# <span id="page-0-0"></span>Measuring Retroactivity from Noise in Gene Regulatory Networks

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ABSTRACT Synthetic gene regulatory networks show significant stochastic fluctuations in expression levels due to the low copy number of transcription factors. When a synthetic gene network is allowed to regulate a downstream network, the response time of the regulating transcription factors increases. This effect has been termed "retroactivity". In this article, we describe a method for estimating the retroactivity of a given system by measuring the stochastic noise in the transcription factor expression. We show that the noise in the output signal of the network can be affected significantly when the output is connected to a downstream module. More specifically, the output signal noise can show significantly longer correlations. We define retroactivity by the change in the correlation time. This measure of retroactivity corresponds well to the deterministic retroactivity described in another study. We provide an estimation method for measuring retroactivity from the gene expression noise by investigating its autocorrelation function. When retroactivity is defined using the decay (correlation) times from the gene expression autocorrelation functions, it is found not to depend on whether the module output is defined as either the free transcription factor or the total of the bound and free transcription factor. The frequency domain response, however, depends strongly on which output variable is considered. The proposed estimation method for measuring retroactivity, based on the gene expression noise, can serve as a practical method for characterizing interface conditions between two synthetic modules and eventually provide a step toward large-scale circuit design for synthetic biology.

### INTRODUCTION

In synthetic biology, independent or modular units are crucial to the design process when building a gene network from individually characterized modules ([1–5\)](#page-9-0). A modulebased approach can provide an efficient information exchange due to the reusability of previously-designed and experimentally-tested modules. The approach can also provide predictable design of global behavior based on each individually characterized module. Because of these merits, synthetic biologists have been promoting the idea of modularity in diverse directions, for example: bioinformatics [\(6](#page-9-0)), software engineering [\(7](#page-9-0)), experiments, and theoretical approaches.

Del Vecchio et al. [\(8](#page-9-0)) introduced a measure called ''retroactivity'' that allows one to determine the influence a downstream module has on the characteristics of an upstream module. The higher the retroactivity, the greater the influence from the downstream module and the less modular the functional upstream units. More specifically, they considered a gene network (which we call a circuit) whose outputs are transcription factors (TFs) that can regulate specific promoters on a downstream module (see [Fig. 1\)](#page-1-0). They showed that the upstream module output responds much more slowly when it regulates a downstream module than for the case when the output is isolated [\(8](#page-9-0)), and that this slowdown in the output kinetics can affect the dynamics of the upstream module.

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In this article, we focus on an operational method for measuring the retroactivity by using the stochastic nature of gene circuits. Gene expression is known to show significant stochastic fluctuations ([9–16\)](#page-9-0) (for review [\(17–20](#page-9-0))), which often contains useful information that is not available by simply observing the mean values [\(21](#page-9-0)). Because the noise can be considered an outcome of continuous perturbations (generated from both intrinsic and extrinsic sources), it can be used to obtain the systems dynamical response to the perturbations. In this article, we investigate the measurable changes in the statistics of the noise due to connections between gene circuit components and propose a practical method to measure retroactivity to quantify the level of modularity in circuit components.

# MATERIALS AND METHODS

# Gene expression models and autocorrelation functions

In this section, we present a stochastic model of gene expression for transcription factors (TFs), taking into account retroactivity due to bindingunbinding interactions between the TFs and their specific promoter region. We aim to describe the dynamics of the processes in the slow timescale, where we assume the binding-unbinding processes of the TFs to be in equilibrium. In the slow timescale, the dynamics can be described by a slow variable, the total number  $X_{tot}$  of TFs. To derive the autocorrelation function, we consider the dynamical response of the noise component of  $X_{tot}$ :  $\delta X_{tot}$ . Because our simulations show that its autocorrelation can be approximated as a pure exponential function (when the extrinsic noise is not considered), we assume that  $\delta X_{tot}$  shows a single response time T and model the process by the following Langevin equation,

$$
\frac{d\delta X_{tot}}{dt} = -\gamma_I \delta X_{tot} + I,\tag{1}
$$

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FIGURE 1 Module interface process: Module 1, representing the repressilator [\(22](#page-9-0)), regulates Module 2. One of the transcription factors of the repressilator, TetR, is chosen as an output of Module 1 and TetR-specific promoters in Module 2 as an input of Module 2. The interface process between the two modules represents  $tetR$  transcription, translation, and its regulation on the promoters in Module 2.

where  $\gamma_I \equiv 1/T$  and I represents intrinsic noise which is exponentially correlated [\(14–16](#page-9-0)) as

$$
\langle I(t)I(t+\tau)\rangle = W_I \exp(-\tau/T_I),
$$

where the angle bracket denotes an average over a time series at the stationary state and  $T_I$  denotes the correlation time of intrinsic noise. When the extrinsic noise is considered, the model process can be modified as

$$
\frac{d\delta X_{tot}}{dt} = -(\gamma_I + \gamma_E)\delta X_{tot} + I + E, \qquad (2)
$$

where  $\gamma_E$  is given by  $\log(2)/T_d$  with  $T_d$  cell doubling time and E represents extrinsic noise satisfying [\(14–16](#page-9-0))

$$
\langle E(t)E(t+\tau)\rangle = W_E \exp(-\tau/T_E),
$$

where  $T_E$  denotes the correlation time of extrinsic noise (later we will assume that  $\gamma_E$  is equal to  $1/T_E$ ).

An autocorrelation function [\(22](#page-9-0)) (for gene expression studies [\(13–16](#page-9-0))) quantifies the correlation of the signal  $(X_{tot})$  with itself for a given timelag  $(\tau)$ :

$$
G_{X_{tot}}(\tau) = \langle (X_{tot}(t+\tau) - \langle X_{tot} \rangle)(X_{tot}(t) - \langle X_{tot} \rangle) \rangle, \quad (3)
$$

where  $X_{tot}(t)$  is the signal amplitude at time t and is presumed at a stationary state fluctuating with respect to a constant mean. This autocorrelation function has been experimentally measured to understand the noise power spectrum in gene expression levels of a HIV transcriptional circuit ([15\)](#page-9-0) and TetR negative feedback circuit ([14\)](#page-9-0). Autocorrelation has also been used to investigate the properties of intrinsic and extrinsic noise ([13\)](#page-9-0) and to analyze regulatory interactions in a CRP-GalS-GalE feed-forward circuit [\(16](#page-9-0)). Here we offer a new (to our knowledge) application of autocorrelation functions in relation to the analysis of modularity.

