

## Signaling pathways in the epithelial origins of pulmonary fibrosis

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**Key words:** epithelial mesenchymal transition, epidermal growth factor receptor, transforming growth factor alpha

**Abbreviations:** IPF, idiopathic pulmonary fibrosis; UIP, usual interstitial pneumonia; EMT, epithelial-mesenchymal transition; SP-C, surfactant protein-C; *SFTPC*, SP-C gene; EBV, Epstein-Barr virus; EGFR, epidermal growth factor receptor; TGF, transforming growth factor-alpha; CCSP, clara cell secretory protein; Dox, doxycycline; PI3K, Phosphoinositide 3-kinase; PTEN, tumor suppressor phosphatase and tensin homolog; mTOR, mammalian target of rapamycin; -gal, -galactosidase; Snail, snail1; Slug, snail2; SIP1, smad-interacting protein

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**P**ulmonary fibrosis complicates a number of disease processes and leads to substantial morbidity and mortality. Idiopathic pulmonary fibrosis (IPF) is perhaps the most pernicious and enigmatic form of the greater problem of lung fibrogenesis with a median survival of three years from diagnosis in affected patients. In this review, we will focus on the pathology of IPF as a model of pulmonary fibrotic processes, review possible cellular mechanisms, review current treatment approaches and review two transgenic mouse models of lung fibrosis to provide insight into processes that cause lung fibrosis. We will also summarize the potential utility of signaling pathway inhibitors as a future treatment in pulmonary fibrosis. Finally, we will present data demonstrating a minimal contribution of epithelial-mesenchymal transition in the development of fibrotic lesions in the transforming growth factor-alpha transgenic model of lung fibrosis.

### Pulmonary Fibrosis the Extent of Disease

Pulmonary fibrosis represents a heterogeneous group of diseases where progressive parenchymal fibrosis disrupts the structure and function of gas-exchanging regions of the lung. These changes result in functional limitations and considerable morbidity and mortality. Aberrant lung fibrosis is a pathologic hallmark of relatively common and uncommon diseases including chronic obstructive pulmonary disease, chronic asthma, cystic fibrosis and bronchopulmonary dysplasia

(reviewed in ref. 1–4). Lung fibrosis can also occur in connective tissue diseases, granulomatous disorders such as sarcoidosis and following acute and chronic toxic inhalations such as asbestosis, silicosis and other forms of pneumoconiosis (reviewed in ref. 5). Idiopathic pulmonary fibrosis (IPF) is perhaps the most pernicious and enigmatic form of the greater problem of lung fibrogenesis with a median survival of three years from diagnosis in affected patients. Recent studies indicate that the prevalence and mortality of IPF are growing in the US and elsewhere.<sup>6</sup> The development of fibrotic lesions in such heterogeneous diseases suggests that causes are multifactorial. Currently, there are no effective therapies for pulmonary fibrosis indicating a need to develop further understanding of pathophysiologic mechanisms. In this review, we will focus on the pathology of IPF as a model of pulmonary fibrotic processes, review possible cellular mechanisms, review current treatment approaches and review two transgenic mouse models of lung fibrosis to provide insight into processes that cause lung fibrosis.

### Pathology of IPF

The pathological form of IPF, sub-classified as usual interstitial pneumonia (UIP), is temporally heterogeneous. In the lung regions of normal histological appearance are intermixed with mature, collagenized fibrosis and small, focal areas of less mature matrix. Within the immature matrix are fibroblastic foci consisting of aggregates of proliferating and collagen-producing myofibroblasts.<sup>7</sup> Fibroblastic

foci appear in the transition zone between normal lung and the abnormal fibrotic lung. There is a direct correlation between the numbers of foci on surgical lung biopsy with progressive physiologic deterioration and length of survival.<sup>8</sup> Fibroblastic foci were initially thought to represent discrete lesions caused by recurrent acute lung injury. More recent studies demonstrate the fibroblastic foci to be the leading edge of a highly interconnected reticulum extending from the pleural into the underlying parenchyma.<sup>9</sup> Clonality analysis shows a polyclonal fibroblast proliferation, supporting the idea that the primary pathologic lesion is a type of neoplasm which, rather than a malignant lesion, is composed of multiple sources of fibroblasts proliferating in a reactive process.<sup>9</sup>

