

Combined transcriptome analysis of fetal human and mouse cerebral cortex exposed to alcohol

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Fetal exposure to environmental insults increases the susceptibility to late-onset neuropsychiatric disorders. Alcohol is listed as one of such prenatal environmental risk factors and known to exert devastating teratogenic effects on the developing brain, leading to complex neurological and psychiatric symptoms observed in fetal alcohol spectrum disorder (FASD). Here, we performed a coordinated transcriptome analysis of human and mouse fetal cerebral cortices exposed to ethanol in vitro and in vivo, respectively. Up- and down-regulated genes conserved in the human and mouse models and the biological annotation of their expression profiles included many genes/terms related to neural development, such as cell proliferation, neuronal migration and differentiation, providing a reliable connection between the two species. Our data indicate that use of the combined rodent and human model systems provides an effective strategy to reveal and analyze gene expression changes inflicted by various physical and chemical environmental exposures during prenatal development. It also can potentially provide insight into the pathogenesis of environmentally caused brain disorders in humans.

cross-species | human fetus | neuronal subtypes | microarray | Notch

Exposure of human fetuses to various physical and chemical environmental factors causes a wide variety of abnormalities with serious health consequences (1). The embryonic central nervous system is highly vulnerable to environmental exposures (EE), as it displays not only overt cytological malformations, but also a covert increase in the susceptibility to late-onset neuropsychiatric disorders (1–4). However, due to ethical, technical, and practical limitations, no pharmacological study has been performed on the developing human fetal cerebrum, which is particularly sensitive to physical and chemical agents due to a much more prolonged period of neurogenesis as well as longer distance neuronal migration and protracted differentiation (5). Magnetic resonance imaging (MRI) and postmortem examinations of the human brain reveal various consequences of fetal EE, but only long after initial exposure to harmful agents.

Most of our knowledge about the cellular and molecular mechanisms of brain damage caused by EE, therefore, has been gained from experimental studies in rodents. However, it is yet to be established to what extent the findings and insights obtained from rodent models apply to the larger, more complex and more slowly developing human brain. To overcome these limitations, we took advantage of recent progress in functional genomics, and performed coordinated cross-species transcriptome analysis with human in vitro (cortical slice culture) and mouse in vivo models of prenatal EE. There are two important expectations in this approach. First, by obtaining the gene expression changes caused by prenatal EE in both species (i.e., human in vitro and mouse in vivo models), we can enhance statistical power with a limited number of human experimental samples, while reducing the risk of picking up biologically irrelevant changes introduced by in vitro procedure in the human model. Second, subsequent wet analyses to further evaluate the biological meanings of obtained gene lists can take advantage of both models (e.g., direct relevance to human diseases in the human model and transgenic approaches in the mouse model).

We selected ethanol, the most common nongenetic contributor to the pathogenesis of various neurological dysfunctions, as a model prenatal stressor. Prenatal exposure to ethanol is known also as a risk factor for various cognitive dysfunctions (6–8), including a complex syndrome known as fetal alcohol spectrum disorder (FASD). In addition, ethanol has a technical advantage as a powerful pharmacological agent, which can mask subtle alterations of gene expression caused by heterogeneous genetic backgrounds among human samples. Above all, there is a comprehensive list of experimental literature on the effects of ethanol on the rodent brain (9–15).

The mouse cerebral cortex is highly vulnerable to ethanol exposure in the midgestational period, which is associated with extensive neurogenesis and neuronal migration to the cerebral cortex (9–15). A comparable stage in the human cerebral cortex is in the early midgestational period during which a large number of neurons migrate the longest distance to the superficial cortical layers (16, 17). This period in human is also the most vulnerable for the development of mental dysfunctions in FASD (18). Thus, our study has been focused on human cortical development between 15 and 18 gestational weeks (GW) and between mouse embryonic days (E) 14 and 16.

