

Published in final edited form as:

Int J Cancer. 2009 August 1; 125(3): 565–576. doi:10.1002/ijc.24271.

Caffeic acid phenethyl ester decreases cholangiocarcinoma growth by inhibition of NF- κ B and induction of apoptosis

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Abstract

Caffeic acid phenethyl ester (CAPE) inhibits the growth of tumor cells and is a known inhibitor of NF- κ B that is constitutively active in cholangiocarcinoma (CCH) cells. We evaluated the effects of CAPE on CCH growth both *in vitro* and *in vivo*. Inhibition of NF- κ B DNA-binding activity was confirmed in nuclear extracts treated with CAPE at 50, 40 and 20 μ M. CAPE decreases the expression of NF- κ B1 (p50) and RelA (p65). CAPE decreased the growth of a number of CCH cells but not normal cholangiocytes. Cell cycle decrease was seen by a decrease in PCNA protein expression and the number of BrdU-positive cells treated with CAPE at 20 μ M compared to vehicle. Inhibition of growth and increased cell cycle arrest of Mz-ChA-1 cells by CAPE were coupled with increased apoptosis. Bax expression was increased, whereas Bcl-2 was decreased in cells treated with CAPE compared to vehicle. *In vivo* studies were performed in BALB/c nude (nu/nu) mice implanted subcutaneously with Mz-ChA-1 cells and treated with daily IP injections of DMSO or CAPE (10 mg/kg body weight in DMSO) for 77 days. Tumor growth was decreased and tumor latency was increased 2-fold in CAPE compared to vehicle-treated nude mice. In tumor samples, decreased CCH growth by CAPE was coupled with increased apoptosis. CAPE both *in vivo* and *in vitro* decreases the growth of cholangiocarcinoma cells by increasing apoptosis. These results demonstrate that CAPE might be an important therapeutic tool in the treatment of CCH.

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Keywords

Biliary cancer; apoptosis; cell cycle; inhibition; proliferation

INTRODUCTION

Cholangiocarcinomas are cancers of both intrahepatic and extrahepatic origin that are growing in both prevalence and mortality rate¹⁻³. The greatest challenge put forward by these increasingly dangerous cancers is the limited ability to diagnose early, leaving the only hope for long-term survival being absolute surgical resection of the tumor¹⁻³. Alterations in apoptotic thresholds resulting from a chronic inflammatory state may be important in cholangiocarcinoma development². Cholangiocytes are inherently resistant to apoptosis, but are observed to undergo programmed cell death in primary biliary cirrhosis and primary sclerosing cholangitis (PSC), leading to bile duct loss². In PSC, the inflammatory environment may lead to a further dysregulation of apoptosis, thereby allowing genetically damaged cells to proliferate and perhaps escape immune recognition, ultimately leading to the frightening 10–20% incidence of bile duct malignancy observed in this condition².

Caffeic acid (3,4-dihydroxycinnamic acid) phenethyl ester (CAPE) is structurally related to flavonoids and is a biologically active component of propolis from honeybee hives. It has antiviral, anti-mitogenic, anti-inflammatory, and immunomodulatory properties⁴⁻⁷ and has been shown to inhibit the growth of different types of transformed cells such as breast and colon cancer cells⁸. In transformed cells, CAPE alters the redox state and induces apoptosis⁹. It has been shown that CAPE suppresses lipid peroxidation¹⁰ and displays antioxidant activity¹¹. CAPE can also inhibit phorbol ester-induced H₂O₂ production and tumor promotion¹². CAPE is a well-known and well-documented inhibitor of the transcription factor, nuclear factor kappa beta (NF-κB)¹³⁻¹⁵.

The NF-κB signal transduction pathway is dysregulated in a variety of human cancers¹⁶. In most cancer cells, NF-κB is constitutively active and resides in the nucleus¹⁷⁻¹⁹. NF-κB activity not only protects cancer cells from apoptotic cell death, but may even enhance their growth activity²⁰. Inhibition of NF-κB activation produces a corresponding increase in apoptosis, indicating that the balance of cell viability *versus* cell death is preserved by the degree of NF-κB activation²⁰. Agents that can down-modulate the activation of NF-κB have potential for therapeutic intervention^{21, 22}. One such agent may be the NF-κB inhibitor, CAPE, via induction of cell death.

Apoptotic cell death has been characterized by the progression of morphological and biochemical changes ranging from the manifestation of the phospholipid phosphatidylserine on cell surfaces, to proteolytic cleavage of numerous intracellular proteins, to nuclear condensation and fragmentation and the cleavage of DNA into nucleosomal fragments²³. Dysregulation of apoptosis can disrupt the equilibrium between cell growth and cell death and is critical in the development of cancer and tumor cell survival^{24, 25}. It is this understanding that has led researchers to explore the therapeutic activation of apoptosis in cancer cells as a potential cancer-fighting strategy.

All of these factors together have led us to propose the following hypothesis: CAPE inhibits the growth of cholangiocarcinoma via inhibition of NF-κB activation and increasing apoptosis.

METHODS AND MATERIALS

Materials

All high-quality reagents were obtained from Sigma Chemical Company (St. Louis, MO) unless otherwise indicated. Antibodies for immunoblotting and immunohistochemistry were all obtained from Santa Cruz Biotechnology (Santa Cruz, CA). Cell culture media and other reagents were obtained from Gibco Invitrogen Corporation (Carlsbad, CA).

Cultured Cell Lines

For our study we used the following cell lines. Mz-ChA-1 cells, an extrahepatic biliary cancer cell line from human gallbladder^{26–28}, were a gift from Dr. G. Fitz (University of Texas Southwestern Medical Center, Dallas, TX) and were cultured as described²⁶. HuH-28 (from human intrahepatic bile duct)^{26, 29}, and TFK-1 (from human extrahepatic bile duct)^{26, 30} cells were acquired from Cancer Cell Repository, Tohoku University, Japan and were cultured as described²⁶. HuCC-T1 and SG231 cells^{31, 32}, from intrahepatic bile ducts (obtained from Japanese Cancer Research Resources Bank) were a gift from Dr. A. J. Demetris (University of Pittsburgh, Pittsburgh, PA) and were cultured as described^{31–34}. The human immortalized, nonmalignant cholangiocyte cell line H69 (a gift from Dr. G. J. Gores, Mayo Clinic) was cultured as described³⁵.

NF- κ B Binding Activity

EMSA was performed in Mz-ChA-1 cells after CAPE treatment (20, 40 and 50 μ M for 2 hours). Briefly, cells were scraped into 1 mL of 1 \times phosphate buffered saline (1 \times PBS) and pelleted by centrifugation (300 g) to remove any trace of media. The cellular pellet was resuspended in 400 μ L of homogenization buffer (100 mM NaCl, 1.5 mM MgCl₂, 0.5 mM EDTA, 0.7% igepal, 0.5 mM DTT, 10% (w/v) glycerol, 10 μ g/ml leupeptin, 5 μ g/ml aprotinin, 0.5 mM PMSF (phenylmethanesulphonylfluoride) in 20 mM HEPES, pH 7.9) and centrifuged for 10 min at 2000 g. The pellet was incubated for 30 min at 4°C on a rocker in 50 μ L of high-salt buffer (500 mM KCl, 0.5 mM EDTA, 25% (w/v) glycerol, 0.5 mM DTT, 0.5 mM PMSF in 20 mM HEPES or (4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid), pH 7.9). Nuclear debris was removed by centrifugation at 14,000 g for 30 minutes at 4°C and the supernatant stored in aliquots at –70°C until further processing. Double-stranded oligonucleotides containing the consensus binding motif for NF- κ B (5'–AGT TGA GGG GAC TTT CCC AGG C-3', Promega, Madison, WI) were end labeled with ³²P-dATP using T4 polynucleotide kinase for 10 minutes at room temperature. Nuclear protein (10 μ g) was preincubated with 1 mg poly (dI:dC) for 10 min at 25°C in 10 mM Tris (pH 7.5) 100 mM KCl, 5 mM MgCl₂, 5 mM EDTA, 1 mM DTT and 30 mg/mL bovine serum albumin (BSA) before incubation for a further 20 min at 25°C in the presence of labeled DNA. DNA–protein complexes were resolved by 4% non-denaturing polyacrylamide gel electrophoresis in 1 \times Tris/Borate/EDTA buffer.

