

A common positive trans-acting factor binds to enhancer sequences in the promoters of mouse *H-2* and β_2 -microglobulin genes

(enhancer–interferon response sequence/DNA binding protein/“footprint”/*in vivo* competition)

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ABSTRACT Using gel retardation and *in vitro* “footprinting”, we have analyzed the interactions between nuclear proteins derived from various mouse cells and the enhancer and interferon response sequences of the *H-2K^b* gene. We have found that a protein factor binds a site in the enhancer sequence that partially overlaps the interferon response sequence. This factor also binds to a similar sequence lying in the opposite orientation in the promoter of the mouse β_2 -microglobulin gene, suggesting a common regulatory mechanism. Transfection competition experiments indicate that this factor acts as a positive element in the expression of *H-2* and β_2 -microglobulin genes.

The class I *H-2* genes encoding the major histocompatibility antigens of the mouse are expressed on most somatic cells of the adult organism but not on the surface of the nonfertilized egg and in undifferentiated embryonal carcinoma cells (1–3). Their expression is stimulated by α -, β -, and γ -interferons, at least in part at the transcriptional level (4–8). Similarly, β_2 -microglobulin (β_2m), the nonpolymorphic light chain that is noncovalently associated with *H-2* antigens, is not expressed in embryonal carcinoma cells and is positively regulated by interferons. However, its synthesis follows a different time course during embryonic development (9, 10), so that it is not clear whether common regulatory mechanisms control the expression of the β_2m and *H-2* genes.

We have earlier analyzed in detail the promoter of the *H-2K^b* gene and shown that it contains two enhancer-like sequences, one of which overlaps with a consensus interferon response sequence (IRS) (11). This IRS is necessary for stimulation by interferon but requires the overlapping enhancer to be functional: it acts as a modulator of the enhancer and the two sequences together behave like an inducible enhancer (12).

Since these sequences are likely to be the site of interaction of trans-acting molecules that regulate class I gene expression, we have prepared nuclear extracts derived from mouse cells and assayed for specific DNA–protein interactions by a gel retardation assay, followed by DNase I or methidium-propyl-EDTA-Fe(II) protection experiments.

MATERIALS AND METHODS

Preparation of Nuclear Extracts. Nuclear extracts derived from 3T6 mouse cells were prepared according to ref. 13. Extracts were aliquotted and kept at -70°C . The protein concentration was determined with the Bio-Rad assay.

Gel Retardation Assay. DNA probes were end-labeled using the large fragment of DNA polymerase I or polynucle-

otide kinase (Boehringer Mannheim). For binding reactions, 2–5 μg of nuclear extract was incubated in 20 μl for 10 min at 20°C with 2 μg of poly(dI-dC) and 1 μg of the competitor plasmid in 50 mM NaCl/20 mM phosphate buffer, pH 6/5 mM MgCl_2 /10% (vol/vol) glycerol/1 mM dithiothreitol. Labeled fragment (2000–5000 dpm) was added, incubation was continued for 15 min, and the mixture was loaded on a 5% acrylamide gel in 0.5 \times Tris borate/EDTA buffer, which was run for 150 min at 12 V/cm. The gel was dried and autoradiographed overnight.

“Footprinting” Experiments. DNase I footprinting analysis was performed as described (14) with the binding reaction being scaled up 4 times. For methidium-propyl-EDTA-Fe(II) footprinting, MgCl_2 was omitted from the binding reaction mixture. Partial cleavage was carried out by addition of 10 μg of methidium-propyl-EDTA-Fe(II) per ml, 10 μM ferrous ammonium sulfate, and 5 mM dithiothreitol. After 5 min at 30°C , the reaction mixture was loaded on a polyacrylamide gel, which was then processed as for DNase I footprinting (14).

***In Vivo* Competition.** 3T6 cells were transfected as described (11) with a total of 22 μg of DNA including 2.5 μg of the chloramphenicol acetyltransferase (CAT) construct (pdl393 or β_2m DdeconaCAT), various amounts (see Fig. 4) of a pUC18 derivative containing two copies of the -195 to -157 region from the K^b promoter, pUC18 DNA to a total of 20 μg , and 2 μg of the thymidine kinase- β -galactosidase (TK- β gal) construct (11) as an internal control. Forty hours after transfection, activity was measured and normalized with respect to β -galactosidase activity (11).

