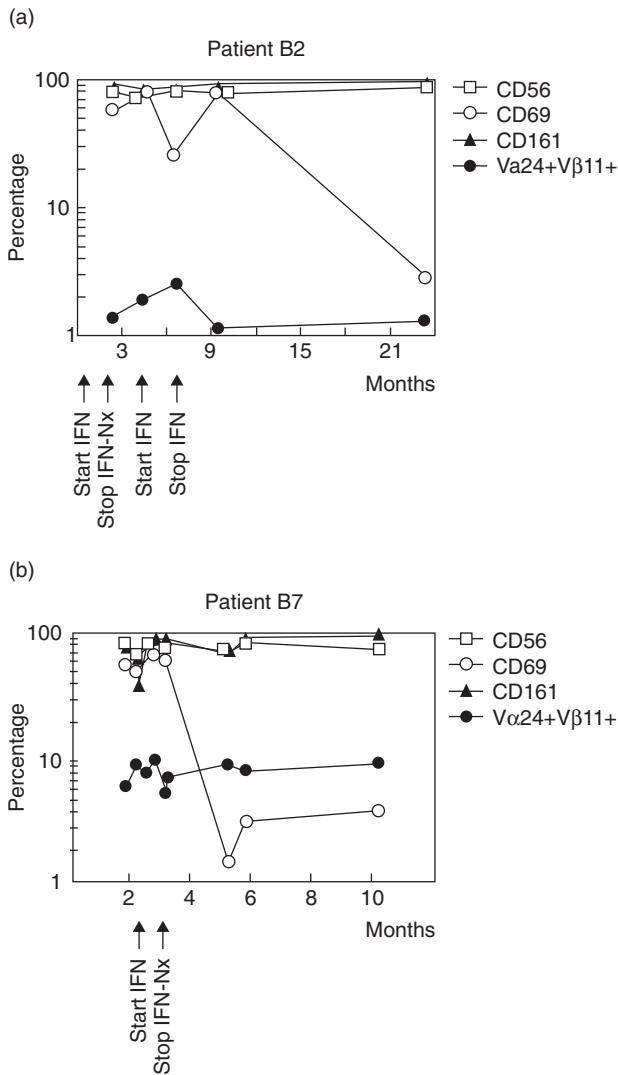


Fig. 3. Kinetics of natural killer (NK) T cells and their subsets during interferon (IFN)- α treatment in patients B2 (a) and B7 (b). NK T cells are detected as T cell receptor V α 24/V β 11-expressing T cells and shown as percentage of total CD3⁺ T cells. CD56, CD161, CD45RO and CD69 NK T subsets are shown as percentage of total NK T cells. Nx, nephrectomy.

of TCR V α 24/V β 11 combined with CD3 was performed in available tissues, i.e. tumour of both patients and lymph node of patient B7. As presented in Fig. 4, only in the tumour of patient B2 could sporadically triple-positive NK T cells be observed (0.4% triple-positives of 2000 T cells counted). No expression of CD4 or CD8 was found on these NK T cells.

Functional activity of NK T cells

To investigate whether the NK T cells of patients B2 and B7 responded to their tumours, ELISPOT analysis of PBMC-containing NK T cells was performed. Because no CD1d was found on tumour targets (data not shown), not only tumour

cells, but also tumour lysates were tested as targets for which autologous dendritic cells in the PBMC served as antigen-presenting cells. As shown in Table 5, peripheral NK T cells did not react to autologous tumour or lysate and showed IFN- γ , but no IL-4 responses to α GalCer. Several other RCC patients (A1, A2, A3, A4, A6, B1, B3 and B4) and healthy donors did not show any responsiveness to α GalCer (data not shown).

Because patient PBMC contained enhanced numbers of T_{reg}, NK T cells were isolated from the cells by FACS sorting and *in vitro*-cultured NK T cell lines were tested as responders, allowing analysis of anti-tumour reactivity in the absence of potential suppressing T_{reg}. As shown in Fig. 5, isolated NK T cell lines cultured for 1–3 weeks could be typed as TCR V α 24/V β 11-expressing cells that also bound CD1d tetramer.

