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Napping Promotes Inter-Session Habituation to Emotional Stimuli

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Abstract

The effects of a daytime nap on inter-session habituation to aversive visual stimuli were investigated. Healthy young adult volunteers viewed repeated presentations of highly negative and emotionally neutral (but equally arousing) International Affective Picture System (IAPS) photographs during two afternoon sessions separated by 2.5 hrs. Half of the photographs were shown at both sessions (Repeated Sets) and half differed between sessions (Novel Sets). For each stimulus presentation, evoked skin conductance response (SCR), heart rate deceleration (HRD) and corrugator supercilii EMG response (EMG), were computed and range corrected using respective maximum session-1 responses. Following each presentation, subjects rated each photograph on dimensions of pleasantness and arousability. During the inter-session interval, Nap subjects had a 120-min polysomnographically monitored sleep opportunity, whereas Wake subjects watched a non-stimulating video. Nap and Wake subjects did not differ in their subjective ratings of photographs. However, for Repeated-Set photographs, Nap subjects demonstrated greater inter-session habituation in SCR and EMG but a trend toward lesser inter-session habituation in HRD. These group differences were absent for Novel-Set photographs. Group differences across all measures were greater for negative stimuli. Occurrence of SWS during the nap was associated with greater inter-session habituation of EMG whereas occurrence of REM was associated with lesser inter-session habituation of SCR to negative stimuli. Sleep may therefore promote emotional adjustment at the level of somatic responses. Physiological but not subjective inter-session habituation to aversive images was enhanced by a daytime nap.

Keywords

habituation; emotion; sleep; napping; emotion regulation; psychophysiology

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1. Introduction

It is often hypothesized that sleep plays an emotional regulatory role in healthy humans (Walker, 2009; Walker and van der Helm, 2009) and that disruption of sleep negatively impacts mood (Dinges, Pack, Williams, Gillen, Powell, Ott, Aptowicz, and Pack, 1997; Haack and Mullington, 2005; Zohar, Tzischinsky, Epstein, and Lavie, 2005). Moreover, it is widely suggested that sleep disruption and waking emotion mutually interact in the etiology and perpetuation of mood and anxiety disorders (Franzen and Buysse, 2008; Germain, Buysse, and Nofzinger, 2008; Kim and Dimsdale, 2007; Mellman, 2006; Nielsen and Levin, 2007; Peterson and Benca, 2006). In humans, sleep may play a role in moderating emotional aspects of higher cognitive functions such as resolution of intrapersonal conflict (Cartwright, Luten, Young, Mercer, and Bears, 1998), affectively guided decision making (Killgore, Balkin, and Wesensten, 2006), moral reasoning (Killgore, Day, Li, Kamimori, Balkin, and Killgore, 2006) and recognizing of facial expressions of emotion (van der Helm, Gujar, and Walker, 2010). Similarly, sleep may modulate the impact of emotion on human declarative memory (Payne, Stickgold, Swanberg, and Kensinger, 2008; Walker, 2009). However, sleep may also moderate emotions by phylogenetically primitive learning mechanisms such as habituation and extinction (Pace-Schott, Milad, Orr, Rauch, Stickgold, and Pitman, 2009). Moreover, sleep may be essential for the homeostatic equilibration of stress, reward, autonomic and neuroendocrine systems that is essential to maintaining a euthymic waking mood (McEwen, 2006; Mullington, Haack, Toth, Serrador, and Meier-Ewert, 2009).

Habituation is an evolutionarily ancient mechanism of neuronal plasticity and non-associative learning whereby behavioral and physiological responses during initial exposure to a stimulus diminish with repeated presentations of that stimulus (Grissom and Bhatnagar, 2009; Leussis and Bolivar, 2006; Thompson and Spencer, 1966). Habituation studies in rodents differentiate between intra-session habituation, the decline in a behavior during an exposure session, and inter-session habituation, maintained low or further reduced responses from one session to the next (Leussis and Bolivar, 2006). Both processes are believed to reflect memory phenomena that are to some extent dissociable by their responses to experimental lesions (e.g., hippocampal) and pharmacological manipulations as well as by their genetic determinants (Bolivar, 2009; Leussis and Bolivar, 2006).

Habituation occurs with both biologically salient and neutral stimuli (McSweeney and Murphy, 2009). In humans as well as animals, habituation occurs to aversive stimuli on both behavioral and neuroendocrine levels (Grissom and Bhatnagar, 2009) and it has been argued that such “affective habituation” functions to promote behavioral flexibility by preventing maladaptive panic-like states (Dijksterhuis and Smith, 2002). In addition, subjective and behavioral habituation to emotional stimuli is accompanied by decreasing activity in areas involved in processing and regulating emotion, especially the amygdala and prefrontal cortex (Milad, Wright, Orr, Pitman, Quirk, and Rauch, 2007; Mutschler, Wieckhorst, Speck, Schulze-Bonhage, Hennig, Seifritz, and Ball, 2010; Wilson and Rolls, 1993; Wright, Fischer, Whalen, McInerney, Shin, and Rauch, 2001). Habituation, like extinction, may be a mechanism contributing to the efficacy of exposure therapies (Averill, Malmstrom, Koriat, and Lazarus, 1972; Craske, Kircanski, Zelikowsky, Mystkowski, Chowdhury, and Baker, 2008; Foa and Kozak, 1986; Jaycox, Foa, and Morral, 1998). Uniquely human cognitive mechanisms of emotion regulation, such as re-attribution of the meaning of events, may recruit the neural circuitry of these more basic mechanisms (Delgado, Nearing, Ledoux, and Phelps, 2008; Schiller and Delgado, 2010).

Sleep contributes to the consolidation of emotional memory (Hu, Stylos-Allan, and Walker, 2006; Nishida, Pearsall, Buckner, and Walker, 2009; Wagner, Degirmenci, Drosopoulos, Perras, and Born, 2005; Wagner, Gais, and Born, 2001; Wagner, Hallschmid, Rasch, and

Born, 2006; Walker, 2009). Effects of sleep on emotional memory extend to adaptive modifications of relationships between different memory traces. For example, sleep enhances a trade-off in visual memory insofar as memory for emotional (but not neutral) objects is preferentially remembered (Payne et al., 2008) and sleep promotes generalization of extinction of conditioned fear (Pace-Schott et al., 2009). REM sleep may be especially important for the consolidation and modulation of emotional memory (Levin and Nielsen, 2007; Nishida et al., 2009; Wagner et al., 2001).

Two prior studies investigated sleep effects on emotional habituation using the International Affective Picture System (IAPS) (Lang, Bradley, and Cuthbert, 2008) along with self-ratings of valence (pleasantness vs. aversiveness) and arousability using the Self Assessment Manikin (SAM) (Bradley and Lang, 1994). Wagner et al. (Wagner, Fischer, and Born, 2002) examined SAM valence ratings of repeated (pre-sleep exposed) vs. novel (previously unseen) negative IAPS stimuli and compared these repeated vs. novel ratings differences between groups that had either slept or remained awake across an intersession interval. The group that slept was further divided into subgroups with early night (with SWS-rich sleep) and late night (with REM-rich sleep) sleep opportunities, each with its own wake control over the same time period. After an early-night period, ratings of repeated stimuli were less negative than those of novel images following sleep but more negative following wake. In contrast, after a late-night period, repeated stimuli were more negative following both sleep and wake but this difference was greater following sleep.

Similarly, Lara-Carrasco et al. (Lara-Carrasco, Nielsen, Solomonova, Levrier, and Popova, 2009) compared emotional reactivity to IAPS photographs seen for the second time following overnight sleep during which subjects were either minimally or substantially deprived of REM sleep. As in Wagner et al.'s 2002 study, greater reactivity was observed following the high- vs. low-REM condition. Neither study, however, employed the physiological measures that are known to capture covert or non-conscious expression of emotional responses (Bradley and Lang, 2007).

The current study examined the impact of an afternoon nap on habituation to emotionally aversive IAPS stimuli using physiological as well as subjective measures of emotion. A greater degree of intra-session habituation in comparison to studies cited above was established via repeated presentations of the same stimuli at the first session. It was hypothesized that napping vs. waking intervening between repeated viewings of the same stimuli would lead to enhanced inter-session habituation of emotional responses. It was further hypothesized that sleep facilitation of inter-session habituation would be (1) greater for negatively valenced stimuli, and (2), because habituation is a form of memory, would be specific to previously viewed stimuli rather than being generalized diminished reactivity to all stimuli.

2. Methods

2.1 Participants

Candidate participants were recruited via internet and screened by telephone. Exclusion criteria were self-report of current neurological or medical conditions, use of sleep-altering drugs, night shift work or inability to keep a regular sleep schedule, excessive caffeine (> 5 cups/day) or alcohol (> 12 drinks/week) consumption and average sleep per night < 6 or > 10 hours, as well as any current or history of neurological disorder, significant head trauma, DSM-IV-TR Axis I disorder (including alcohol or drug abuse), sleep disorder or use of psychiatric medication.