Methylation Interference. End-labeled DNA fragments were partially methylated at the guanine residues with dimethyl sulfate as described (15). Methylated DNA was precipitated twice, rinsed with 70% ethanol, dried, and redissolved in 10 μl of 10 mM Tris-HCl/1 mM EDTA, pH 8. Binding and electrophoresis were carried out as for footprinting experiments. The retarded and unretarded bands were electroeluted, ethanol-precipitated, and treated with piperidine as described in ref. 15, before fractionation in a thin 6% polyacrylamide gel.

RESULTS

Specific Interaction of Nuclear Proteins with the Enhancer–IRS Region of the *H-2K^b* Gene. Deletion analysis of the *H-2K^b* promoter had defined several regions that contribute to its activity (11). These include an enhancer-like sequence (enhancer B: -120 to -61), and another enhancer sequence (enhancer A: -193 to -159), which overlaps an IRS (-165 to -137). Trans-acting proteins that bind purified restriction

fragments carrying these various sequences have been searched by a gel retardation assay (16, 17).

Using a nuclear extract derived from 3T6 mouse cells, the only specific interaction we could detect in the promoter was with a *Dde I/Ava II* fragment (-215 to -120) that includes the overlapping enhancer-IRS sequences (Fig. 1C). Two retarded bands (R-I and R-II) were observed. Treatment of the extract with proteinase K destroyed the binding activity. The results of the retardation assay were judged specific when the retarded bands were competed away specifically by an excess of cold homologous DNA (see below).

Since two sequences that functionally cooperate (12) are included in the *Dde I/Ava II* fragment, we tried to characterize this interaction by competition with various subfragments (Fig. 1A). Binding of the *Dde I/Ava II* fragment could be efficiently competed away by plasmids carrying the homologous sequence (Dd-cIIconCAT, lane 9) but not by conaCAT or TKCAT (containing, respectively, the conalbumin promoter and the TK promoter; lanes 12 and 14). A weak competition was seen with plasmids carrying the SV40 enhancer (SVEconCAT in lane 5 and pSV2CAT in lane 15). A plasmid carrying only the enhancer A sequence with a cytosine to adenine transversion at -160 and a thymine to guanine at -158 (Dd-HfconCAT) (lane 10, and line 10 in Fig. 1B) could compete only partially with the *Dde I/Ava II* fragment (this sequence also displays a reduced enhancer activity; data not shown). A plasmid containing the intact enhancer A sequence (from -195 to -157) (Sau-SauconCAT, lane 8 and line 8) is as efficient a competitor as Dd-cIIconCAT. A plasmid carrying the enhancer A sequence (Sau-HfconCAT, lane 11 and line 11) with two

cytosine to guanine transversions at positions -160 and -161, which has lost enhancer activity (figure 6 in ref. 11), did not compete with the *Dde I/Ava II* fragment. The enhancer B sequence (Av-SauconCAT, lane 6) or the IRS (Hf-SauconCAT, lane 7 and line 7) did not compete, nor did two fragments derived from the homologous region in the promoter of a gene from the *Qa* region expressed only in the liver, *Q10* (19, 20), and carried in two plasmids [Hp-Ha(Q10)conCAT and Hp-Hf(Q10)conCAT] (lanes 3 and 4 and lines 3 and 4), which show a very weak enhancer activity in mouse fibroblasts (figure 6 in ref. 11).

The slowest migrating R-I band is also competed, although partially, by SVEconCAT and pSV2CAT (lanes 5 and 15). A fragment that contains only the enhancer A sequence derived from the Dd-HfconCAT plasmid (-217 to -154, including the cytosine to adenine transversion at -160 and the thymine to guanine transversion at -158; see Fig. 1B, line 10) shows a weak retarded band that can be competed away by the Dd-cIIconCAT plasmid and, interestingly, by a plasmid containing the SV40 72-base-pair (bp) repeats (data not shown).

These results suggest that specific binding takes place between -195 and -157 and that nucleotides that have been shown to be critical for enhancer activity (11) are also important for binding the protein.