CAPE Effects on the Expression of NF- κ B family members, NF- κ B1 (p50) and RelA (p65)

To further evaluate the effects of CAPE on NF- κ B inhibition, we performed immunoblotting and immunofluorescence for the proteins, NF- κ B1 (p50) and RelA (p65). The antibody for NF- κ B1 (p50) recognizes both p50 and p105. The p105 (p110) precursor contains p50 at its N-terminus and a C-terminal region that, when expressed as a separate molecule, binds to p50 and regulates its activity³⁶. For immunoblotting assays, cells were stimulated with 0.1% DMSO or CAPE (20 μ M) with 0.1% DMSO for 48 hours. Immunoblotting was performed as previously described³⁷. The intensity of the bands was determined by scanning video densitometry using the phospho-imager, Storm 860, (GE Healthcare,

Piscataway, NJ) and the ImageQuant TLV 2003.02 (Little Chalfont, Buckinghamshire, England).

To evaluate the expression of NF- κ B1 (p50) and RelA (p65) by immunofluorescence, cells were seeded into 6-well plates containing a sterile coverslip on the bottom of each well. After adherence overnight, cells were stimulated with 0.1% DMSO or CAPE (20 μ M) in 0.1% DMSO for 24 hours. Immunofluorescence was performed as previously described³⁸ using antibodies for NF- κ B1 (p50) and RelA (p65) or non-immune serum for negative controls. Sections were visualized using the Olympus IX-71 inverted confocal microscope (Tokyo, Japan).

Effect of CAPE on Cholangiocarcinoma Growth

Evaluation of cholangiocarcinoma growth was performed as previously described using proliferation assays³⁹. For this, cells were trypsinized and seeded into 96-well plates (10,000 cells per well) in a final volume of 200 μ L of medium. Cells were stimulated for 24, 48 and 72 hours with CAPE (0–50 μ M dissolved in 0.1% DMSO) or 0.1% DMSO (vehicle) prior to evaluation of proliferation³⁹.

CAPE Effects on Cell Cycle Progression

BrdU labeling was used to evaluate the effects of CAPE on cell cycle progression. Cells were stimulated with 0.1% DMSO or CAPE (20 μ M) with 0.1% DMSO for 48 hours and staining was performed as previously described³⁸. The number of BrdU-positive nuclei were counted and expressed as a percentage of total cells in five random fields for each treatment group.

PCNA protein expression was evaluated by immunoblotting using Mz-ChA-1 cell lysates stimulated with either 0.1% DMSO or CAPE (20 μ M with 0.1% DMSO) for 48 hours. After stimulation, cells were lysed and scraped and immunoblotting was performed as previously described³⁷. Alpha-tubulin was used to normalize the amount of protein used. Densitometric analysis was performed as described above.

Effect of CAPE on Apoptosis

Apoptosis was evaluated using Annexin-V labeling as described³⁸. Cells were seeded into 6 well plates (500,000 cells/well) containing sterile coverslips on the bottom of each well and allowed to adhere overnight. Cells were stimulated with 0.1% DMSO or CAPE (20 μ M with 0.1% DMSO) for 48 hours and processed as described³⁸. The number of Annexin-V-positive cells were counted and expressed as a percentage of total cells in five random fields for each treatment group.

Immunoblotting analysis was performed on selected proteins to evaluate the mechanisms by which CAPE activates apoptosis thus decreasing cholangiocarcinoma growth. We measured the effects of CAPE on the pro-apoptotic protein BAX⁴⁰ and the anti-apoptotic protein Bcl-2^{41, 42}. Briefly, Mz-ChA-1 cells were seeded into 6-well plates and allowed to adhere and become confluent overnight. Cells were stimulated with 0.1% DMSO or CAPE (20 μ M) with 0.1% DMSO for 48 hours. Immunoblotting was performed as previously described³⁷.

CAPE Effects on *In Vivo* Xenograft Studies

We next evaluated the effects of CAPE on cholangiocarcinoma growth using an *in vivo* animal model³⁹. Male balb/c 8-week-old nude (nu/nu) mice were kept in a temperature-controlled environment (20–22°C) with a 12-hour light-dark cycle and with free access to drinking water and mouse chow. Mz-ChA-1 cells (5×10^6) were suspended in 0.25 mL of extracellular matrix gel and injected subcutaneously into the hind flanks of the animals.

After the establishment of the tumors, mice received the following treatments: 0.1% DMSO or CAPE (10 mg/Kg BW)⁴³ dissolved in 1:1 DMSO:NaCl and injected IP three times per week. Tumor parameters were measured three times a week by an electronic calipers and volume was determined as: tumor volume (mm³) = 0.5 × [length (mm) × width (mm) × height (mm)]. Tumor latency was also evaluated^{39, 43}. Latency represents the time for the tumor to increase to 150% of the initial volume^{39, 43}. After 77 days, mice were anaesthetized with sodium pentobarbital (50 mg/kg IP) and sacrificed according to the institutional guidelines. Small tumor samples were excised from the flanks of these mice, fixed in 10% buffered formalin for 2 to 4 hours and embedded in low temperature fusion paraffin (55 to 57°C), and 4-µm sections were stained with hematoxylin and eosin (for evaluation of necrosis and inflammation)³⁹ and Masson's trichrome (for evaluation of fibrosis)³⁹. For immunohistochemistry, sections (4 µm) were mounted on glass slides coated with 0.1% poly-L-lysine. After deparaffination, endogenous peroxidase activity was blocked by a 20-minute incubation in methanolic hydrogen peroxide (2.5%). The endogenous biotin was blocked by the Biotin Blocking System (DAKO, Copenhagen, Denmark) according to the instructions supplied by the vendor. Sections were hydrated in graded alcohol and rinsed in 1× PBS, pH 7.4 before applying the primary antibody. Sections were incubated overnight at 4°C with antibodies for cytokeratin-7 (CK-7), proliferating cellular nuclear antigen (PCNA), vascular endothelial growth factor-A (VEGF-A), VEGF-C, VEGFR-2 and VEGFR-3. Antibodies for the NF-κB family members, NF-κB1 (p50) and RelA (p65) were also utilized for immunoblotting in tumor lysates and immunostaining in tumor sections. Samples were rinsed with 1× PBS for 5 minutes, incubated for 20 minutes at room temperature with a secondary biotinylated antibody (DAKO LSAB Plus System, HRP, Milan, Italy), incubated with DAKO ABC (DAKO LSAB Plus System, HRP), and developed with 3-3'-diaminobenzidine. For the detection of apoptosis on single cells, the terminal deoxynucleotide transferase end labeling (TUNEL) kit (ApopTag; Oncor, Gaithersburg, MD) was used. For all immunoreactions, negative controls (the primary antibody was replaced -same dilution- with normal serum from the same species) were also included. Light microscopy and immunohistochemistry observations were taken by BX-51 light microscopy (Olympus) with a videocam (Spot Insight; Diagnostic Instrument, Inc., Sterling Heights, MI) and evaluated with an Image Analysis System (IAS; Delta Sistemi, Rome, Italy). Light microscopy and immunohistochemical observations were independently performed by three morphologists in a blinded manner. The numbers of PCNA, CK-7, TUNEL, VEGF-A, VEGF-C, VEGFR-2, and VEGFR-3 cells were assessed in six slides for each group. Positive cells were counted in six non-overlapping fields for each slide and the data expressed as percentage of positive cells.