Results

Cross-Species Screening of Differentially Expressed Genes. Total RNAs were isolated from human cortical slices cultured in a medium containing ethanol or PBS (control), and the cortical tissues of mouse embryos from dams injected with ethanol or PBS (control) (Fig. 1*A* and *B*). Isolated RNAs were hybridized to Affymetrix GeneChip Human Genome U133 Plus 2.0 and Mouse Genome 430 2.0, respectively. We first compared several ranking methods that are known for their superior performance with robust multichip average (RMA) preprocessed data (19). We obtained the area under the receiver operating characteristic (ROC) curve (AUC) (Fig. 1*C*) for each ranking method with our midgestation fetal alcohol exposure (mFAE) vs. control data and found that the weighted average difference (WAD) method outperformed other methods for both human and mouse array data. Thus, in our study, we used the WAD for initial screening of differentially expressed genes.

In the cross-species screening, we first matched human and mouse probe set IDs by using annotation tools (20). As shown in Fig. 1*D*, 77% of the mouse probe sets matched the orthologous human probe sets. Subsequently, the cross-species conserved differentially expressed genes (CDEG), i.e., the genes with the expressions that significantly changed by mFAE in both human

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Data deposition: The sequence reported in this paper has been deposited in the GenBank database (accession no. [GSE23579](https://www.ncbi.nlm.nih.gov/nuclseq/GSE23579)).

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Table 2. Biological pathways enriched (uncorrected $P < 0.05$) in both human and mouse mFAE models were identified by Panther using human gene IDs

Pathway	P value
PI3 kinase pathway	0.00294
Corticotropin releasing factor receptor signaling pathway	0.00383
Wnt signaling pathway	0.00408
Apoptosis signaling pathway	0.0047
VEGF signaling pathway	0.00528
Angiogenesis	0.00608
EGF receptor signaling pathway	0.0101
Histamine H1 receptor-mediated signaling pathway	0.0106
Endothelin signaling pathway	0.0134
Glycolysis	0.0164
Notch signaling pathway	0.0185
Parkinson disease	0.0189
Histamine H2 receptor-mediated signaling pathway	0.0198
β 3 adrenergic receptor signaling pathway	0.0198
Angiotensin II-stimulated signaling through G proteins and β -arrestin	0.021
T-cell activation	0.023
Fructose galactose metabolism	0.0234
B-cell activation	0.028
5HT4 type receptor-mediated signaling pathway	0.0297
TSH-releasing hormone receptor signaling pathway	0.0327
TGF- β signaling pathway	0.0332
PDGF signaling pathway	0.034
Ras pathway	0.0343
Oxytocin receptor-mediated signaling pathway	0.0344
Muscarinic acetylcholine receptor 1 and 3 signaling pathway	0.0344
Enkephalin release	0.0367
Interleukin signaling pathway	0.0387
Circadian clock system	0.0396
p53 pathway feedback loops 2	0.0416
GABA-B_receptor_IL_signaling	0.0501

The enrichment score was generated using the binomial test. Analysis using mouse gene IDs also generated a list including the same pathways with slightly different ranking.

the human and mouse cortex by immunoblot analysis. Similarly, reduction of Neuropilin1, which is required for neuronal migration and axon guidance (29), was confirmed in the mouse cortex by immunohistochemistry (Fig. S3). Thus, it is likely that neuronal migration is one of the vulnerable processes to mFAE in cortical development.

The affected genes/pathways also included those that are shared among a broad range of tissues and cell types. Of particular note, multiple probe sets of HSPA1A/1B (HSP70) and DNAJC15 (HSP40), members of heat shock proteins (HSPs), were ranked within the top 15 up-regulated genes (Table 1). Similarly, previous report that Heat Shock Factor1, a transcriptional regulator of HSPs, is activated in dissociated cortical neurons cultured with ethanol (30). HSPs are involved in a cellular defense pathway for promoting cell survival in response to various stress exposure, and our result suggests their involvement in cellular response to mFAE.

Increase of Notch and Wnt Signaling Activities by mFAE in the Cortex.