### Origin of Fibroblasts

Central to the formation of fibrotic lesions are the accumulation of fibroblasts and extracellular matrix. The origin of fibroblasts in lung fibrosis has been the subject of numerous studies. Current evidence suggests multiple sources which may vary with the type of injury or inciting events including proliferation of resident lung fibroblasts, differentiation of progenitor cells from the bone marrow, and transition of epithelial cells to a fibroblast phenotype, termed epithelial-mesenchymal transition (EMT). Bone marrow derived circulating fibroblast precursors are called fibrocytes.<sup>10</sup> Fibrocytes have been shown to be a component of hypertrophic scars and keloids,<sup>11</sup> scleroderma, kidney lesions,<sup>12</sup> thickened airways caused by asthma<sup>13,14</sup> and lung fibrosis<sup>15</sup> in certain experimental models. Fibrocytes, defined by co-expression of CXCR4, a fibrocyte-associated chemokine receptor, procollagen I,  $\alpha$ -SMA and prolyl-4-hydroxylase, were identified in lung tissue of 8/9 pulmonary fibrosis patients<sup>16</sup> supporting a role of fibrocytes in human disease. A positive correlation of fibroblastic foci and lung fibrocytes ( $r = 0.79$ ;  $p < 0.02$ ) was shown but the extent of fibrocyte recruitment in multiple forms of pulmonary fibrosis is not known. The precise role of fibrocytes remains controversial because although cultured fibrocytes can be induced to differentiate into myofibroblasts in vitro, it is not clear that

fibrocytes contribute to pathologic fibrosis in vivo.<sup>15,17,18</sup> In the setting of fibrogenic injury, bone-marrow derived mesenchymal stem cells may actually be promoting repair and ameliorating fibrosis rather than causing persistent fibrotic lesions.<sup>19</sup> Conflicting results using different stem cell pools and different animal models (reviewed in ref. 20) indicate that the role of fibrocytes is not resolved.

Another possible pathological source of lung fibroblasts is EMT. EMT is known to occur during embryogenesis and organogenesis. In some forms of metastatic epithelial-based malignancies, epithelial and mesenchymal cells undergo EMT.<sup>21</sup> Primary human and rat alveolar epithelial cells and human bronchial and distal air-space epithelial cell lines can be induced to undergo EMT.<sup>22,23</sup> Bleomycin lung injury and transgenic mice with TGF $\beta$  overexpression in the lung cause pulmonary fibrosis. In both models upregulation of mesenchymal markers,  $\alpha$ -SMA and vimentin, were detected in cells co-expressing E-cadherin and surfactant protein-C (SP-C), which are markers of epithelial cells.<sup>23,24</sup> Other studies have demonstrated colocalization of epithelial markers, such as thyroid transcription factor-1 or pro-surfactant protein B or C, with mesenchymal markers,  $\alpha$ -SMA or N-cadherin in cells overlying fibroblastic foci in IPF.<sup>24,25</sup> The precise role of EMT remains controversial because other studies have failed to detect dual expression of epithelial and mesenchymal markers in vivo in either clinical samples or the bleomycin model.<sup>26</sup>

Considered together, fibrocytes, EMT and activation of resident lung fibroblasts may contribute to lung fibrosis but the precise role for each process in disease burden remains unresolved. Understanding the relative contribution of these processes and the specific forms of fibrosis to which each process contributes is important, as there may be different pathways regulating these processes suggesting different targets for potential therapy.

### Epithelial Injury and Pulmonary Fibrosis

A current model of IPF suggests that recurrent injury to pulmonary epithelial cells

and ineffective repair leads to aberrant fibroblastic responses. The source of injury may be variable and include microbes and inhaled or systemic toxins. The epithelial injury leads to release of cytokines and growth factors that affect inflammatory cells including macrophages, neutrophils, lymphocytes, eosinophils and structural cells including endothelial cells, muscle cells, myofibroblasts and resident fibroblasts. In turn these other cells also release mediators that may affect migration, differentiation, proliferation and matrix synthesis and deposition of fibroblasts (reviewed in ref. 27 and 28). The alveolar epithelium functions as an adaptive entity that orchestrates the response to inhaled environmental challenges. Alveolar type II cells fine-tune alveolar homeostasis through continuous reciprocal interactions with resident phagocytic cells, mesenchymal and vascular cells by controlling surfactant production, releasing soluble growth factors and cytokines and direct cell-cell interactions. Mutations or injuries that induce cell stress may disrupt epithelial-mesenchymal interactions leading to fibroproliferation.<sup>29</sup>