To obtain the autocorrelation function of  $X_{tot}$ , we obtain the integral-type solution of Eq. 2 for  $X_{tot}(t)$  and substitute the solution into Eq. 3, resulting in

$$
G_{X_{tot}}(\tau) = \frac{W_I/T_I}{(1/T_I)^2 - \gamma'^2} \left( -\frac{e^{-\tau/T_I}}{1/T_I} + \frac{e^{-\gamma'\tau}}{\gamma'} \right) + \frac{W_E/T_E}{(1/T_E)^2 - \gamma'^2} \left( -\frac{e^{-\tau/T_E}}{1/T_E} + \frac{e^{-\gamma'\tau}}{\gamma'} \right), \quad (4)
$$

with  $\gamma' \equiv \gamma_I + \gamma_E$ .

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We will show that the above autocorrelation function, Eq. 4, is consistent with the functional forms obtained or used in the literature [\(13,14,16\)](#page-9-0). To show the consistency with Austin et al. ([14\)](#page-9-0), we consider that the internal noise correlation time is much smaller than the extrinsic noise correlation time (i.e.,  $T_I \ll T_E$ ) and that the time interval  $\tau$  is much larger than  $T_L$  Under the assumption that  $\gamma_E = 1/T_E$ , we can simplify the above equation to Eq. 13, giving an identical result presented in Austin et al. [\(14](#page-9-0)). In Rosenfeld et al. [\(13](#page-9-0)) and Dunlop et al. ([16\)](#page-9-0), the authors did not use degradation-tagged TFs in measuring their expression levels, so the lifetimes of the TFs were much larger than the cell doubling time  $(\gamma_I \ll \gamma_E \text{ and thus } \gamma' \simeq \gamma_E)$ . The authors assumed that  $\gamma_E = 1/T_E$ . In this case, the autocorrelation can be simplified to [\(13,16\)](#page-9-0)  $A' \exp(-\tau/T_I) + B' \exp(-\tau/T_E).$ 

## Reaction models for  $X_{tot}$

We justify the stochastic reaction processes described in Fig. 2, C and D. We construct the master equation for  $X_{tot}$  and  $P_b$ :



FIGURE 2 Reaction models for a module interface process: (A) Monomer transcription factors (output of an upstream module) regulate promoters located in a downstream module. (B) This interface process is modeled by TF translation, degradation, and binding-unbinding processes. The copy number of unbound TFs, unbound promoters, and bound promoters are denoted by  $X_{free}$ ,  $P_f$ , and  $P_b$ , respectively.  $P_T$  is the total number of promoters. This reaction model can be equivalently described by panel C.  $X_{tot}$  denotes the total copy number of the TFs. (D) The reaction model from panels  $B$  and  $C$  can be simplified under the quasiequilibrium assumption for  $P_b$ . The full model from panel B is used for simulations and the approximate model from panel  $D$  is introduced for formulating the concept of stochastic retroactivity (not for simulations).

$$
\frac{\partial P(X_{tot}, P_b; t)}{\partial t} = P(X_{tot} - 1, P_b)\alpha + P(X_{tot}, P_b - 1) \times k_{on}(P_t - P_b + 1)(X_{tot} - P_b + 1) + P(X_{tot} + 1, P_b)\gamma(X_{tot} + 1 - P_b) + P(X_{tot}, P_b + 1)k_{off}(P_b + 1) - P(X_{tot}, P_b)[\alpha + \gamma(X_{tot} - P_b) + k_{off}P_b + k_{on}(P_t - P_b)(X_{tot} - P_b)],
$$

where  $P(X_{tot}, P_b; t)$  is the probability to find  $X_{tot}$  total TFs and  $P_b$  bound TFs at time t. This justifies the reaction model for  $X_{tot}$  shown in [Fig. 2](#page-1-0) C is exact at the master equation level.

As in the deterministic case, we assume the transcription factor bindingunbinding process is in a quasiequilibrium state for a given value of  $X_{tot}$  $(\gamma \ll k_{on} X_{free} + k_{off};$  see [\(8,23,24\)](#page-9-0)),

$$
P(X_{tot}, P_b; t) = P(P_b|X_{tot})P(X_{tot}; t),
$$

where  $P(P_b | X_{tot})$  is the probability distribution function of  $P_b$  for a given value of  $X_{tot}$  at the quasiequilibrium [\(17](#page-9-0)). This approximation simplifies the above equation to another master equation for the slow variable  $X_{tot}$ :

$$
\begin{aligned} \frac{\partial P(X_{tot};t)}{\partial t} \,=\, &\alpha [P(X_{tot}-1;t)-P(X_{tot};t)] \\ &+\,\gamma\big(X_{tot}+1-\langle P_b\rangle_{X_{tot}+1}\big)P(X_{tot}+1;t) \\ &-\,\gamma\big(X_{tot}-\langle P_b\rangle_{X_{tot}}\big)P(X_{tot};t). \end{aligned}
$$

For the fast mode process, we use the quasiequilibrium assumption, specifically the detailed balance:

$$
k_{off}(P_b+1)P(P_b+1|X_{tot})=k_{on}(P_T-P_b)(X_{tot}-P_b)P(P_b|X_{tot}).
$$

From the detailed balance we obtain the equilibrium probability distribution function  $P(P_b | X_{tot})$  of  $P_b$  and then obtain the average copy number of the bound transcription factor,  $\langle P_b \rangle_{X_{tot}}$ :

$$
\langle P_b \rangle_{X_{tot}} = \sum_{P_b=0}^{X_{tot}} \left(\frac{k_{on}}{k_{off}}\right)^{P_b} \frac{P_T! X_{tot}!}{(P_b-1)!(P_t-P_b)!(X_{tot}-P_b)!} \times P(0|X_{tot}).
$$
\n(5)

By using Eq. 5, we replace  $P_b^*$  appearing in the degradation rate function of  $X_{tot}$  in the deterministic case, to  $\langle P_b \rangle_{X_{tot}}$ . This means that the slow process can be simplified to the reaction process shown in [Fig. 2](#page-1-0) D.