Forty-six paid volunteers (31 female), mean age = 20.78 (SD 2.25, range 18–27) were pseudorandomly assigned to Nap or Wake groups. Two Nap-group subjects' data were not analyzed because they failed to sleep more than 10 minutes. One Wake-group subject's data were not analyzed due to curtailed sleep the previous night. The final sample contained 22 Nap (15 females) and 21 Wake (15 females) subjects. Because extinction of fear conditioning varies with phase of the menstrual cycle (Milad, Goldstein, Orr, Wedig, Klibanski, Pitman, and Rauch, 2006), female participants were scheduled for study on a date they estimated would fall during the early follicular phase (day 1–7) of their cycle (a scheduling goal that was successful in 17 of 30 females). All procedures were approved by the Beth Israel Deaconess Medical Center Committee on Clinical Investigation and all subjects provided written informed consent.

Sample size was estimated from subjective data in Wagner et al. (2002) in which early-night sleep vs. wake difference was a trend with an effect size of $d = .81$ that would require 25 subjects to detect a difference at $\alpha = .05$ with 80% power. The sleep vs. wake difference across late night was significant, with $d = .84$ and requiring 18 subjects per group. Our sample size fell between these figures.

2.2 Pre-study week

During the week before the experiment, subjects were asked to maintain a regular sleep schedule with ≥ 7 hours in bed each night and bedtime no later than 2:00 a.m. During this week, subjects were asked to refrain entirely from alcohol, recreational drugs and daytime napping and they filled out a nightly sleep diary (Pace-Schott, Stickgold, Muzur, Wigren, Ward, Hart, Clarke, Morgan, and Hobson, 2005). Subjects were asked not to use caffeine or nap on the study day. Before their study, subjects completed the Pittsburgh Sleep Quality Index (PSQI, Buysse, Reynolds, Monk, Berman, and Kupfer, 1989), Epworth Sleepiness Scale (ESS, Johns, 1994), Spielberger State-Trait Anxiety Inventory-Trait portion (STAI, Spielberger, Gorsuch, and Lushene, 1990) and the Revised NEO Personality Inventory (NEO-PI-R, Costa and McCrae, 1992). The first 4 subjects in each group were not given the NEO-PI-R due to delayed incorporation of this inventory into the experimental protocol.

2.3 Procedure

Participants arrived at 11:00 a.m. and had electrodes applied for PSG, skin conductance response (SCR), electrocardiography (ECG) and corrugator supercilii electromyography (EMG). All subjects were instrumented for PSG whether or not they were to nap in order that Wake-group participants experienced the same physical interventions, with any consequent discomfort, as Sleep-group participants. Note, however that electrode recordings were not obtained from the Wake group. They were then read a scripted introduction, beginning You will be viewing a number of slides some of which contain images of a very disturbing nature such as might be seen by a medical examiner or in a war zone and you may stop your participation at any point. Subjects then practiced making keyboard SAM rating responses and donned headphones before beginning the protocol (Figure 1).

Time-of-day of sessions was standardized because Hot et al. (Hot, Leconte, and Sequeira, 2005; Hot, Naveteur, Leconte, and Sequeira, 1999) have demonstrated diurnal variation of SCRs to emotional pictures. Subjects first viewed a series of IAPS photographs at Session 1. All Nap-group subjects except the initial 4 (18 of 21) were then given a 120-min sleep opportunity (of the the initial 4, 3 were allowed 100 min and 1 only 55 min due to fire alarm) with polysomnography (PSG). Wake subjects watched non-arousing videos; 17 watched *Planet Earth* (by BBC, segments: "Seasonal Forest and" "Ocean Deep"). The remaining 4 Wake-group subjects viewed all of one and sometimes a portion of another non-violent popular film (e.g., *Austin Powers*, *Royal Tennenbaums*, *Shreck*, and *Lion King*).

Subjects were checked regularly to ensure that they remained awake and they were aware of this visual monitoring. No instance of sleep was observed nor did any subject appear to be having difficulty remaining awake.

After a 2.5-hr inter-session interval, subjects viewed a second series of IAPS photographs at Session 2. Table 1 shows sessions' mean start time, end time and duration as well as nap durations. Immediately before beginning and after ending each session, subjects completed the Stanford Sleepiness Scale (SSS, Hoddes, Zarcone, Smythe, Phillips, and Dement, 1973) and the Positive and Negative Affect Schedule (PANAS, McNair, Lorr, and Droppleman, 1981). Following the second session, subjects were debriefed and had electrodes removed.

Stimuli were presented using SuperLab 2.0 (Cedrus Corporation, San Pedro, CA) on a Compaq PC with 17-inch monitor. Subjects sat in a comfortable chair with their dominant arm resting on a pillow and their dominant hand positioned over the keyboard keypad for SAM responses. Subjects were alone in the study room and were monitored via web-cam.

2.4 Stimuli and rating scales

Stimuli were chosen from the IAPS (Lang et al., 2008), a collection of emotion-eliciting color photographs standardized on large samples of healthy subjects using 9-point rating scales, of "Valence" (pleasant to unpleasant) and "Arousal" (arousing to unarousing). Six highly negatively rated stimuli ("Negative") and 6 stimuli of neutral to mildly positive valence ("Neutral") were matched for standardized IAPS arousal ratings and divided into three groups of 4 stimuli each of which contained 2 different Negative and 2 different Neutral stimuli (Table 2). Using these 4-stimulus groups, 3 series of 20 photographs each were created (stimulus sets A, B and C) in which each of the 2 Negative and 2 Neutral photographs were repeated 5 times. Within each stimulus set, stimuli were pseudorandomly intermingled with no particular stimulus occurring more than once in succession and no more than 2 Negative or Neutral stimuli occurring in succession.

Six alternate versions of these 3 stimulus sets were counterbalanced across subjects. Two stimulus sets (40 stimuli) were shown at each of Sessions 1 and 2 (80 stimuli across both sessions). In each alternate version, one of the three stimulus sets ("Repeated Set") was shown at the beginning of both Session 1 and Session 2 (Figure 1) and each stimulus set was the Repeated Set in 2 of the 6 alternate versions. One of the remaining two stimulus sets followed the Repeated Set at Session 1 and the other at Session 2 ("Novel Sets"). When shown at Session 2, the Repeated Set contained either a negative or neutral stimulus at the same ordinal positions as in Session 1. However, to minimize expectancy based on memory of stimulus order, we reversed the order of the 2 negative and 2 neutral stimuli across each of their 5 repetitions.

To habituate subjects' initial orienting response to IAPS stimuli, Session 1 began with 5 IAPS stimuli rated neutral on valence and low on arousal scales (mean valence 5.14, mean arousal 2.49). A different set of 5 stimuli began Session 2 (valence 5.17, arousal 2.83). Before the first IAPS stimulus appeared and at the very end of each session, a 10-msec 80 dB white-noise startle sound was delivered through headphones. This startle noise provided non-specific stimulation at an intensity sufficient to elicit strong SCRs via a combination of orienting and startle responses (Turpin, Schaefer, and Boucsein, 1999) in order to test sympathetic reactivity unrelated to IAPS stimuli prior to and after viewing IAPS stimuli.

IAPS stimuli each appeared for 6 seconds followed by a 3-sec black screen after which SAM Valence and Arousal scales appeared. The SAM scales consist of two series of cartoon-like figures depicting 5 levels of valence and arousal that, with intermediate steps, generate 9-point, non-verbal rating scales (Bradley and Lang, 1994). Subjects selected their

responses to the preceding stimulus using the keyboard number pad. The Valence scale appeared first and, immediately after its selection was made, the Arousal scale appeared. (Note: the negative pole in the current Valence SAM was 9 vs. the “1” in the IAPS standard ratings shown in Table 2.) After arousal ratings, a black screen reappeared for an inter-stimulus interval (ISI) varying between 17 and 29 sec (in 3-sec increments) before the next IAPS stimulus appeared. The final startle sound followed the last ISI.

2.5 Psychophysiological measurements

SCR, ECG and EMG were recorded using the MP35 data acquisition unit (BIOPAC Systems, Inc., Goleta, CA) and BIOPAC Student Lab Pro 3.7.2 software. SuperLab-triggered square pulse outputs immediately preceded each IAPS stimulus (or startle sound) and were transmitted to the MP35 using a BIOPAC STP100 optical interface. Square pulse signals were acquired synchronously with ongoing recording allowing precise alignment of each stimulus onset with physiological data. Sampling rate was 2000 Hz.