Binding of a Similar Factor to the β_2m Enhancer. We then asked whether the β_2m and the K^b gene might be co-regulated. Using extracts from 3T6 cells, we performed competition experiments between the labeled *Dde I/Ava II* fragment described above and various subfragments derived from the β_2m promoter. No obvious homology had been

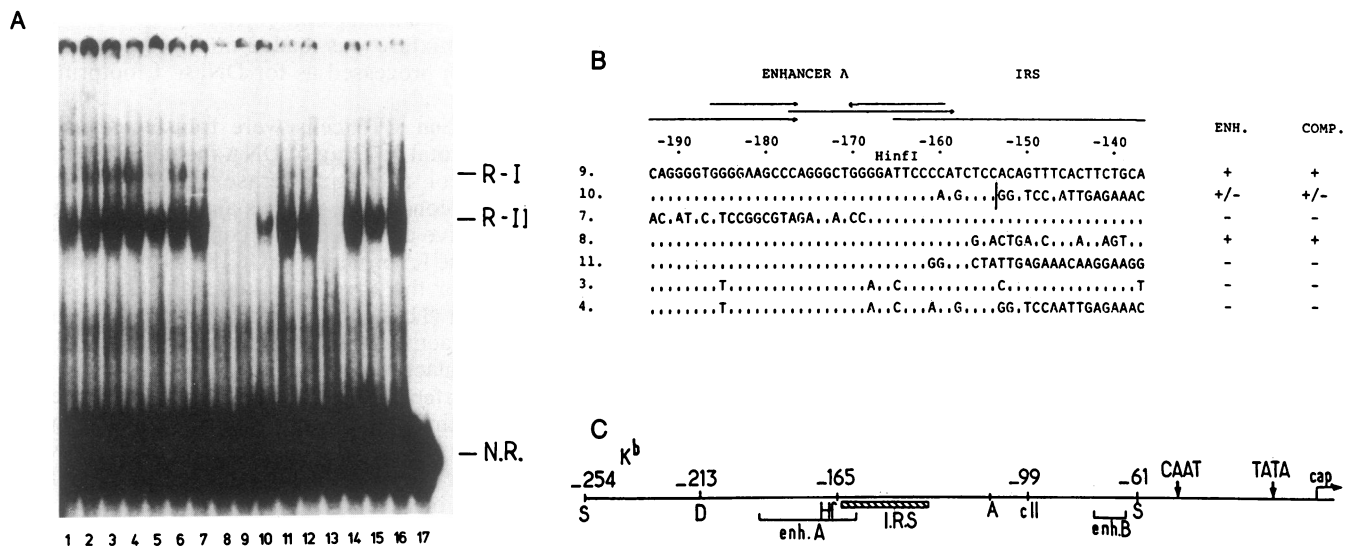


FIG. 1. Mobility shift competition experiments. (A) The *Dde I/Ava II* fragment (-215 to -120) was labeled with [γ^{32} P]ATP at the *Dde I* extremity. Binding and electrophoresis were performed as described. Competition plasmids were as follows: lane 1, pHf-cII contains the *HinFI/HincII* fragment (-166 to -99) cloned in pBR322; lane 2, pBP is a derivative of pBR322 deleted between *Bam*HI and *Pvu* II; lane 3, Hp-Ha(Q10)conCAT contains the *Hpa* II/*Hae* III fragment (-276 to -125) derived from the Q10 promoter cloned in pconCAT (11); lane 4, Hp-Hf(Q10)conCAT contains the *Hpa* II/*HinFI* fragment (-276 to -166) derived from the Q10 promoter; lane 5, SVEconCAT contains the two 72-bp repeats of simian virus 40 (SV40); lane 6, Av-SauconCAT contains the *Ava* II/*Sau*3A fragment (-121 to -61) derived from the K^b promoter [this fragment includes enhancer B (11)]; lane 7, Hf-SauconCAT contains the *HinFI/Sau*3A fragment (-165 to -61) (the relevant features of this construct are shown in B); lane 8, Sau-SauconCAT contains the enhancer A sequence (-195 to -157); lane 9, Dd-cIIconCAT contains the *Dde I/HinFI* fragment (-213 to -99); lane 10, Dd-HfconCAT contains the *Dde I/HinFI* fragment (-213 to -161, see B); lane 11, Sau-HfconCAT contains the *Sau*3A/*HinFI* fragment (-254 to -162, see B); lane 12, pconCAT is the plasmid in which fragments have been cloned to assay enhancer activity (11); lane 13, β_2m DdeconCAT contains a sequence derived from the β_2m promoter (-287 to -60) cloned in pconCAT. This fragment had been shown to exhibit enhancer activity (11); lane 14, pTKCAT contains the TK promoter linked to the CAT gene; lane 15, pSV2CAT contains the SV40 enhancer and early promoter linked to the CAT gene (18); lane 16, pCATdel contains the CAT gene with no promoter in front; lane 17, control with no extract added. (B) Sequence of the enhancer A-IRS region in the various constructs used for competition as shown in A. Numbers in front of each line correspond to lane numbers in A. The nucleotides differing from the K^b region are indicated. The vertical bar in the Dd-HfconCAT sequence (line 10) indicates the 3' limit of the labeled fragment used for retardation (see text). Enhancer activity (ENH.) was determined in ref. 11; +/- indicates an enhancer activity lower than wild type. Competition (COMP.) relates to the results shown in A; +/- indicates partial competition in the conditions described above. (C) Map of the H-2K b promoter with relevant restriction sites and regulatory regions. S, *Sau*3A; D, *Dde* I; Hf, *HinFI*; cII, *HincII*; A, *Ava* II.

