

Review Article

EGFR Signaling in Colorectal Carcinoma

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The epidermal growth factor receptor (EGFR) and its downstream signaling pathways are involved in the development and progression of several human tumors, including colorectal cancer. Much attention has been given to the EGFR pathway as of lately because both EGFR and some downstream components serve as targets for anticancer therapy. In addition to playing a critical role in targeted therapy, alterations in this pathway can have prognostic implications. The EGFR pathway and its impact on colorectal carcinogenesis and prognosis are the emphasis of this paper. Since prognosis is tightly related to response to various therapies, the predictive value of the components of this pathway will be briefly discussed, but this is not the focus of this paper.

1. Introduction

The epidermal growth factor receptor (EGFR) and its downstream signaling pathways regulate key cellular events that drive the progression of many neoplasms. EGFR is expressed in a variety of human tumors, including gliomas and carcinomas of the lung, colon, head and neck, pancreas, breast, ovary, bladder, and kidney. Mutations, gene amplification, and protein overexpression of various elements of this pathway not only contribute to carcinogenesis but also impact prognosis and provide specific targets for therapeutic intervention. The importance of EGFR and its signaling pathway in colorectal carcinogenesis is the topic of this paper. Since prognosis is tightly related to response to various therapies, the predictive value of the components of this pathway will be discussed, but only briefly. There is another paper in this series, "Impact of *KRas* mutations on management of colorectal cancer" by Sullivan and Kozuch, which provides an in-depth review of the predictive value of *KRas* and other members of the EGFR signaling pathway.

2. EGFR and the EGFR Signaling Pathway

EGFR is a 170-kDa transmembrane tyrosine kinase receptor that belongs to the ErbB family of cell membrane receptors.

In addition to EGFR (also known as HER1 and ErbB-1), other receptors in this family include HER2/c-neu (ErbB-2), Her 3 (ErbB-3), and Her 4 (ErbB-4). All of these receptors contain an extracellular ligand-binding region, a single membrane-spanning region, and a cytoplasmic tyrosine-kinase-containing domain.

In normal cells, the EGFR signaling cascade begins with ligand activation of EGFR (Figure 1). Up to eleven ligands can bind the ErbB family of receptors, including EGF and transforming growth factor α [1]. Ligand binding induces dimerization of the receptor with formation of homodimers and heterodimers, which leads to the activation of tyrosine kinase. The intracellular tyrosine kinase residues then become autophosphorylated, inducing activation of multiple signal transduction pathways. Two main intracellular pathways activated by EGFR are the mitogen-activated protein kinase (MAPK) pathway and the phosphatidylinositol 3-kinase- (PI3K-) protein kinase B (AKT) pathway. These pathways lead to the activation of various transcription factors that then impact cellular responses such as proliferation, migration, differentiation, and apoptosis [2].

Signaling through the EGFR pathway is a complex process that requires tight regulation [2]. The first level of complexity is encountered at the receptor level, where

