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# **Genetic and epigenetic analysis of erbB signaling pathway**

# **genes in lung cancer**

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# **Abstract**

Prognosis for patients with non-small cell lung cancer (NSCLC) is poor. The potential value of modulating EGFR for treatment is reflected by the recent approval of specific drugs that inhibit its activity. Mutations in EGFR were reported in lung cancer and generated interest, once they enable the identification of lung cancers likely to respond to various targeted small molecules.

We tested 3 key genetic and epigenetic alterations (EGFR, RASSF1A, and BRAF) of this pathway on a series of primary NSCLC [Total 111; adenocarcinoma 49, squamous cell carcinoma (SCC) 48 and others 14]. The mutational status of KRAS (and p53) was known for these samples. The purpose of this study was to define the pattern of erbB pathway alterations in NSCLC and to test for associations with clinical parameters.

Five EGFR mutations were identified: 3 in adenocarcinoma (6 %), 1 in SCC (2%) and 1 in adenocarcinoma with bronchoalveolar component tumor (7%). EGFR mutations included 3 inframe deletions in exon 19 and 2 point mutations in exon 21. Promoter methylation of RASSF1A was detected in 25 of 45 adenocarcinomas and 18 of 46 SCC. Mutations of EGFR, BRAF and KRAS in adenocarcinoma were mutually exclusive and inversely correlated with RASSF1A methylation ( $p = -0.394$ ; p=0.007). Overall, genetic and/or epigenetic alterations of erbB pathway genes were detected in 80% (39/49) of adenocarcinomas.

Nearly half of primary adenocarcinoma harbor molecular alterations of the erbB pathway. Careful characterization of these alterations and response to anti-EGFR therapies is warranted to determine better and accurate determinants of clinical response.

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#### **Keywords**

EGFR mutation; DNA methylation/epigenetics; RASSF1A

# **INTRODUCTION**

Approximately 1 million new cases of lung cancer are diagnosed each year worldwide, resulting in more than  $900,000$  deaths<sup>1</sup>. Of these, approximately 220,000 new cases and 160,000 deaths occur annually in the United States<sup>2</sup>. The survival rates for lung cancers have changed little over the past two decades. A major factor in the high mortality of lung cancer patients is the presence of metastatic tumors in approximately two-thirds of patients at the time of diagnosis<sup>3</sup> and no curative therapies exist for metastatic disease. Precise molecular information is required to the development of individualized therapeutic intervention for each tumor type.

The erbB pathway involves a family of tyrosine kinases (EGFR, HER2, etc.) and has contributed to resistance to radiation and chemotherapy in many tumor types<sup>4</sup>. After binding of several specific ligands, EGFR dimerizes and phosphorylates several tyrosine residues<sup>5</sup>. These phosphorylated tyrosines serve as the binding sites for several signal transducers that initiate multiple signaling pathways including the RAS-RAF-MEK-ERK pathway<sup>5</sup>. Oncogenic mutations in the RAS signaling pathway are found in the majority of human cancer. K-RAS leads to signaling of at least three different pathways<sup>6</sup>. In a recent genomic scale mutational analysis of genes encoding elements of RAS signal transduction pathways, activating mutations of BRAF were identified in several common human cancers, including melanoma, colon cancer, and others<sup>7</sup>. Interestingly, mutations of KRAS and BRAF were mutually exclusive in tumor types in which both occur, suggesting that KRAS and BRAF provide an equivalent or at least redundant oncogenic stimulus in cancer pathogenesis<sup>8</sup>. The RASSF1A gene is a candidate tumor suppressor gene at 3p21.3, and resides in one of the most common regions showing loss of heterozygocity in lung cancer<sup>9</sup>. RASSF1A is inactivated by the hypermethylation of CpG islands in many human cancers, including nasopharyngeal cancer, ovarian cancer, thyroid cancer, colorectal cancer, breast cancer and lung cancer<sup> $6, 9-15$ </sup>. The presence of a RAS association domain suggests that RASSF1A may be involved in the RAS signaling pathway. Furthermore, it was reported that RASSF1A binds RAS, and its expression induces apoptosis<sup>16–18</sup>.