detected between the K^b and β₂m promoter sequences (11), but a plasmid containing a 227-bp Dde I fragment (−287 to −60) could compete away the K^b Dde I/Ava II fragment (Fig. 1A, lane 13). This fragment, previously shown to exhibit enhancer activity (11), was labeled at both ends and cut with Ava II, yielding a 126-bp fragment (−186 to −60). In the retardation assay, the latter displays a retarded band that comigrates with the R-II band shown in Fig. 1. Competition experiments gave the same results as those shown in Fig. 1, suggesting that a similar factor could bind both promoters (data not shown). Competition experiments with increasing amounts of both the homologous or the heterologous competitor show that the affinity of the binding factor for the two sequences is roughly similar (data not shown).

Footprinting Analysis of Binding on Both Enhancers. To map more precisely the region(s) responsible for binding on the two promoters, we performed *in vitro* footprinting experiments using either DNase I or methidium-propyl-EDTA·Fe(II) as the cleaving agent.

We first analyzed the DNase I protection pattern (21) of bands R-I and R-II obtained with the K^b Dde I/Ava II fragment. With the noncoding strand labeled at the Dde I extremity, the fastest migrating band R-II exhibits a pattern of protection that extends from the 3' extremity to the middle of enhancer A (−158 to −175) (Fig. 2A). R-I is characterized by a protection pattern starting also at −158 but extending

further beyond the limit of enhancer A (−199: compare lane R-I with lane NR on its left). The area protected in R-II corresponds to one of the direct repeats shown on the left (arrows), while in R-I the two repeats are protected.

These protection areas are bordered on their common 3' end by DNase I hypersensitive sites. The IRS occasionally showed protection in both R-I and R-II, but this result was irreproducible (the observation was made twice in 10 experiments) and we cannot conclude firmly that a protein binds the IRS. The results obtained with extracts derived from untreated or from α- and β-interferon-treated 3T6 cells were similar. Progressive deletion with BAL-31 starting from the Ava II site indicated that the 3' limit of the region required for binding is the thymine at position −158 (data not shown).