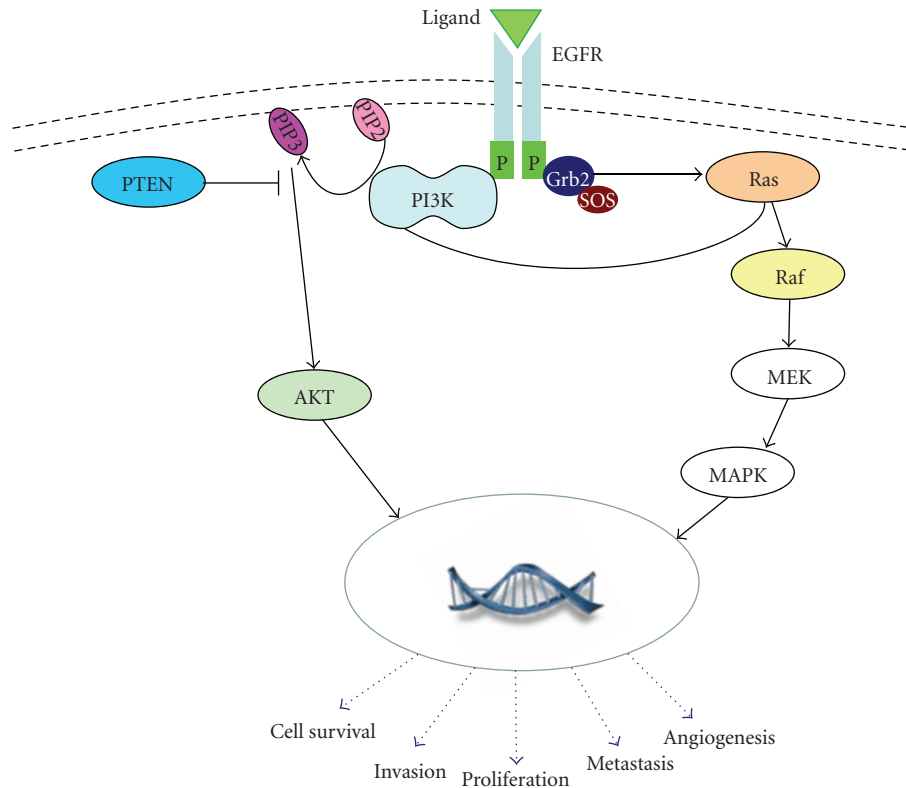


FIGURE 1: EGFR signaling pathway. Ligand binding induces dimerization and activates the EGFR. Subsequent autophosphorylation of tyrosine residues activates downstream signaling. In the Ras-Raf-MEK-MAPK, one axis of the EGFR signaling cascade, an adaptor protein complex composed of growth factor receptor-bound protein 2 adapter protein (Grb2), which harbors a tyrosine phosphate-docking site, and son of sevenless (SOS), a Ras GDP/GTP exchange factor, then activates the Ras GTPase. After activation, Ras (i.e., KRas) recruits and activates the serine protein Raf (i.e., B-Raf), and subsequent phosphorylation and activation of MEK and then MAPK occurs, resulting in activation of transcription factors in the cell nucleus. The Ras-Raf-MAPK signaling pathway is thought to control cell growth, differentiation, and survival (?apoptosis). The other axis of the EGFR signaling cascade that is important in colorectal carcinogenesis is the PI3K-AKT pathway. Once the EGFR tyrosine residues are phosphorylated, PI3K is translocated to the cell membrane and binds to tyrosine phosphate (through its adaptor subunit p85) which triggers the PI3K catalytic subunit p110 to produce phosphatidylinositol-3,4,5-triphosphate (PIP3). PI3K then promotes AKT activation. Activated AKT (p-AKT), present within the cytoplasm, then activates various targets that result in cell growth, proliferation, and survival (paralleling the Ras-Raf-MEK-MAPK signaling pathway). Importantly, these two axes are closely related and have some overlap. For example, the p110 subunit of PI3K can also be activated via interaction with Ras. Of note, phosphatase with tensin homology (PTEN) is a phosphatase that converts PIP3 back to phosphatidylinositol (4, 5) bisphosphate (PIP2), thereby negatively regulating the PI3K-AKT pathway.

multiple ligands are shared and lateral signaling occurs between members of the ErbB family. Then there are positive and negative feedback loops built into the pathways and differential activation of transcription factors, depending upon the cell type. When this tightly regulated system goes awry, it can contribute to malignant transformation and tumor progression through increased cell proliferation, prolonged survival, angiogenesis, antiapoptosis, invasion, and metastasis [3, 4].