Skin conductance level (SCL), a reliable index of sympathetic activation (Dawson, Schell, and Filion, 2007), was recorded using 2 BIOPAC EL504 disposable adhesive sensors, separated by 10 mm, attached to the hypothenar surface of the non-dominant hand. SCR was computed by subtracting the mean SCL in microSiemens (μS) during the 2 seconds preceding stimulus onset from the maximum SCL during seconds 2–6 following stimulus onset. SCRs were square-root transformed and, if the untransformed SCR was negative, the negative sign was retained after calculating the square root of the SCR’s absolute value (Orr, Metzger, Lasko, Macklin, Peri, and Pitman, 2000).

ECG was recorded using two BIOPAC EL503 electrodes attached to the torso over the first intercostal space (right) and below the lowest rib the subject could feel (left). Heart rate (beats-per-minute; BPM) was calculated by the software and heart-rate deceleration (HRD), a replicated phasic response to negative IAPS stimuli (Bradley, Codispoli, Cuthbert, and Lang, 2001; Bradley and Lang, 2007; Lang, Bradley, and Cuthbert, 1997; Moratti, Keil, and Stolarova, 2004) that has been shown to persist across the entire 6 sec of IAPS viewing (Bradley et al., 2001; Moratti et al., 2004), was computed by subtracting the mean BPM during the 2 seconds preceding stimulus onset from the minimum BPM during the 6-sec stimulus presentation.

Corrugator supercilli EMG, a reliable index of both overt and covert negative emotion (Bradley and Lang, 2007), was recorded using two BIOPAC EL254S Ag-AgCl 4-mm TP shielded recording electrodes filled with CG04 Saline Base Signa Gel. Electrode attachment followed Fridlund and Cacioppo (1986). Impedance was maintained below 10 KOhm. EMG was integrated over a 250-msec time constant and response to each stimulus was calculated by subtracting the mean signal amplitude (in μV) during the 2 seconds preceding stimulus onset from the maximum amplitude during the 6-sec stimulus presentation.

2.6 Polysomnography (PSG)

Using a Grass Colleague system, PSG was recorded digitally with 4 channels of EEG (C3, C4, F3, and F4), 2 channels of EOG (both outer canthi, one above and one below the eye), and 1 channel of submental EMG. EEG and EOG were referenced to contralateral mastoids (A1, A2). Impedance was maintained below 10 KOhm. Sampling rate was 256 Hz with 0.3 Hz high-pass, 35 Hz low-pass and 60 Hz notch filters. Records were visually scored by an expert polysomnographer (M.T.) following new American Academy of Sleep Medicine criteria (Iber and al., 2007).

2.7 Outcome variables and habituation indices

Psychophysiological (SCR, HR, EMG) responses and SAM Valence and Arousal ratings were the main outcome variables. Within each Session (Session 1 and 2) each variable's data were first sorted by stimulus set (Repeated and Novel Sets), then by Valence (Negative, Neutral), then by the order in which they were seen (first to fifth "Trials"). Lastly, responses to the 2 different negative and 2 different neutral stimuli were averaged for each Trial. Individual dependent-variable data points always refer to such per-Trial, 2-stimulus means. To control for inter-individual variability, each subject's psychophysiological responses from both sessions were range-corrected (Lykken and Venables, 1971) using their respective maximum response to an IAPS stimulus during the first half of Session 1 (Repeated Set) or the second half of Session 1 (Novel Sets).

One subject in the Wake group was missing the last of five trials (2-stimulus means) for Negative and Neutral stimuli in the Novel Set at Session 2. These two missing values for each outcome variable were replaced by the mean of their respective preceding four Negative or Neutral responses respectively. In the Novel Sets, one sleep group subject's SCR data and one Wake group subject's EMG data were not analyzed due to extreme values.¹ EMG data from 2 subjects and HRD data from 1 subject were not analyzed due to poor quality recording. The final number of subjects analyzed for each outcome variable is shown in Tables 3 and S1–S4.

For correlative analyses, a Habituation Index (HI) was created for each outcome variable for Negative stimuli only of the Repeated Set. For SCR, HRD and EMG, a percentage-based inter-session HI was adapted from an analogous extinction retention index (Milad et al. 2007) as follows: $HI = 100\%$ minus the mean of all 5 trials at Session 2 divided by the mean of all 5 trials at Session 1 expressed as a percent. For SCR HI, negative values were first converted to zero to avoid ambiguous percentages. For SAM Valence ratings, an inter-session HI was the mean of all five ratings at Session 2 subtracted from the mean of all five ratings at Session 1 whereas for SAM Arousal ratings, this subtraction was reversed. Therefore, for each HI, greater inter-session habituation was represented by larger numbers.

2.8 Statistical Analyses

Two omnibus methods were first used to analyze large portions of these data prior to the more detailed analyses below in order to initially minimize the number of analyses and the risk of Type I error. First, each outcome variable was analyzed separately in Repeated and Novel Sets with pooled Negative and Neutral stimuli using 4-factor mixed ANOVA (between-subjects factor: Group; within-subject factors: Trial nested in Session nested in Valence) (Table S1). Secondly, MANOVA was performed on Habituation Indices for the three physiological variables (that were all similarly computed as percentages).

Separate analyses for each outcome variable were carried out on Session-1 data for Negative and Neutral stimuli in Repeated and Novel Sets using 2-factor mixed analyses of variance (ANOVA). The between-subjects variable was Group (Nap, Wake) and the within-subject variable was Trial (Trials 1–5). Intra-session habituation at Session 1 was measured by the main effect of Trial and the Group factor tested for baseline group differences. Both sessions' data were then similarly analyzed for inter-session habituation to Negative and

¹One Sleep group subject's very low Session-1 maximum unadjusted SCR (.05 microSiemens) to Novel Sets stimuli was followed by more typical responses for Session-2, Trial-1 SCRs to Negative and Neutral stimuli (.778 and .346 microSiemens respectively). This resulted in adjusted Trial-1 SCRs at Session 2 that were 14 and 8 standard deviations respectively above the overall sample means for Novel-Set SCRs. Because Session 1's low SCRs were likely due to a recording defect, this subject's Novel-Set SCR data were not analyzed. Similarly in one Wake subject, adjusted EMG at Session 2 to Trial 1 of Neutral stimuli and Trial 4 of Negative stimuli were 13 and 6 standard deviations respectively above the overall sample means for Novel-Set EMGs.

Neutral stimuli in Repeated and Novel Sets using 3-factor mixed ANOVA, nesting Trial within an additional within-subject variable, Session (Sessions 1 and 2). In Novel Sets, because stimuli differed between sessions, diminished responding at Session 2 is termed inter-session “generalized habituation”. Overall inter-session habituation (or generalized habituation) was measured by the main effect of Session and group differences in inter-session habituation (or generalized habituation) were determined from Group \times Session interactions. Significant interactions were then decomposed by Group. The Greenhouse-Geisser correction for sphericity was applied to within-subject main effects and their interactions.

Relationships between PSG variables and inter-session habituation to Negative stimuli in the Sleep group were measured in two ways. First, for each outcome variable, presence vs. absence of SWS (SWS, no SWS) and REM (REM, no REM) were separately added as between-subjects factors to 3-factor mixed ANOVAs with REM (or SWS) vs. no REM (no SWS) as a between subjects factor and Trial nested in Session as within-subject factors. Second, regressions were performed using 7 sleep parameters (sleep efficiency and minutes of total, N2, SWS and REM sleep as well as sleep and REM latencies) as predictors of each variable’s HI. Regression also analyzed relationships of psychological-state, sleep/sleepiness as well as trait measures with HI.

3. Results

3.1 Group characteristics and sex differences

Using un-paired t-tests, Wake and Nap groups did not significantly differ in age, sex ratio (Chi-squared), ESS, PSQI, STAI, subscales of the NEO-PI-R, mean diary-recorded sleep duration over the prior week and on the night before study, start time and duration of sessions or the inter-session interval (Table 1). Effects on HI of Sex (Male, Female), phase of menstrual cycle in females (Early follicular, all others) and of specific Repeated Sets (A,B,C) were computed by unpaired t-tests and 1-way ANOVA respectively. The only significant difference among the HI for the 5 outcome variables was greater HI in females for SAM Valence ratings [$F(1,41) = 5.19, p = .028$]. Therefore, sex differences were unlikely to have altered the observed pattern of group differences.

3.2 Habituation of physiological and subjective responses to IAPS stimuli

Omnibus tests provided clear evidence for overall group differences among individual physiological but not subjective (SAM) measures. First, a four-factor mixed ANOVA (see *Statistical Analyses* above) showed a significant Group \times Session interaction for Repeated Sets SCR [$F(1,41) = 4.08, p = .05$] and EMG [$F(1,39) = 6.66, p = .014$] as well as a trend for HRD [$F(1,40) = 2.84, p = .10$] (Table S1). These interactions were, however, not observed in Novel Sets: SCR [$F(1,40) = .00, p = .95$], HRD [$F(1,40) = .55, p = .46$] or EMG [$F(1,38) = 1.81, p = .19$].