NK T cell lines were tested in the presence of human CD1d-transfected C1R cells as antigen-presenting cells. Unlike conventional T cells, these purified NK T cell lines did not react to the allogeneic cell line C1R (or C1R-huCD1d) (Table 6). As shown in Table 6, the IFN- γ responses of the NK T cell lines were induced by α GalCer (but not in its absence) when presented by C1R-huCD1d cells and not in the presence of the CD1d-negative cell line C1R. B2 autologous tumour did not elicit any response; B7 autologous tumour elicited a variable

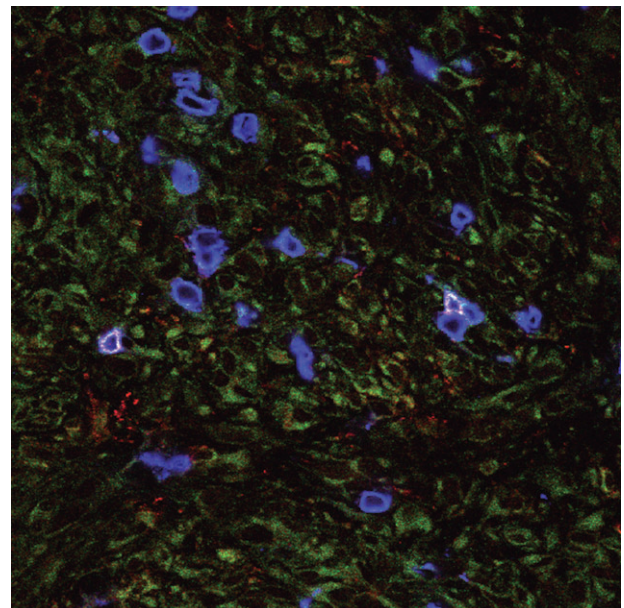


Fig. 4. *In situ* detection of natural killer (NK) T cells in tumour from patient B2. Cryosections of tumour tissue were stained with anti-T cell receptor (TCR) V α 24-fluorescein isothiocyanate (green) in combination with anti-TCR V β 11-phycoerythrin (red) and anti-CD3 (blue), as described in Materials and methods. Triple-positive NK T cells are detected by a white membrane staining. Renal tumour cells show some aspecific background staining in green. Original magnification \times 400.

Table 4. CD69 expression on natural killer (NK) T, conventional T and non-T cells.

	Time-point	IFN- α treatment [†]	% V α 24/V α 11 ⁺	% CD69		
				V α 24/V α 11 ⁺	Conventional T cells	Non-T cells
Patient						
B2	1(Nx)	+	1.41 [‡]	56.0 [§]	12.2 [*]	25.3 [¶]
	2	-	1.97	84.4	34.8	9.2
	3	+	2.43	20.8	14.4	15.6
	4	-	1.12	77.3	10.4	18.9
	5	-	1.31	3.0	6.2	8.2
B7	1	-	5.70	56.9	3.1	6.4
	2	+	8.91	48.0	4.3	5.6
	3	+	6.98	74.1	9.0	32.5
	4	+	9.40	67.3	10.7	30.0
	5	+	5.24	68.5	5.7	23.3
	6(Nx)	+	7.00	60.3	6.8	18.6
	7	-	8.98	3.4	2.5	10.4
	8	-	7.63	3.1	1.6	10.0
	9	-	9.03	3.8	3.1	13.6
A6	1(Nx)	-	0.45	9.7	2.3	3.0
	2	+	0.27	22.6	2.1	7.5
	3	+	0.24	39.0	2.9	8.1
	4	-	0.20	40.7	3.5	8.3
	5	-	0.26	60.0	6.3	8.0
HD						
HD1			0.45	95.0	6.4	14.8
HD2			0.38	91.0	14.3	15.3
HD3			0.26	58.2	2.1	6.5
HD4			0.20	92.7	2.5	2.7
HD5			0.17	65.3	6.0	9.0
HD9			0.02	97.5	0.8	1.7
HD8			0.01	93.4	0.6	1.8

[†]Sample taken at time-point with (+) or without (-) interferon (IFN)- α treatment. [‡]Within CD3 gate. [§]Within V α 24/V α 11⁺ gate. ^{*}Within lympho- and non-CD3 gate. HD: healthy donor.

response that was not consistently positive or negative. Tumour lysates did not induce a response (in the absence of α GalCer), did not enhance the α GalCer response and with the B7 NK T cell line as responder even suppressed this response.

