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## Repeatability and reproducibility of phase analysis of gated single-photon emission computed tomography myocardial perfusion imaging used to quantify cardiac dyssynchrony

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### Abstract

**Background**—A novel method to quantify dyssynchrony has been developed using phase analysis of gated single-photon emission computed tomography perfusion imaging. We report on the effect of variability in image reconstruction on the phase analysis results (repeatability) and on the interobserver and intraobserver reproducibility of the technique.

**Methods**—Phase standard deviation (SD) and bandwidth are phase indices that quantify dyssynchrony. To evaluate repeatability, raw data sets were processed twice in 50 patients with left ventricular dysfunction and 50 normal controls. To determine the optimal processing method, two replicated phase analysis results were obtained using automated and manual base parameter placement. Reproducibility of the phase analysis was determined using the data from 20 patients.

**Results**—In normal controls, manual base parameter placement improves repeatability of the phase analysis as measured by the mean absolute difference between two reads for phase SD (12.0° vs. 1.2°,  $P < 0.0001$ ) and bandwidth (33.7° vs. 3.6°,  $P < 0.0001$ ). Repeatability is better for normal controls than for patients with left ventricular dysfunction for phase SD (1.2° vs. 6.0°,  $P < 0.0001$ ) and bandwidth (3.6° vs. 26.5°,  $P < 0.0001$ ). Reproducibility of the phase analysis is high as measured by the intraclass correlation coefficients for phase SD and bandwidth of 0.99 and 0.99 for the interobserver comparisons and 1.00 and 1.00 for the intraobserver comparisons.

**Conclusion**—A novel method to quantify dyssynchrony has been developed using gated single-photon emission computed tomography perfusion imaging. Manual base parameter placement reduces the effect that variability in image reconstruction has on phase analysis. A high degree of reproducibility of phase analysis is observed.

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## Keywords

dyssynchrony; heart failure; single-photon emission computed tomography

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## Introduction

Congestive heart failure affects more than five million people in the United States [1–3]. Cardiac resynchronization therapy (CRT) with the use of a biventricular pacemaker is approved for the treatment of patients with advanced New York Heart Association class III–IV symptoms, with ejection fractions  $\leq 35\%$ , and with QRS durations  $\geq 120$  ms on surface electrocardiograms. Improvements in quality of life, functional class, exercise capacity, and ejection fraction have been reported with CRT [4–7]. Two recent studies have gone beyond showing symptomatic benefit to show an additional mortality benefit for patients undergoing CRT [8,9].

Approximately 20–30% of patients fail to benefit from CRT when the QRS duration is used to determine dyssynchrony. Electrical dyssynchrony may not adequately describe the degree of mechanical dyssynchrony present; and therefore, the QRS duration may not be the best predictor of patient response to CRT [10–12]. Efforts have been made to improve the selection of patients who might benefit from CRT by using imaging modalities to define cardiac dyssynchrony more precisely. These efforts have primarily focused on echocardiographic techniques to determine the degree of left ventricular mechanical dyssynchrony [13].

A novel method for describing left ventricular mechanical dyssynchrony has been developed, which uses phase analysis of ECG-gated single-photon emission computed tomography (SPECT) myocardial perfusion imaging to describe the timing of the regional left ventricular onset of mechanical contraction (OMC) [14–16]. The repeatability and reproducibility of this technique are not known. Our study evaluates the effect of variability associated with image processing and reconstruction on the phase analysis (repeatability) and reports the intraobserver and interobserver reproducibility of the phase analysis technique.

## Methods

### Patients selection

Our study retrospectively examined cohorts of 50 consecutive patients with left ventricular dysfunction with an ejection fraction  $\leq 35\%$  and 50 normal controls. Both cohorts of patients had undergone routine gated SPECT perfusion imaging for clinical indications at Duke University Medical Center. Normal controls were defined as patients with ejection fractions  $\geq 50\%$  on gated SPECT myocardial perfusion imaging, without evidence of perfusion defects, without clinical history of coronary artery disease, with QRS durations  $\leq 120$  ms, and who were in normal sinus rhythm. Baseline characteristics are shown in Table 1.