The degree of inflammation and fibrosis was evaluated in five randomly non-overlapping fields for each slide using light microscopy of Masson's-stained sections as previously described⁴⁴. The necrotic mass was evaluated by quantitative morphometry on light microscopic images as described⁴⁵ and expressed as area of necrosis/total area of tumour × 100. For each sample, more than 5 non-overlapping fields were studied. Organ damage was also assessed in other organs from vehicle- and CAPE- treated mice.

RESULTS

CAPE inhibits NF-κB Binding Activity and Decreases Expression of NF-κB1 (p50) and RelA (p65)

As seen in other cell systems^{46, 47}, CAPE decreased NF-κB DNA-binding activity in Mz-ChA-1 cells treated with CAPE compared to their corresponding basal value (Figure 1a). Decreased binding activity was seen after 20, 40 and 50 µM of CAPE treatment (Figure 1a). By confocal microscopy, we found a change in the localization of the expression of NF-κB1 (p50) and RelA (p65) in Mz-ChA-1 cells stimulated with CAPE compared to basal, DMSO-

treated cells (Figure 1b). Staining for NF- κ B1 (p50) under basal conditions was found in both nuclear and cytoplasmic regions, whereas following CAPE treatment, staining was localized outside of the nucleus. RelA (p65) staining under basal conditions was almost purely nuclear and after CAPE treatment, staining was confined to the cytosol/membrane area (Figure 1b). Negative controls performed with non-immune serum are also shown (Figure 1b). By immunoblots, we found that Mz-ChA-1 cells stimulated with CAPE (20 μ M) exhibited a marked decrease in the protein expression of both NF- κ B1 (p50) and RelA (p65) compared to cells treated with 0.1% DMSO (Figure 1c). Expression of p105 (that, when expressed as a separate molecule, binds to p50 to regulate the activity)³⁶ was also decreased in CAPE stimulated cells compared to basal (not shown). Alpha-tubulin levels were unchanged in DMSO- and CAPE- treated samples (Figure 1c).

Effects of CAPE on Cholangiocarcinoma Cell Growth

Using MTS assays, we showed that CAPE inhibits the growth of numerous intra- and extra-hepatic cholangiocarcinoma cells lines, but has no effect on normal cells. Specifically, we demonstrated that CAPE significantly decreased the growth of Mz-ChA-1 cells in a time (24 and 48 hours) and dose- dependent (0–50 μ M) manner (Figure 2, top left panel). To expand our studies, we evaluated the effects of CAPE on other cholangiocarcinoma cell lines. These studies demonstrated that in cholangiocarcinoma (both intra- and extra-hepatic) cell lines CAPE decreases the growth of these cells (Figure 2). Proliferation in all cell lines was decreased with stimulations of 40 and 50 μ M of CAPE for 48 hours (Figure 2) compared to cholangiocarcinoma cell lines stimulated with 0.1% DMSO (basal, vehicle for CAPE). Treatment of normal, H69 cells with CAPE had no effect on growth (data not shown).

CAPE Effects on Cell Cycle

We used BrdU incorporation and PCNA protein expression to determine if CAPE alters cell cycle progression. BrdU is incorporated into newly synthesized DNA in replicating cells during the S phase of the cell cycle, and thus is used as a marker for cell replication⁴⁸. Results from BrdU staining revealed that CAPE slows cell cycle progression. Specifically, ~50% of Mz-ChA-1 cells treated with 0.1% DMSO incorporated BrdU (after 2 hours incubation) into their DNA compared to only ~10% of cells stimulated with CAPE (20 μ M) (Figure 3a), thus demonstrating decreased cell cycle progression induced by CAPE. PCNA is also expressed during the S phase of the cell cycle and allows detection of cell proliferation and replication⁴⁹. Using immunoblotting analysis for PCNA protein expression, we found that CAPE induced a significant decrease in PCNA protein expression compared to basal- stimulated cells (Figure 3b). Levels of alpha-tubulin (used as a loading control) were unchanged in both basal- and CAPE- stimulated cells (Figure 3b). A representative immunoblot is shown. These results combined allow us to conclude that CAPE interrupts the normal progression of cell replication.

CAPE effects on Apoptosis

We used Annexin-V staining and immunoblotting for the apoptotic markers, Bax and Bcl-2^{40–42}, to determine the effects induced by CAPE on apoptosis. By Annexin-V staining, we found that CAPE induces a significant amount of cell death (almost 100%) in Mz-ChA-1 cells stimulated with CAPE (Figure 4a). Approximately 10% of Mz-ChA-1 cells treated with 0.1% DMSO stained positive for Annexin-V, whereas ~99% of Mz-ChA-1 cells stimulated with CAPE (20 μ M) were positive for Annexin-V (Figure 4a).

To further confirm our hypothesis that CAPE acts through a pro-apoptotic pathway (evidenced by increased Annexin-V staining, Figure 4a), we studied the expression of Bax and Bcl-2 that are important players in the apoptotic pathway^{40–42}. Immunoblotting seen in Figure 4b shows a significant increase in protein expression for the pro-apoptotic protein,

Bax (Figure 4b, upper panel) in Mz-ChA-1 cells treated with CAPE (20 μ M) compared to basal (0.1% DMSO) treated cells. Similar to experiments in other cell types, the anti-apoptotic protein Bcl-2 was decreased in CAPE-treated cells (20 μ M) compared to the corresponding basal value (lower panel, Figure 4b). Alpha-tubulin levels were unchanged in basal-and DMSO- stimulated cells (Figure 4b, upper and lower panels).

Xenograft Studies

In xenograft studies in nude mice we found that chronic treatment with CAPE (10 mg/Kg BW) ⁴³ decreased tumor growth compared to mice treated with vehicle (0.1% DMSO) alone (Figure 5a). The time period for CAPE-treated tumors to reach 150% of their growth was almost 3 times longer than in vehicle-treated mice (Table 1). Body weight and liver weight were similar in both vehicle- and CAPE-treated mice (Table 1). Histologically, no changes were noted in fibrosis or inflammation in tumors from mice treated with either CAPE or vehicle (data not shown). However, there was a slight change in necrosis in DMSO versus CAPE- treated mice (35.79 \pm 2.56 [DMSO] vs. 48.64 \pm 4.05 [CAPE]). Almost all tumor cells were positive for CK-7 in both vehicle and CAPE-treated mice (data not shown). PCNA staining was decreased in CAPE-treated animals compared to vehicle-treated mice (Figure 5b). By TUNEL analysis, apoptotic cells were increased in tumors from CAPE-treated mice compared to vehicle (Figure 5b). Quantitative data for PCNA and TUNEL analysis is found in Table 2. Expression for VEGF-A, VEGF-C, VEGFR-2 and VEGFR-3 was unchanged in samples from CAPE-treated animals compared to vehicle treated mice (data not shown). Quantitative data for VEGF protein and receptor expression is seen in Table 2. Further evidence of NF- κ B inhibition by CAPE was seen in immunohistochemical analysis (Figure 6a) and immunoblotting (Figure 6b) for NF- κ B family members, NF- κ B1 (p50) and RelA (p65) in tumor sections from CAPE and vehicle treated mice. Both protein expression (Figure 6b) and staining (Figure 6a) for these markers were decreased in CAPE-treated tumors compared to tumors from vehicle-treated mice thus supporting the concept that CAPE treatment decreases NF- κ B *in vivo*. Evaluation of other organs demonstrated no histological changes in CAPE-treated animals compared to vehicle (not shown) indicating that the dosage of CAPE was not toxic to other organ systems and was well tolerated.