Second, MANOVA showed a trend for overall difference between Habituation Indices for physiological variables in the two groups (Wilks Lambda = .808, $F(3,34) = 2.69, p = .062$) with significant post-hoc ANOVAs for SCR HI [$F(1,36) = 5.40, p = .026$] and EMG HI [$F(1,36) = 4.69, p = .037$] but not HRD HI [$F(1,36) = 1.23, p = .27$]. [Sample size was reduced (Nap N = 21, Wake N = 17) because EMG and HRD data were unusable for 2 and 1 participants respectively and because Session-1 mean SCRs to Negative stimuli of 2 participants, being negative numbers, became zero denominators in the formula for SCR HI.]

Table 3 (SCR results) in the following section illustrates the ANOVA analyses performed on each outcome variable. Tables S1-S5 in Supplementary Materials provide complete

ANOVA analyses of each additional outcome variable along with brief interpretation of each result. Analyses excluding the first 8 participants are also provided in Supplementary Materials.

3.2.1 SCR—At Session 1, Repeated Set SCR showed strong intra-session habituation and no baseline group differences for both Negative and Neutral stimuli (Table 3). In the Repeated Set, SCR to Negative stimuli also showed significant overall inter-session habituation as well as a significant Group \times Session interaction indicating a group difference in inter-session habituation (Table 3, Figure 2a). Decomposing this interaction by Group revealed inter-session habituation (significant main effect of Session) in the Nap group [$F(1,21)=13.65, p=.001$] but not in the Wake group [$F(1,20)=.56, p=.46$] (Figure 2a). However, Repeated-Set Neutral stimuli showed neither overall inter-session habituation nor a group difference in inter-session habituation (Table 3, Figure 2a). The HI for SCR trended higher in Nap vs. Wake groups, [$F(1,39) = 3.46, p = .07$].

At Session 1, Novel Set SCR also showed strong intra-session habituation and no baseline group differences for both Negative and Neutral stimuli (Table 3). However, for Novel Sets SCR, neither showed overall inter-session generalized habituation nor a group difference in generalized habituation for either Negative or Neutral stimuli (Table 3, Figure 2b).

In addition to inter-session habituation, sleep-related changes in non-specific sympathetic reactivity might have influenced SCR. Therefore, SCR to the 4 startle sounds were also compared between groups with 3-factor mixed ANOVA using the between-subjects-factor Group and the within-subject factors, Position (Beginning of session, End of session) nested in Session. There was no Group main effect [$F(1,40^2) = .06, p = .81$], Group \times Session interaction [$F(1,40) = .40, p = .53$] or Group \times Session \times Position interaction [$F(1,40) = .65, p = .42$]. Therefore the SCR Group \times Session interaction was specific to IAPS stimuli and napping diminished SCR only to previously seen, Negative stimuli.

3.2.2 HRD—At Session 1, Repeated Set HRD showed neither intra-session habituation nor baseline group differences for both Negative and Neutral stimuli (Table S2). There was also no overall inter-session habituation of HRD to Negative or Neutral stimuli nor was there an overall inter-session habituation group difference to Repeated Set Neutral stimuli. There was, however, an inter-session habituation group difference (Group \times Session interaction) trend for HRD to Repeated Set Negative stimuli [$F(1,40) = 3.67, p=.062$] (Figure 3a). Decomposing this interaction by Group revealed significant HRD inter-session habituation in the Wake [$F(1,19)=4.39, p=.05$] but not the Nap group [$F(1,21) = .07, p=.80$] (Figure 3a). The HI for HRD also trended higher in Nap vs. Wake groups, [$F(1,40) = 3.37, p = .074$].

At Session 1, Novel Sets HRD showed intra-session habituation that was a trend for Negative stimuli and significant for Neutral stimuli. However, neither Negative nor Neutral stimuli showed baseline group differences (Table S2). Novel Sets HRD to Negative but not Neutral stimuli showed overall inter-session generalized habituation (Table S2). In addition, Novel Sets HRD showed an inter-session generalized habituation group difference (Group \times Session interaction) trend for HRD response to Neutral but not Negative stimuli [$F(1,40) = 3.53, p=.068$] (Figure 3b). Decomposing by group showed no significant inter-session generalized habituation in the Nap group [$F(1,21) = .49, p=.49$] but a near-trend toward an HRD increase (generalized *sensitization*) [$F(1,19) = 2.87, p=.11$] in the Wake group (Figure 3b).

²Responses to the fourth startle sound were not available for the single subject whose data were missing responses to the last 2 stimuli of Novel Set Negative, Novel Set Neutral IAPS images (See Methods, 2.7 *Outcome variables and habituation indices*) as well as to the final startle sound.

3.2.3 Corrugator EMG—At Session 1, Repeated Set EMG showed an intra-session habituation trend for Negative but not Neutral stimuli and neither Negative nor Neutral stimuli showed a baseline group difference (Table S3). Neither Negative nor Neutral stimuli showed overall inter-session habituation, however Negative stimuli showed a group difference for inter-session habituation as a significant Group \times Session interaction [$F(1,39) = 5.69, p = .022$] that was also a trend for Neutral stimuli [$F(1,38) = 3.67, p = .062$] (Figure 4a). Decomposing this interaction by Group in the Repeated Set Negative stimuli showed significant inter-session habituation in the Nap group [$F(1,20) = 7.80, p = .011$] but not the Wake group [$F(1,19) = 1.73, p = .20$] (Figure 4a). Similarly, in the Neutral stimuli, there was inter-session habituation in the Nap group [$F(1,20) = 8.73, p = .008$] but not the Wake group [$F(1,19) = 1.44, p = .24$] (Figure 4a). Notably, however, whereas the direction of change in EMG across sessions in the Nap group was a decrease, indicating habituation, in the Wake group it was a non-significant *increase*, indicating *sensitization* (Figure 4a). The HI for EMG was also significantly higher in Nap vs. Wake groups, [$F(1,39) = 5.60, p = .023$].

At Session 1, Novel Set EMG showed strong intra-session habituation for Negative but not Neutral stimuli and neither showed a baseline group difference (Table S3). There was also an overall inter-session generalized habituation trend for Negative but not Neutral stimuli. For Neutral stimuli there was also a trend toward a Group \times Session interaction [$F(1,38) = 3.67, p = .063$] indicating a group difference in inter-session generalized habituation (Figure 4b). Decomposing this interaction showed no inter-session generalized habituation in the Nap group [$F(1,20) = .93, p = .35$] but non-significant generalized *sensitization* [$F(1,18) = 2.31, p = .15$] in the Wake group (Figure 4b).

3.2.1 SAM valence and arousal ratings—In the Repeated Set, SAM Valence ratings showed Session 1 intra-session habituation for Neutral but not Negative stimuli, the latter of which showed only a near trend (Table S4). Neither Repeated Set Neutral nor Neutral stimuli showed a baseline group difference. The Repeated Set showed strong overall inter-session habituation for both Negative and Neutral stimuli but lack of a Group \times Session interaction for Negative stimuli indicated similar inter-session habituation in the two groups. Among Neutral stimuli, however, a Group \times Session interaction trend [$F(1,41) = 4.0, p = .052$] reflected a main effect of Session in the Wake [$F(1,20) = 10.05, p = .005$] but not the Nap group [$F(1,21) = .83, p = .37$]. This interaction resulted from non-significantly more positive Session 1 ratings of Neutral stimuli in the Wake group followed by similar Session-2 Valence ratings between both groups. Novel Sets showed Session-1 intra-session habituation and no baseline group difference for both Negative and Neutral stimuli (Table S4). There was neither overall inter-session generalized habituation nor a group difference in inter-session generalized habituation.

Repeated Set SAM Arousal ratings showed nearly identical results as SAM Valence ratings except that both Negative and Neutral stimuli showed Session-1 intra-session habituation and neither Negative nor Neutral stimuli showed a group difference in inter-session habituation (Table S5). In the Novel Sets, SAM Arousal ratings showed an identical pattern to SAM Valence ratings (Table S5).

3.3 Comparison of SSS and PANAS between groups and correlations between HI and subjective state, sleep/sleepiness and trait variables

SSS was analyzed in the same manner as SCR response to the startle (see above) and showed a Group \times Session \times Position interaction [$F(1,41) = 5.81, p = .021$]. Decomposing by Group, the Nap group showed a significant Session \times Position interaction [$F(1,41) = 14.25, p = .001$]. Decomposing this interaction and comparing the Beginning and End of each session (2 comparisons, critical alpha = .025), the Nap group revealed a significant increase

in sleepiness across Session 1 ($F=9.49$, $p=.006$) but a trend decrease across Session 2 ($F=5.10$, $p=.035$). In contrast, in the Wake group, there was no Session \times Position interaction but a Position main effect trend [$F(1,20)=3.14$, $p=.092$] indicated a sleepiness increase across both sessions.