Promising characteristics of the EGFR as a molecular target for cancer therapy have prompted an extensive drug development effort to design pharmacologic inhibitors of EGFR signaling. Two first reports<sup>19, 20</sup> described somatically acquired mutations in the tyrosine kinase domain of the EGFR that predict for clinical response to gefitinib. In addition, these mutations appeared more frequently in adenocarcinoma, women, non-smokers, and in Asians. In vitro studies demonstrated that the mutant EGFRs retained response to ligand and showed increased sensitivity to gefinitib.

In an effort to identify more comprehensively the genetic and epigenetic alterations of erbB signalling, we investigated 3 key members (EGFR, RASSF1A, and BRAF) of this pathway in a series of NSCLC for which the mutational status of p53 and KRAS had previously been determined<sup>21, 22</sup>. The objective was to define the pattern of the frequency of these alterations in NSCLC and establish whether any associations exist among these alterations and common pathologic subtypes of lung cancer.

## **MATERIALS AND METHODS**

#### **Patients**

A total of 111 patients undergoing surgical resection of a primary NSCLC at The Johns Hopkins Hospital, the Johns Hopkins Bayview Medical Center, or the Medical College of Wisconsin/ Froedtert Memorial Hospital were included in this study. Among these specimens, 49 were adenocarcinoma (including those with bronchoalveolar components n=12), 48 were squamous cell carcinoma (SCC) and 14 were others including large cell carcinoma, adenosquamous and tumor classified as poorly differentiated non-small cell lung cancer.

The clinicopathological characteristics of these patients are summarized in Table 1. All samples were obtained as anonymized material under approval from the Johns Hopkins University Institutional Review Board.

#### **DNA extraction**

H&E-stained sections were histologically examined at every 20 sections for the presence or absence of tumor cells, as well as for tumor density. Only sections that showed more than 70% of tumor cells were used for DNA extraction and microdisected tissues were digested with 1% SDS and 50μg/ml proteinase K (Boehringer Mannheim, Germany) at 48°C overnight, followed by phenol/chloroform extraction and ethanol precipitation of DNA as previously described<sup>23</sup>.

#### **EGFR and BRAF mutation analysis**

EGFR mutations were analyzed in exons 18, 19 and 21. These exons were chosen because most of the reported EGFR mutations occurred at these regions. PCR was performed with 5– 10 ng of genomic DNA as a template by using the same PCR primers as reported previously<sup>20</sup>. PCR conditions are as follows:  $95^{\circ}$ C for 5 min, followed by 35–38 cycles of 95°C for 1 min, 55°C 1 min and 72°C for 1 min. The PCR products were gel purified using a Qiagen PCR product purification kit (Qiagen, Valencia, CA), and the purified PCR products were sequenced with Bigdye Terminator Cycle sequencing Ready Reaction kits (PE Applied Biosystems, Foster City, CA), all according to the manufacturer's instructions. Sequencing was performed in both directions using the forward and reverse PCR primers. The purified products were run on an ABI 310 PRISM Genetic Analyzer (PE Applied Biosystems). The data were collected and analyzed using the Applied Biosystems sequencing analysis software. BRAF mutations were analyzed in exon 15 using the Trimgen Kit as described previously<sup>24</sup>.

#### **P53 and KRAS gene Mutations Analysis**

Mutations at codons 12 and 13 of the KRAS gene were determined using a mismatch ligation assay<sup>22</sup>. A 270-base pair fragment of exon1 of the KRAS gene was amplified from the tumor DNA. This fragment was used as the template for four separate ligation assays to detect all possible mutations at KRAS codon positions 12a, 12b, 13a and 13b. The ligation products were separated on 12% denaturing polyacrylamide gels. The oligonucleotides used in the ligation assay have been reported previously<sup>22</sup>. Positive control (DNA with known KRAS mutation) and negative control (cloned polymerase chain reaction products from reactions devoid of DNA and non-neoplastic lung DNA) samples were included with each assay. Mutational status of p53 gene was determined by sequence analysis as described previously<sup>21, 25</sup>.