IL-7, IL-12 and IL-15

Enhanced levels of IL-7, IL-12 and IL-15 in the serum of the patients might be an explanation for the high peripheral NK T cell numbers. However, no enhanced levels of these cytokines were found in available plasma samples from patients A1, A2, A4, A5, B1, B3, B5, B6 and B7 (data not shown).

Discussion

In this study, we describe enhanced levels of circulating NK T cells in two of 14 RCC patients treated with IFN- α . The NK T cells expressed TCR V α 24/V β 11 and the 6B11 NK T cell marker and bound CD1d-presented ligand, confirming their NK T type I character [1]. NK T cells were encountered

only sporadically in one of the two patients in the tumour microenvironment.

The clinical course of disease in patients B2 and B7 was not exceptional in comparison to the other patients included in this trial, who had similar histological subtypes and extent of metastatic disease. All patients had advanced metastatic RCC, which was the only clinically detectable disease at evaluation. To the best of our knowledge, no other diseases were present nor were viral, bacterial or other genetic factors involved, which might have served as NK T triggers.

Most of the NK T cells of both patients were CD8⁺, with minor numbers presenting as double-negative and hardly any as CD4⁺. This is in contrast to the NK T subsets found usually in the peripheral blood of healthy donors or cancer patients, in which CD4⁺ NK T cells outnumber double-negative NK T cells and few or virtually no CD8⁺ NK T cells are found [8,27,28]. Our RCC patient data are in line with the correlation noted in healthy individuals between high peripheral NK T cell frequency and increase in CD4-negative NK T cells [9,28], which has been described to reverse with age [29]. The