While the complex cascade from epithelial cell injury-to-fibrosis is the subject of considerable research, transgenic mouse models that lead to alveolar type II epithelial cell death also lead to pulmonary fibrosis. These data directly demonstrate that injury to the respiratory epithelium is a cause of lung fibrosis.<sup>30</sup> Mutations essential for alveolar epithelial cell function and integrity have been recently identified as the cause of discrete forms of pulmonary fibrosis including genetic deficiency of SP-C. Direct microbial injury of the lung epithelium by the Herpesviridae family of viruses has been shown to cause a fibrotic response in an experimental model.

### SP-C Deficiency

Pulmonary surfactant is a lipoprotein complex that maintains alveolar stability during respiration and is critical for normal lung function. Surfactant deficiency due to premature birth or inactivation from injury results in respiratory distress. In the respiratory alveolus, SP-C enhances surface activity and innate immunity of the lung. Both of these functions prevent

injury to the fragile gas exchanging epithelium suggesting that disruption of production or delivery of SP-C to the airspace could elicit alveolar damage. A variety of mutations in the SP-C gene (*SFTPC*) have been identified in individuals with extended family histories of lung disease that include various forms of interstitial pneumonia and fibrosis.<sup>31</sup> Synthesis and secretion of SP-C is only detected in alveolar type II cells. Thus the consequence of *SFTPC* mutations would originate from stress to this subset of alveolar cells. More than 40 distinct mutations in the *SFTPC* gene have been identified. The disease phenotype follows an inheritance pattern of an autosomal dominant trait with variable penetrance and severity (45%) or arises spontaneously as disease caused by a de novo mutation on one allele (55%). Respiratory symptoms in patients with *SFTPC* mutations are identified from early immediate newborn to adulthood (71 years). 10–15% of affected patients develop respiratory symptoms within the first month of life, while 40% develop symptoms between 1 and 6 months of life. In adults with *SFTPC* mutations and chronic ILD, the histopathologic diagnosis is mixed forms of pulmonary fibrosis.

Transgenic mice with SP-C deficiency were generated by gene targeting. The SP-C deficient mice developed a strain-specific ILD that progressed with age to remodeling and fibrosis that is similar to the disease in affected humans.<sup>32</sup> The severity of bleomycin-induced lung fibrosis was increased in the lungs of SP-C deficient mice, showing that absence of SP-C predisposes the lung to injury and subsequent fibrosis.<sup>33</sup> While *SFTPC* mutations can cause ILD in adults, *SFTPC* specific mutations are a rare cause. In two recent studies of adults with mixed forms of pulmonary fibrosis including UIP, only one patient out of 124 was identified with a *SFTPC* mutation.

### Viral Infection

Viral infection causes injury to the pulmonary epithelium. However unresolved infection or viral latency is recognized as a source of ongoing stress to the recovering epithelium that could eventually result in fibrotic injury. Attention has focused to

the Herpesvirus family that can establish latency after acute infection. Epstein-Barr virus (EBV) was found in lung tissue of almost half of patients with IPF, and in a separate study either EBV, cytomegalovirus, human herpesvirus 7, human herpesvirus 8 (also known as Kaposi's sarcoma herpesvirus) were detected.<sup>34-36</sup> Herpesviruses were detected in the lungs of non-IPF patients at a lower prevalence. Herpesvirus infection was also detected in the lungs of 15/23 individuals with IPF, with similar prevalence in sporadic, non-*SFTPC*-associated familial and *SFTPC*-associated cases, but none was detected in controls. The latter findings suggest that combinations of viral and mutational causes may underlie or exacerbate severity of pulmonary fibrosis. In studies of genome-wide expression patterns, patients with IPF undergoing acute exacerbations had expression patterns consistent with epithelial injury and proliferation as key molecular and genetic events. However expression of overwhelming inflammatory response associated genes, that would indicate a response to viral or bacterial infections, was not detected.<sup>37</sup>