DISCUSSION

In this study, we explored the role of the NF- κ B inhibitor ^{15, 50}, CAPE, on the regulation of CCH growth. While it has been shown that CAPE inhibits the growth of numerous other cancers ^{43, 51, 52} there is no information on the role of CAPE in the inhibition of CCH growth. Also, CAPE has been demonstrated to be a potent inhibitor of NF- κ B in several cell systems ^{15, 50}, however, there is no information on the ability of CAPE to inhibit NF- κ B signalling in CCH cells. Here, we have demonstrated that CAPE inhibits NF- κ B DNA-binding activity and the growth of numerous CCH cell lines by changes in apoptotic signalling pathways. *In vitro*, CAPE induced inhibition of cell cycle progression evaluated by BrdU staining and PCNA protein expression in CCH cells. Cell death in CCH cell lines was demonstrated by Annexin-V staining, and by immunoblotting for certain apoptotic markers: the pro-apoptotic protein, Bax ⁵³, and the anti-apoptotic protein, Bcl-2 ⁵⁴. As expected, CAPE increased Annexin-V labelling and the expression of Bax while decreasing the expression of Bcl-2 in Mz-ChA-1 cells. Lastly, our studies were taken into an *in vivo* model whereby Mz-ChA-1 cells were injected into the hind flanks of nude mice and treated with CAPE or 0.1% DMSO for 77 days. *In vivo* studies revealed that: (i) CAPE decreased the growth of tumors in nude mice with increased tumor latency; (ii) CAPE decreased PCNA expression with increased TUNEL expression; (iii) expression for CK-7, VEGF-A, VEGF-C, VEGFR-2 and VEGFR-3 was unchanged in CAPE- compared to vehicle-treated animals and (iv) no differences were observed in fibrosis or inflammation in either the

vehicle or CAPE-treated animals with no other tissue/organ damage. Further, CAPE decreased the expression of both NF- κ B1 (p50) and RelA (p65) compared to vehicle-treated mice in tumor sections from these animals demonstrated by both immunoblotting and immunohistochemistry.

The transcription factor, NF- κ B, plays a critical regulatory role in the expression of numerous target genes that control cell death, proliferation, differentiation and immune and inflammatory responses⁵⁵. There are numerous members of the NF- κ B family including: NF- κ B1 (p50), NF- κ B2 (p52), RelA (p65), RelB and c-Rel^{56, 57}. Blocking NF- κ B has been shown to cause tumor cells to stop proliferating, to die, or to become more sensitive to the action of anti-tumor agents⁵⁸. To determine the effects of CAPE on NF- κ B activity we demonstrated that CAPE suppresses the function of NF- κ B by blocking the nuclear translocation of NF- κ B in human cholangiocarcinoma cells as shown by EMSA. In support of our studies, it has recently been shown that rottlerin blocks the NF- κ B/cyclin D cascade in human breast cancer cell lines⁵⁹ and that the dietary anti-oxidant, curcumin, inhibits NF- κ B activation thus decreasing metastasis in breast cancer cells⁶⁰. In lung cancer cells, it has been demonstrated that nutlin, a potent Mdm2 antagonist that blocks the p53-Mdm2 interaction, suppresses NF- κ B activity that may lead to down-regulation or tumor formation and metastasis⁶¹. In addition to EMSA data demonstrating that CAPE induces an inhibition of NF- κ B DNA-binding activity, we have also shown that two members of the NF- κ B family, NF- κ B1 (p50) and RelA (p65), were decreased after CAPE treatment both *in vitro* and *in vivo*. These studies support the role that NF- κ B plays in tumorigenesis and further validates our studies herein. While the effects of CAPE on NF- κ B inhibition and p50 and p65 suppression are seen mostly at the activity level, there have been some preliminary studies⁶² suggesting that the effects of CAPE can also occur at a translational level. Indeed, this preliminary study has shown that CAPE suppressed p50 expression both at the message and protein level in osteosarcoma cells⁶². Further, a study involving hepatocellular carcinoma cells (HCC) has shown that the protein expression of both p50 and p65 was increased in HCC cells compared to normal liver cells^{17-19, 63}. This study supports the notion that NF- κ B activity may be increased in cancer cells compared to normal healthy cells¹⁷⁻¹⁹. Therefore, inhibiting or blocking NF- κ B activity or repressing the expression of the family members of NF- κ B may represent important targets in cancer research and possible therapeutic developments. Our data showing the decreased DNA binding activity of NF- κ B and decreased protein expression of p50 and p65 (both *in vitro* and *in vivo*) supports the concept that CAPE may act at both transcriptional and post-transcriptional levels to suppress cholangiocarcinoma growth.

CAPE has been shown to possess antitumor activity⁶⁴ and anti-inflammatory properties⁶, as well as being a potent and specific NF- κ B inhibitor⁶. Consistent within the modality that phenolic compounds decrease carcinogenesis and tumor growth, in our study we have demonstrated that the proliferation of various cholangiocarcinoma cells lines is strongly reduced by *in vitro* treatment with CAPE. In support of our study, it has been shown that proliferation in human HeLa cervical carcinoma cells was greatly inhibited by administration of both CA (caffeic acid) and CAPE⁶⁵. In our study, the anti-proliferative effects of CAPE on CCH growth were also coupled with increased cell cycle arrest and apoptosis. These results are consistent with other studies demonstrating the ability of CAPE to induce growth inhibition coupled with cell cycle arrest and increased apoptosis^{66, 67}. The growth of human colorectal cancer cells was halted by CAPE treatment *in vitro* in a dose-dependent manner⁶⁸ similar to what was seen in our study in cholangiocarcinoma cells from different origins. Likewise, colorectal cancer cells treated with CAPE exhibited increased cell cycle arrest and induction of apoptosis⁶⁸.

Markers to evaluate the effects of apoptosis are numerous. In our study, we evaluated the effects of CAPE on two particular apoptotic markers, Bax and Bcl-2. Bcl-2 is the prototype member for a family of genes and proteins that regulate mitochondrial outer membrane permeabilization and are either anti-apoptotic (i.e. Bcl-2) or pro-apoptotic (Bax)^{53, 54}. Bcl-2 has been implicated in a number of diseases such as autoimmunity⁶⁹ as well as in many cancers including breast, prostate⁷⁰ and lung⁷¹. Deviations in gene expression of anti-apoptotic and pro-apoptotic proteins may play a part in the cause of the many forms of cancer⁷². Bcl-2 is imperative to the process and progression of apoptosis as it inhibits the initiation of the cell-death process. In our study, we found that cholangiocarcinoma cells treated with CAPE *in vitro* demonstrated a decrease in protein expression of the anti-apoptotic maker, Bcl-2 coupled with a significant increase in the pro-apoptotic marker, Bax. In agreement with our findings, studies have offered evidence of a dysregulation of the Bcl-2/Bax ratio including a study showing that leutolin increased Bax protein expression with a concurrent decrease in Bcl-2 protein expression in human hepatoma cell lines⁷³. Similarly, IGF-1 has been shown to regulate the expression of Bax and Bcl-2 in human breast cancer cells⁷⁴.