For PANAS a third within-subjects factors, Valence (Positive, Negative) was nested in Position that was in turn nested in Session. Neither the 3-way interaction, Group \times Session \times Valence [$F(1,41)=.82$, $p=.37$] nor the 4-way interaction Group \times Session \times Valence \times Position [$F(1,41)=.00$, $p=.97$] were significant, indicating similar changes in overall positive and negative mood across sessions in the two groups.

There were no correlations at $p < .05$ between each of the 5 outcome variables' HI and SSS or PANAS scores at the beginning of Session 2. Similarly, each variable's HI did not correlate with individuals' habitual, self-reported sleep quality (PSQI) and sleepiness (ESS). Lastly, there were no significant correlations between each variable's HI and the STAI, NEO-PI-R Neuroticism or NEO-PI-R Extraversion trait variables.

3.4 Polysomnography (Nap group, Repeated Set and Negative stimuli only)

Table 4 shows sleep parameters for the Nap group. Among the 22 Nap subjects, 14 (63.6%) entered SWS, 15 (68.2%) entered REM and 9 (41%) entered both sleep stages.

For EMG, the SWS factor (SWS vs. no SWS) showed a significant SWS \times Session interaction [$F(1,19) = 4.84$, $p = .04$]. Decomposing by SWS showed a highly significant reduction in EMG at Session 2 among who entered [$F(1,13) = 12.79$, $p = .003$] but not those who failed to enter [$F(1,6) = .00$, $p = .97$] SWS (Figure 5a). Notably, HI for EMG was significantly higher for subjects entering SWS [$F(1,19) = 4.67$, $p = .044$]. No other dependent variable showed a significant interaction of Session with the SWS factor.

For SCR, the REM factor (REM vs. no REM) showed a significant REM \times Session interaction [$F(1,20)=9.19$, $p=.007$]. Decomposing this 2-way interaction by the REM factor, showed a highly significant Session main effect [$F(1,6) = 31.68$, $p = .001$] among subjects *not* entering REM whereas, in subjects entering REM, this was only a trend [$F(1,14) = 3.25$, $p=.093$] (Figure 5b). The HI for SCR trended higher for subjects *not* entering REM [$F(1,20) = 3.74$, $p = .067$]. No other dependent variable showed a significant interaction of Session with the REM factor.

Regression models predicting each of 5 HI's with each of 7 sleep variables individually and in a step-wise manner yielded no findings at the $p < .05$ level (except 2 relationships attributable to outlying values). Notably, however, a positive correlation of HI for EMG with minutes of SWS (which also showed the categorical effect above) was a near trend ($R = .36$, $p = .11$). This was not the case, however, for a negative correlation between HI for SCR and minutes of REM ($R = .25$, $p = .26$). There were no correlations between any HI measure and self-reported amount of sleep on the night before or means of the week before the study day.

4. Discussion

A nap versus an equal duration of waking, following repeated intra-session exposure to highly negative and equally arousing, neutral IAPS pictorial stimuli, resulted in greater inter-session habituation to previously seen stimuli in SCR and corrugator EMG responses but not in subjective ratings. EMG responses to previously seen stimuli were, in fact, augmented (sensitized) in the Wake but not the Nap group, a phenomenon that has been previously reported in waking subjects (Bradley, Cuthbert, and Lang, 1996). HRD behaved

oppositely with greater inter-session habituation to previously seen stimuli in the Wake group. Novel (non-repeated) stimuli did not display significantly different inter-session group effects. Therefore, significant effects of napping on inter-session habituation were specific to previously seen stimuli. In the Nap group, occurrence of SWS during napping was associated with more inter-session habituation of EMG to Negative stimuli whereas occurrence of REM was associated with less inter-session habituation of SCR to Negative stimuli.

4.1 Differing nap effects on objective vs. subjective responses

Recent findings suggest a possible explanation for discrepant effects of napping on physiological and subjective habituation. D-cycloserine (DCS), a partial N-methyl D-aspartate (NMDA) agonist, augments extinction of conditioned fear in rodents and in anxiety disordered patients but not healthy humans (Grillon, 2009). Grillon, (2009) posits that human extinction learning involves both high-level, cognitive processes and autonomically instantiated learning mechanisms that humans share with other mammals. These processes become dysregulated in anxiety disorders and Grillon suggests that DCS augments learning and memory only in these lower-level systems. Certain NMDA-dependent processes are dependent on sleep (Kopp, Longordo, Nicholson, and Luthi, 2006) and therefore, like DCS, sleep may enhance the plasticity underlying habituation and extinction in limbic and central autonomic circuits. Notably, key structures in autonomic and emotional responses such as the hypothalamus, amygdala and midbrain also take part in sleep regulatory circuits (Pace-Schott and Hobson, 2002). In contrast, cortical systems associated with cognitively mediated emotion regulation (Ochsner and Gross, 2005) may be less sensitive to both sleep and pharmacological effects on NMDA-dependent processes involved in somatovisceral learning. Nonetheless, an alternative possibility remains that the 9-point, Likert-style SAM scales, albeit non-verbally mediated, may not elicit sufficient interoceptive discrimination to detect subtle changes in somatovisceral responses.

4.2 Opposing effects of Nap on HRD vs SCR and corrugator EMG

HRD in response to negatively valenced stimuli is a widely replicated phenomenon (Bradley and Lang, 2007) that habituates with repeated stimulus presentation in some (Averill et al., 1972) but not all experimental paradigms (Bradley, Lang, and Cuthbert, 1993). Habituation of HRD across inter-session waking but not napping might be explained by differential influences of sleep on parasympathetic vs. sympathetic control of physiological responses. HRD to external stimuli is mediated by parasympathetic mechanisms (Porges, 2007). NREM sleep, and particularly SWS, is known to reduce sympathetic and increase parasympathetic influence on cardiovascular parameters (Brandenberger, Ehrhart, Piquard, and Simon, 2001; Trinder, Kleiman, Carrington, Smith, Breen, Tan, and Kim, 2001). Moreover, brief naps have been shown to increase the high frequency component of heart rate variability indicative of increased parasympathetic influence (Takahashi, Fukuda, and Arito, 1998). Therefore, a nap may up-regulate central parasympathetic outflow, augment the capacity of a stimulus to mediate an HRD response and thereby counteract any prior habituation of HRD. In contrast, following continuous waking, HRD habituation would remain un-opposed. During the latter half of Session 2, nap-related augmentation of parasympathetic outflow may have dissipated thereby allowing habituation to be expressed by the time novel stimuli were presented.

4.3 Neural mechanisms for sleep augmentation of habituation

How might sleep augment physiological habituation to previously encountered stimuli? Negative IAPS stimuli activate limbic and paralimbic brain regions associated with processing negative emotions (e.g., fear) such as the amygdala (Grimm, Schmidt, Bermpohl, Heinzl, Dahlem, Wyss, Hell, Boesiger, Boeker, and Northoff, 2006; Hariri, Mattay,

Tessitore, Fera, and Weinberger, 2003) as well as networks associated with disgust including the striatum and anterior insular and lateral prefrontal cortices (Caseras, Mataix-Cols, An, Lawrence, Speckens, Giampietro, Brammer, and Phillips, 2007; Mataix-Cols, An, Lawrence, Caseras, Speckens, Giampietro, Brammer, and Phillips, 2008). These structures are part of the midline limbic and paralimbic regions that undergo marked changes across the different sleep stages including prominent deactivation in SWS (Braun, Balkin, Wesenten, Carson, Varga, Baldwin, Selbie, Belenky, and Herscovitch, 1997; Dang-Vu, Desseilles, Laureys, Degueldre, Perrin, Phillips, Maquet, and Peigneux, 2005; Kaufmann, Wehrle, Wetter, Holsboer, Auer, Pollmacher, and Czisch, 2006) and reactivation to levels equaling or exceeding waking during REM (Braun et al., 1997; Maquet, Peters, Aerts, Delfiore, Degueldre, Luxen, and Franck, 1996; Nofzinger, Mintun, Wiseman, Kupfer, and Moore, 1997).