To localize more precisely the protected area, we also used methidium-propyl-EDTA·Fe(II), a chemical cleaving agent that shows no cutting specificity and yields smaller and more accurate protected areas (22, 23). Fig. 2B and C shows the protection patterns obtained with the Dde I/Ava II fragment from K^b and the Ava II/Dde I fragment from β₂m, respectively (only band R-II is shown for K^b): the protected area is now restricted to 13 bp, which correspond in the K^b promoter to the overlapping part between enhancer A and the IRS. The protected area on the noncoding strand is shifted 2 bp on the 3' side as compared to the coding strand (Fig. 2B, compare lanes 3 and 11). Methylation interference experiments were

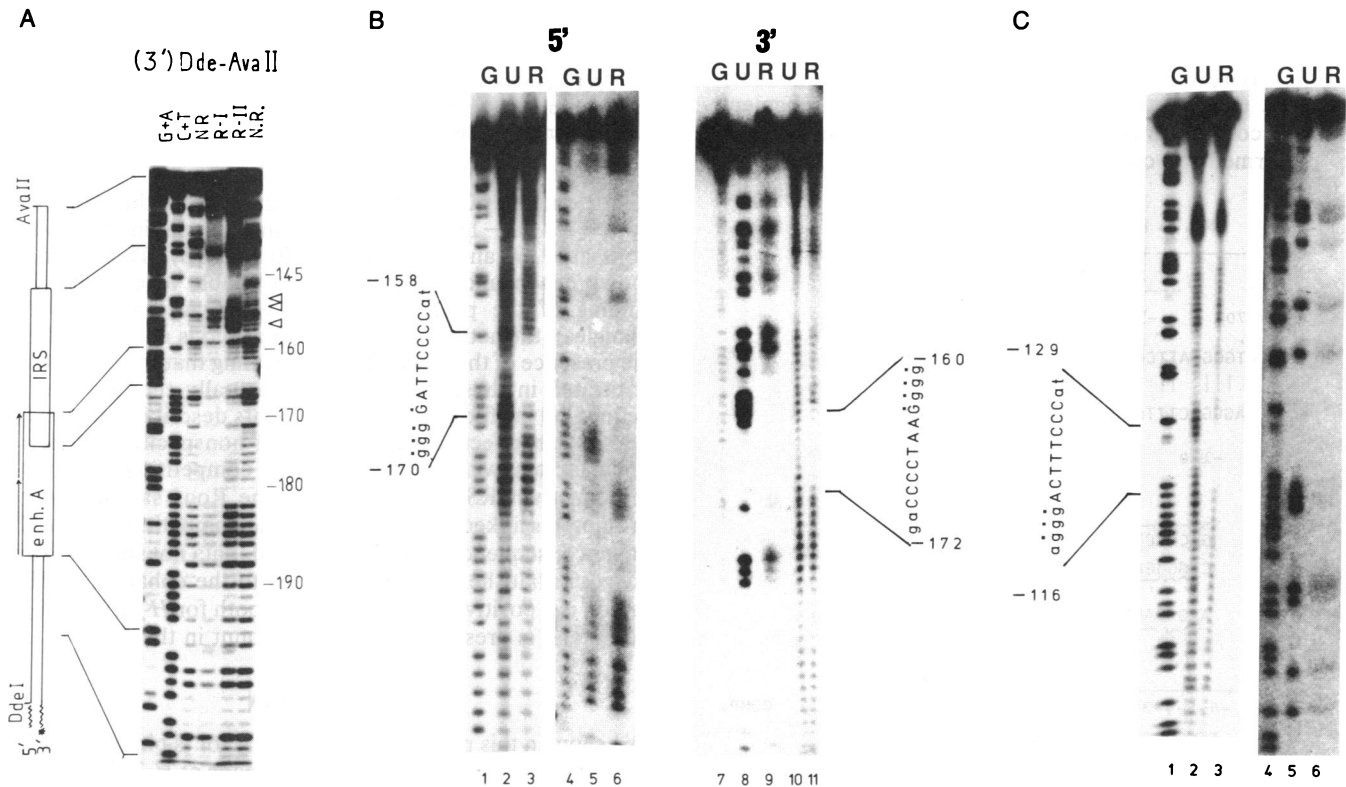


FIG. 2. (A) DNase I footprint analysis of bands R-I and R-II shown in Fig. 1. The Dde I/Ava II fragment was labeled with the Klenow enzyme at the Dde I extremity. The binding reaction, as described in Fig. 1, was scaled up 4 times. After binding, samples were treated with DNase I (2 μg/ml) for 1 min at 20°C. Digestion was stopped by the addition of 20 mM EDTA and electrophoresis of complexes was performed as usual. Appropriate bands were excised from the gel after autoradiography and the DNA was electroeluted. After ethanol precipitation, DNA was analyzed on a 6% polyacrylamide/urea sequencing gel. G+A and C+T are the purine and pyrimidine specific sequence ladders (15). NR, unretarded band; R-I and R-II, retarded bands shown in Fig. 1. R-I should be compared to NR on its left and R-II to N.R. on its right. Open arrows indicate hypersensitive sites. (B) Methidium-propyl-EDTA·Fe(II) footprinting and dimethyl sulfate interference on both strands of the K^b-derived Dde I/Ava II fragment. Methidium-propyl-EDTA·Fe(II) cleavage and dimethyl sulfate interference were carried out as described. 5', coding strand; 3', noncoding strand; G, purine-specific sequencing ladder; U, unretarded band; R, retarded band. Lanes 2, 3, 10, and 11, methidium-propyl-EDTA·Fe(II) protection; lanes 5, 6, 8, and 9, dimethyl sulfate interference. Protected sequences are shown on the side: lowercase letters correspond to partially protected nucleotides. Dots over Gs indicate the residues whose methylation prevents binding. (C) Methidium-propyl-EDTA·Fe(II) footprinting and dimethyl sulfate interference on the noncoding strand of the β₂m-derived Ava II/Dde I fragment labeled at the Dde I end. Symbols are the same as in B. Lanes 2 and 3, methidium-propyl-EDTA·Fe(II) protection; lanes 5 and 6, dimethyl sulfate interference.

from the proximal part of enhancer A to the IRS region. Outside of these sequences, we found no obvious homology.