### Lack of Effective Fibrosis Therapy

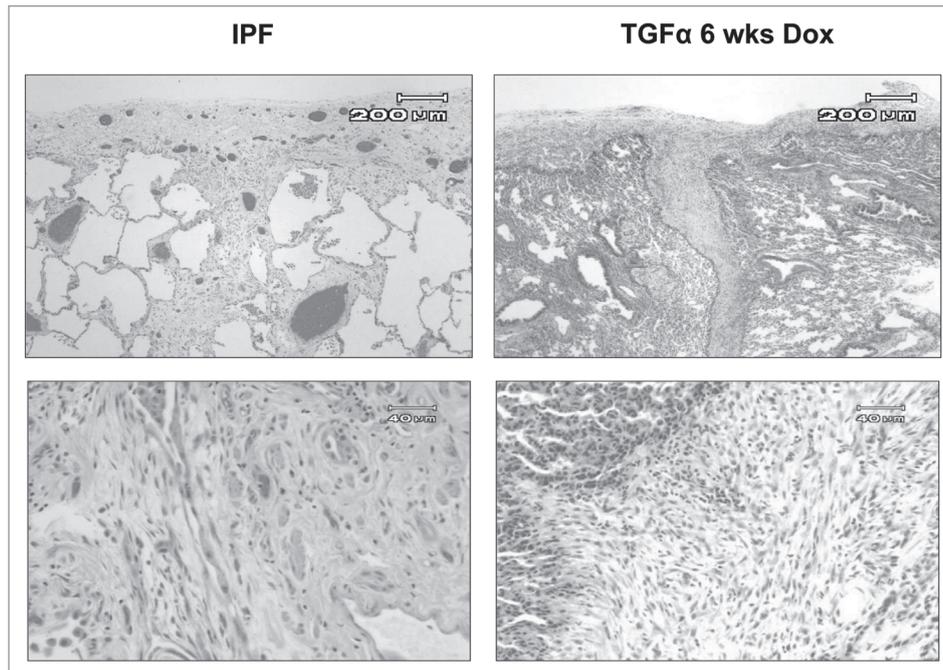
Currently there is no clear or consistent pathological explanation for the initiation of IPF. However the current specific examples of rare mutations or unresolved infection linked to IPF support the concept that events (genetic or otherwise) that compromise epithelial cell integrity initiate the eventual fibroblast expansion and pathology. Thus the term unexplained pulmonary fibrosis rather than idiopathic pulmonary fibrosis may be more appropriate as it is likely that additional diverse causes of fibrosis will be discovered as either the initiating and/or promoting process in this disease. Together, these uncertainties have contributed to the lack of effective therapy for lung fibrotic disease. Until recently, pulmonary fibrosis was thought to be initiated and propagated by inflammatory stimuli. Therefore, treatments were directed toward reducing inflammation using immunosuppressive agents including corticosteroids, cytotoxic and/or immunomodulatory agents. However, there is no evidence to date to

support that immune suppression or modulation improves mortality in IPF, and in fact, may be harmful as patients suffer significant side effects from therapy.<sup>38</sup> To date, there are no approved medical antifibrotic therapies for progressive pulmonary fibrosis; lung transplantation is the only measure shown to prolong survival. Recent animal and clinical studies have shown that fibrosis can occur without inflammation suggesting that other approaches to therapy are needed.

As the primary pathologic process in lung fibrosis is the expansion of fibroblasts and myofibroblasts into the gas-exchanging regions of the lung, an alternative approach is centered on developing therapeutics that directly target fibroblast and myofibroblast proliferation and matrix gene expression. Advances in molecular biology have defined specific, targetable signaling pathways mediating pathologic proliferation in a number of diseases such as malignancies. While fibroblasts activation is polyclonal and thus not malignant, a number of cellular processes in malignancy are also seen in fibroproliferation. Therefore fibrotic disease may prove sensitive to therapeutics successful in malignancy.