During habituation to emotional stimuli in humans, initially high activity in the amygdala declines over repeated stimulus presentations (Breiter, Etcoff, Whalen, Kennedy, Rauch, Buckner, Strauss, Hyman, and Rosen, 1996; Wright et al., 2001). The amygdala, in concert with the hippocampus, mediates the processing (McGaugh, 2004; Phelps, 2004) as well as the expression of emotional memories, the latter under regulation by the ventromedial prefrontal cortex (vmPFC) (Milad, Rauch, Pitman, and Quirk, 2006). Both the amygdala and vmPFC are part of strongly sleep-modulated midline regions (Nofzinger, Mintun, Wiseman, Kupfer, and Moore, 1997) and sleep deprivation augments amygdala response to negative IAPS stimuli while diminishing its functional connectivity with the vmPFC (Yoo, Gujar, Hu, Jolesz, and Walker, 2007). Therefore, sleep may enhance consolidation of inter-session habituation by regulating interactions between the amygdala and vmPFC. Such consolidation may, in turn, modulate forebrain influence on autonomic control centers such as the hypothalamus (Barbas, Saha, Rempel-Clower, and Ghashghaei, 2003), and somatic expressions of emotion they control, when habituated stimuli are again encountered.

4.4 Sleep stages associated with habituation, relevance to emotion regulation

In the Nap group, lower SCR habituation in subjects who entered REM suggests that this sleep stage, one that is more sympathetically active than SWS (Trinder et al., 2001), may oppose inter-session SCR habituation. In contrast, greater EMG habituation in subjects entering SWS, a stage more parasympathetically active than REM (Trinder et al., 2001), suggests that SWS may enhance inter-session habituation of corrugator EMG responses to negative stimuli. It is tempting then to speculate that REM, a highly brain-activated state, may act to adaptively reinforce emotional salience (see also Wagner et al., 2002 and Lara-Carrasco et al., 2009) and thereby reduce habituation, whereas SWS may promote return to emotional homeostasis. The latter role of SWS may be analogous to its proposed homeostatic roles related to sleep need (Borbely and Achermann, 2005) as well as to the role of sleep in moderating allostatic load (McEwen, 2006).

4.5 Can peripherally measured affective habituation reflect memory processes?

Changes in peripheral autonomic responses to specific stimuli (e.g., conditioning, extinction, habituation) reflect central neuroplasticity induced by previous exposure to such stimuli (Rankin, Abrams, Barry, Bhatnagar, Clayton, Colombo, Coppola, Geyer, Glanzman, Marsland, McSweeney, Wilson, Wu, and Thompson, 2009; Schiller and Delgado, 2010). For example, plastic changes in basolateral amygdala support fear conditioning and its extinction while plasticity in vmPFC (infralimbic cortex in rat) subsequently consolidates extinction memory and supports its later expression via inhibition of amygdala output to autonomic effectors (Quirk and Mueller, 2008). Notably, functional responses in similar prefrontal and amygdala regions have been shown to accompany the acquisition of

emotional habituation in humans (Milad et al., 2007; Mutschler et al., 2010; Wright et al., 2001).

In the case of conditioning and extinction in humans, an autonomic response (SCR) has been the gold-standard peripheral measure of fear conditioning and extinction (reviewed in Sehlmeier, Schoning, Zwitserlood, Pfliegerer, Kircher, Arolt, and Konrad, 2009). Habituation can be similarly measured not only by decreased overt behavioural responses but also by simple reflexes, autonomic changes such as SCR, motor-neuron potentials and neuroendocrine responses (Rankin et al., 2009). For example, habituation in the hypothalamic-pituitary adrenal (HPA) axis to repeated stress displays memory phenomena such as long-term maintenance, spontaneous recovery, contextual sensitivity and generalization, the same phenomena observed in conditioning and extinction (Grissom and Bhatnagar, 2009). These latter investigators argue that HPA habituation involves lasting memory traces that are dependent on plasticity in forebrain limbic circuits similar to those that support other forms of emotional learning. The phenomena of dishabituation (whereby a different strong stimulus restores responding to an habituated stimulus), stimulus specificity (whereby a change in stimulus restores responding to an habituated stimulus), and spontaneous recovery similarly distinguish habituation from sensory adaptation, fatigue, endocrine negative-feedback mechanisms and other memory-independent processes (Grissom and Bhatnagar, 2009; Rankin et al., 2009).

Additional evidence suggesting that habituation involves memory processes comes from fear conditioning experiments where a habituation procedure is added to extinction—the repeated presentation of a conditioned stimulus (CS) in the absence of the unconditioned stimulus (US). In rats, habituation to a US by its repeated presentation, termed “devaluation of the US”, additively diminishes conditioned responding to an extinguished CS (Storsve, McNally, and Richardson, 2010). Moreover, devaluation of the US shares with extinction context specificity, reinstatement phenomena and sensitivity to NMDA antagonism (Storsve et al., 2010).

It should be noted that corrugator EMG responses, the variable for which we noted greatest Nap-dependent facilitation of inter-session habituation, is not an autonomic response, but rather the output of central regions that underlie expression of negative affect (Diesch and Flor, 2007; Lanteaume, Khalfa, Regis, Marquis, Chauvel, and Bartolomei, 2007; Lee, Josephs, Dolan, and Critchley, 2006). Habituation of corrugator EMG (or its sensitization as occurred in the Wake group) to aversive images is presumably initiated by experienced-based neuroplastic changes in cortical (e.g., insula) and subcortical (e.g., amygdala) limbic areas that receive input from visual areas and send output to premotor and motor areas that support CNS control of facial musculature.

The current findings are consistent with Walker’s model of sleep-mediated regulation of emotional memory whereby emotionally labeled memories are concurrently strengthened in terms of the core memory but the affective charge associated with the memory is weakened (Walker, 2009; Walker and van der Helm, 2009). The current findings clearly support the latter postulate, that the emotional charge may be reduced by sleep. However, limiting exposure to 12 IAPS stimuli precluded testing a benefit on the core memory due to ceiling effects. Interestingly, whereas Walker et al. postulate a specific role of REM in promoting emotion regulation, the current findings instead implicate SWS in moderating negative aspects of a previously encountered negative stimulus insofar as it is expressed by muscles of facial expression. In contrast, entry into REM appears to diminish affective habituation insofar as it is measured by SCR. Notably, these two measures appear to load on different factors with facial EMG responsive to the pleasant to unpleasant (valence) continuum and

SCR reflecting the arousal dimension (Bradley and Lang, 2007; Lang, Greenwald, Bradley, and Hamm, 1993).

4.6 Methodological issues

The lack of a Group \times Session \times Valence interaction for HRD and corrugator EMG in the Repeated Set (see Table S1), despite a stronger Group \times Session interaction among Negative vs. Neutral stimuli analyzed separately (Tables 3 and S2–S5), suggests loss of statistical power due to high variability in these data. In HRD data, sub-groups of normal subjects who have acceleratory (vs. deceleration) heart rate responses to negative IAPS stimuli (Sanchez-Navarro, Martinez-Selva, and Roman, 2006) may have further increased such variability. In the case of SCR, absence of this 3-way interaction is not surprising because general arousal is a greater determinant of SCR magnitude than valence (Bradley and Lang, 2007) and stimuli in the current study were matched on this dimension. Nonetheless, for SCR, Negative stimuli again showed a greater Group \times Session interaction than Neutral stimuli.

Another limitation of this study involves the potential effects of viewing the videos on the Wake group. Although videos were selected to be neutral and unarousing by conventional standards, there undoubtedly exist individual differences in the strength and valence of emotional response to the *Planet Earth* segments (or, in the case of the first 4 Wake participants, to the particular movies watched). For certain individuals, such reactions to the videos might have impacted their response to subsequently shown IAPS images. Therefore, interpretation of results would have been aided if Wake participants had rated the degree to which they found the videos pleasant to unpleasant (valence) and arousing to unarousing (arousal) using the SAM or another similar rating scale. Such ratings performed at the beginning, middle and conclusion of the video could additionally capture any systematic change in emotional response across the awake inter-session interval.

An additional limitation is the low degree of Session 1 intra-session habituation for HRD and EMG. Group difference might have been more distinct and may have emerged in the subjective SAM ratings if Session-1 habituation had been more deeply established either by sequential (vs. interspersed) stimulus presentations or by a greater number of presentations. Notably, however, studies of exposure therapy have clearly shown that inter-session habituation is fully separable from and potentially independent of intra-session habituation (Craske et al., 2008; Jaycox et al., 1998). Moreover, as noted, animal studies have shown intra-session and inter-session habituation to be biologically dissociable processes (Bolivar, 2009; Leussis and Bolivar, 2006).

Although 120 minutes were allowed for the nap, only about 2/3 of Nap subjects achieved SWS or REM thereby reducing the number of individuals in which quantities of these two parameters could be directly correlated with habituation. Nonetheless, non-100% entry into SWS and REM across allowed individuals' inter-session habituation to be differentiated on the categorical basis of exposure to these sleep states.