# Deterministic retroactivity for a module interface process involving dimer TFs

This section shows the derivation of the deterministic retroactivity for the module interface process described in the example ''Dimer transcription factor with negative feedback,'' by obtaining a response time. The total transcription factor (monomer units) ( $X_{tot} = X + 2X_2 + 2P_b$ ) evolves in time by following

$$
\frac{dX_{tot}}{dt} = \alpha(X_2) - \gamma X - 2\gamma_2 X_2,
$$

with

$$
\alpha(X_2) \equiv \frac{\alpha}{1 + \beta X_2}.
$$

The response time constant of  $X_{tot}$  becomes

$$
T_c = -\left[\frac{d}{dX_{tot}}(\alpha(X_2) - \gamma X - 2\gamma_2 X_2)\right]^{-1}
$$
  
= 
$$
\left[\frac{-d\alpha(X_2)}{dX}\frac{dX}{dX_{tot}} + \gamma \frac{dX}{dX_{tot}} + 2\gamma_2 \frac{dX_2}{dX_{tot}}\right]^{-1}
$$
 (6)

Under the assumption of the equilibrium of  $X_2$  and  $P_b$ , we obtain

$$
X_2 = \frac{k_1}{k_2 + \gamma_2} X^2,
$$
  
\n
$$
P_b = \frac{P_T X_2}{K_d + X_2} \equiv P_T f(X),
$$
\n(7)

where we replace the reaction rate of dimerization to  $k_1X^2$  for simplicity. By substituting the first equation in the above to Eq. 6, the time constant is obtained as

$$
T_c = \left[ -\frac{d\alpha(X_2)}{dX} + \gamma + \frac{4\gamma_2 k_1 X}{k_2 + \gamma_2} \right]^{-1} \frac{dX_{tot}}{dX},
$$

which becomes, by using  $X_{tot} = X + 2X_2 + 2P_b$  and Eq. 7,  $\mathbf{r}$ 

$$
T_c = T_i \left[ 1 + \frac{2f'(X)}{1 + \frac{4k_1X}{k_2 + \gamma_2}} P_T \right],
$$
 (8)

 $4x + 3x$ 

where  $T_i$  denotes the time constant in the isolated case,

$$
T_i = \frac{1 + \frac{4k_1X}{k_2 + \gamma_2}}{-\frac{d\alpha(X_2)}{dX} + \gamma + \frac{4\gamma_2k_1X}{k_2 + \gamma_2}}
$$

Here the concentration levels of X and  $X_2$  are independent of  $P_T$  at the stationary state. The retroactivity is obtained by using Eq. 10.

# **Software**

All stochastic simulations were carried out using our own Gillespie code written in C and run on a Quad-core PC under the Ubuntu LINUX OS. Deterministic simulations were carried out using the software SBW [\(25,26\)](#page-10-0).

### RESULTS

#### Module interface process

We begin by defining the term, module interface process (MIP), as a collection of reactions involving upstreammodule TFs that regulate downstream-module promoters. For example, consider a synthetic oscillator such as a repressilator [\(27](#page-10-0)) and one of the TFs, TetR, where TetR is allowed to regulate promoters located in its downstream module as shown in [Fig. 1](#page-1-0). Here the MIP represents tetR transcription, translation, and regulation (binding-unbinding) of the downstream promoters.

#### <span id="page-3-0"></span>Deterministic retroactivity

We will first review the work by Del Vecchio et al. ([8\)](#page-9-0) on retroactivity in gene-regulatory networks. They considered a simple MIP shown in [Fig. 2](#page-1-0) A and modeled it as a reaction process described in [Fig. 2](#page-1-0) B, where they assumed that the degradation of bound TFs is negligible compared to that of free TFs. The total number of TFs (free and bound) denoted by  $X_{tot}$  can fluctuate only when the TFs are translated or degraded. Thus, the MIP can be equivalently described as in [Fig. 2](#page-1-0) C. Del Vecchio et al. also assumed that the bindingunbinding reactions are fast enough that the number of the bound TFs denoted by  $P_b$  is in quasiequilibrium:

$$
k_{on} X_{\text{free}} P_f = k_{\text{off}} P_b.
$$

By using this equation, we can express  $P_b$  in terms of  $X_{tot}$ :

$$
P_b^*(X_{tot}).
$$

Then the MIP can be simplified as shown in [Fig. 2](#page-1-0) D.

The degradation rate of  $X_{tot}$  in the MIP ( $\gamma(X_{tot} - P_b^*)$ ; see [Fig. 2](#page-1-0) D) was shown to be highly nonlinear in  $X_{tot}$  (see Fig. 3) ([8,28](#page-9-0)), and this nonlinearity was understood from the continuous sequestration of  $X_{\text{free}}$  to a nondegradable state  $(P_b)$  [\(28](#page-10-0)). That is, when the copy number of the total TFs is much larger than that of the specific promoters, most of the TFs are found to be unbound, i.e., in the degradable state. Mathematically the degradation rate of  $X_{tot}$  is approximated as

$$
\gamma\left(X_{tot}-P_{b}^{*}\right)\simeq\gamma X_{tot}
$$

as shown in Fig. 3 B. However, if the copy number of the total TFs and their promoters are in the same order of magnitude, most of the TFs become bound to the promoter regions (when the TF-promoter affinity is strong), i.e., in the nondegradable state. Thus, the net degradation rate of the total TFs becomes significantly reduced.