3. The EGFR Pathway and Colorectal Carcinogenesis (Table 1)

3.1. EGFR Protein Expression. EGFR expression (or overexpression), typically determined by immunohistochemistry, has been found to be associated with tumor progression and poor survival in various malignancies, such as carcinomas

of the head and neck [5]. However, the significance of EGFR protein expression is controversial in other tumors, such as lung carcinomas [6]. Although EGFR has been reported to be overexpressed in anywhere from 25% to 82% of colorectal cancers [4], some recent studies report protein overexpression (defined as 2+ and/or 3+ staining or in >50% of cells) in 35 to 49% of cases [7–9]. However, the clinical significance of EGFR overexpression in colorectal cancer is uncertain. While one study of 249 colorectal cancers demonstrated an association of EGFR overexpression with tumor grade (poor differentiation) ($P = .014$) [8], another group found no association with grade in 134 tumors [9]. Similarly, some studies have found an association between EGFR overexpression (defined as 2+ or 3+ intensity) and reduced survival [7, 9], while others have not [4].

Due to the known expression of EGFR in colorectal cancer, a phase II trial of cetuximab, an anti-EGFR monoclonal antibody, in patients with refractory EGFR-positive

TABLE 1: Components of the EGFR signaling pathway important in colorectal cancer.

Component (gene/protein)	Protein function	Defect in CRC	Frequency	Prognostic	Impact Predictive (to anti-EGFR therapy)
<i>EGFR/EGFR</i>	Transmembrane tyrosine kinase receptor	Protein expression	25–90%	Controversial	No correlation
		Mutation	Rare	Unknown	Unknown
		Increased copy number	0–50%*	Uncertain	Uncertain
<i>KRas/KRas</i>	GDP-/GTP-binding protein; facilitates ligand-dependent signaling	Activating mutation (codons 12, 13, 61, 146); leads to activation of MAPK pathway	30–40%	Controversial	No response (if <i>KRas</i> is mutated)
<i>BRAF/B-Raf</i>	Serine-threonine protein kinase downstream of <i>KRas</i>	Activating mutation (V600E)	5–12%	Poor prognosis in MSS tumors	No response (if <i>BRAF</i> is mutated)
<i>PIK3CA/PI3K</i>	A key signal transducer in the PI3K-AKT pathway	Activating mutation (exons 9 and 20)	14–18%	Poor prognosis in <i>KRas</i> wt tumors	No response (if exon 20 is mutated)
<i>PTEN/PTEN</i>	A protein tyrosine phosphatase enzyme; inactivates PI3K pathway	Loss of protein expression; mutation; LOH	13–19%	Poor prognosis in <i>KRas</i> wt tumors	No response (possibly)

CRC: colorectal cancer; LOH: loss of heterozygosity; wt: wild-type.

*Low % for high (>10 copies) amplification; higher % for low number of copies (3–5 copies).

(assessed by immunohistochemistry) colorectal cancer was undertaken [10]. The results of this trial, reported in 2004, were promising. It was soon discovered, however, that there was no correlation between EGFR expression in the tumor and response to therapy [11, 12]. In the study by Chung et al., four of 16 (25%) patients with EGFR-negative tumors who received cetuximab-plus-irinotecan therapy achieved a partial response with a greater than 50% reduction in the size of measurable lesions [11]. This response rate is nearly identical to the 23% response rate seen in a separate cetuximab-plus-irinotecan clinical trial in EGFR-positive patients [12]. As a result, cetuximab is now administered as indicated without the need for EGFR testing.

The wide range of EGFR expression in colorectal cancer reported in the literature, as well as the uncertain significance of EGFR expression as a prognostic indicator, may be related to the methodology used to detect EGFR. Most studies use immunohistochemistry to detect EGFR expression in colorectal cancers. As demonstrated by the experience of HER2 expression in breast cancer, immunohistochemistry is highly dependent on the antibody clone that is used, staining protocols, selection of scoring methods, and selection of cutoff values. Until a standard method of EGFR staining and reporting is adopted, the significance of EGFR protein expression in colorectal cancer remains controversial.

3.2. EGFR Mutations, Gene Amplification, and Copy Number.

Mutations affecting the extracellular domain of EGFR, often accompanied by gene amplification, are frequent in glioblastomas [13], while mutations in the tyrosine kinase domain of EGFR, also frequently associated with increased *EGFR* gene copy numbers, are clinically relevant in lung adenocarcinoma [6, 14–16]. Unlike lung cancer and other

tumors, *EGFR* gene mutations are uncommon in colorectal cancers [17, 18].