We further expanded our study by examining the effects of CAPE on an *in vivo* model. As we (and others) have shown, the model system used herein allows for the evaluation of a compound on *in vivo* tumor growth. Recently we have shown that GABA inhibits the tumor growth of CCH in a nude mouse model³⁹. Using this model, we demonstrate that chronic administration of CAPE to tumor-induced mice significantly decreased tumor growth and increased tumor latency compared to vehicle-treated mice. Immunohistochemical analysis of inflammation and fibrosis showed that there was relatively no change in these parameters between vehicle- and CAPE-treated mice. *In vivo* CAPE decreased tumor growth and proliferation as seen by the decrease in the number of PCNA-positive cells by immunohistochemistry in tumor samples. The decrease in tumor growth and proliferation is likely regulated by apoptosis which is demonstrated by the significant increase in TUNEL-positive cells from CAPE-treated tumors compared to vehicle. Changes in cell death that were consistent with *in vitro* data were coupled with no observable changes in VEGF expression between the two groups indicating an angiogenic-independent regulation of growth inhibition. In addition, NF- κ B1 (p50) and RelA (p65) expression was decreased in tumors from CAPE-treated mice demonstrating that *in vivo* treatment of CAPE inhibits NF- κ B signaling. Evaluation of other organs (lung, heart, kidney, stomach and intestine) showed that chronic treatment with CAPE had no toxic or secondary effects and was well tolerated throughout the study. In support of our study using an *in vivo* xenograft model, CAPE has previously been shown to suppress the growth of HepG2 tumor xenografts in nude mice treated with CAPE both subcutaneously and orally⁵¹.

In conclusion, we have provided evidence that *in vitro* stimulation of cholangiocarcinoma by CAPE produces significant suppression of CCH growth via inhibition of NF- κ B DNA-binding, downregulation of the family members, NF- κ B1 and RelA along with activated apoptosis coupled with increased cell cycle arrest. Further, the *in vivo* studies strengthen and validate our *in vitro* findings demonstrating that CAPE should be considered a valuable and resourceful tool in the fight against cholangiocarcinoma. Our studies are both novel and important in that finding alternative therapies to battle cholangiocarcinoma is an avenue that is becoming more explored as traditional therapies continue to fail to provide therapeutic relief to suffering patients. While the effects of CAPE in other cancerous tumors have been shown^{65–68}, our study provides the first evidence of the inhibitory effects of CAPE in cholangiocarcinoma. In addition to modulation of the well-known NF- κ B signaling pathway, we have also shown that CAPE has translational effects by down-regulating the protein expression of NF- κ B family members. This concept has not been shown in cholangiocarcinoma. Targeting the NF- κ B signaling pathway at either the level of protein

expression or its ability to regulate gene transcription could provide important therapeutic answers to a complicated problem.

Acknowledgments

We acknowledge the Texas A&M Health Science Center Microscopy Imaging Center for assistance with the confocal microscopy imaging.

The study was supported by the Dr. Nicholas C. Hightower Centennial Chair of Gastroenterology from Scott & White, the VA Research Scholar Award and a VA Merit Award and the NIH grants DK054811, DK062975 and DK76898 to Dr. Alpini, an NIH K01 grant award (DK078532) to Dr. DeMorrow and a grant award from Health and Labor Sciences Research Grants for the Research on Measures for Intractable Diseases (from the Ministry of Health, Labor and Welfare of Japan), from a Grant-in-Aid for Scientific Research C (19590744) from JSPS to Dr. Ueno and by University funds to Dr. Onori and PRIN 2007 and Federate Athenaeum funds from University of Rome "La Sapienza" to Prof. Gaudio.

Abbreviations

Bax	Bcl2- associated X protein
Bcl-2	B-cell lymphoma 2
BrdU	bromodeoxyuridine
BSA	bovine serum albumin
CA	caffeic acid
CAPE	caffeic acid phenethyl ester
CCH	cholangiocarcinoma
CK-7	cytokeratin-7
DTT	1,4-Dithio-DL-threitol dithiothreitol
DMSO	dimethyl sulfoxide
EDTA	ethylene diamine tetraacetic acid
EMSA	electrophoretic mobility shift assay
HEPES	(4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid)
MTS	3- (4, 5- dimethyl thiazol - 2- yl) -5- (3- carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H -tetrazolium
NF-κB	nuclear factor kappa beta
PBS	phosphate buffered saline
PCNA	proliferating cell nuclear antigen
PMSF	phenylmethanesulphonylfluoride
PSC	primary sclerosing cholangitis
TUNEL	terminal deoxynucleotide transferase end labeling

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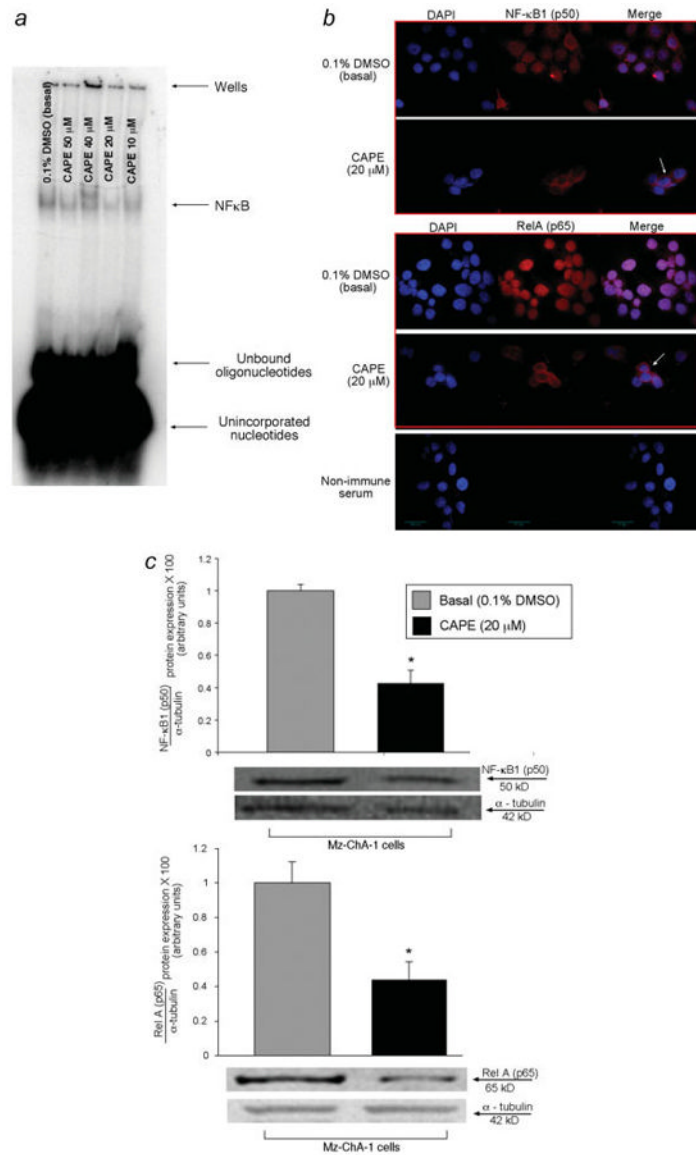


Figure 1. [a] CAPE inhibited NF- κ B DNA-binding activity in Mz-ChA-1 cells at 20, 40 and 50 μ M compared to the corresponding basal treatment. A representative radiograph is shown. [b] Immunofluorescence staining for NF- κ B1 (p50) and RelA (p65) reveals that positive staining appears to be nuclear and cytoplasmic and is decreased in Mz-ChA-1 cells stimulated with CAPE compared to DMSO- stimulated cells. NF- κ B1 (p50) and RelA (p65) expression is seen in red with nuclear counterstain seen in blue (DAPI) (Figure 1b). Original magnification for immunofluorescence is $\times 60$. [c] Immunoblotting analysis demonstrates that CAPE inhibits the expression of the NF- κ B family members, NF- κ B1 (p50) and RelA (p65) compared to basal levels. Representative blots are shown for the expression of p50 and p65 (Figure 1c). Alpha-tubulin levels were unchanged in both DMSO and CAPE stimulated cells. Data are \pm SEM of 6 experiments. * $p < 0.05$ compared to basal (0.1% DMSO).