#### **Analyses of EGFR Copy Number**

The number of copies corresponding to the *EGFR* locus was determined by real-time quantitative PCR with a 7900 Sequence detector (Perkin-Elmer Applied Biosystems). Briefly, Fluorogenic PCRs were carried out in a reaction volume of 20 μl consisting of 600 nM concentrations of forward and reverse primers; 200 nM probe; 0.6 U of platinum Taq polymerase (Invitrogen, Frederick, MD); 200 μM concentrations each of dATP, dCTP, dGTP and dTTP; and 6.7 mM  $MgCl<sub>2</sub>$ . 20 ng of DNA were used in each real-time PCR reaction. The conditions used for amplification were: one cycle of 95°C for 3 min, followed by 50 cycles of 95°C for 15 s and 60°C for 1 min. Reactions were performed in triplicate and the average of the threshold cycle values was calculated. DNA content was normalized to that of Line-1—a repetitive element for which copy numbers per diploid genome are similar in normal or neoplastic human cells<sup>26, 27</sup>. Changes in copy number were calculated as:  $2^{(Dt-Dline)-(Nt-Nline)}$  as previously published<sup>27</sup>, where Dt is the average threshold cycle number for experimental primer in DNA extracted from tumor cells, Dline is the average threshold cycle number for Line-1 primer in DNA extracted from tumor cells, Nt is the threshold cycle number in reference DNA extracted from ARPE cells (a cell line derived from retinal pigment epithelial, used as a negative control), and Nline is the threshold cycle number for Line-1 primer in reference DNA extracted from  $ARPE^{26, 27}$ . A cell line derived from human epidermoid carcinoma of the skin (A431) was used as a positive control for amplified *EGFR*. Primer sequences for each region analyzed in this study are included in Table 2.

#### **Bisulfite treatment**

DNA from primary tumor was subjected to bisulfite treatment, as described previously with little modification<sup>28, 29</sup>. Briefly, 2 μg of genomic DNA was denatured in 0.2 M NaOH for 20 min at 50°C. The denatured DNA was diluted in 500 μl of freshly prepared solution of 10 mM hydroquinone and 3 M sodium bisulfite, and incubated for 3 hours at 70° C. After incubation, the DNA sample was desalted through a column (Wizard DNA Clean-Up System, Promega), treated with 0.3 M NaOH for 10 min at room temperature, and precipitated with ethanol. The bisulfite-modified genomic DNA was resuspended in 120 μl of LoTE (2.5 mM EDTA, 10mM Tris-HCL) and stored at −80°C.

#### **Methylation analysis of** *RASSF1A*

Templates were amplified by a fluorescence based-real-time PCR (Taqman) as previously described<sup>30</sup>. In brief, primers and probes were designed to specifically amplify the bisulfiteconverted promoter of the gene of interest: *RASSF1A*. The ratios between the values of *RASSF1A* and the internal reference gene, β -*actin*, obtained by Taqman analysis were used as a measure for representing the relative level of methylation in the particular sample (*RASSF1A*/β –*actin* × 1000). Fluorogenic PCRs were carried out in a reaction volume of 20 μl consisting of 600 nM of each primer, 200 nM of probe, 0.6 units of Taq Polymerase, 200 μM each of dATP, dCTP, dGTP; and 200 of μM dTTP; and 6.7 mM  $MgCl_2$ . Three microliters of treated DNA solution were used in each real-time MSP reaction. Amplifications were carried out in 384-well plates in a 7900 Sequence detector (Perkin-Elmer Applied Biosystems). Each plate consisted of patient samples and multiple water blanks, as well as positive and negative controls. Leukocyte DNA from a healthy individual was methylated in vitro with excess SssI methyltransferase (New England Biolabs Inc., Beverly, MA) to generate completely methylated DNA, and serial dilutions (90–0.009 ng) of this DNA were used to construct a calibration curve for each plate. All samples were within the assay's range of sensitivity and reproducibility based on amplification of an internal reference standard (threshold cycle [CT] value for β –*actin* of ≤40). The relative level of methylated DNA for each gene in each sample was determined as a ratio of methylation specific PCR-amplified gene (*RASSF1A*) to β –*actin* (reference gene) and then multiplied by

1000 for easier tabulation (average value of triplicates of gene of interest divided by the average value of triplicates of  $β - actin \times 1000$ ). The samples were categorized as unmethylated or methylated based on the sensitivity of the assay. In addition to our cancer cases, we also analyzed DNA from 10 different non-neoplastic lung samples for methylation of *RASSF1A*. An empiric cut off value were established for the determination of methylation of *RASSF1A* yielding a specificity of 100%.