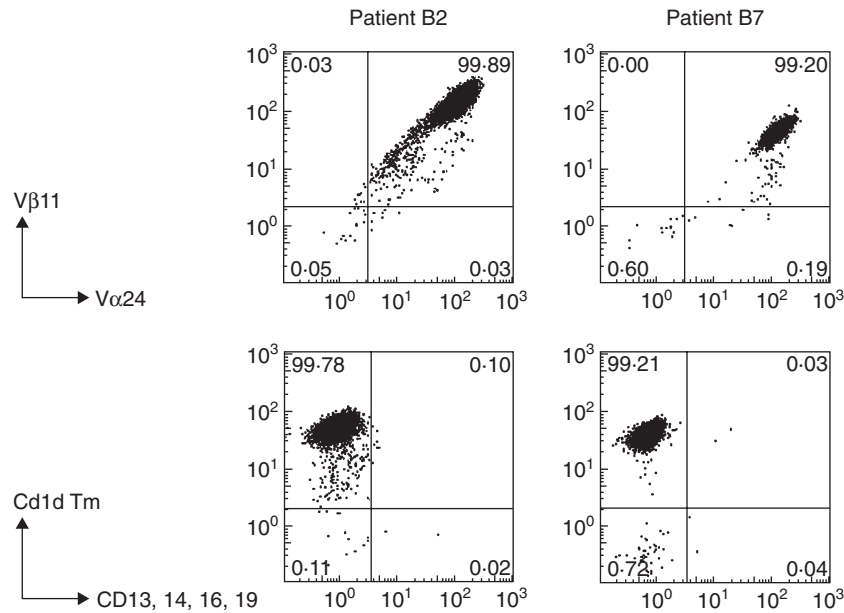


Fig. 5. Analysis of T cell receptor (TCR) Vα24/Vβ11 expression and CD1d tetramer staining of natural killer (NK) T cell lines. NK T cell lines were established by fluorescence activated cell sorting of anti-TCR Vα24 plus Vβ11-labelled cells and cultured for 1–3 weeks in medium supplemented with interleukin (IL)-2 and IL-15 (see Materials and methods). Cells double-stained with anti-TCR Vα24- fluorescein isothiocyanate (FITC) and Vβ11-phycoerythrin (PE) are detected within lymphocyte and CD3 gate; for CD1d tetramer staining, cells were stained with PE-conjugated PBS57 (αGalCer homologue) loaded CD1d tetramer combined with negative selection antibodies (a mixture of FITC-conjugated anti-CD13, 14, 16, 19). The percentages of CD1d tetramer binding T cells are shown in the upper left quadrants.

aberrant CD4-negative (and CD8-positive) NK T phenotype in patients B2 and B7 suggests that progressive differentiation and selected expansion may have occurred [30]. Expression of CD69 and CD161 would suggest that these NK T cells are recently activated and mature [1]. In humans, the number of peripheral CD4⁺ NK T cells is supported mainly by thymic output and survival and controlled by IL-7 [31], whereas CD4⁺ NK T cells in the periphery are thought to be driven by IL-15-dependent homeostatic proliferation [30,32]. Therefore, in the absence of a known antigenic trigger, the high NK T frequency in our patients can most probably be explained by homeostatic expansion, for which the normal levels of IL-15 that are detectable, may be sufficient. Homeostasis would also explain the relatively stable NK T frequency observed in the patients. The strong drop in CD69 expression, but not in NK T cell numbers, after stopping IFN-α treatment (see Table 4), may indicate that IFN-α can influence activation, but has no direct effect on homeostasis.

NK T cells have been described to activate downstream immune effector pathways, and this has prompted combination treatments aimed at activating T cell-mediated anti-tumour responses [3,33,34].

Three factors will determine the outcome of interactions between NK T cells and antigen-presenting cells: (i) frequency, strength and duration of antigenic stimulus; (ii) differentiation state of antigen-presenting cells; and (iii) presence or absence of cytokines that co-stimulate NK T cells, among which is IFN-α [35]. IFN-α treatment of our

Table 5. Functional responses of patient B2 and B7 natural killer (NK) T cells in peripheral blood mononuclear cells.

Exp.1	Patient B2		Patient B7	
	IFN-α	IL-4	IFN-α	IL-4
Autologous tumour cells	3 [†]	0	0	0
Autologous tumour lysate	2	0	0	0
Controls				
αGalCer [‡]	38	0	26	0
JY [§]	> 50	8	19	26
PMA/ionomycin	> 50	40	> 50	48
Exp.2	Patient B2		Patient B7	
	IFN-α	IL-4	IFN-α	IL-4
Autologous tumour cells	3	0	1	0
Autologous tumour lysate	0	0	0	0
Controls				
αGalCer	20	0	7	0
JY	> 50	4	16	8
PMA/ionomycin	> 50	29	> 50	40

[†]Spots/well of 2×10^5 effector cells co-cultured overnight with 5×10^4 targets in enzyme-linked immunospot assay. [‡]α-Galactosylceramide (αGalCer). [§]JY cells are used as allogeneic stimulator cells. IL: interleukin; IFN: interferon; PMA: phorbol myristate acetate.

Table 6. Functional responses of natural killer (NK) T cell lines.

Experiment 1	Patient B2	Patient B7
Autologous tumour + α GalCer [†]	0 [‡]	0
Autologous tumour	1	1
Autologous tumour lysate + C1R-huCD1d [§] + α GalCer	n.t.	3
Autologous tumour lysate + C1R-huCD1d	n.t.	2
Controls		
C1R-huCD1d + α GalCer	26	15
C1R-huCD1d	2	7
C1R + α GalCer	1	0
C1R	1	0
PMA/ionomycin	11 (61 [¶])	14 (54)
Medium	0	0
Experiment 2	Patient B2	Patient B7
Autologous tumour + α GalCer	0	7
Autologous tumour	0	0
Autologous tumour lysate + C1R-huCD1d + α GalCer	5	1
Autologous tumour lysate + C1R-huCD1d	1	0
allogeneic tumour lysate ^{††} + C1R-huCD1d + α GalCer	5	1
allogeneic tumour lysate + C1R-huCD1d	1	0
Controls		
C1R-huCD1d + α GalCer	7	8
C1R-huCD1d	4	0
C1R + α GalCer	0	0
C1R	0	0
PMA/ionomycin	22 (36)	22 (23)
Medium	0	0