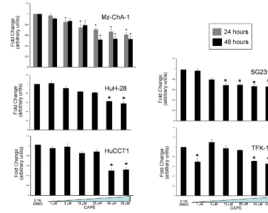


Figure 2.

MTS assay for Mz-ChA-1 cells shows the time (24 and 48 hours) and dose (0–50 μM) dependent effects of CAPE on the growth of cholangiocarcinoma. Figure 2 shows a significant decrease in Mz-ChA-1 growth at both 24 and 48 hours at 20, 40 and 50 μM . By MTS assay, CAPE significantly decreased the growth of a number of cholangiocarcinoma cell lines similar to that seen in Mz-ChA-1 cells. Graphs shown for other cell lines in Figure 2 represent 48 hours. CAPE had no effect on H69 cells compared to basal levels (data not shown). Data are \pm SEM of 12 experiments. * $p < 0.05$ compared to basal (0.1% DMSO).

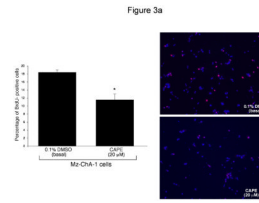


Figure 3b

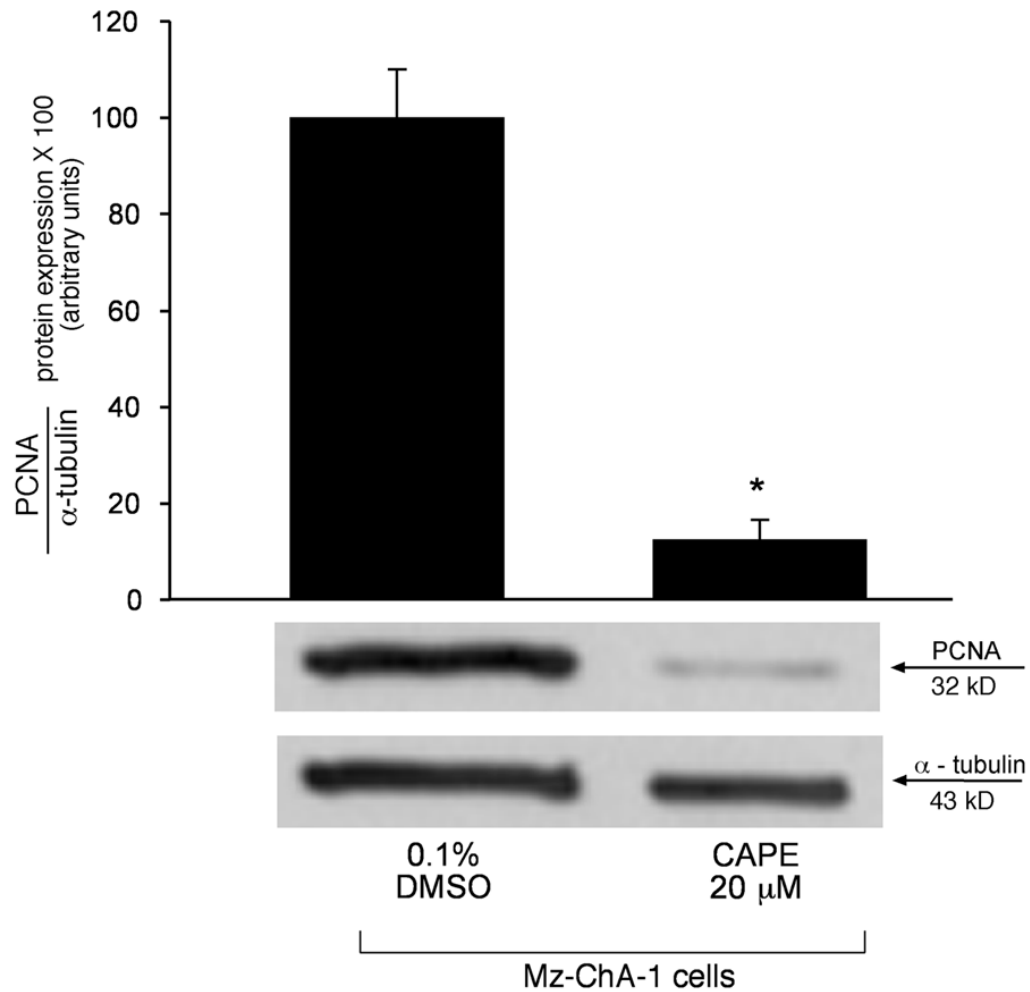


Figure 3.

[a] BrdU incorporation for measuring cell cycle progression in Mz-ChA-1 cells stimulated with 0.1% DMSO (basal) or CAPE (20 μM with 0.1% DMSO) for 48 hours demonstrated that in basal conditions the number of BrdU positive cells was approximately 50%. However, when Mz-ChA-1 cells were stimulated with CAPE, the number of BrdU positive cells decreased to approximately 10% thus decreasing cell cycle progression. The number of BrdU-positive nuclei were counted and expressed as a percentage of total cells in 5 random fields for each treatment group. *p<0.01 compared to basal (0.1% DMSO). [b] PCNA protein expression is markedly decreased by CAPE treatment (20 μM for 48 hours) compared to basal (0.1% DMSO). Alpha-tubulin levels were unchanged between basal- and

CAPE- stimulated cell lysates. Data are \pm SEM of 6 experiments. * $p < 0.05$ compared to basal (0.1% DMSO). A representative blot is shown.