The enhancer A of K^b is present as two imperfect direct repeats (11), and DNase I footprinting (Fig. 2A) indicates that the upstream repeat can be protected as well: the significance of this sequence is unclear at the moment, since it is not present in the β_2m promoter, and a plasmid that contains it is unable to compete with the K^b promoter *in vivo* (Fig. 4, open triangles). The binding activity described here recognizes the overlapping part between the enhancer and IRS sequences. *In vivo* competition experiments described above and analysis of several mutant sequences (see below) indicate that it is necessary for enhancer function and for basal level of promoter expression. We have shown previously that enhancer activity is necessary for interferon induction (12), but the mechanism by which interferon stimulates transcription remains unclear: we have not detected any reproducible protection on the conserved IRS sequence, and the pattern of protection we observed was unchanged whether we used extracts derived from untreated or from interferon-treated cells.

It is interesting to note that the region of binding in the K^b promoter forms a perfect palindrome (Fig. 3C). Palindromes are often recognition sequences both for prokaryotic (reviewed in ref. 25) and eukaryotic (26) regulatory proteins. The protection pattern in Fig. 3B indicates a rotational binding symmetry in relation with the palindromic sequence: this suggests that the protein binds as a dimer, as several prokaryotic regulatory proteins do (25). An extensive mutational analysis will be necessary to define the functionally important residues in the enhancer sequences. However, some of them are already obvious from the available data: Fig. 3C shows the corresponding sequence in the constructs Dd-HfconCAT and Sau-HfconCAT (described in Fig. 1B) and in the promoters of the *Q10* and β_2m genes. Clearly, there is a good correlation between the protected area as determined by methidium-propyl-EDTA-Fe(II) footprinting and the nucleotides that have been shown to be important for enhancer activity.

However, in the β_2m sequence, there are two mismatches in the palindrome (thymine to adenine and adenine to cytosine at positions -6 and -1 of the palindrome, respectively). Guanine to adenine at -2 and cytosine to thymine at +2 are silent substitutions as far as palindromic character is concerned. This suggests that the recognition site is degenerate.

Using a nucleotide data bank* and the program described in ref. 27, we did not find any other eukaryotic promoter with a sequence similar to the K^b and β_2m binding sites. The binding activity described here could thus have some specificity for the *H-2* and β_2m genes.

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*National Institutes of Health (1986) Genetic Sequence Databank: GenBank (Research Systems Div., Bolt, Beranek, and Newman, Cambridge, MA), Tape Release 44.0.