Five subjects had episodes of REM sleep without SWS raising the possibility that they might have been sleep-deprived. However, these individuals had neither higher PSQI or ESS scores nor did any of their SSS scores (before and after each session) differ from the remaining 17 Sleep-group subjects. Moreover, average REM latencies of the 5 who had REM but not SWS were longer (71 min, SD 13) than the remaining 17 (37 min, 36) ($p=.057$), the opposite than what would be expected if they rapidly entered REM and curtailed descent into SWS due to sleep deprivation. Additionally, REM latency did not correlate with ESS, PSQI or any of the SSS measures. Therefore, there is no evidence that these 5 individuals were abnormally sleep deprived.

5. Conclusion

A brief afternoon nap promotes inter-session habituation of SCR and corrugator EMG responses to arousing stimuli, an effect that was greater in negatively valenced stimuli. However, napping did not influence habituation of subjects' subjective report of emotional responses to these same stimuli. SCR and EMG are known to reflect sympathetic arousal and covert negative emotion respectively (Bradley and Lang, 2007). The current study provides preliminary evidence for regulation, by sleep, of physiological aspects of emotional expression via augmented inter-session habituation. Greater sleep-mediated habituation of repeated vs novel stimuli suggests that such regulation may operate via sleep-dependent augmentation of non-associative memory. Findings suggest a potential mental-health benefit of daytime naps for moderating acute responses to unpleasant events.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations

ANOVA	analysis of variance
BPM	beats-per-minute
CS	conditioned stimulus
DCS	D-cycloserine
DSM-IV-TR	Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, Text Revision
EEG	electroencephalogram
EMG	electromyogram
ESS	Epworth Sleepiness Scale
HRD	heart rate deceleration
Hz	Hertz (cycles per second)
IAPS	International Affective Picture System
ISI	inter-stimulus interval
KOhm	kilo Ohm
μS	microSiemens
μV	microVolts
NEO-PI-R	Revised NEO Personality Inventory
NMDA	N-methyl d-aspartate
NREM	non-rapid eye movement sleep

PANAS	Positive and Negative Affect Schedule
PSQI	Pittsburgh Sleep Quality Index
PSG	polysomnography
REM	rapid eye movement sleep
SAM	Self-Assessment Manikin
SCL	skin conductance level
SCR	skin conductance response
SD	standard deviation
SEM	standard error of the mean
SSS	Stanford Sleepiness Scale
STAI	Spielberger State-Trait Anxiety Index
SWS	slow wave sleep (NREM stages 3 and 4)
US	unconditioned stimulus

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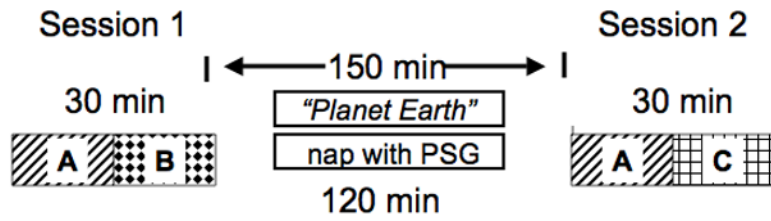


Figure 1. Experimental protocol showing sessions and inter-session intervals in the Nap and Wake groups. Designations A, B and C refer to the stimulus sets (Table 2) and this example shows one of 6 alternate versions that uses stimulus set A as the Repeated Set, B as the Session-1 Novel Set and C as the Session-2 Novel Set.

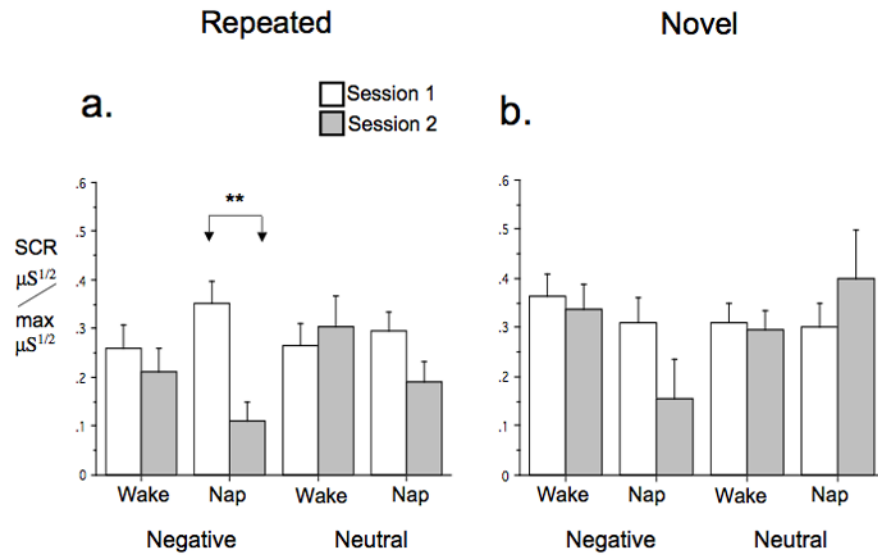


Figure 2. SCR to IAPS stimuli in Nap and Sleep groups. a. Repeated Set, b. Novel Sets. Bars indicate standard error of the mean (SEM). Significance levels shown only when Group \times Session interaction within a specific valence (Negative or Neutral) was significant or a trend. $\mu S^{1/2} / \max \mu S^{1/2}$ = range-corrected SCR, $**p < .01$.

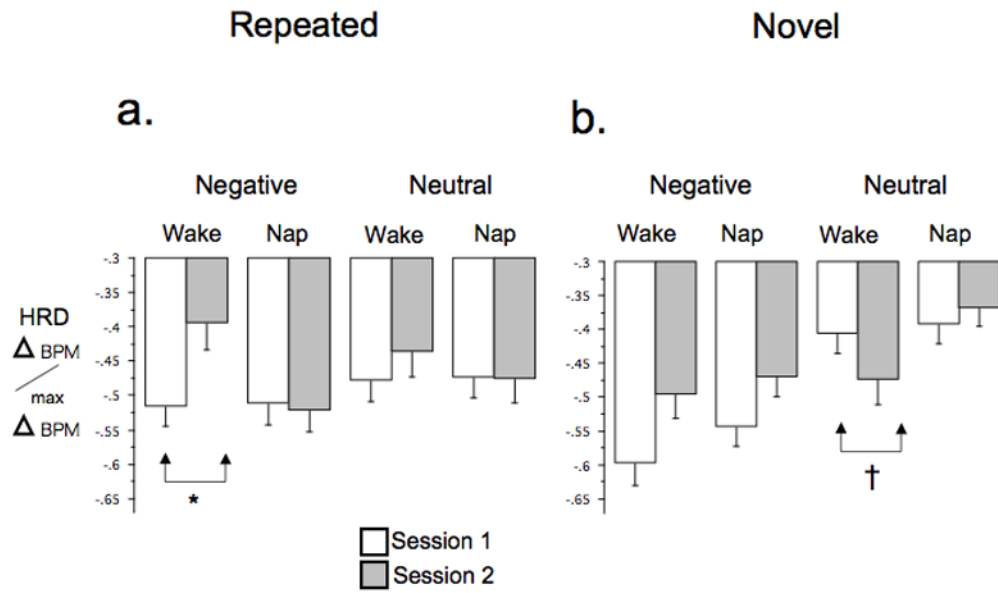


Figure 3. HRD to IAPS stimuli in Nap and Sleep groups. a. Repeated Set, b. Novel Sets. Bars indicate SEM. Significance levels shown only when Group \times Session interaction within a specific valence was significant or a trend. Delta BPM/max Delta BPM = range-corrected HRD. BPM, beats per minute, † $p < .1$

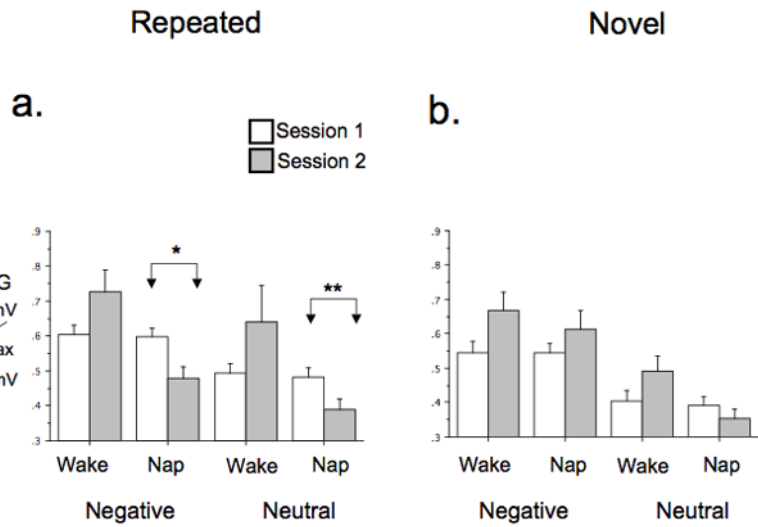


Figure 4. Corrugator EMG response to IAPS stimuli in Nap and Wake groups. a. Repeated Set, b. Novel Sets. Bars indicate SEM. Significance levels shown only when Group \times Session interaction within a specific valence was significant or a trend. Delta mV/max Delta mV = range-corrected EMG. * $p < .05$ ** $p < .01$.