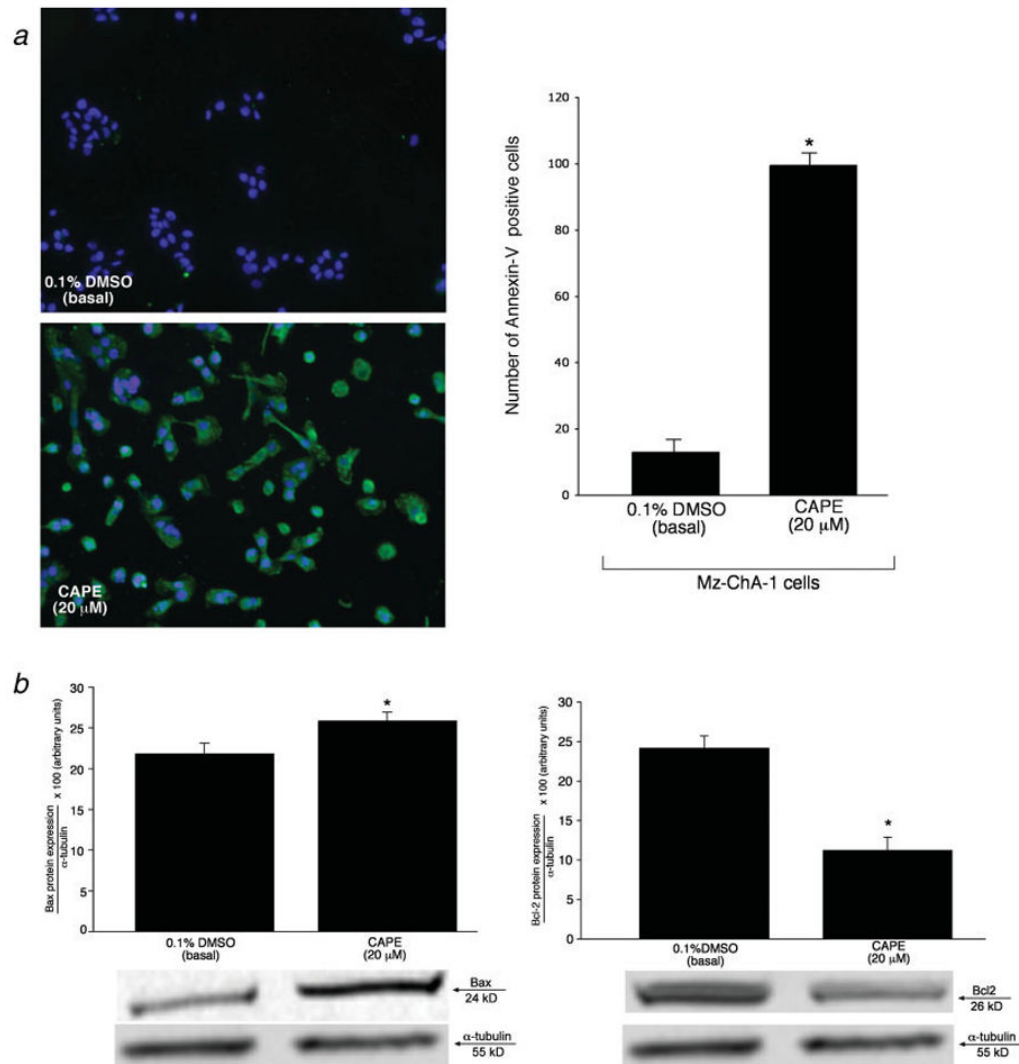
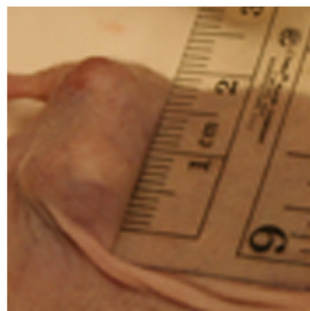
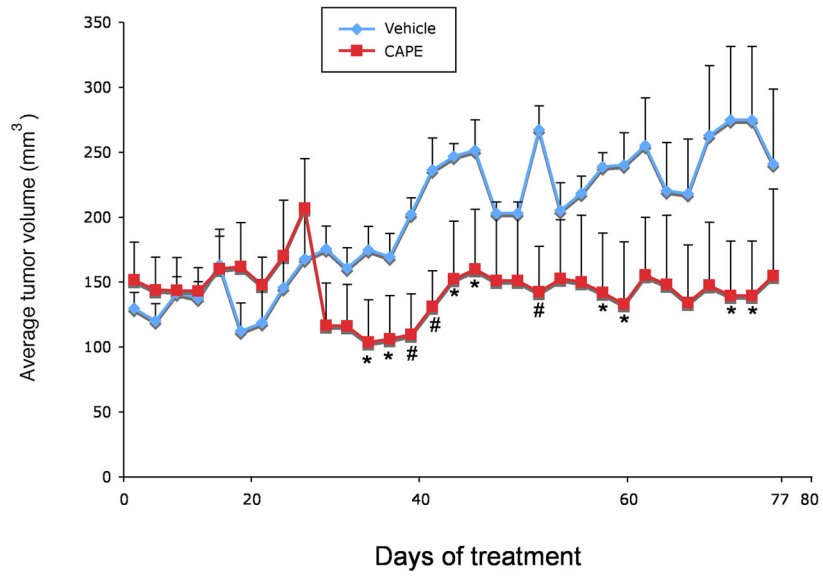


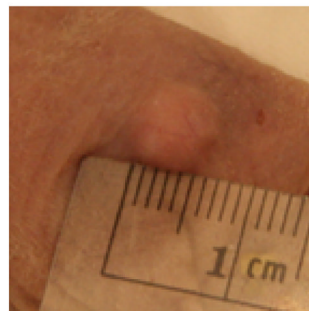
Figure 4.

[a] Evaluation of apoptosis (by Annexin-V staining) in Mz-ChA-1 cells treated with 0.1% DMSO (basal) or CAPE (20 μ M) with 0.1% DMSO. There was an approximate 10% positivity in Annexin-V staining in Mz-ChA-1 cells treated with 0.1% DMSO, whereas when cells were stimulated with CAPE there was almost a 100% positive staining for Annexin-V. The number of Annexin-V-positive cells were counted and expressed as a percentage of total cells in 5 random fields for each treatment group. * $p < 0.01$ compared to the corresponding basal value. [b] Immunoblotting analysis for Bax and Bcl-2 in Mz-ChA-1 cells treated with 0.1% DMSO (basal) or CAPE (20 μ M, 48 hours) with 0.1% DMSO. CAPE induced an increase in Bax and a decrease in Bcl-2 protein expression compared to Mz-ChA-1 cells stimulated with 0.1% DMSO (basal). Alpha-tubulin was used as an internal control and no changes were observed with this gene. Data are \pm SEM of 6 experiments. * $p < 0.05$ compared to basal (0.1% DMSO).

Figure 5a



Vehicle (0.1% DMSO)



CAPE (10 mg/Kg/BW)

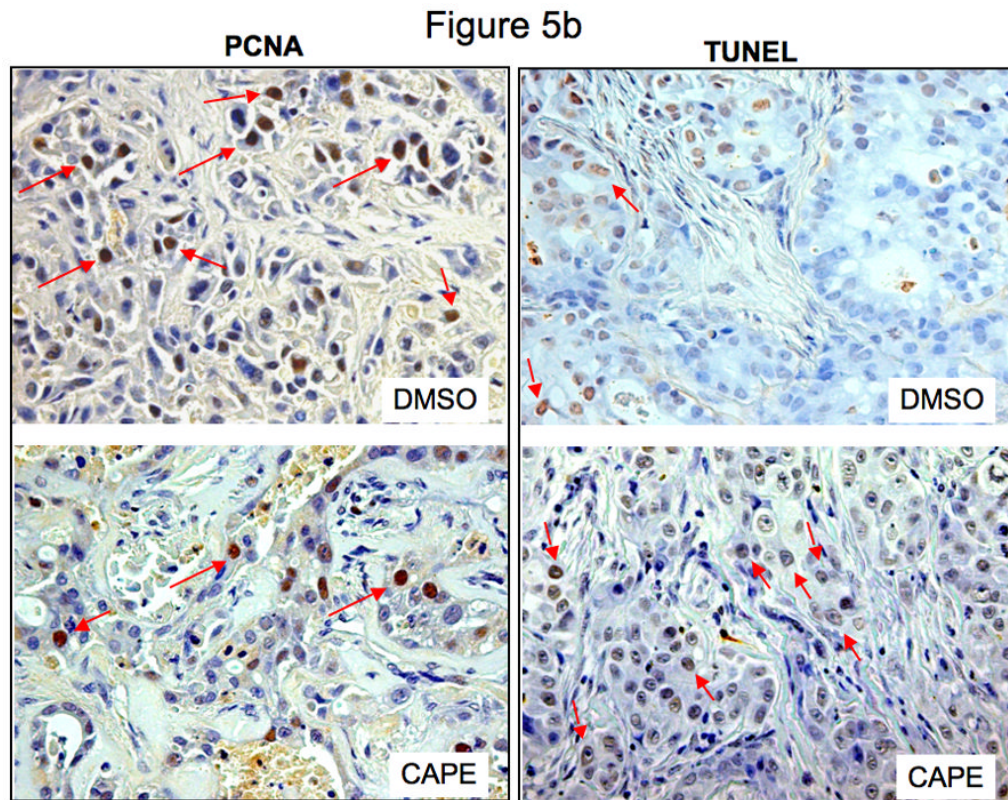


Figure 5.