### Onset of mechanical contraction determination and phase analysis

Each patient underwent a standard ECG-gated SPECT myocardial perfusion scan for clinical indications at Duke University Medical Center. Data were acquired at eight frames per cardiac cycle. The short-axis data sets were generated by Butterworth filtering followed by filtered back projection reconstruction and oblique reorientation. Three-dimensional count distributions were then extracted from each of the eight left ventricular short-axis data sets

and submitted to Fourier phase analysis. The analysis applied one-dimensional fast Fourier transform to the count variation over time of each voxel to calculate the phase of the first Fourier harmonics. Then, the analysis generated a three-dimensional phase distribution that described the timing of the left ventricular regional OMC as a function of degrees, with the 360° range representing the entire length of the R–R interval. Once the phase distribution was generated, it was displayed on the polar map as well as in histogram format. Examples of a phase histogram from a patient with and without mechanical dyssynchrony are shown in Fig. 1. The *x*-axis represents the timing of one cardiac cycle (R–R interval) in degrees. The *y*-axis represents the percentage of myocardium, which demonstrated the OMC during any particular time of the cardiac cycle.

The method calculates quantitative indices used to describe the phase dispersion of the left ventricular regional OMC. Phase SD is the standard deviation of phase distribution. Phase histogram bandwidth represents the range of degrees during which 95% of the myocardium is initiating contraction. Therefore, higher degrees of phase SD and histogram bandwidth indicate higher degrees of mechanical dyssynchrony. A phase SD of 43° and a bandwidth of 135° have been shown to be predictive of patient response to CRT [17]. A comprehensive description of the method has recently been published, and the software has been implemented in the Emory Cardiac Toolbox (Emory University/Syntermed, Atlanta, Georgia, USA) for analysis of gated SPECT myocardial perfusion imaging [14].

#### **Comparison of the effect of variability in image reconstruction on the phase analysis (repeatability) using automated and manual base parameter placement in normal controls**

The raw image data set acquired from each gated SPECT myocardial perfusion study was processed twice by the same individual to create two gated SPECT data sets for each of the 50 normal controls. The raw image data processing consisted of optimization of the region of interest to exclude extracardiac counts as permissible without reducing cardiac counts and alignment of the horizontal and vertical axes of the images.

Next, two different methods for the positioning of the base, apex, radius, and center parameters before the phase analysis algorithm were used to create replicated phase analysis results for each of the two processed gated SPECT data sets. The first technique was the automated base parameter technique. The base parameter was determined by the software and no changes were made manually. Manual corrections to the center, radius, and apex parameters were made only if there was gross visual misalignment of these parameters. The second technique used was manual base parameter placement. This was done by placing the base parameter for each of the eight gated images at the slice, two slices toward the apex from the basal most slice with perfusion counts seen (within the membranous interventricular septum). Manual corrections to the center, radius, and apex parameters were made only if there was gross visual misalignment of these parameters. The phase analysis algorithm was then applied to each of these processed gated SPECT data sets. The end result of the image data processing was the creation of four sets of phase analysis results from each patient. Two of these phase analysis results were the result of automated base parameter placement processing and two were the result of manual base parameter placement processing (Fig. 2). These analyses enable the assessment of repeatability for each of the automated and manual base parameter placement methods.

#### **Comparison of the effect of variability in image reconstruction on the phase analysis (repeatability) in patients with left ventricular dysfunction and normal controls using manual base parameter placement**

The raw image data set acquired from each gated SPECT myocardial perfusion study was processed twice by the same individual using the same methods described for the normal

controls. The phase analysis algorithm was then applied to each of the two replicated gated SPECT data sets using the manual base parameter placement processing method described above for each patient. These analyses enable the assessment of repeatability using the manual base parameter placement method in the cohort of patients with left ventricular dysfunction and for comparison with repeatability in normal controls.