Wnt and Notch signaling pathways that play critical roles in regulating the proliferation and fate of neural stem cells (NSCs) (31, 32, 33) were also affected by mFAE (Table 2 and Fig. S2D).

We further examined whether the terminal outputs of these signaling pathways are altered in vivo as a result of mFAE using Wnt (BAT-LacZ and Fos-LacZ) and Notch (Cp-GFP) reporter transgenic mouse lines. Flow cytometric analysis revealed that the percentages of reporter-expressing cells in Nestin⁺ NSCs were significantly increased in the cerebral cortex of both mouse lines at E16 (Fig. 2 and Fig. S4B), suggesting that the activation levels of canonical Wnt and Notch signaling in cortical NSCs are increased by mFAE.

Disturbance of Molecular Identities of Cortical Neuronal Subtypes by mFAE. Among about 80 genes that are generally recognized to be expressed in layer- and/or area-specific neuronal subtypes in the developing neocortex (34–36), 18 genes (~20%) were enriched in the up- or down-regulated gene lists. Strikingly, 14 of them (~80%) including the 5 genes in the top 15 genes, *SATB2*, *BHLHB5*, *ID2*, *NR4A3*, and *FOXPI*, were all in the down-regulated gene list (Table 1 and Fig. 3A). Because many of these genes are known to play roles in the specification of cortical neuronal subtypes (37–40), our results suggest that reduction of their expression by mFAE might disturb the morphological and functional identities of specific neuronal subtypes. Immunoblot analysis showed less prominent but significant reduction of these gene products by mFAE in the entire cerebral cortex at E16. Immunohistochemistry, however, could not clearly discern the differences of their expression levels.

As an alternative method, we used flow cytometric analysis that enables unbiased counting of cells with combinatorial expression of these genes in the entire cortex. *Satb2*, *Pou3f2*, *Bhlhb5*, *Foxp1*, *Bcl11b*, and *Crym* were selected for the analysis from the enriched down-regulated gene list, on the basis of our immunolabeling results, which were consistent with the expression patterns available in public in situ hybridization databases (Fig. S5A, <http://www.ncbi.nlm.nih.gov/projects/gensat/>, <http://www.brain-map.org/>). After confirming the specificity of immunolabeling (Fig. S5B), we performed flow cytometric analysis of cortical cells dissociated from the control- and ethanol-exposed embryos at E16. As expected, the numbers of cells that express the enriched genes were all decreased by mFAE (Fig. S5C). We selected layer-specific neuronal markers, *Cux1* and *Lhx2* (upper layers) and *Foxp2* (lower layers) from the nonenriched gene list as the reference and analyzed the ratios of cells expressing the enriched genes to those expressing the reference genes. The ratios were significantly altered by mFAE. This analysis cannot distinguish whether the alteration is a result of altered number of cells or altered amount of transcript per cell. We also cannot completely exclude the possibility that the expression of reference gene is altered in inverse proportion to the possible alteration of the number of neurons within each layer, although it is

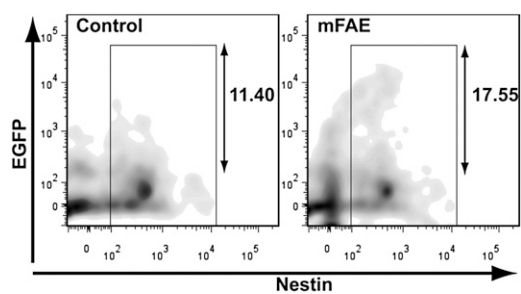


Fig. 2. Notch reporter analysis in the ethanol-exposed embryonic cortex. Flow cytometric analysis of the Notch signaling reporter activity in vivo. Cp-EGFP reporter transgenic mouse embryos were subjected to ethanol or PBS (control), and the numbers of EGFP⁺ and Nestin⁺ cortical cells were analyzed. The red numbers indicate the percentage of EGFP⁺ cells (in the range shown by two-headed arrows) in the total Nestin⁺ cells (within the boxed area). The percentage is increased by mFAE. Mean increase compared with the control is $30 \pm 4.8\%$ (SEM) ($n = 16$, $P = 0.008$ by t test).