[†] α -Galactosylceramide (α GalCer). [‡]Interferon (IFN)- γ spots/well of 5×10^2 effector cells co-cultured overnight with 2×10^3 targets in enzyme-linked immunospot assay. [§]C1R cell line untransfected (C1R) or transfected with human CD1d (C1R-huCD1d). [¶]Within brackets, responses of allogeneic peripheral blood mononuclear cell effectors. ^{††}Lysates of the other NK T patient were used. PMA: phorbol myristate acetate; n.t.: not tested.

patients does not appear to be a trigger for high NK T frequency, as low to normal NK T cell counts were present in 12 of 14 RCC patients. Furthermore, in patient B7 the high NK T frequency could be shown to be already present before therapy. However, IFN- α was found to enhance the activation state in a co-stimulatory manner. As shown in Table 4, it increased CD69 expression of NK T cells, sometimes with a short delay. Particularly in patients B2 and B7, changes in activation of conventional T and non-T cells, parallel to NK T cells, were observed, indicating that IFN- α treatment also affected these cell types. It can be envisioned that via NK T cell CD40 ligand up-regulation, interactions with CD40-expressing antigen-presenting cells are enabled, which further up-regulate their co-stimulatory and cytokine profile involved in T cell activation [3,33–35]. However, apart from the IFN- α -related effect on CD69 up-regulation, our study does not provide evidence that these activated NK T cells cross-react with and thereby activate antigen-presenting cells, conventional T cells and non-T cells, as we neither detected enhanced T or NK cell numbers, IL-12 expressing DC *in situ* nor enhanced IL-12, IL-7 or IL-15 plasma levels.

Direct anti-tumour responsiveness by NK T cells in our two patients, as tested by IFN- γ responsiveness to tumours

or tumour lysates, however, was not observed either. *In vivo*, this may be hampered by lack of CD1d expression on the tumours and lack of NK T cell infiltration into the tumour tissues. Alternatively, NK T function may be influenced by T_{reg} cells [36], which are known to be elevated in cancer patients [37] and were found to be enriched, compared to normal individuals, in the peripheral blood of the RCC patients, without any relationship to NK T frequency. To test whether NK T cell-mediated anti-tumour responsiveness might be induced in the absence of T_{reg} cells, NK T cell lines were isolated from the cell populations, cultured in the presence of IL-2 and IL-15 and tested for anti-tumour reactivity. The cell line C1R-huCD1d, expressing human CD1d, was added to serve as antigen-presenting cell in this system. However, despite appropriate CD1d-ligand binding capacity and IFN- γ response to α GalCer by the isolated NK T cell lines, no consistent reactivity to tumours or tumour lysates was observed. Tumour lysates were even found to suppress the α GalCer response of the B7 NK T cell line. These data point to an intrinsic inability of the patient NK T cells to respond to the autologous tumour, even in an activated state and in the absence of T_{reg} cells.

Our observation of highly elevated levels of NK T cells in these RCC patients during an extended period of time bears

resemblance to the observations of Chan *et al.* [38] on a healthy individual at risk for type 1 diabetes, and contrasts with the generally reduced NK T cell numbers in cancer patients [7,8,10,11].

In conclusion, despite the elevated and sustained levels of NK T cells in these patients, any functional role of the NK T cells in these patients thus remains elusive at present and it will be of interest to elucidate whether RCC aetiology is linked with conditions that stimulate NK T cell expansion.

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Disclosure

The authors declare that they have no conflict of interest.

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