### Epidermal Growth Factor Receptor (EGFR) and Pulmonary Fibrosis

EGFR (HER1) is a member of a receptor tyrosine kinase family including HER2/*neu*, HER3 and HER4. EGFR and its ligands, transforming growth factor- $\alpha$  (TGF $\alpha$ ) and five other ligands have been identified in lungs or lung cells<sup>39-45</sup> including the alveolar and airway epithelium, fibroblasts and macrophages.<sup>46-51</sup> EGFR is activated directly or indirectly by inflammatory mediators including cytomegalovirus, endotoxin, TNF $\alpha$  and IL-13.<sup>47,52-54</sup> EGFR activation regulates diverse cellular functions, many of which are associated with malignancy and fibrogenesis, including cell growth, proliferation, differentiation, migration, survival and transformation among others.<sup>55,56</sup> TGF $\alpha$  was detected in the lung lavage fluid of all 10 patients with IPF, but not in lavage of 13 normal volunteers.<sup>57</sup> Baughman demonstrated an increase in TGF $\alpha$  and EGFR



**Figure 1.** Comparison of pathologic features on H&E staining of lung biopsy from patient with IPF with TGF $\alpha$  transgenic mice. Upper part (4X) reveals extension of pleural fibrosis into lung parenchyma. Lower part (20X) demonstrates focal areas of fibroblastic expansion within lung parenchyma.

by immunohistochemistry in IPF lung sections with TGF $\alpha$  identified in type II epithelial cells, fibroblasts and the vascular endothelium.<sup>51</sup>

To further determine roles of EGFR-mediated lung remodeling transgenic mice expressing TGF $\alpha$  in the lung epithelium using the conditional Clara Cell Secretory Protein (CCSP) promoter were generated (hereafter referred to as CCSP/TGF $\alpha$  mice). TGF $\alpha$  was only expressed in bronchoalveolar and type II epithelial cells when mice are administered doxycycline (Dox). The transgenic TGF $\alpha$  expression in the respiratory epithelium of adult CCSP/TGF $\alpha$  mice caused progressive and extensive adventitial, interstitial and pleural fibrosis<sup>58</sup> without inducing inflammatory cell influx. Proinflammatory cytokines were not induced as measured from lung homogenates. TGF $\beta$ 1 protein levels or TGF $\beta$  activity were not increased.<sup>58</sup> Several histological features of fibrosis in the TGF $\alpha$  model are similar to pathologic lesions of UIP including type II cell hyperplasia, pleural based fibrosis migrating into the interstitium, differentiation of myofibroblasts and areas of fibroblastic foci (Fig. 1).<sup>7,59-61</sup> CCSP/TGF $\alpha$  mice on Dox develop progressive cachexia, changes in pulmonary function

(increased airway resistance and elastance and decreased lung compliance) and they develop pulmonary hypertension.<sup>62,63</sup> Gene expression profiles in Dox induced CCSP/TGF $\alpha$  mice were similar to expression profiles in IPF samples.<sup>64</sup> These data support the following: (1) although EGFR activation can be initiated by inflammatory mediators, activation of EGFR induces fibrosis without inflammation showing that EGFR signaling is a downstream effector in the cascade causing fibrosis; (2) progressive fibrosis without inflammation may model processes in clinical diseases such as IPF, which is not treatable with current anti-inflammatory therapies; (3) the initiation and progression of EGFR-mediated fibrosis in the absence of TGF $\beta$  activation shows that EGFR activation is a novel cause of pulmonary fibrosis. Therefore, the CCSP/TGF $\alpha$  mouse is a useful model to identify signaling pathways mediating pulmonary fibrosis and to test therapeutic approaches to treat progressive pulmonary fibrosis.

**Pharmacologic inhibition of phosphoinositide 3-kinase activity ameliorates TGF $\alpha$ -induced fibrosis.** As reviewed in previous sections, fibrosis is likely heterogeneous in etiology and molecular pathophysiology. Therefore, attempts

to block or counteract single upstream pathways may not be sufficient to inhibit cellular processes associated with fibrosis. Ongoing studies have identified potential points of confluence, where multiple inputs eventually converge to elicit the cellular response of mesenchymal proliferation and matrix deposition. Phosphoinositide 3-kinase (PI3K) is activated by several fibrogenic growth factors including EGFR, platelet derived growth factor and non-canonical TGF $\beta$ .<sup>65</sup> PI3K catalyzes the phosphorylation of phosphatidylinositol (4,5)-biphosphate to form phosphatidylinositol (3,4,5)-triphosphate. The tumor suppressor phosphatase and tensin homolog (PTEN) is a negative regulator of the PI3K-Akt pathway. In normal tissues, PTEN is thought to be constitutively high. Genomic studies of human tumor samples showed detection of mutations in Akt or in the Akt regulatory proteins PI3K and PTEN in one third to one half of all cancers.<sup>66</sup> Inhibiting the PI3K pathway and its downstream effectors is being evaluated as a potential therapeutic goal in a number of malignancies. As activation of PI3K is essential to a number of cellular processes associated with fibrosis including cell growth, proliferation, migration, survival and collagen gene expression,<sup>65</sup>

PI3K may also prove an effective target in lung fibrosis.