The significance of *EGFR* gene amplification/increased *EGFR* copy number is more difficult to summarize. Some studies report that *EGFR* gene amplification (assessed by in situ hybridization methods) is uncommon in colorectal cancer [19, 20]. In contrast, in recent studies on chemorefractory colon cancers, it appears that modest increases in copy number (three- to fivefold) are present in up to 50% of cases [21]. It appears, however, that increased *EGFR* protein expression does not always translate into increased *EGFR* gene dosage [19, 21, 22]. For example, a study by Shia et al. found that only a small fraction (17 of 124 or 14%) of EGFR-positive (defined as 1+, 2+, or 3+) colorectal carcinomas detected by immunohistochemistry were associated with *EGFR* gene amplification (defined as >5 gene copies/nucleus) [19].

Similarly, the predictive significance of *EGFR* gene amplification is also confusing and uncertain. One study of 47 patients with metastatic colorectal cancer treated with a cetuximab-based regimen showed that EGFR gene copy gain, as assessed by fluorescence in situ hybridization, had no correlation with objective response rate, disease control rate, progression-free survival, or overall survival [23]. Conversely, another study of 173 patients with *KRas* wild-type metastatic colorectal cancer treated with a cetuximab-based regimen found that *EGFR* amplification/increased *EGFR* copy number, present in 17.7% of patients, was associated with response to anti-EGFR therapy [24]. These conflicting results may be related to the fact that there are no established guidelines for EGFR gene amplification. But since there are no guidelines, testing for EGFR gene amplification in colorectal cancer is not routinely performed.

In addition to molecular alterations of the *EGFR* gene, activation of EGFR downstream effectors can lead to tumor formation/progression. Specific alterations can impact prognosis and predict response to anti-EGFR therapy.

3.3. *KRas* Mutations. The *KRas* proto-oncogene encodes a 21-kDa guanosine 5'-triphosphate- (GTP-) binding protein at the beginning of the MAPK signaling pathway. Somatic *KRas* mutations are found in many cancers, including 30%–40% of colorectal cancers, and are an early event in carcinogenesis [25–29]. *KRas* mutations, most commonly codon 12/13 missense mutations, lead to constitutive activation of the *KRas* protein by abrogating GTPase activity. These mutations result in unregulated downstream signaling that will not be blocked by antibodies that target the EGFR receptor.

The prognostic significance of *KRas* mutations is controversial. *KRas* mutation status is associated with shorter survival in some studies [28, 30–32], but not others [29, 32, 33]. The results of one study, which showed increased mortality with codon 13 G-A mutations but not with *KRas* mutations in general, suggest that prognosis may be related to specific mutations in the *KRas* gene [28]. Although not predictive of outcome with standard chemotherapy, *KRas* mutation status is a strong predictive marker of resistance to EGFR-targeted therapy in patients with metastatic colorectal cancer (i.e., *KRas* mutations predict a lack of response to anti-EGFR monoclonal antibodies cetuximab and panitumumab) [34–39]; this topic is discussed in detail in another paper in this series, “Impact of *KRas* mutations on management of colorectal cancer” by Sullivan and Kozuch.

3.4. *BRAF* Mutations. The *BRAF* gene encodes a serine-threonine protein kinase that is downstream of *KRas* in the MAPK signaling pathway. *BRAF* mutations occur in 5–22% of all colorectal cancers [40, 41]. When separated by microsatellite instability status, *BRAF* mutations are present in 40–52% of colorectal cancers that arise through the microsatellite instability pathway (MSI) pathway (microsatellite unstable tumors) [41–44], but only 5% of cancers are microsatellite stable [42]. The most frequently reported *BRAF* mutation is a valine-to-glutamic acid amino acid (V600E) substitution [45]. *BRAF* mutations are mutually exclusive with *KRas* mutations [41].