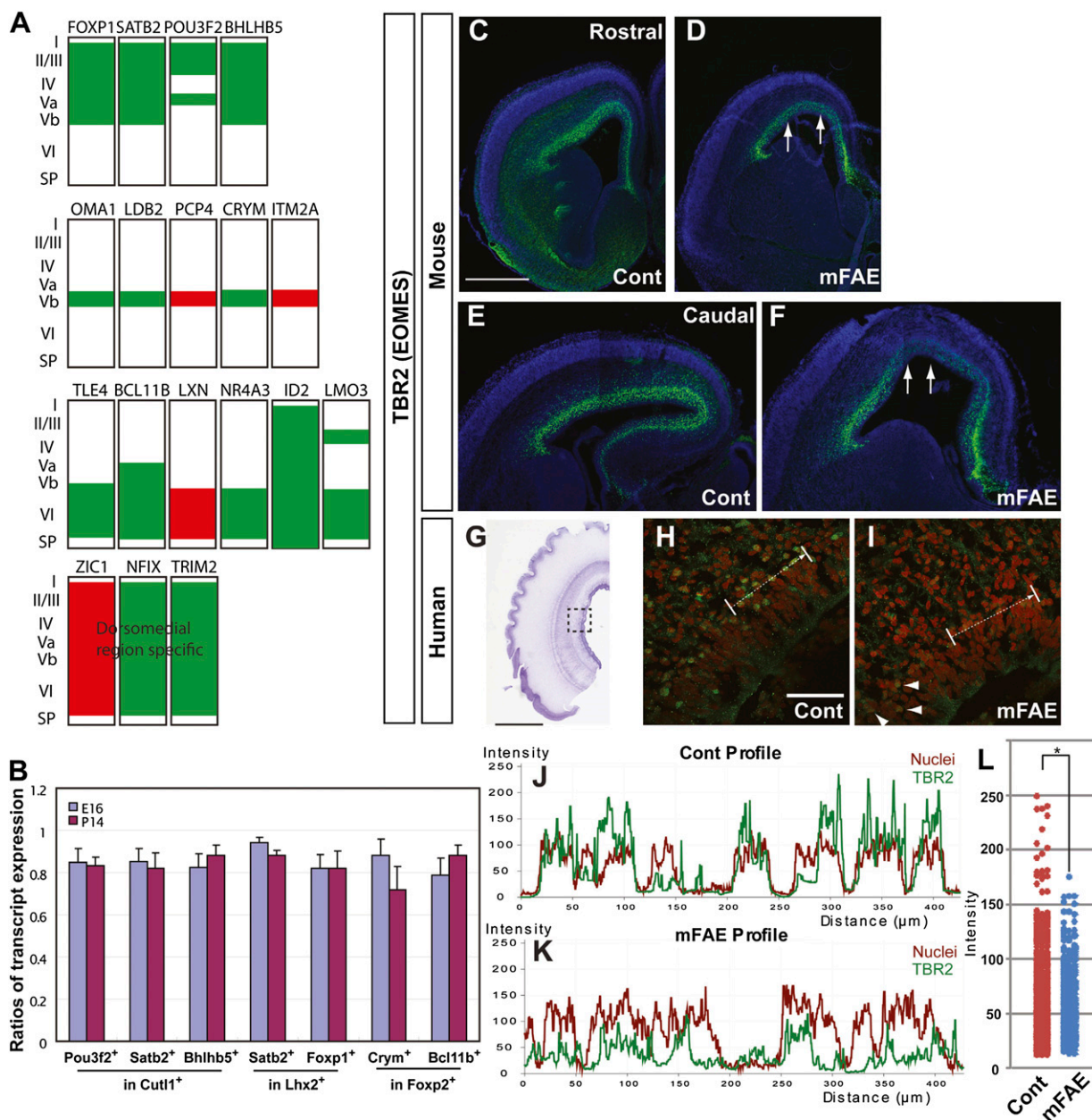


Fig. 3. Molecular identities of neuronal subtypes were altered by mFAE. (**A**) Schematic diagrams showing up- (red) and down-regulation (green) of cortical layer and/or region-specific genes in the embryos exposed to ethanol. Expression patterns of the markers were depicted on the basis of previous reports (32–35). (**B**) The fractions of cells expressing the enriched (as altered expression by mFAE in microarray analysis) genes in cells expressing the reference nonenriched genes were calculated using the data obtained by flow cytometric analysis (Fig. S5C). The ratios of the corresponding fractions in mFAE vs. control-treated samples (set to 1.0) were presented for E16 and P14 (the mean \pm SEM from the experiments using four dams per condition). $P < 0.05$ by Mann–Whitney u test. (**C–I**) Tbr2 immunohistochemistry (green) in the control (Cont) and ethanol-exposed E16 mouse (**C–F**) and GW17 human cortex (**H** and **I**). The nuclei were counterstained with DAPI (4',6-diamidino-2-phenylindole) (blue or red). Arrows in **D** and **F** indicate the regions that contain cells with weaker Tbr2 expression in rostral (**C** and **D**) and caudal (**E** and **F**) cortical domains. The dotted square in the thionin-stained human cortex (**G**) depicts the region presented at higher magnification in **H** and **I**. The wavy pial surface is an artifact introduced during the staining process. Arrows in **H** and **I** indicate the domain used to calculate the intensity of immunostaining shown in **J** and **K**. (**J** and **K**) Decreased intensity of TBR2 immunolabeling (green lines) concomitant with increased intensity of nuclear labeling (red lines) in neural precursors that show irregular distribution in the ethanol-exposed human cortex. (**L**) Dot plots of TBR2 labeling intensity in human samples. The labeling intensity was measured every 0.2 μm along the total length of 200 μm . $P = 0.002$ by Mann–Whitney u test. $n = 8/8$ mouse embryos from four dams, and 8/8 cultured slices from four human fetuses. Arrowheads in **I** indicate the cells showing relatively normal intensity of TBR2 immunolabeling in the region adjacent to the affected region. [Scale bars, 2 mm (**C–F**), 20 mm (**G**), and 0.2 mm (**H** and **I**).

not very likely. Nevertheless, the results suggest that the molecular identities of some neuronal subtypes in each cortical layer are disturbed by mFAE (Fig. 3B and Fig. S5C). Importantly, the analysis at P14 revealed that the discordance of molecular identities of neurons is not temporal, but persists long after mFAE (Fig. 3B and Fig. S5C). Another down-regulated gene in