This nonlinear degradation rate of  $X_{tot}$  causes the dynamics of the upstream module output to slow down. To quantify the slowdown, Del Vecchio et al. ([8\)](#page-9-0) introduced a measure called ''retroactivity''. The speed of the dynamics, more specifically the speed of the response to a perturbation in  $X_{tot}$ , is related to how quickly the degradation rate changes to buffer the perturbation: The response time is inversely proportional to the slope of the degradation rate function (Fig.  $3 B$ ). When the equilibrium state is perturbed, the response time  $T_c$  is expressed as

$$
\frac{1}{T_c} \equiv \frac{d}{dX_{\text{tot}}} \Big[ \gamma \big( X_{\text{tot}} - P_b^* \big) \Big] \Big|_{X_{\text{tot}} = X_{\text{tot}}^c} = \gamma \bigg( 1 - \frac{dP_b^*}{dX_{\text{tot}}} \bigg) \Big|_{X_{\text{tot}} = X_{\text{tot}}^c},
$$

with  $X_{tot}^e$  the equilibrium value of  $X_{tot}$ . The term appearing in the above,  $dP_b* / dX_{tot}$ , was defined as the retroactivity and shown to be between 0 and 1 ([8\)](#page-9-0). Thus, the response time  $T_c$ in the connected case becomes larger than the response time  $T_i \equiv 1/\gamma$  of the isolated case. Retroactivity estimated in



**C** Stochastic, Connected Case



FIGURE 3 Degradation rate functions ( $\gamma X_{free}$ ) for the module interface process shown in [Fig. 2](#page-1-0) D. In the deterministic framework (A and B),  $X_{\text{free}}^e$  and  $X_{\text{tot}}^e$  represent the concentrations of the transcription factor at equilibrium. In the connected case, the degradation rate becomes  $\sim \gamma X_{tot}$  for sufficiently large  $X_{tot}$ . In the stochastic framework (C), the degradation rate function  $\gamma \langle X_{free} \rangle_{X_{tot}}$  can become highly nonlinear for the probable region of  $X_{tot}$ . The average copy number of the unbound TFs  $\langle X_{free} \rangle_{X_{tot}}$  is computed for the different values of the total copy number  $(X_{tot})$  by using Eq. 5, and the probability distribution function of  $X_{tot}$ ,  $P(X_{tot})$ , is numerically computed for the process shown in [Fig. 2](#page-1-0) D based on the Gillespie stochastic simulation algorithm ([35\)](#page-10-0). Parameters:  $K_d = 1$  pM and  $P_T =$ 100 nM  $\left[\alpha = 0.5 \text{ nM h}^{-1}, \gamma = 1 \text{ h}^{-1}, k_{on} = 10 \text{ nM}^{-1} \text{ h}^{-1}, \text{ and } k_{off} = \right]$  $0.01 h^{-1}$ ]. We set the volume of the host cell (e.g., *E. coli*) roughly equal to 1  $\mu$ m<sup>3</sup>, and a copy number of one corresponds to 1 nM. As a result, we interchange the unit of nM with that of copy number.

this deterministic framework [\(8](#page-9-0)) will be henceforth called the deterministic retroactivity (d-retroactivity).

### Stochastic retroactivity

In this section, we consider the MIP described in Fig.  $2 B$  in the stochastic regime. The value of  $P_b$  fluctuates stochastically. There are two types of fluctuations, fast and slow. The fast one comes from the rapid binding-unbinding reactions and the other from the slow translation-degradation processes. We are interested in the timescale of the slow process and assume quasiequilibrium in the bindingunbinding processes. At the first level approximation, we replace  $P_b^*$  with the mean value of  $P_b$  over the fast fluctuations (refer to the [Materials and Methods](#page-0-0)).

Consider first the isolated case. In the stochastic description, stochastic fluctuations in  $X_{free}$ , deviating from the stationary-state mean value will spend a time  $1/\gamma$  typically in reaching the mean value [\(Fig. 4\)](#page-4-0). The autocorrelation

<span id="page-4-0"></span>

FIGURE 4 Stochastic fluctuations in a fluorescence signal  $(X_{tot}(t))$  from fluorescence-tagged TFs: If the autocorrelation function of  $X_{tot}(t)$  follows an exponential function  $G_{X_{tot}}(\tau) = G_{X_{tot}}(0)e^{-\frac{\tau}{T}}$ , the correlation time corresponds to  $T$  ([22\)](#page-9-0). The autocorrelation can show longer correlations when the upstream module regulates the downstream one and causes the correlation time to increase.

function  $G_{X_{free}}(\tau)$  (for a definition see the [Materials and](#page-0-0) [Methods](#page-0-0)) becomes significant up to the time interval  $1/\gamma$ (called the correlation time; see Fig. 4): mathematically ([22\)](#page-9-0),

$$
G_{X_{free}}(\tau) = G_{X_{free}}(0)e^{-\tau/T_i} \text{ with } T_i \equiv 1/\gamma.
$$

For the isolated case, the correlation time in the stochastic framework equals the response time in the deterministic framework [\(22](#page-9-0)).

For the connected case, the correlation time will be shown to increase when compared with the isolated case. Consider the case of the nonlinear degradation shown in [Fig. 3](#page-3-0) C. Most of the fluctuations in the total copy number,  $X_{tot}$ , occur between 99 and 102. Among them, the fluctuations between 100 and 102 will have a correlation time  $\approx 1/\gamma$  (inverse of the slope of the degradation rate function), whereas those between 99 and 100 will have a correlation time much larger than  $1/\gamma$ . Thus, the net correlation time increases, reflecting the increase in the response time in the deterministic framework, i.e., retroactivity.