### Determination of intraobserver and interobserver reproducibility of the phase analysis

Ten consecutive patients with left ventricular dysfunction and 10 consecutive normal controls were used for the determination of intraobserver and interobserver reproducibility. One gated SPECT data set for each patient was used in the comparisons. To determine intraobserver reproducibility of phase analysis, one reader performed phase analysis after manual placement of the base parameter on two different occasions. To determine interobserver reproducibility, a second reader performed phase analysis after manual placement of the base parameter and this result was compared with the first reading from the first reader. The second reader was blinded to the results of phase analysis performed by the first reader.

### Statistical methods

Baseline characteristics were compared between the 50 normal controls and 50 patients with left ventricular dysfunction using  $\chi^2$  test for categorical variables and Wilcoxon two-sample tests for continuous variables. Fisher's exact test was used for categorical variables if the frequency in one of the categories was less than 10. Results of the SPECT imaging phase indices were presented as mean  $\pm$  SD and Wilcoxon signed-rank test for paired data was used to test the difference in means between the two groups. Repeatability is expressed either by the mean absolute difference between two replicated readings or by intraclass correlation coefficients. Wilcoxon signed-rank test for paired data and Wilcoxon two-sample test, were used to test the difference in the mean absolute difference between the two processing methods (automated vs. manual methods) and between two cohorts of patients (normal vs. left ventricular dysfunction), respectively. Intraclass correlation coefficients and mean absolute differences between two readings were used to assess the intraobserver and interobserver reproducibility of phase analysis.

This study was approved by the Duke University Institutional Review Board.

### Results

In normal controls, the phase indices of phase SD ( $30.0^\circ \pm 14.5^\circ$  vs.  $8.6^\circ \pm 2.9^\circ$ ,  $P < 0.0001$ ) and bandwidth ( $78.0^\circ \pm 50.8^\circ$  vs.  $27.9^\circ \pm 8.9^\circ$ ,  $P < 0.0001$ ) of the first reading were significantly reduced and demonstrated a narrower SD of values when manual base parameter placement was used as compared with automated base parameter placement. As demonstrated in Fig. 3, manual base parameter placement improves the repeatability of phase analysis in normal controls as measured by the intraclass correlation coefficient for phase SD ( $r = 0.57$  vs.  $r = 0.85$ ) and for bandwidth ( $r = 0.65$  vs.  $r = 0.84$ ). Improvement of the repeatability using manual base parameter placement is further demonstrated by an improvement in the mean absolute difference between the two reads for phase SD ( $12.0^\circ \pm 9.6^\circ$  vs.  $1.2^\circ \pm 1.2^\circ$ ,  $P < 0.0001$ ) and for bandwidth ( $33.7^\circ \pm 34.5^\circ$  vs.  $3.6^\circ \pm 3.7^\circ$ ,  $P < 0.0001$ ).

Table 2 demonstrates the phase analysis indices in patients with left ventricular dysfunction as compared with normal controls when the manual base parameter processing method is used. Phase SD ( $41.6^\circ \pm 24.2^\circ$  vs.  $8.6^\circ \pm 2.9^\circ$ ,  $P < 0.0001$ ) and histogram bandwidth ( $115.4^\circ \pm 60.5^\circ$  vs.  $27.9^\circ \pm 8.9^\circ$ ,  $P < 0.0001$ ) were significantly different between patients with left ventricular dysfunction and normal controls when the first reading was analyzed. With the

manual base parameter placement, the degree of repeatability of the phase analysis indices in patients with left ventricular dysfunction as compared with normal controls is also demonstrated in Table 2. The mean absolute difference between the two reads for phase SD ( $6.0^{\circ} \pm 7.3^{\circ}$  vs.  $1.2^{\circ} \pm 1.2^{\circ}$ ,  $P < 0.0001$ ) and bandwidth ( $26.5^{\circ} \pm 40.2^{\circ}$  vs.  $3.6^{\circ} \pm 3.7^{\circ}$ ,  $P < 0.0001$ ) was higher in patients with left ventricular dysfunction compared with normal controls. The correlation coefficients of the two reads in patients with left ventricular dysfunction were 0.93 for phase SD and 0.73 for bandwidth, which are similar to the correlation coefficients of the two reads in normal controls ( $r = 0.85$  for phase SD and  $r = 0.84$  for bandwidth).