Data supporting PI3K signaling in pulmonary fibrosis are demonstrated in several animal models of fibrosis including CCSP/TGF $\alpha$ . PI3K inhibition at the time of TGF $\alpha$  induction in CCSP/TGF $\alpha$  mice completely prevented fibrosis and physiologic changes. PI3K inhibition 4 weeks after development of fibrosis prevented further progression; further evidence for the PI3K pathway in fibrosis is demonstrated in other fibrosis models. Both cell proliferation and collagen production are upregulated in lung fibroblasts deficient in PTEN.<sup>67</sup> In PTEN haploinsufficient mice, using both cutaneous wound healing and bleomycin-induced lung injury models, deficiency in PTEN resulted in a more durable fibroproliferative response.<sup>68</sup> In a regulatable TGF $\beta$ 1 transgenic mouse model fibrosis was significantly attenuated when mice were treated with an Akt inhibitor.<sup>69</sup> Together these data strongly support PI3K signaling as a common pathway where multiple fibrogenic cytokines and growth factors converge or synergistically function.

**Inhibition of the mTOR pathways reduces TGF $\alpha$ -induced pulmonary fibrosis.** The mammalian target of rapamycin (mTOR) is a highly conserved intracellular serine/threonine kinase and a major downstream component of the PI3K pathway.<sup>70</sup> mTOR isoforms (C1 and C2) regulate the rate of cell growth, proliferation and protein synthesis in a number of cell types including fibroblasts, vascular smooth muscle and epithelial cells.<sup>71,72</sup> Inhibitors of mTOR, such as rapamycin, bind to an intracellular cytoplasmic receptor, the FK506-binding protein-12.<sup>70</sup> The complex formed then interacts and disrupts mTOR function causing cell cycle arrest in the G<sub>1</sub> phase. In addition to blocking cell proliferation, mTOR inhibitors have been identified with anti-inflammatory, anti-tumor and anti-fibrotic properties. In rodent models of renal fibrosis and cirrhosis, rapamycin treatment has either reversed or prevented fibrosis.<sup>73,74</sup> In pulmonary fibrosis models the rapamycin analog SDZ RAD prevented bleomycin-induced pulmonary fibrosis although it was unclear whether changes in lung inflammation may have

contributed to these improvements.<sup>75</sup> Rapamycin administered to CCSP/TGF $\alpha$  mice at the time of TGF $\alpha$  induction prevented the development of lung fibrosis and prevented changes in pulmonary function.<sup>76</sup> Rapamycin administered as a rescue therapy was effective in preventing progression of fibrosis but only partially corrected endpoints including lung collagen content, lung mechanics or changes in body weight.

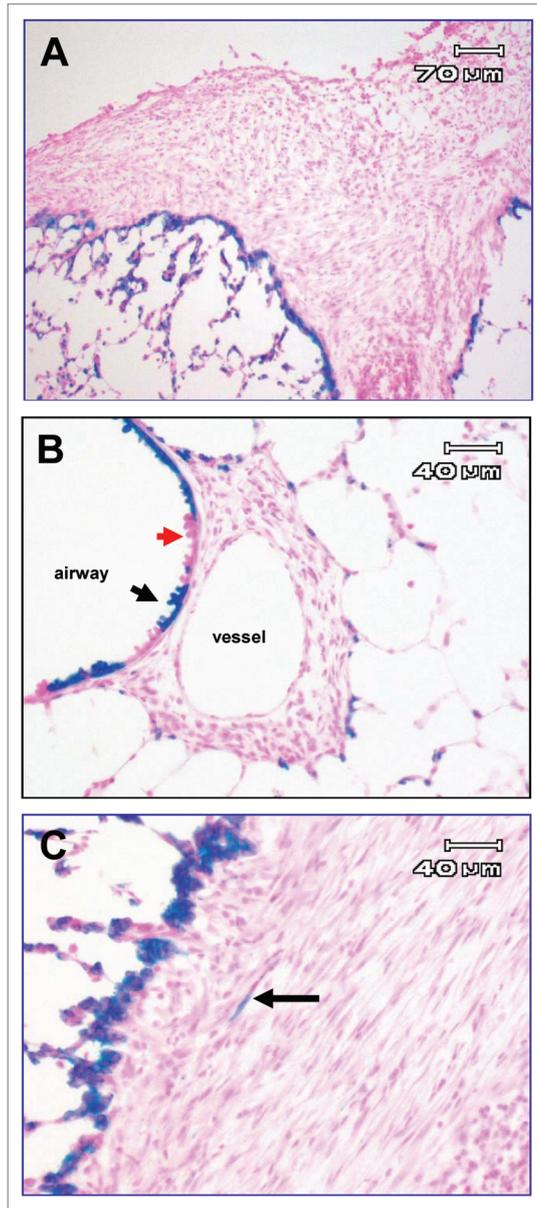
### Role of EMT in CCSP/TGF $\alpha$ Fibrosis