We can investigate how to define the retroactivity in the stochastic framework (named stochastic retroactivity or s-retroactivity). The stochastic fluctuations in  $X_{tot}$  can be centered around the nonlinear region of the degradation rate function (as shown in Fig.  $3 \, C$ ) such that the discreteness of  $X_{tot}$  needs to be carefully taken into account. In such a case, we cannot make a clear mathematical definition of retroactivity, because the derivative of the degradation rate function with respect to  $X_{tot}$  is not well defined. Instead, we define the retroactivity  $(R_s)$  from the change in the correlation times between the isolated and connected cases. The correlation time  $T$  is measured from the slope of  $logG_{X_{tot}}(\tau)$  (note for the isolated case that  $X_{tot} = X_{free}$ :

$$
1/T \equiv -\frac{d \log G_{X_{tot}}(\tau)}{d \tau}.
$$
\n(9)

The retroactivity is defined as

$$
R_s \equiv \frac{T_c - T_i}{T_c},\tag{10}
$$

where  $T_c$  denotes the correlation time in the connected case and  $T_i$ , in the isolated case.

We have numerically estimated the stochastic retroactivity by performing stochastic simulations. We have used parameter values appropriate for degradation-tagged TFs in E. coli host cells: The average copy number of the TF is set equal to 2, the dissociation constant of the TF specific promoters is set between 0:001 and 100 nM ([13,29–31](#page-9-0)), and the average copy number of plasmids containing the specific promoters is set to 1 and 100 (for the reaction parameter values, refer to the caption of [Fig. 5](#page-5-0)). We have set the volume of E. coli roughly equal to 1  $\mu$ m<sup>3</sup>, and for this volume, a copy number of 1 corresponds to 1 nM. Hereafter we will interchange the unit of nM with that of a copy number. We have fitted the autocorrelation to an exponential function. The measured s-retroactivity is shown to be well matched with the d-retroactivity  $(R_d)$ , as shown in [Fig. 5](#page-5-0), A and B. This result implies that the d-retroactivity can be used to estimate retroactivity for the case when stochastic fluctuations are significant, e.g., the case of low copy number TFs.

# Retroactivity: relative decrease in the cutoff frequency of a MIP

In this subsection, we will make a connection between the retroactivity and frequency response of a gene circuit. Retroactivity will be shown to be related to the change in the cutoff frequency of a MIP due to the downstream module regulation. The cutoff frequency is defined in the deterministic framework [\(32](#page-10-0)): For example, in the MIP described in [Fig. 2](#page-1-0) B, the translation rate  $\alpha$  is considered as a time-varying input signal (e.g., a sinusoidal function),

<span id="page-5-0"></span>

FIGURE 5 Retroactivity in the stochastic and deterministic cases: (A) For the connected case modeled as in Fig.  $2 B$ , the autocorrelation function of the total TF concentration  $(X_{tot})$  approximates an exponential function and its correlation time also approximates the response time measured in the deterministic case. However, the approximation does not hold well for the autocorrelation of unbound TF  $(X_{\text{free}})$  and its correlation time. This is due to an interfering effect from the fast reactions of TF binding/unbinding. The lines labeled "Deterministic" are drawn for comparison purposes. (B) For various parameter values that are experimentally reasonable, we measure the retroactivities  $(R<sub>s</sub>)$  from the autocorrelations of  $X<sub>tot</sub>$  by performing stochastic simulations. The retroactivities  $R_s$  approximate the deterministic estimates  $R_d$ . In the circled cases in panel B, the autocorrelation of  $X_{tot}$  could not be approximated as an exponential function and the retroactivities were obtained from the slope corresponding to a small  $\tau$ -region [0, 25 ~ 50] (see the [Discussion](#page-8-0) and [Fig. 9\)](#page-9-0). The unit of  $K_d$  is nM. We used the Gillespie stochastic simulation algorithm [\(35](#page-10-0)). Parameters: (A)  $K_d = 0.1$  nM with  $k_{on} = 10 \text{ nM}^{-1} \text{ h}^{-1}$ ,  $\langle X_{\text{free}} \rangle = \alpha / \gamma = 2 \text{ nM } (\alpha = 2 \text{ nM h}^{-1}, \gamma = 1 \text{ h}^{-1})$ , and  $P_T = 100 \text{ nM}.$  (*B*)  $\gamma = 1 \text{ h}^{-1}, k_{on} = 10 \text{ nM}^{-1} \text{ h}^{-1}$  [\(12](#page-9-0)).

and one observes the output signal of the circuit to estimate the attenuation (response) of the signal for different magnitude of frequencies.

When the frequency is less than a cutoff frequency, the attenuation becomes negligible. Here in the gene circuit, the expression noise is significant and we ask ''Can the noise be used for understanding the frequency response?''

Austin et al. [\(14\)](#page-9-0) and Simpson et al. [\(33](#page-10-0)) performed the frequency domain analysis of noise in a negatively-autoregulated gene circuit and showed that the noise frequency spectrum (power spectral density) is significantly dependent on the negative feedback loop structure. Mathematically, they showed the dependence in terms of the transfer function of the feedback loop. This means that the transfer functions, derived in the deterministic framework, can be inferred by investigating the noise frequency spectrum. Here we will use the noise frequency spectrum to observe the change in the cutoff frequency due to the downstream module regulation.

We perform the Fourier transform of the autocorrelation function of  $X_{tot}$ , resulting in a (two-sided) power spectral density (PSD) (Fig. 6). If the estimated autocorrelation function of  $X_{tot}$  can be well approximated to a pure exponential given by

$$
W_I(T/2)\exp(-|\tau|/T)
$$



FIGURE 6 Power spectral densities of signal  $X_{tot}$  and  $X_{free}$  shown in Fig. 5 A: The power spectral densities (one-sided) are obtained from the autocorrelation functions of  $X_{tot}$  and  $X_{free}$ . The low frequency components of signal  $X_{free}$  are not affected by the connection to a downstream component (B), whereasthe high-frequency components of  $X_{tot}$  are not (A). The threshold point, where the PSD starts to drop, is shifted to the left for both  $X_{free}$  and  $X_{tot}$ , due to the connection. Three regions of frequency colored above correspond, respectively, from left to right, to the lowfrequency region where the signal  $X_{free}$  is not affected, whereas  $X_{tot}$  is an intermediate region where both  $X_{free}$  and  $X_{tot}$  are affected, and a highfrequency region where  $X_{tot}$  is not affected whereas  $X_{free}$  is.