#### **Statistical analysis**

Associations among the alterations of different genes and the clinicopathological parameters were analyzed using the Wilcoxon's rank-sum test for continuous variables Fisher's exact test for categorical variables. Probability values below 0.05 were considered to be statistically significant. The effect of individual gene or combinations thereof on patients survival were estimated using the Kaplan-Meier method, and the difference between the survival curves of the different groups was analyzed by the log-rank test. The Cox proportional hazard model was used to estimate the HR of independent factors, influencing patient's survival, after controlling for potential confounding factors such as age, sex, and disease stage. Due to non-linear pattern of distribution in our variables, correlation coefficients and respective p values were obtained by Spearman rank correlation test. All p values were based on two-sided statistical analysis. The software package Stata version 10 was used for all of the above statistical tests.

# **RESULTS**

The clinical characteristics of 111 patients undergoing pulmonary resection for NSCLC are shown in Table 1. 61 patients had stage I disease, 31 patients had stage II and 19 patients with had stage III or stage IV disease. The histologic type of 111 tumors included squamous cell cancer (n=48), adenocarcinoma (n=49), and others (n=14).

EGFR mutations were detected in 3 (6.1%) of 49 adenocarcinoma patients. One patient with squamous cell and one with poorly differentiated NSCLC showed EGFR mutation. Of the observed mutations in EGFR, 3 were found in exon 19 and rest 2 in exon 21. DNA was also sequenced for corresponding normal of all 5 tumors, which confirmed that all these mutations were somatic. Details of the resulting changes in EGFR protein as a consequence of these mutations are illustrated in Figure 1 and Table 3. We found BRAF mutation (V600E) in only one adenocarcinoma. Methylated RASSF1A allele was present in 50 (48%) of 104 (missing data  $n = 7$  samples) tumors tested including 25 (55%) of 45 adenocarcinoma, 18 (39%) of 46 squamous cell carcinoma. A summary of the genetic and epigenetic alterations are shown in Table 4. No significant relationship was found between pathologic stage and the presence of EGFR mutations, BRAF mutations and RASSF1A methylation (data not shown). The EGFR copy number in tumor cells ranged from 0.14 to 121.2. Increased copy number was observed in 13% of the cases (cutoff of  $\geq$ 3 copy per cell) or 22% (cutoff of  $\geq 2.5$  copy per cell). We also analysed EGFR copy number in lymphocytes of 54 normal subjects. It ranged from 0.04 to 3.31. Increased copy number was observed in 3.7% (cutoff of ≥3 copy per cell) or 5.6% (cutoff of ≥2.5 copy per cell). No correlations were observed between the EGFR copy number and RASSF1A methylation. The Spearman correlation coefficient between these two variables was 0.01619 (p=0.8).

#### **Genetic and epigenetic alterations in adenocarcinoma**

Tumor specific molecular alterations in erbB pathway genes were identified in 39 (79.6%) of 49 patients with lung adenocarcinoma. Of these 39 tumors, 3 (7.7%) harbored mutations in EGFR, 11 (28.2%) in K-RAS mutations, and BRAF mutation was found in one (2.5%). RASSF1A methylation was detected in 24/39 (61.5%) of these 39 adenocarcinoma patients.