Comparison between PI3K and mTORC1 inhibition studies in CCSP/TGF $\alpha$  mice demonstrated that inhibition of either pathway was effective in preventing the initiation of fibrosis and blocking progression of established fibrosis when administered as a rescue therapy. However, fibrosis endpoints persisted despite inhibitor treatment demonstrating that prevention of fibrosis does not ensure reversal once the fibrotic process is initiated. Together these studies show the PI3K-mTORC1 pathways are contributory, but not exclusive, pathways mediating fibrosis maintenance and support investigation of other fibrogenic pathways. A greater understanding of the cellular origin of fibroblast accumulation after TGF $\alpha$  expression may be valuable if the regulation of cellular transdifferentiation into a fibroblasts phenotype differs from the regulation of resident fibroblasts proliferation.

To more definitively test the capacity of alveolar epithelial cells for EMT, mice expressing  $\beta$ -galactosidase ( $\beta$ -gal) exclusively in lung epithelial cells were generated and their fate followed in the CCSP/TGF $\alpha$  model. Triple transgenic mice containing one copy of the rat CCSP, tetO-CMV-Cre and floxed ROSA26 (CCSP/Cre/ROSA) were generated by crossing. Floxed ROSA26 is a silent form of the B-gal gene that permits tracking cell fate. Dox administration to CCSP/Cre/ROSA mice would induce the lung epithelial-specific Cre-recombinase enzyme that in turn mediated activation of the silent Bgal gene resulting in genetically tagged lung epithelial cells that permanently express  $\beta$ -gal. CCSP/Cre/ROSA mice were then placed on Dox and sacrificed.

Lung epithelial cell-specific expression of the  $\beta$ -gal marker was confirmed by several techniques. Frozen lung sections were stained with B-gal reactive substrate-x-gal. Further confirmation of  $\beta$ -gal was performed by staining paraffin-embedded lung sections with anti  $\beta$ -gal antibodies. CCSP/Cre/ROSA mice on Dox demonstrated localized x-gal staining as well as anti  $\beta$ -gal antibody reactivity of alveolar and airway epithelial cells on Dox with minimal to no epithelial staining off Dox and no mesenchymal staining on and off Dox. These essential control experiments indicate specific epithelial cell staining is achieved for subsequent lineage tracing during experimentally induced fibrosis.

To determine if TGF $\alpha$ -induced fibrosis involved EMT, TGF $\alpha$  transgenic mice were bred to previously mentioned triple transgenic mice, generating quadruple transgenic mice (CCSP/Cre/ROSA/TGF $\alpha$ ) thereby allowing evaluation of epithelial contribution in the progression of TGF $\alpha$ -induced fibrosis. A shift of B-gal reactivity from epithelial to mesenchymal-derived cells would identify the degree of EMT that occurred. Controls included CCSP/Cre/ROSA/TGF $\alpha$  mice off Dox, and triple transgenic mice lacking ROSA expression (CCSP/TGF $\alpha$ /Cre). CCSP/Cre/ROSA/TGF $\alpha$  mice off Dox control for leak, (non-induced recombination) or  $\beta$ -gal expression in the absence of Dox induction; CCSP/TGF $\alpha$ /Cre on Dox control for non-specific x-gal staining in fibrotic regions indicate the lack of non-ROSA26 (i.e., background) epithelial tagging. All groups of transgenic mice were placed on Dox and sacrificed at weekly interval between 3 to 8 weeks of Dox and lungs examined to determine if developing or mature fibrotic regions revealed  $\beta$ -gal expression with x-gal and anti  $\beta$ -gal antibodies. In the time intervals studied, quadruple transgenic mice on Dox exhibited progressive fibrotic lesion in airway and pleural regions as previously described (Fig. 2A and B). However there was minimal  $\beta$ -gal expression in either developing or mature fibrotic lesions despite robust staining in adjacent epithelial cells (Fig. 2C) indicative of a minor epithelial cell conversion (EMT) into cells of the fibrotic lesions. Controls did not exhibit  $\beta$ -gal expression when not on Dox or in