the enriched gene list was *TBR2* (*EOMES*) (Dataset S1). The decrease of TBR2 expression by mFAE was consistently detectable by immunohistochemistry in both the human and mouse embryonic cortex (Fig. 3C–L). As it is suggested that *Tbr2* controls the production of upper cortical layer neurons (41, however, see ref. 42), its reduction by mFAE might contribute to

the decrease of cells that express specific subtype markers in the upper layers.

Discussion

Before discussing the value of the combined rodent–human transcriptome analysis as a model system to study the response of the developing brain to EE, it is important to consider some constraints and technical issues involved in this approach.

One of the major problems in gene expression analysis is the discrepancy between mathematical and biological recognition of differential expression; i.e., mathematical tests permit the genes with arbitrarily small expression levels, regardless of biological meaningfulness (19, 43). The WAD metric considers both the average gene expression difference and relative average signal intensity, and gives higher ranks to the genes that are highly expressed on average over different conditions. Therefore, the WAD has a preference to provide biologically more significant sets of genes. Because potential biomarker genes generally have higher expression levels, this is useful for screening of biomarker-type genes expressed in tissues that contain cells with heterogeneous molecular properties, such as the cerebral cortex, as well as in tissues from heterogeneous genetic backgrounds, such as human specimens, because tiny expression changes due to the differences of cell contents and genetic backgrounds can be masked. The WAD does not take the variability of the datasets into account, unlike other traditional methods. Nevertheless, consistent with previous studies (19, 43), the WAD outperformed other methods with the greatest reproducibility and sensitivity as indicated by AUC in our study (Fig. 1), which had a limitation in the availability of pharmacologically treated human fetal tissues. Although cross-species transcriptome analysis using the WAD sacrifices the identification of genes with very low expression levels and genes that are secondarily affected by mFAE-induced damages in other tissues/organs (because of inclusion of an *in vitro* slice culture model), our study demonstrated that it serves as a powerful method with the use of human fetal tissues.