Overall, 21 (43%) out of 49 adenocarcinoma showed genetic alterations in any of the 3 genes (EGFR, BRAF and KRAS) in the erbB pathway. Mutations of EGFR, KRAS and BRAF are mutually exclusive. Mutation of KRAS and methylation of RASSF1A were inversely correlated (Spearman rank correlation:  $\rho = -0.386$ ; p=0.014). Mutations of all erbB pathway genes (EGFR, KRAS, BRAF) were also inversely correlated with RASSF1A methylation ( $p = -0.394$ ; p=0.007). However, in 5 cases we found both KRAS mutation and RASSF1A methylation. Among these 5 cases, all patients were smokers and 4 presented high stage tumors. The coexistence or mutually exclusive occurrence of these alterations can be visualized in Supplementary Figure 1.

#### **ERB pathway alterations in squamous cell carcinoma**

Consistent with the previous findings, mutations of erbB pathway genes were rare in squamous cell carcinoma. RASSF1A methylation occurred in 39.1% of primary tumors. Kaplan-Meier estimator of the survivorship function was used to examine the effect of individual gene and in combinations on patient's survival. The survival groups with altered erbB pathway in adenocarcinoma were compared by using the log-rank test. No significant relationship was found between erbB pathway genes alterations and patient survival. Representative Kapplan Meyer curves for individual alterations as well as combinations are shown in Supplementary Figure 2.

#### **erbB pathway alterations and p53 status**

We compared erbB pathway alterations and p53 status in these tumors. As expected for an independent pathway, we found no correlation between KRAS mutation ( $\rho = -0.20$ ; p=0.19) or RASSF1A methylation ( $p = 0.13$ ;  $p=0.39$ ) and p53 status in these tumors. Moreover, we found no association between erbB pathway alterations (EGFR/KRAS/RASSF1A/BRAF) and p53 status in SCC or adenocarcinoma with EGFR copy number.

#### **DISCUSSION**

Elucidation of the molecular pathways involved in NSCLC is essential for our understanding of the pathogenesis of the disease, and, hence, for more precise diagnosis, accurate prognosis and better management of patients. In this study, we evaluated the molecular status of four key genes (EGFR, KRAS, RASSF1A and BRAF) in the erbB signaling pathway in primary NSCLC.

The frequency of oncogenic mutations of EGFR gene in our study is similar to previous findings19, 20. We found three in frame deletion on exon 19 (delE 746-A750; delL747- A755) and 2 point mutations on exon 21(L858R). The oncogenic potential and therapeutic response of novel deletion (delL747-A755 on exon 19) identified in this study need to be further evaluated. We found silent polymorphic changes in three additional cases on exon 21 (codon 836, Nucleotide 2508 C>T) (Table 3) and pathophysiologic role of these polymorphism not yet known in NSCLC. (THIS PARAGRAPH IS REWRITTEN)

The prevalence of RASSF1A methylation in adenocarcinoma in this study occurred at a frequency similar to that found by others<sup>9, 10, 30</sup>. On the basis of many observations suggesting that RASSF1A mediates RAS-dependent apoptosis (1, 6, 8, 11), and it was hypothesized that RASSF1A inactivation is closely related to RAS activation in human cancers and thus contributes to malignant transformation by inhibiting RAS-mediated apoptosis. Our findings of inverse correlation between RASSF1A methylation and KRAS mutations support this hypothesis.

Our data are not consistent with the two adenocarcinoma studies<sup>31, 32</sup> pertaining to the association between mutation of KRAS and methylation of RASSF1A. In the latter states,

tumors from Asian patients were tested by conventional MSP. In contrast, we tested samples from American patients, mostly Caucasian and used Quantitative MSP (QMSP). In our previous work in thyroid cancer, a 10% cutoff for RASSF1A methylation correlated inversely with mutations of the reference tyrosine kinase pathway<sup>33</sup>. Our findings do agree with van Engeland et al.<sup>12</sup>, which found that RASSF1A methylation occurs mainly in colorectal cancers without KRAS mutation, which implied that RASSF1A methylation is an alternative way of affecting RAS signaling. In 5 cases, we found an overlap between KRAS activation and RASSF1A methylation. Although our data did not reach statistical significance, RASSF1A methylation was more common in higher stage tumors. Therefore, it is possible that synergistic inactivation of RASSF1A and KRAS activation may drive poor prognosis. We found a stronger inverse correlation  $(p=0.007)$  when we compared the mutation status of any erbB pathway gene with RASSF1A methylation in adenocarcinoma, supporting the notion that all four genes are involved in RAS signaling and facilitate tumorigenesis.