**Figure 2.** X-gal staining of frozen lung sections of CCSP/Cre/ROSA/TGF $\alpha$  mice following 4 weeks of Dox. Blue staining represents  $\beta$ -gal expression from epithelial ROSA-tagged cells. (A and B) are representative areas of pleural and adventitial fibrosis. Intense  $\beta$ -gal expression is detected on the leading edge of fibrotic regions but no staining in fibrotic regions. Red arrow in (B) demonstrates cells without ROSA recombination adjacent to cells with recombination (black arrow). (C) is higher power of separate fibrotic region with one  $\beta$ -gal-expressing cell (arrow) with morphologic appearance suggesting fibroblast.

the fibrotic regions on Dox in the absence of ROSA expression (not shown). The Dox induced Cre activation of the B-gal reporter is incomplete in some areas of the airway epithelium indicating recombination has not occurred in all epithelial cells (Fig. 2B, red versus black arrow). Thus the *in vivo* genetic recombination-activation of ROSA26-B-gal marker in these experiments may slightly underestimate the very

modest EMT detected in TGF $\alpha$  induced fibrosis. In addition, we have previously demonstrated that alveolar epithelial cells are entrapped within the fibrotic regions of the TGF $\alpha$  transgenic mice.<sup>77</sup> Thus, epithelial cells may become embedded in lung fibrotic regions without EMT.

TGF $\beta$  and FGF family member have been shown to activate specific transcription factors during EMT. These include

evolutionarily conserved zinc-finger family of transcription factors Snail1 (Snail), Snail2 (Slug) and Smad-interacting protein (SIP1) or Zeb2, which is a hallmark of EMT. These factors act as transcriptional repressors of adheren junction and tight junction proteins leading to a progressive loss in epithelial characteristics and gain in expression of mesodermal markers associated with fibroblast phenotype.<sup>78-80</sup> Therefore, to independently obtain evidence of TGF $\alpha$  mediated EMT, lung sections of CCSP/TGF $\alpha$  mice on Dox for selected intervals were immunostained for transcription factors Snail, Slug and Zeb2. Analysis did not demonstrate any staining at any time points during progression of TGF $\alpha$ -induced fibrosis (not shown). Together, these findings are consistent with minimal to no contribution by EMT in the development or progression of TGF $\alpha$ /EGFR-induced fibrosis. Our results contrast with recent studies reporting EMT in both bleomycin and adenoviral TGF $\beta$ 1 models of lung fibrosis.<sup>21,81</sup> These differences may reflect the distinct mechanisms of produced by different profibrotic mediators in what appears as a common endpoint disease. Both bleomycin and TGF $\beta$ 1 models induce lung injury and inflammation to induced fibrosis. EMT may be more prevalent in acute lung injury and wound repair models, while less prominent in the non-inflammatory, proliferative TGF $\alpha$ /EGFR model.

## Summary

Causes and treatments of pulmonary fibrosis remain significant research problems and as illustrated by IPF, the pathology is complex. The precise pathology likely involves deficiency of critical epithelial proteins that modulate processes involved in epithelial protection and repair including SP-C and EGFR signaling. Regardless of the etiology for disease initiation and cellular origins, therapy must also be directed to preventing progression or reversing this “fibrotic wave” in the lung interstitium. Studies utilizing the CCSP/TGF $\alpha$  transgenic mice suggest that PI3K/mTORC1 pathway regulation may be a useful target in some forms of fibrosis, possibly where EMT is not a pathologic

process. Additionally, assessing levels of SP-C as a marker or potential therapeutic target may prove useful in future approaches to treating pulmonary fibrosis. Since pulmonary fibrosis is heterogeneous in origin and progression future therapeutic strategies will likely involve targeting multiple pathways.

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