Intraobserver and interobserver reproducibility of phase analysis is shown in Fig. 4. For intraobserver reproducibility, the intraclass correlation coefficient for phase SD and bandwidth were 1.00 and 1.00, respectively, and the mean absolute difference between the two reads was  $0.8^{\circ}$  and  $1.4^{\circ}$ , respectively. For interobserver reproducibility, the intraclass correlation coefficient for phase SD and bandwidth were 0.99 and 0.99, respectively, and the mean absolute difference between the two reads was  $2.0^{\circ}$  and  $5.4^{\circ}$ , respectively.

## Discussion

CRT with the use of biventricular pacing is approved for the treatment of patients with New York Heart Association class III–IV heart failure symptoms who have ejection fractions  $\leq 35\%$  and a QRS duration  $\geq 120$  ms. Several studies have shown clinical and mortality benefits from CRT when added to optimal medical therapy for groups of patients who meet the above selection criteria [4–9].

It is difficult to accurately select individual patients who would benefit from CRT. Data from randomized trials evaluating CRT demonstrate that a significant percentage of patients (20–30%) does not respond to CRT. Efforts have been made to utilize imaging techniques to more precisely define cardiac dyssynchrony in the hope of more accurately predicting which patients would benefit from CRT. These efforts have primarily focused on utilizing advanced echocardiographic techniques to determine left ventricular mechanical dyssynchrony [18–39].

A novel nuclear method for the evaluation of left ventricular mechanical dyssynchrony has recently been developed and normal databases have been reported using phase analysis of ECG-gated SPECT myocardial perfusion imaging [14]. Clinical validation of the technique began by demonstrating that differences in the phase analysis indices exist between patients with left ventricular dysfunction or conduction disturbances and normal controls [15]. Additionally, phase indices were shown to correlate with dyssynchrony as determined by tissue Doppler echocardiography [16]. Our study sought to further the validation of this technique by describing the effect of variability in image processing and reconstruction on phase analysis (repeatability), by describing the optimal image processing method to improve repeatability, and by describing intraobserver and interobserver reproducibility of phase analysis.

Our study first determined the effect that variability in processing and reconstruction of the SPECT myocardial perfusion data, has on the repeatability of phase analysis results in a cohort of normal controls. Several steps are involved in the processing of the raw data to arrive at phase analysis results. These include determining the region of interest and limit boundaries to isolate cardiac counts from noncardiac counts, reorienting and aligning the horizontal and vertical axes, processing of data through the gated SPECT algorithm, determining the placement of the center, radius, base, and apex parameters, and processing of gated SPECT data through the phase analysis algorithm. Each of these steps can introduce variation in the final phase analysis results.

We next sought to refine the processing methods used to improve the degree of repeatability of phase analysis. We observed that a significant amount of variability came from differences in the amounts of low-frequency ‘noise’ located at the base of the ventricle. By adjusting the processing protocol to include placing the base parameter at the slice, two slices toward the apex from the basal most slice with perfusion counts in each of the eight gated image data sets (a level usually within the membranous ventricular septum), all ‘noise’ was eliminated from the phase histogram. The elimination of low-frequency noise resulted in lower values of phase analysis indices and in significant improvement in the repeatability of phase analysis indices. Our results indicate that manual base parameter placement should be used in future investigations.

Furthermore, our study compared the repeatability of phase analysis using the manual base parameter placement in patients with left ventricular dysfunction and normal controls. Higher amounts of variability were observed in the processing of patients with left ventricular dysfunction as compared with normal controls as measured by the mean absolute difference between the two reads. This was expected. It is more difficult to determine the limits of the region of interest, to align and reorient the horizontal and vertical axes, and to determine the appropriate placement of the center, radius, apex, and base parameters in these patients. This cohort includes patients with dense perfusion defects that can increase processing variability. Our data are consistent with data previously reported demonstrating that patients with perfusion defects have a greater degree of processing variability, specifically with regard to placement of the apex parameter and in the alignment of the horizontal and vertical axes [40].