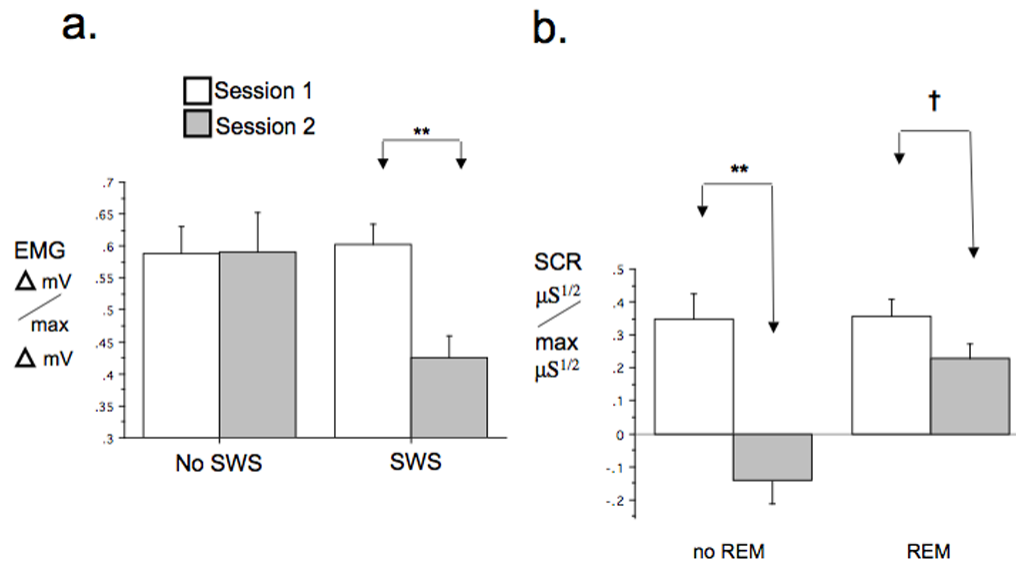


Figure 5. Sleep stages during nap associated with of inter-session habituation to Negative stimuli. a. Positive association of entry into SWS with inter-session habituation of EMG to Negative stimuli. b. Negative association of entry into REM with inter-session habituation of SCR to Negative stimuli. $\Delta \text{mV}/\text{max } \Delta \text{mV}$ = normalized EMG, $\mu\text{S}^{1/2}/\text{max } \mu\text{S}^{1/2}$ = normalized SCR, † $p < .1$, ** $p < .01$, .

Table 1

Demographic, habitual sleep and sleepiness, pre-study subjective sleep duration, psychological trait and session times and durations for Nap and Wake group.

Characteristic	Nap Group (SD)	Wake Group (SD)	p (t-test)
Age	20.1 (1.5)	21.3 (2.7)	0.09
Gender ratio (M:F)	7:15	6:15	
ESS	6.81 (3.06)	7.67 (4.41)	0.45
PSQI	4.00 (2.81)	3.19 (1.86)	0.27
STAI-Trait	54.23 (3.80)	53.67 (3.12)	0.60
* NEO-PI-R Neuroticism	85.50 (23.05)	84.00 (27.51)	0.86
NEO-PI-R Extraversion	117.28 (23.74)	113.41 (36.11)	0.71
NEO-PI-R Openness	123.00 (24.13)	124.71 (19.55)	0.82
NEO-PI-R Agreeableness	122.22 (20.98)	117.82 (20.12)	0.53
NEO-PI-R Conscientiousness	116.61 (23.81)	116.88 (24.82)	0.97
Mean diary sleep prior 1 wk (min)	513 (49)	514 (37)	0.94
Mean Diary sleep prior night (min)	494 (50)	485 (57)	0.61
Session 1 beginning (24-hr)	12:42 (0:27)	12:41 (0:26)	
Session 1 duration (min)	34.27 (4.33)	32.57 (2.87)	0.14
Session 2 beginning (24-hr)	15:50 (0:29)	15:50 (0:31)	
Session 2 duration (min)	31.59 (3.85)	33.09 (5.58)	0.31
** Inter-session duration (min)	152.72 (10.44)	156.14 (9.34)	0.27
Nap duration (min)	118 (17)		

* N=35 (18 Nap, 17 Wake),

** Inter-session duration from end of Session 1 to beginning of Session 2.

Table 2

IAPS Valence and Arousal ratings of negative and neutral stimuli.

Set	Image (IAPS #)	IAPS valence	set mean	IAPS arousal	set mean
Negative					
A	Injury (3266)	1.56	1.44	6.79	6.85
A	BurnVictim (3053)	1.31		6.91	
B	Mutilation (3069)	1.70	1.59	7.03	7.13
B	Mutilation (3080)	1.48		7.22	
C	BabyTumor (3170)	1.46	1.52	7.21	7.09
C	Mutilation (3130)	1.58		6.97	
	MEAN (SD)	1.52 (0.13)		7.02 (0.17)	
Neutral					
A	Bungee (8179)	6.48	6.91	6.99	7.17
A	Skier (8030)	7.33		7.35	
B	RockClimber (8160)	5.07	6.32	6.97	7.12
B	Skydivers (8185)	7.57		7.27	
C	Cliffdiver (8178)	6.50	6.76	6.82	6.83
C	Skysurfer (8186)	7.01		6.84	
	MEAN (SD)	6.66 (0.89)		7.04 (0.22)	

Table 3

ANOVA results for SCR responses to Negative and Neutral Stimuli in Repeated and Novel Sets.

Variable/Effect (N Nap, N Wake)	df	F	p	Interpretation
SCR Repeated Negative (22, 21)				
Session 1 <i>Trial</i> main effect	4,164	19.80	<.0001	Session 1 intra-session habituation
Session 1 <i>Group</i> main effect	1,41	1.06	.31	No baseline group difference
<i>Session</i> main effect	1,41	10.29	.003	Inter-session habituation
<i>Group</i> × <i>Session</i>	1,41	4.80	.034	Inter-session habituation Group difference
SCR Repeated Neutral (22,21)				
Session 1 <i>Trial</i> main effect	4,164	3.80	.008	Session 1 intra-session habituation
Session 1 <i>Group</i> main effect	1,41	.14	.71	No baseline group difference
<i>Session</i> main effect	1,41	.27	.61	No inter-session habituation
<i>Group</i> × <i>Session</i>	1,41	1.16	.29	No inter-session habituation Group difference
SCR Novel Negative (21[*], 21)				
Session 1 <i>Trial</i> main effect	4,160	9.90	<.0001	Session 1 intra-session habituation
Session 1 <i>Group</i> main effect	1,40	.29	.59	No baseline group difference
<i>Session</i> main effect	1,40	3.09	.088	Inter-session generalized habituation trend
<i>Group</i> × <i>Session</i>	1,40	1.49	.23	No inter-session generalized habituation Group diff
SCR Novel Neutral (21, 21)				
Session 1 <i>Trial</i> main effect	4,160	3.85	.009	Session 1 intra-session habituation
Session 1 <i>Group</i> main effect	1,40	.01	.93	No baseline group difference
<i>Session</i> main effect	1,40	.23	.64	No inter-session generalized habituation
<i>Group</i> × <i>Session</i>	1,40	.42	.52	No inter-session generalized habituation Group diff

* One Nap participant excluded because Session 1 maximum raw SCR abnormally small resulting in artifactually high Session 2 range corrected SCR.

Table 4

Sleep parameters of the Nap group

	Mean	SD	Max	Min	count
Time in bed	115.64	11.08	124.00	78.50	22
Total sleep time	84.25	25.84	111.50	34.00	22
Sleep latency	5.16	3.50	12.50	1.00	22
Latency to N2	8.84	4.05	16.50	3.50	22
Sleep efficiency	72.34	19.88	92.89	28.69	22
N1	15.77	8.68	30.00	4.50	22
N2	41.36	12.27	60.50	19.50	22
SWS (N3)	15.14	18.39	64.50	0.00	22
SWS (N3)*	23.79	18.02	64.50	1.50	14
REM (R)	11.98	10.17	31.00	0.00	22
REM (R)**	17.57	7.07	31.00	7.00	15
REM latency	66.37	17.31	103.00	42.00	15
WASO	25.36	22.45	82.50	2.00	22

* Excluding those with no SWS,

** Excluding those with no REM