(in the previous section, we assume  $\tau \geq 0$ , but here  $\tau$  can be negative), its Fourier transform is given as

$$
\tilde{G}_{X_{tot}}(\omega) \simeq \frac{1}{2\pi} \frac{W_I}{\omega^2 + 1/T^2}.
$$
\n(11)

This PSD decreases by half from its maximum when  $\omega$  is equal to the inverse of the correlation time, and this value of  $\omega$  defines the bandwidth of the power spectrum of  $X_{tot}$ . In the deterministic framework, this value corresponds to the cutoff frequency of the MIP ([32\)](#page-10-0). This indicates that the bandwidth or the cutoff frequency decreases from  $1/T_i$ to  $1/T_c$  due to retroactivity when two modules are connected (see [Fig. 6](#page-5-0)) ([34\)](#page-10-0). The relative decrease in the bandwidth or the cutoff frequency can also be used to define the retroactivity (by using Eq. 10).

# Modularity in circuit dynamics and two choices of output signals:  $X_{free}$  or  $X_{tot}$ ?

[Fig. 6](#page-5-0) shows some very interesting aspects of the frequency response in the circuit. The noise spectrum of signal  $X_{tot}$ (the total number of the transcription factor) changes significantly at low enough frequencies whereas it does not at high enough frequency, but that of signal  $X_{free}$  (the number of the unbound transcription factor) shows the opposite behavior. This implies that the signal  $X_{free}$  is not affected by the downstream regulation when the circuit is operated at low frequency, and the signal  $X_{tot}$  does not when operated at high frequency, although the retroactivity is still causing a significant change in the frequency bandwidth. Thus, we propose that circuit dynamics can be investigated in a modular way by choosing an appropriate output signal, either  $X_{\text{free}}$  or  $X_{tot}$ , depending on the magnitude of the operating frequency.

To validate the above prediction, we simulate the MIP described by [Fig. 2](#page-1-0) B deterministically, with  $\alpha$  allowed to oscillate at different frequencies, which are chosen from three different regions indicated in [Fig. 6](#page-5-0):

$$
\alpha(t) = 1 + 0.1\sin(2\pi ft) \tag{12}
$$

with  $f = 0.001/2\pi$ ,  $0.01/2\pi$ , and  $0.05/2\pi$  min<sup>-1</sup> (see Fig. 7). Output signals  $X_{free}$  and  $X_{tot}$  show oscillations with basal levels:

or

$$
X_{\text{free}}(t) = \overline{X}_{\text{free}} + \delta X_{\text{free}}(t)
$$

$$
X_{\text{tot}}(t) = \overline{X}_{\text{tot}} + \delta X_{\text{tot}}(t).
$$

We compare the oscillatory components ( $\delta X_{free}$  and  $\delta X_{tot}$ ) of the output signals for the two different cases when the output is connected and disconnected (Fig. 7). At the low frequency  $f = 0.001/2\pi \text{ min}^{-1}$ ,  $\delta X_{free}$  does not change due to the downstream regulation whereas  $\delta X_{tot}$  does. At high frequency  $f = 0.05/2\pi \text{ min}^{-1}$ ,  $\delta X_{\text{free}}$  changes due to the regulation whereas  $\delta X_{tot}$  does not. At the intermediate



FIGURE 7 Signals  $X_{free}$  and  $X_{tot}$  in the module interface process shown in [Fig. 2](#page-1-0) B: These two signals are compared for different values of frequency when deterministically simulated. The translation rate  $\alpha$  is given as a time-varying signal with a harmonic oscillation as shown in Eq. 12. The signal  $X_{free}$  and  $X_{tot}$  show distinct behaviors for different frequencies corresponding to the three colored regions shown in [Fig. 6.](#page-5-0) The parameter values are identical to those used in [Fig. 5](#page-5-0) A.

frequency  $f = 0.01/2\pi \text{ min}^{-1}$ , both the signals  $X_{\text{free}}$  and  $X_{tot}$  change. This verifies the prediction based on power spectral densities, and implies that the modularity of gene circuits can be tested by observing gene expression noise.

# Example: dimer transcription factor with negative feedback

In this example, we consider a MIP involving dimer transcription factors that are under inhibitory self-regulation. The MIP can be modeled using the following set of reactions:

$$
X + X \xrightarrow{\frac{\pi}{1 + \beta X_2}} X \xrightarrow{\gamma X} \beta
$$
  

$$
X + X \xrightarrow{k_1 X (X-1)} X_2 \xrightarrow{\gamma_2 X_2} \beta
$$
  

$$
X_2 + P_f \xrightarrow{k_{on} X_2 P_f} P_b,
$$

where a parameter  $\beta$  controls the strength of the negative feedback. For the case without feedback ( $\beta = 0$ ), we used  $\alpha = 20$  (nM/h),  $\gamma = 2(1/h), k_1 = 20$  (1/nM/h),  $k_2 = 1$  (1/ h),  $\gamma_2 = 2$  (1/h),  $k_{on} = 10$  (1/nM/h), and  $k_{off} = 10$  (1/h). For the case with negative feedback  $\beta = 0.25$ , we used  $\alpha = 43$ (nM/h) to adjust the level of X to the one without any feedback. Here the volume of the host cell is assumed to be 1  $\mu$ m<sup>3</sup>, and thus the unit of nM is interchanged with that of a copy number.

We performed simulations by using Gillespie's stochastic simulation algorithm [\(35](#page-10-0)). The values of concentrations were recorded 50 times/h for 48 h (corresponding to experimental time). The autocorrelation function of the total TF concentration  $(X + 2X<sub>2</sub> + 2P<sub>b</sub>)$  was computed for the recorded series, and was fitted to an exponential function by using a linear fit in a semilog scale (log scale in  $y$  axis and normal scale in  $x$ axis). The fitted slope estimates the inverse of correlation time of the stochastic fluctuations in the total TF concentration. The error bar of the correlation time was estimated from 10 independent replicates of the autocorrelation function. Retroactivity was computed by using Eq. 10.