Unlike *KRas* mutations, *BRAF* mutations do have an impact on prognosis and survival. In some studies, the effect is dependent upon the microsatellite status of the colorectal cancer. Patients with a *BRAF* mutation in a microsatellite-stable colon cancer have significantly poorer survival than those without the mutation, but the *BRAF* status does not affect survival of patients with microsatellite-unstable tumors [29, 42]. In patients with metastatic *KRas* wild type tumors, *BRAF* mutations have been associated with shorter progression-free and shorter overall survival [24]. *BRAF* status also predicts response to anti-EGFR therapy. Of metastatic colorectal cancers that are found to be *KRas* wild type at codons 12/13, 5% to 15% can harbor *BRAF* mutations and show resistance to anti-EGFR therapy

[46, 47]. The predictive role of *BRAF* mutations is further covered in another article in this series, “Impact of *KRas* mutations on management of colorectal cancer.”

3.5. The PI3K Pathway-*PIK3CA* Mutations and Expression of *PTEN* and *p-AKT*. The PI3K-AKT pathway can be deregulated by activating mutations in the *PIK3CA* gene (p110 subunit), by inactivation (often by epigenetic mechanisms) of the phosphatase and tensin homolog (*PTEN*) gene, or by activation of AKT [1, 48]. The *PIK3CA* gene encodes phosphatidylinositol 3-kinase (PI3K), a key signal transducer in the PI3K-AKT pathway. Mutations in *PIK3CA* occur in 14% to 18% of colon cancers, and most mutations involve hotspots on exons 9 and 20 [47, 49]. Interestingly, there is a strong association between *PIK3CA* exon 9 mutations and *KRas* mutations [47]. As a prognostic marker, *PIK3CA* mutations are associated with shorter cancer-specific survival, but this effect may be limited to patients with *KRas* wild-type tumors [49]. Briefly, as a predictive marker, only *PIK3CA* exon 20 mutations appear to be associated with worse outcome after cetuximab [47].

The *PTEN* gene encodes a protein tyrosine phosphatase enzyme (PTEN) that dephosphorylates phosphatidylinositol-3,4,5 triphosphate (PIP3) and thereby inhibits PI3K function [1]. Loss of PTEN results in constitutive activation of the PI3K-AKT pathway. *PTEN* mutations and loss of heterozygosity (LOH) of the *PTEN* locus have been reported in 13%–18% and 17%–19% of colon cancers, respectively [50, 51]. It appears that loss of PTEN has prognostic value. Loss of PTEN protein expression (assessed by IHC) is associated with shorter overall survival in patients with *KRas* wild-type tumors [24]. It appears that there is an association with *PTEN* mutations/LOH with MSI status, but the current published results are conflicting [50, 51]. PTEN protein inactivation may also be a negative predictor of response to anti-EGFR therapy [22, 52].

AKT is a major downstream effector of PI3K. A recent study by Baba et al. examined the role of activated (phosphorylated) AKT expression in a large cohort of colorectal cancers [48]. They demonstrated that p-AKT expression is associated with early stage disease and good prognosis. They also showed that p-AKT expression is associated with *PIK3CA* mutation, as expected from their relationship in the EGFR pathway, but that the prognostic effect of p-AKT expression was independent of *PIK3CA* mutation. It is possible that p-AKT expression could serve as positive prognostic marker in patients with colorectal cancer.

In summary, the EGFR signaling pathway is a complex and tightly regulated process that is involved in growth, proliferation, and survival of normal cells. When this system goes awry and unchecked, it can lead to growth, proliferation, survival, and metastasis of neoplastic cells. Alterations within the EGFR signaling cascade, such as gene mutations, gene amplification, and protein overexpression, have been shown to contribute to colorectal carcinogenesis. Some alterations also portend a poor prognosis in patients with colorectal cancer. Due to the complex interaction of EGFR and its downstream regulators, the study of individual

components of this pathway often yields conflicting results, as noted in this paper. Hence, there are still many questions that need to be answered before we can fully understand the impact of the EGFR signaling pathway on colorectal carcinogenesis and the prognosis of patients with colorectal cancer.

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