In the process of cross-species matching of probe sets, orthologous human probe sets were missing for 23% of mouse probe sets. Although this is partly because the orthologous genes do not exist in the human genome, the main reason for this is lack of corresponding probe sets of mouse genes on the human microarray. Nevertheless, the functional enrichment analysis using the Panther database allowed us to effectively identify the signaling pathways that are potential targets of mFAE. For example, although canonical target genes of Notch and Wnt signaling pathways, such as *HES* and *AXIN*, were not listed as CDEG partly because of the reason described above, we were able to identify these two signaling pathways as vulnerable targets of mFAE using Panther (Table 2).

Wnt signaling has been listed as an enriched pathway in the transcriptome analysis of the mouse headfold exposed to ethanol at earlier developmental stages, although whether its activity is increased or decreased is unknown (44). Our *in vivo* reporter assays revealed the overall increase of Wnt and Notch signaling activities in the cerebral cortex by mFAE (Fig. 2 and Fig. S4). This agrees with previous reports showing the effect of alcohol to maintain the self-renewal state of NSCs and increase gliogenesis concomitant with the decrease of neurogenesis (10, 31, 32, 45, 46), as all these effects are controlled by Wnt and/or Notch signaling activation (31, 32, 47). Reduced expression of TBR2, a marker for intermediate neuronal progenitors, by mFAE (Fig. 3), is also consistent with these results. A recent study using neurosphere cultures of the mouse fetal cortex reported an increase of Notch ligand *Jagged-1* mRNA and the rate of NSC proliferation by the knockdown of specific micro-RNAs whose expression is suppressed by ethanol exposure (14). This mechanism might contribute to the increase of Notch signaling activity by mFAE. Regarding Wnt signaling, *ZIC1* was listed as highly up-regulated CDEG (Table 1). Because *Zic1* is a positive regulator of Wnt signaling and is suggested to function in the maintenance of neural precursor cells in an undifferentiated

state (48), its up-regulation might underlie the increase of Wnt signaling activity by mFAE.

Our results revealed significant enrichment of the genes that are expressed in specific neuronal subtypes in the CDEG list. This indicates the disturbance of molecular identities of cortical neuronal subtypes by mFAE. Notably, the disturbed molecular identities were retained postnatally (Fig. 3B and Fig. S5C). Such persistent molecular disturbance can lead to morphological and functional defects of cortical neurons and might contribute to the neurological and psychiatric impairments in FASD patients, as well as people who were prenatally exposed to alcohol but were not diagnosed with FASD due to the lack of obvious anatomical abnormalities (8). Our study showed that most of these genes were down-regulated by mFAE (Fig. 3). Among them, *SATB2* has a critical role in the specification of callosal projection neurons (39, 40) and *ZEB2*, when mutated, is known to cause Mowat-Wilson syndrome, which shows corpus callosum agenesis (37). Thus, the decrease of these genes might be responsible for the agenesis of corpus callosum in FASD subjects (49).

Several mechanisms are possibly involved in the alteration of molecular identities of neuronal subtypes by mFAE. Persistent transcriptional inhibition of specific genes via epigenetic genomic modification such as DNA methylation by alcohol exposure is one possible mechanism (50–52). Compromised production of some subtypes of neurons also might be involved. This is supported by finding of reduced TBR2 (Fig. 3), which presumably controls the production of upper layer neurons (41). The disruption of molecular identity in lower layers is unlikely due to altered neuronal production, because most lower layer neurons are produced before the embryos were exposed to ethanol at E14. Differential vulnerability of various neuronal subtypes to alcohol stress might be another mechanism (12, 53).