Fig. 8 B shows the match between the deterministic and stochastic retroactivities. However, if the autocorrelation function of X or  $X_2$  has been used, the match would not hold well due to the sudden decrease in the autocorrelation as shown in Fig. 8 A (a careful choice of a linear region is required for estimating the correlation time). The decrease is due to the fast decorrelation effect of dimerization, dimer dissociation, and dimer binding-unbinding reactions. This is why we have used the total number of TFs for estimating retroactivity (refer to the Discussion for more detail). Here the deterministic retroactivity was obtained by using Mathematica (Wolfram Research, Champaign, IL) [\(36](#page-10-0)) (a notebook file is provided as the [Supporting Material](#page-9-0)) as the mathematical equation for retroactivity is quite complicating compared with the simple monomer case without any feedback. Fig. 8 B also shows the negative feedback decreases retroactivity as proposed by Del Vecchio et al. [\(8](#page-9-0))

# Computational approach for estimating retroactivity from gene expression noise

In this subsection, we show how to measure retroactivity based on fluorescence data from single cell experiments. Synthetic circuits are often transfected into host cells, e.g., E. coli, which duplicate themselves by completing cell cycles. This causes the intracellular concentrations to fluctuate. In addition, the host cells are under other unidentified extrinsic noise sources. Such extrinsic noise has been shown to affect the autocorrelation functions ([13–16](#page-9-0)) and needs to be taken into account for estimating the level of modularity.

When the lifetime of a TF is longer than the correlation time of the extrinsic noise (which is typically in the order of magnitude of cell doubling time ([13–16\)](#page-9-0)), the apparent lifetime of the TF will be dominantly determined by the



FIGURE 8 Module interface process involving dimer TFs that are under negative feedback. (A) The autocorrelations of free TF monomer units  $(X)$ and dimer TFs  $(X_2)$  quickly decrease for small  $\tau \leq 2$  min due to the effect of dimerization, dimer dissociation, and dimer binding-unbinding reactions, whereas that of the total number of the TFs (monomer units) does not. The plot is for the case with negative feedback. Similar behavior was observed for the case without feedback (graph not shown). (B) Stochastic retroactivity matches the deterministic one and the negative feedback decreases the value of the retroactivity. The retroactivity is defined as the relative decrease in the cutoff frequency with respect to the isolated case without any feedback:  $R \equiv (\tau_{P_T} - \overline{\tau_0^{Neg-}})/\tau_{P_T}$ . Refer to Example: Dimer Transcription Factor with Negative Feedback in text for parameter values.

cell doubling time. Then, the effect of retroactivity becomes negligible if we assume that the cell doubling time is not affected by the downstream module connection. Thus, to observe the retroactive effect, it is important to reduce the actual lifetime below the cell doubling time. This can be achieved, for example, by inserting carboxy-terminal tags that are recognized by proteases in  $E.$  *coli* ([27,37](#page-10-0)).

When such a degradation-tagged transcription factor has a fluorescence marker, the autocorrelation function of the fluorescence emitted from the TF can be fitted to the function (see the [Materials and Methods\)](#page-0-0)

$$
G_{X_{tot}}(\tau) = Ae^{-\gamma_E \tau} + Be^{-(\gamma_E + 1/T)\tau}, \qquad (13)
$$

with  $\gamma_E = \log(2)/T_d$  and T the correlation time. The above form of the autocorrelation has been investigated in its Fourier transform (power spectral density) by Austin et al. [\(14](#page-9-0)) by using a plasmid containing a GFP variant with its halflife reduced. They did not consider the retroactivity dependence in the correlation time. The power spectral density, <span id="page-8-0"></span>a Fourier transform of the autocorrelation function Eq. 13, is obtained as ([14\)](#page-9-0)

$$
\tilde{G}(\omega) = \frac{2A\gamma_E}{\gamma_E^2 + \omega^2} + \frac{2B(\gamma_E + 1/T)}{(\gamma_E + 1/T)^2 + \omega^2}.
$$

Depending on the relative magnitude of A and B, the bandwidth is determined by either  $\gamma_E$  and  $\gamma_E + 1/T$  or both.

We propose the following data analysis procedures, to estimate retroactivity:

- 1. Obtain single cell fluorescence trajectories by connecting each trajectory belonging to a cell lineage, by following the method described in Austin et al. [\(14](#page-9-0)).
- 2. Estimate the autocorrelation function of the fluorescence.
- 3. Perform a nonlinear fit by using Eq. 13 to estimate  $\gamma_E$ and T. Alternatively, estimate  $\gamma_E = \log(2)/T_d$  by measuring cell doubling time  $(T_d)$  from the cell lineage, and then perform a nonlinear fit to estimate  $T$  by using Eq. 13.
- 4. Estimate the retroactivity: Repeat the above procedures to estimate the correlation times for the isolated and connected cases, respectively, and compute retroactivity by using Eq. 10.

We present a model for gene expression in the [Materials and](#page-0-0) [Methods,](#page-0-0) where we derive the above autocorrelation function equation, Eq. 13. We show that this equation is consistent with the ones used in current in vivo experiments [\(13–16\)](#page-9-0). The model process is described in the Langevin dynamics framework, with the retroactive effect taken into account.

### **DISCUSSION**

We have proposed a numerical estimation method for retroactivity based on gene expression noise. The retroactivity quantifies the slowdown of kinetics of an upstream module output. To observe this dynamical effect, we have investigated gene expression noise that is caused by continuous perturbations from intrinsic and extrinsic sources that exist naturally in the system, without resorting to the manipulation of external perturbations.

We have shown that the correlation time of the output noise increases when the output is allowed to regulate a downstream module. This corresponds to a decrease in the bandwidth of the power spectral density ([34\)](#page-10-0) and reflects the fact that the module interface process filters out the highfrequency signal components of  $X_{tot}$  more strongly.