We found a BRAF mutation in only one sample which is consistent with our previous observation<sup>34</sup>. However, we analyzed only the most common BRAF mutation in humans (T1796A). Interestingly, we did not found any other molecular alterations in this sample. In support of this notion, the BRAF mutation exhibits a trend towards mutual exclusion with KRAS and RASSF1A in human tumors<sup>7, 8, 33, 35</sup>. In the present study, we examined only codon V600 for BRAF mutation. Based on their findings, Marcia et al<sup>36</sup> hypothesized that BRAF-related tumorigenesis in NSCLC is qualitatively different from that in melanomas with codon 600 mutations.

In our adenocarcinoma cohort we found that 29 out of 49 (80 %) cases showed alterations in erbB pathway genes. Virtually all adenocarcinomas may acquire alterations in erbB signaling at the receptor kinase or through alterations at key downstream molecules. Several markers have been identified that predict response to the EGFR-specific tyrosine kinase inhibitors (EGFR-TKI) in patients with NSCLC. Activating mutations in the EGFR tyrosine kinase domain (exons 18–21), increased EGFR copy number, and increased EGFR protein expression have been associated with favorable response to EGFR-TKIs<sup>19, 20, 37–45</sup>. In contrast, KRAS gene mutation, which occurs in 20% to 30% of NSCLCs, mainly in adenocarcinomas  $(\sim 40\%)$  and smokers<sup>46</sup>, has been reported to be associated with poor response to EGFR-TKIs<sup>47–49</sup>. It will be interesting to see if BRAF mutation and RASSF1A methylation also predict resistance to upstream targeted therapy. Characterization of all of these key genetic and epigenetic events may thus help to decide optimal therapy for NSCLC patients. Additionally identified (e.g. PI3K, 4% mutation in lung cancer)<sup>50</sup> and unidentified genes may be responsible for signaling alterations in the remaining cases of adenocarcinoma. Consistent with the previous findings, our data suggests that genetic alterations of erbB pathway genes are rare in lung squamous cell carcinoma. However, RASSF1A methylation was detected in 39% of SCC cases and may identify EGFR signaling in these tumors or another role for RASSF1A in a different histologic subtype<sup>51, 52</sup>.

We have thus confirmed the importance of the erbB pathway in NSCLC development and identified one novel deletion mutation of EGFR in lung adenocarcinoma. Although EGFR and BRAF mutations are rare, in lung cancer, they may identify sensitive and resistant patients to targeted therapy, resulting in significantly improved outcomes for patients treated appropriately. The importance of RASSF1A methylation in determining anti-EGFR therapy needs to be further elucidated in clinical trials.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1.**

Analysis of EGFR mutations in the TK domain of the EGFR gene found in unselected cases with lung cancer. A) Sequence and position of *EGFR* mutations in the TK domain found in unselected cases with lung cancer B) (a) Sequencing chromatogram showing *EGFR* exon 21 wild type (on top) and mutant L858R (on bottom) from an adenocarcinoma. The arrow indicates the nucleotide substitution. (b) Sequencing chromatogram showing *EGFR* exon 19 wild type (on top) and mutant delE746-A750 (on bottom) from an adenocarcinoma. The arrow indicates the starting point of the deletion. (C) Sequencing chromatogram showing *EGFR* exon 19 wild type (on top) and mutant delE747-A755 (on bottom) from an adenocarcinoma. The arrow indicates the starting point of the deletion.

#### **Table 1**

Characteristics of 111 non small cell lung cancer patients.



*\** Others include large cell, adenosquamous and tumor classified as poorly differentiated non-small cell lung cancer and bronchoalveolar carcinoma

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Primer and probes sequences for Copy Number Analysis Primer and probes sequences for Copy Number Analysis



# **Table 3**

Genetic alterations of EGFR in NSCLC Genetic alterations of EGFR in NSCLC



#### **Table 4**

# Frequency of genetic and epigenetic alterations of markers examined