Collectively, the biological functions of identified genes/pathways/biological events as affected by mFAE well corresponded to a wide range of pathological features of FASD (54) as well as to the data obtained from animal models of ethanol's impact on brain development. Further analysis is required to reveal whether and how the altered gene regulation elicits phenotypes at the cellular level. A detailed future analysis at different time points and ethanol concentrations is also important for more faithful reproduction of ethanol's action in the pathogenesis of human FASD. Using ethanol as a model pharmacological agent, our study effectively identified significant initial pathological events that bridge the phenotypes in human diseases and the experimental data in animal models. The approach described here provides a framework for facilitating the findings of crucial biological pathways/signaling that are vulnerable to EE during human fetal development. Its broader application to chemical, biological, and physical stresses may help to decipher global molecular disturbances in environmentally induced human diseases.

Materials and Methods

Animal and Tissue Culture Models. The embryonic human brain tissues were obtained from legal interruption of pregnancies. For the present study, we used six GW15-18 embryonic cortices that were obtained from the Human Fetal Tissue Repository at Albert Einstein College of Medicine. The research protocol was approved by the Yale Human Investigation Committee. All samples did not have medical histories of alcohol exposure during pregnancy. The tissues were stored in L-15 Leibovitz medium on ice and were cut at 300 μ m using a tissue chopper within 3 h after the surgery. As a common problem in obtaining human fetal tissues, two brain samples out of six indicated the trace of damages as assessed by a large number of pyknotic cells and poor RNA integrity number (RIN) (Fig. S6). Because the medical histories displayed no linkage to abnormal and complicated phenotypic/functional defects of the fetuses, the damages were likely due to complications of the surgery. The remaining four samples that we used for the study all showed normal nuclear labeling as well as low level expressions of a cellular stress-inducible gene (*HSP70*) and an immediate early response gene (*FOS*) (Fig. S6). Each cortical slice was cultured on a membrane floating on the neurobasal medium containing 235 mg/dL ethanol or PBS (control) with supplements for 24 h in a 6-well tissue culture plate with a low evaporation lid

(353046; Falcon). Sequential slices were put alternately into the plates containing exclusively either the ethanol-containing or control culture medium.

The pregnant mice were handled according to the protocols approved by the Institutional Animal Care and Use Committee of Yale University School of Medicine. BAT-LacZ, FOS-LacZ, and Cp-GFP transgenic mice were purchased from The Jackson Laboratory (54–56) Wild-type CD-1 pregnant mice were purchased from Charles River. The pregnant mice were intraperitoneally injected with 25% ethanol (in PBS, final 2.0 g/kg weight) or PBS (control) once a day from E14 to E16. Three hours after the last injection, embryonic cortices were collected for analysis. No obvious effects of alcohol exposure were observed in gross morphology of the brains, except that very few embryos showed local cell migration defects in the cerebral cortex at E16 (3/28, control 0/33).

Cross-Species WAD Analysis and AUC Metric Analysis. Microarray data were preprocessed using standard RMA algorithm in Partek Genomics Suite (Partek GS; Partek). Differential gene expression was analyzed using the WAD available as an R script (19) as well as other conventional methods for

comparison. The CDEG across human and mouse were obtained using an R package, annotationTool (20) with HomoloGene (<http://www.ncbi.nlm.nih.gov/homologene>) and Ensembl (<http://www.ensembl.org/index.html>) databases. AUCs were obtained with the qRT-PCR data of 14 genes for each species (*SI Materials and Methods*) (18). All of the R scripts used in this work are available upon request.

CEL files used for this study are available at GEO (<http://www.ncbi.nlm.nih.gov/geo/>, accession no. GSE23579).

Additional details are available in *SI Materials and Methods*.

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