We have shown that the signals  $X_{\text{free}}$  and  $X_{\text{tot}}$  reveal different power spectral densities. The origin of this different behavior is as follows. Consider the case where the circuit operates in the low-frequency region. The mean level of  $X_{free}$ , corresponding to the zero frequency component, is determined by its synthesis and degradation rate because binding and unbinding reactions are balanced  $(\langle X_{free} \rangle = \alpha/\gamma)$ . The same argument is applied for the low

In the case that the circuit operates in the high frequency region, we choose the signal  $X_{tot}$  rather than  $X_{free}$  to minimize the retroactive effect because the two PSDs of  $X_{tot}$ when connected and disconnected match each other at the high frequencies as shown in [Fig. 6.](#page-5-0) Mathematically, both the PSDs of  $X_{tot}$  converge to  $W_l/2\pi\omega^2$  as  $\omega \gg 1/T$  by using Eq. 11. We note that the PSD of  $X_{tot}$  at the low frequencies changes due to the downstream module regulation because there is an extra amount of bound TFs. This PSD study implies that a modular description is possible by choosing the right signal variables either  $X_{free}$  or  $X_{tot}$  depending on the operating frequencies.

In the estimation of correlation times, we have used the signal  $X_{tot}$  rather than  $X_{free}$ . There are two reasons for this. The first is that the total number can be experimentally observable when the output TF is tagged for fluorescence under the assumption that the fluorescence intensity does not change when the TFs are bound.

The second is that it directly reflects the dynamics of the timescale of interest (of the order of cell-doubling time or less) resulting in more accurate estimates of correlation times when compared with the case that  $X_{\text{free}}$  is used; as shown in [Fig. 5](#page-5-0) A, the autocorrelation of  $X_{\text{free}}$  shows a sudden drop for small values of  $\tau$  and this drop requires a careful choice of the linear region to obtain the response time. When the binding-unbinding reactions are slow, the region of the sudden initial drop is expanded and a more careful analysis would be required (graph not shown). However, the autocorrelation of  $X_{tot}$  does not show any initial sudden drop at all, since all the fluctuations originating from the binding-unbinding reactions do not cause any effect on  $X_{tot}$  (here we did not assume any quasisteady-state approximation, but chose a different variable that does not fluctuate due to the binding-unbinding reactions). Thus, the variable  $X_{tot}$  can be considered a pure slow mode [\(8,38\)](#page-9-0), and this is why we have used the autocorrelation of  $X_{tot}$ .

To measure the correlation time, we have fitted the autocorrelation to a pure exponential function (when extrinsic noise is not considered). In most of the cases appropriate for experiments, the fitting was accurate within the time interval  $(\tau)$  of our interest. However, in some cases (the circled ones in Fig.  $5 B$ ) it was not (one example is shown in [Fig. 9\)](#page-9-0). Such nonpure exponential autocorrelation would appear in general if you were able to reach a larger value of  $\tau$ . This is due to the fact that the degradation rate function

<span id="page-9-0"></span>

FIGURE 9 Nonexponential autocorrelation function for the module interface process shown in [Fig. 2](#page-1-0) B: The case that  $G_{X_{tot}}(\tau)$  is not a pure exponential function is considered (one of the circled cases in [Fig. 5](#page-5-0):  $K_d = 0.001$  nM,  $\langle X \rangle = \alpha/\gamma = 0.1$  nM, and  $P_T = 100$  nM). For small  $\tau$ , the slope of  $\log G_{X_{tot}}$  converges to the value computed in the deterministic case, i.e.,  $-\gamma(1 - R_d)$ , whereas it decreases for larger  $\tau$ . The response time was obtained in the linear region between  $\tau = 0$  and 20 min. Parameters:  $\alpha = 0.1 \text{ nM h}^{-1}$ ,  $\gamma = 1 \text{ h}^{-1}$ ,  $k_{on} = 10 \text{ nM}^{-1} \text{ h}^{-1}$ , and  $k_{off} = 0.01 \text{ h}^{-1}$ .

of  $X_{tot}$  is highly nonlinear in  $X_{tot}$  (see [Fig. 3,](#page-3-0) B and C): The response time, defined as the inverse of the slope of degradation rate function, can be highly dependent on the magnitude and sign (above or below the mean) of the fluctuations (with respect to the mean) significantly. If the fluctuations are negative/positive and its magnitude is sufficiently large, the slope can decrease/increase significantly, i.e., both the response time and the correlation time can increase/decrease significantly. This implies that the autocorrelations become the sum of many exponentials having different response time constants (correlation times). The fluctuations having a long response time can persist in its correlation for the long time interval, contributing dominantly to an autocorrelation function for the region of large  $\tau$ . Therefore, it is typical in retroactive systems (whose degradation of  $X_{tot}$  is highly nonlinear) to show that the slope of the autocorrelation (in the semilog plot) decreases for large values of  $\tau$ (e.g.,  $\tau \geq 25$  min in Fig. 9). This was, however, not apparent in most cases that were experimentally reasonable within the time interval of interest.

In summary, we have considered a module interface process in a genetic circuit and have shown that the noise correlation time increases after the output of the circuit module is connected to another module. We call such changes in the correlation time ''stochastic retroactivity''. We have proposed an experimental estimation method for measuring the retroactivity based on gene expression noise. We have also proposed that, depending on the magnitude of an operating frequency, the circuit dynamics can be analyzed in a modular fashion by choosing an appropriate circuit output signal. This study, based on gene expression noise, can serve as an important method for characterizing interface conditions between two synthetic modules and eventually provide a step toward large-scale circuit design for synthetic biology.

### SUPPORTING MATERIAL

Mathematica code for the example ''Dimer transcription factor with negative feedback'' is available at [http://www.biophysj.org/biophysj/](http://www.biophysj.org/biophysj/supplemental/S0006-3495(11)00040-3) [supplemental/S0006-3495\(11\)00040-3.](http://www.biophysj.org/biophysj/supplemental/S0006-3495(11)00040-3)

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