Our study demonstrated a high degree of intraobserver and interobserver reproducibility when using manual base parameter placement. The mean absolute differences observed for the intraobserver and interobserver reproducibility comparisons were much smaller than those observed for the repeatability comparisons. Furthermore, the degree of variability we demonstrated is small in comparison with the large differences between normal controls and patients with dyssynchrony, and therefore, should not adversely influence interpretation of the phase analysis results.

The use of gated SPECT myocardial perfusion imaging has several potential advantages in the evaluation of dyssynchrony in patients with left ventricular dysfunction. The technique is automated and takes less than 1 min to perform. This is in contrast with currently used echocardiographic techniques, which require significant offline data processing and analysis. Second, additional myocardial perfusion information could be helpful in the prediction of patient response to CRT. The presence, location, and severity of myocardial scar have been shown to impact patient response to CRT in a retrospective study using cardiac magnetic resonance imaging [41]. Recently, the extent of myocardial variability as assessed by F-FDG SPECT has also been shown to predict response to CRT [42]. Finally, the addition of dyssynchrony evaluation in patients having gated SPECT perfusion studies performed for other indications would be very cost effective and could potentially obviate the need for additional diagnostic testing.

Limitations do exist to our study. Although a thorough assessment of the effect of variability associated with image processing and reconstruction on phase analysis is described, it is not known to what degree each of the steps contributes to the variability seen. The only additional processing steps involved in phase analysis as compared with routine-gated SPECT image processing are the placement of the base parameter and the actual phase analysis algorithm. Through our determination of the very high intraobserver and interobserver reproducibility, we have demonstrated that the additional variability involved

in these steps is minimal and should not have an impact on the usefulness of phase analysis data.

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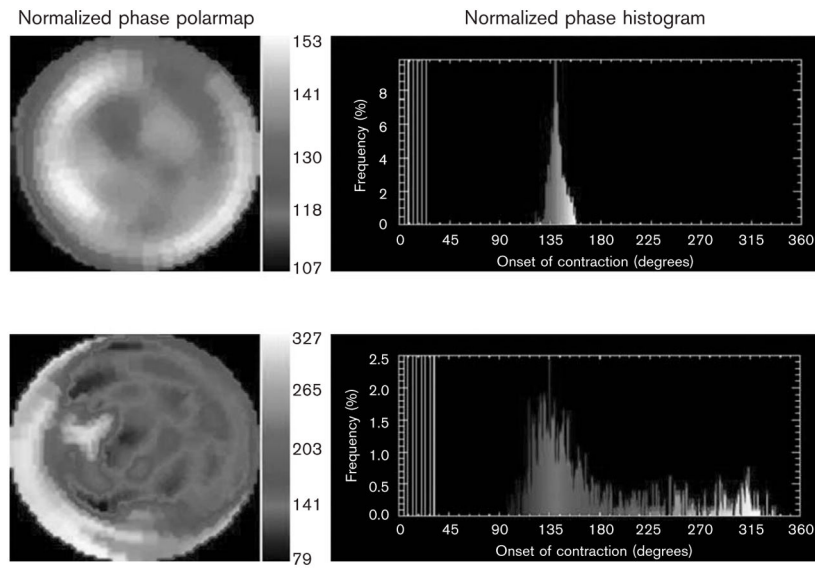
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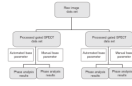
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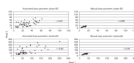
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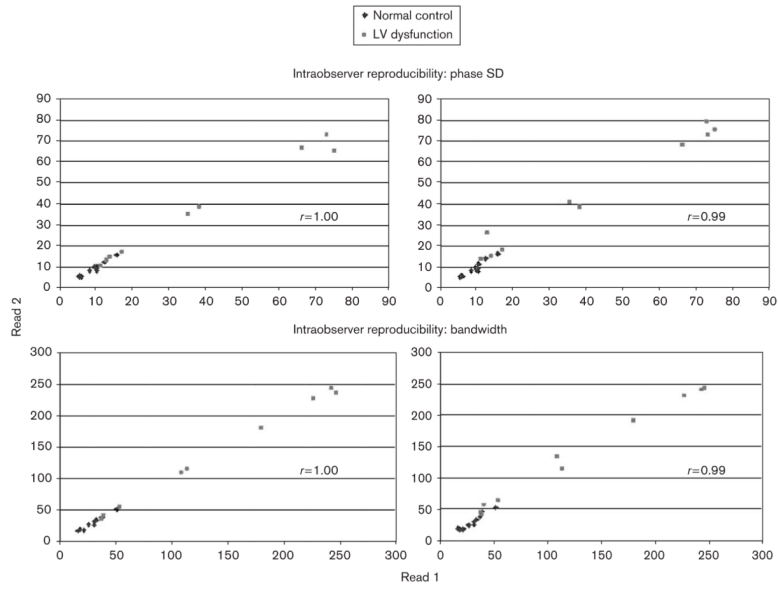
**Fig. 1.** Representative phase analysis histogram from a patient with (bottom) and without (top) dyssynchrony is shown. The  $x$ -axis represents the duration of one cardiac cycle (R–R interval). The  $y$ -axis represents the percentage of myocardium demonstrating the onset of mechanical contraction for each phase of the cardiac cycle.