Effect of chronic administration of CAPE (10 mg/Kg BW with 0.1% DMSO) or vehicle (1:1/DMSO: NaCl) on [a] the growth of Mz-ChA-1 cells subcutaneously implanted into BALB/c nude (nu/nu) mice; the number of malignant cholangiocytes positive for [b] PCNA and TUNEL.

Figure 5a = CAPE decreases tumor growth after chronic treatment compared to vehicle-treated mice. Data shown is average tumor values from 3 mice (6 tumors in total) for each treatment group. At day 77 the cholangiocarcinoma xenograft volume of mice injected with CAPE (10 mg/kg) was 139.49 ± 57.05 versus 274.10 ± 66.49 mm³ for the control mice. Representative images for vehicle- and CAPE- treated tumors are shown in Figure 5a. Points = mean tumor size (mm³) and bars = SE. *p < 0.05 compared to vehicle. **p < 0.01 compared to vehicle.

Figure 5b = Immunohistochemical staining for the number of PCNA- and TUNEL- positive cells in tumors from both DMSO- and CAPE- treated mice. CAPE induced a decrease in the number of PCNA- positive cells coupled with an increase in the amount of TUNEL- positive cells compared to DMSO treatment (see Table 2 for quantitative data). *Orig. magnification* $\times 20$.

Figure 6a

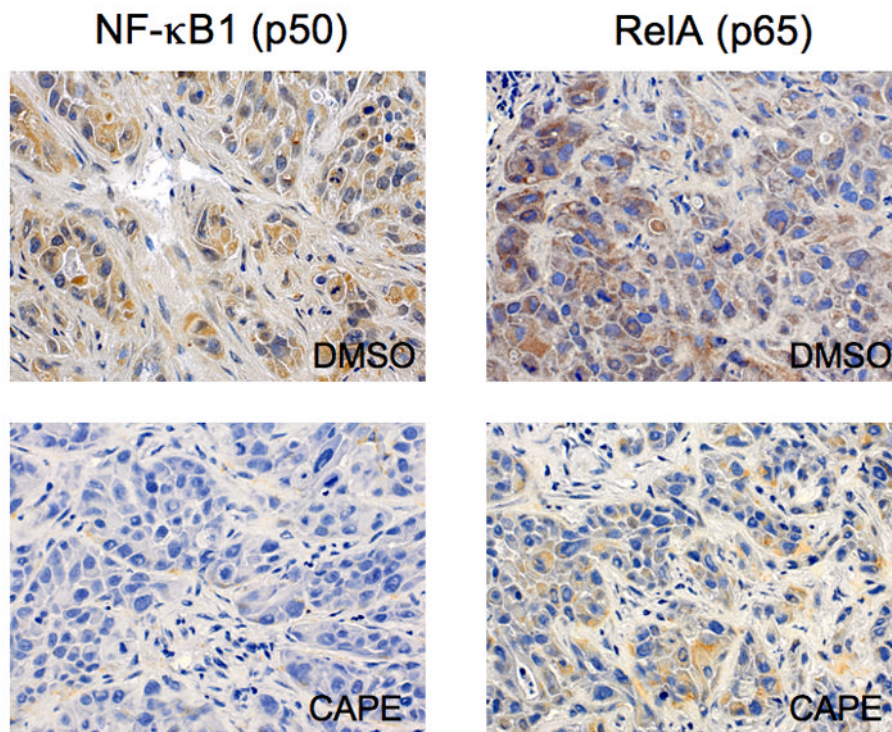


Figure 6b

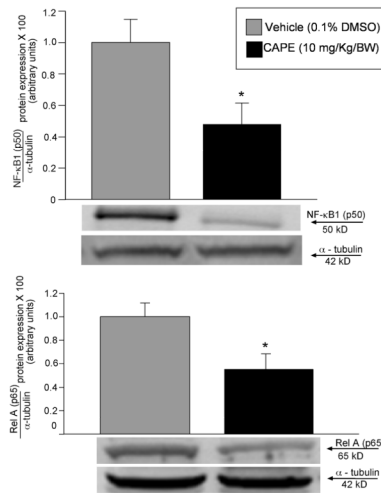


Figure 6.

[a] Evaluation of NF- κ B family members, NF- κ B1 (p50) and RelA (p65) in tumor sections from mice treated with CAPE (10 mg/Kg BW with 0.1% DMSO) or vehicle (1:1/DMSO: NaCl). Immunohistochemical analysis shows that both NF- κ B1 (p50) and RelA (p65) are downregulated after CAPE treatment compared to vehicle. *Orig. magnification* \times 20. **[b]** Immunoblotting analysis for NF- κ B1 (p50) and RelA (p65) in tumor sections from mice treated with CAPE (10 mg/Kg BW with 0.1% DMSO) or vehicle (1:1/DMSO: NaCl). Protein expression was downregulated for both p50 and p65 in CAPE- treated mice samples

compared to vehicle. Alpha-tubulin levels were unchanged in vehicle- and CAPE- treated tumor samples.

Data are \pm SEM of 4 experiments. * $p < 0.05$ compared to basal (0.1% DMSO).

Table 1

Liver weight, body weight and liver to body weight ratio in nude mice treated with vehicle (0.1% DMSO) or CAPE (10 mg/Kg/BW) and tumor latency

Treatment	Liver Weight (gm)	Body Weight (gm)	Liver to Body Weight Ratio (%)	Tumor Latency (days)
Vehicle (0.1% DMSO)	2.25 ± 0.086	32.27 ± .691	6.97 ± 0.28	27 ± 2.09
CAPE (10 mg/Kg/BW)	2.1 ± 0.177	31.5 ± 1.85	6.66 ± 0.72	66 ± 1.13*

Values are mean ± SE of 4 mice per group.

* p < 0.05 compared to vehicle.

Table 2

Quantitative data for the number of PCNA, TUNEL, VEGF-A, VEGF-C, VEGFR-1 and VEGFR-2- positive cells in nude mice treated with vehicle (0.1% DMSO) or CAPE (10 mg/Kg BW)

Parameter	Vehicle (0.1% DMSO)	CAPE (10 mg/Kg BW)
PCNA	30.60 ± 2.46	22.40 ± 2.04*
TUNEL	30.80 ± 3.48	41.00 ± 2.85*
VEGF-A	84.00 ± 2.45	88.00 ± 2.00
VEGF-C	20.00 ± 2.73	23.00 ± 2.61
VEGFR-2	15.00 ± 3.53	19.00 ± 3.31
VEGFR-3	53.00 ± 3.39	51.00 ± 1.87

Values are mean ± SE of 4 mice per group.

* p < 0.05 vs. vehicle.