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# Socioeconomic Status Influences the Relationship Between Fear-Avoidance Beliefs Work and Disability

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# Introduction

Pain is a multidimensional experience influenced by complex interactions between biological, psychological, social, and cultural factors (1). Low back pain (LBP) is an important public health problem due to its high impact on disability (2,3) and work-related disability (4). The development of chronic LBP, has been related with a Fear Avoidance Model of Musculoskeletal Pain (FAM). The FAM refers to generation of fear due to catastrophic thoughts followed by the avoidance of movement due to the fear of pain and reinjury. The FAM has highlighted the importance of pain catastrophizing and pain-related fear in the development of chronic low back pain from an acute episode (5).

In addition to this psychological model, the prevalence of chronic pain has been reported to vary with socio-economic levels (6). In general, differences in socioeconomic status are associated with differences in lifestyle and health conditions, where low socioeconomic status is related with an adverse health outcome (7,8). Therefore, researchers studying biopsychosocial models should consider the mechanisms by which SES influences psychosocial pathways and health outcomes (9). Theoretically, individuals are exposed to more demands as SES decreases, and psychosocial responses to such stress may be adaptable at short time but can be harmful on health over time (9). Over time, a combination of stress and stress reactivity decrease individual's reserve capacity to respond to the challenges of the environment, and may make them more vulnerable to different disease and chronic conditions (10).

Given these assumptions, it is notable that most investigations of biopsychosocial models have focused on psychological variables with less of an emphasis on the influence of SES interferences on LBP outcomes. This current paper attempted to address that shortcoming by investigating the influence of SES between psychological factors relevant to fear-avoidance models. Specifically, the purposes of this study were two fold. First we examined whether SES should be considered as mediator between the relationship of fear-avoidance beliefs and pain catastrophizing on disability, pain intensity and physical impairment. Second, we investigated whether the effect of fear-avoidance beliefs and pain catastrophizing on disability, pain intensity and physical impairment are moderated by SES on patients with acute and sub-acute LBP. We recruited patients with acute and sub-acute LBP for this study,

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because these subjects allowed an opportunity to investigate influence of SES and psychology before the onset of chronic LBP.

# **Methods**

# **Subjects**

This planned secondary analysis includes data from a randomized clinical trial (11) with patients with acute or sub-acute LBP, which were recruited from University of Florida affiliated orthopedic physical therapy clinics. The study was approved by the Institutional Review Board at the University of Florida and all patients provided informed consent. The inclusion and exclusion criteria for the randomized clinical trial were based on guidelines from the Quebec Task Force on Spinal Disorders (QTFSD) (12). Inclusion criteria were: (1) patient with acute or sub-acute LBP (duration of symptoms from 1 to 24 weeks) without radiation below the gluteal fold; (2) patient with acute or sub-acute LBP with proximal radiation to the knee; (3) patient with acute or sub-acute LBP with distal radiation below the knee; (4) between 15 and 60 years old and English speaking. Patients were excluded for meeting any one of the following exclusion criteria. (1) chronic LBP (duration of symptoms greater than 24 weeks) without radiation below the gluteal fold; (2) chronic LBP with proximal radiation to the knee; (3) chronic LBP with distal radiation below the knee; (4) acute or sub-acute or chronic low back pain with distal radiation below the knee and neurological signs; (5) presumptive lumbar nerve root compression; (6) confirmed lumbar nerve root compression; (7) confirmed lumbar spinal stenosis; (8) post-surgical status (less than 6 months after surgical intervention); (9) post-surgical status (more than 6 months after surgical intervention, asymptomatic); (10) other spinal disorders including metastatic disease, visceral disease, or fracture; (11) pregnancy or (12) osteoporosis.

#### **Measures**

All patient received a baseline examination to collect demographic data (age, sex, race, employment status), socioeconomic data (level of education, and income), and clinical data (pain intensity, physical impairment, disability, fear-avoidance belief, and pain catastrophizing). Pain intensity, disability, and fear-avoidance belief were also collected at 4-weeks and 6-months.

#### Socioeconomic Status

Many validated indicators of socioeconomic status (SES) exist (13–15); however, for the current study we created an idiosyncratic measure of SES by combining the available income and education measures. Our rationale for using income and education to represent SES in this study was that these factors are considered a valid proxy for SES (16). The income variable categorized subjects into five different levels of yearly household income: (1) less than \$20,000, (2) \$ 20,000 to \$ 35.000, (3) \$ 35,001 to \$ 50,000, (4) \$ 50,001 to 70,000, and (5) greater than \$ 70,000. The education variable categorized subjects into six different levels of education: (1) Less than high school, (2) Graduated from high school, (3) Some college, (4) Graduated from college, (5) Some post-graduate course work, and (6) Completed post graduate degree. The SES variable was then created by adding the income score (from 1–5) and education scores (from 1–6) creating a variable that had a total score ranging from 2–11. This SES variable was treated as a continuous variable in the subsequent analyses because descriptive analyses indicated it met normality assumptions.

# **Pain Intensity**

Patients rated their pain intensity using a numeric rating scale (NRS) from "0" corresponding with "no pain" to "100" corresponding with "worst pain intensity imaginable" (17).