**Fig. 2.** Processing methods which are used to create replicated phase analysis results using both automated and manual base parameter placement processing methods used to compare the repeatability of the phase analysis.



**Fig. 3.** Improvement in repeatability of the phase analysis as measured by intraclass correlation coefficients using manual base parameter placement in 50 normal controls.



**Fig. 4.** High degree of intraobserver and interobserver reproducibility as measured by intraclass correlation coefficients of the phase analysis in 20 patients (LV-dysfunction,  $n=10$ ; normal controls,  $n=10$ ). LV, left ventricular.

**Table 1**

## Baseline characteristics

	Normal controls ( <i>n</i> = 50)	LV dysfunction ( <i>n</i> = 50)	<i>P</i> value
Demographics			
Age (years)	62	62	0.77
Race (White, Black, others) (%)	64.0, 36.0, 0.0	46.0, 52.0, 2.0	0.14
Sex (male) (%)	40.0	78.0	0.0001
History of coronary artery disease (%)	0.0	72.0	< 0.0001
History of CABG (%)	0.0	36.0	< 0.0001
History of diabetes mellitus (%)	30.0	52.0	0.03
History of hypertension (%)	64.0	86.0	0.02
History of renal insufficiency (%)	18.0	44.0	0.01
History of atrial fibrillation (%)	8.0	30.0	0.01
History of cardiomyopathy (NICM, ICM) (%)	0.0, 0.0	26.0, 74.0	< 0.0001
ECG data			
QRS (ms)	84.5	114.5	< 0.0001
Sinus rhythm (%)	100.0	72.0	0.001
SPECT data			
Ejection fraction (%)	71.3	34.1	< 0.0001
End diastolic volume (ml)	88.7	217.1	< 0.0001
End systolic volume (ml)	26.5	144.7	< 0.0001
Mass (g)	115.2	199.3	< 0.0001

CABG, coronary artery bypass graft; ICM, ischemic cardiomyopathy; LV, left ventricular; NICM, nonischemic cardiomyopathy; SPECT, single-photon emission computed tomography.

**Table 2**

Comparison of the repeatability of the phase analysis indices in patients with left ventricular dysfunction and normal controls

	Normal controls	LV dysfunction	<i>P</i> value
	Manual base	Manual base	
	<i>N</i> = 50	<i>N</i> = 50	
Phase SD (°)			
Mean ± SD of the first reading	8.6 ± 2.9	41.6 ± 24.2	< 0.0001
Absolute difference (mean ± SD) of the two readings	1.2 ± 1.2	6.0 ± 7.3	< 0.0001
Intraclass correlation coefficient of the two readings	0.85	0.93	
Bandwidth (°)			
Mean ± SD of the first reading	27.9 ± 8.9	115.4 ± 60.5	< 0.0001
Absolute difference (mean ± SD) of the two readings	3.6 ± 3.7	26.5 ± 40.2	< 0.0001
Intraclass correlation coefficient of the two readings	0.84	0.73	