# **Physical Impairment**

Physical impairment was assessed using the physical impairment scale (PIS) described by Waddell et al. (18). The PIS consists of seven different physical examination procedures (total lumbar flexion, total lumbar extension, average side-bending, average straight leg raise, active sit-up, bilateral straight leg raise, and spinal tenderness) performed by the patient. Each procedure is scored as positive (1) or negative (0), resulting in a total score ranging from 0 to 7. In previous study has been demonstrated an excellent interrater reliability in these measures (intraclass correlation coefficient [ICC] = 0.94) (18).

# **Disability**

Pain disability was assessed with the modified Oswestry Disability Index (ODI). The original version described by Fairbank et al (19) was modified from employment/ homemaking ability for the section related to sex life (20,21). The ODI has been found to have high levels of test-retest reliability (ICC=0.90), construct validity (>0.80), and responsiveness (effect size=1.8) in patients receiving physical therapy interventions for LBP (20,21) and is recommended as a primary outcome measure of self-report of disability for patients with LBP.

#### **Fear-Avoidance Beliefs**

The Fear-Avoidance Beliefs Questionnaire (FABQ) was used to quantify fear-avoidance beliefs. This questionnaire focuses on patient's beliefs about how work and physical activity affect patient's LBP. The FABQ is an 11-item, 7-point rating scale (0, "strongly disagree" to 6, "agree") with physical activity (FABQPA) and work (FABQW) scales. Higher FABQ scores indicated higher levels of fear-avoidance beliefs and the FABQPA ranges from 0-24 while the FABQW ranges from 0-42. The test-retest stability of the FABQ has been reported in the literature for patients with chronic low back pain with a Kappa for individual items of 0.74 (22).

#### Pain Catastrophizing

Pain catastrophizing was measured by the Pain Catastrophizing Scale (PCS) (23). The PCS has 13 items assessing catastrophic cognitions and subjects used a 5-point scale (0, "not at all" to 4, "all the time") to rate the frequency of these cognitions. A PCS sum score was calculated for all items (range, 0-52), with a high score indicating a high level of pain catastrophizing.

#### Data analysis

Data analysis was performed using SPSS, Version 15.0 at alpha level of 0.05. Descriptive statistics (mean, standard deviation) were calculated for all variables. Variables were tested for normality using Kolmogorov-Smirnof test before analysis. The primary purpose of this study was to investigate the association of SES, variables associated with fear-avoidance model of musculoskeletal pain (fear-avoidance beliefs and pain catastrophizing) on disability, pain intensity, and physical impairment in patients with LBP. Mediation was investigated by the method described by Baron and Kenny. First, potential mediation models were tested with correlations to determine whether preconditions for mediation were obtained, that is, mediating factor (SES) correlated with the independent variables (fear-avoidance beliefs, and pain catastrophizing), outcome variable (disability, pain intensity, and

physical impairment) correlated with independent variable (fear-avoidance beliefs, and pain catastrophizing), and the mediation factor (SES) correlated with outcome variable. Second, hierarchical multiple regression was used to ascertain whether controlling for the mediator (SES) significantly attenuated the relationship between independent variables (fear avoidance belief, pain catastrophizing) and outcome variable (disability, pain intensity, and physical impairment). In addition, the association between the mediator and the outcomes was tested with the independent variables controlled.

Moderation models were evaluated with hierarchical multiple regression. These analyses tested whether psychological factors x SES interaction terms accounted for significant variance in disability, pain intensity and physical impairment score after the psychosocial factors and SES main effect terms had been entered in the model. For any significant interaction, the SES was dichotomized at the median value into low socioeconomic status, and high socioeconomic status. To establish the nature of the interaction effect, correlations between psychological factors and outcome measurements score were performed for each low and high socioeconomic group.

Baseline variables were used for the mediation and moderation analysis. In the event a significant regression model was detected involving SES, the model was further investigated using longitudinal data. Specifically, the baseline model was used to predict 4-week and 6-month outcomes in an attempt to further validate the model.

#### Results

Descriptive statistics for study participants (N =108) are reported in Table 1. All variables were found to approximate a normal distribution by Kolmogorov-Smirnof test (P > 0.05) and were appropriate for our planned correlation and regression analyses.

#### **Mediation Effects**

The preconditions for testing mediation models in which SES would influence disability, pain intensity, or physical impairment outcomes via fear avoidance beliefs and pain catastophizing is reported in Table 2. Consistent with past research, fear avoidance belief physical activity (FABQPA), and fear avoidance belief related work (FABQW) were significantly correlated with disability outcome (ODI), pain intensity (NRS), and physical impairment. Pain catastrophizing was significantly correlated with disability and pain intensity, but not with physical impairment. SES was only significantly correlated with pain catastrophizing (r=-0.37, p<-0.01). We have checked potential mediation from pain catastrophizing to outcomes measures (pain intensity, and disability) and SES did not predict pain intensity (Beta = -0.02, p = 0.91) or disability (Beta = 0.09, p = 0.36) while controlling for PCS. Therefore, the relationship required for testing mediation model was not met (24). Additional tests for mediation were not performed.

#### **Moderation Effects**

Hierarchical multiple regressions were used to determine whether SES moderated relationships between the psychological factors and disability, pain intensity and physical impairment outcome. To reduce multicollinearity we performed a centering process for each predictor variable by subtracting its own mean before entering into the regression model. If the independent variables (IV's) with interactions are not centered, their product would be highly correlated with the component IV's. Interaction terms were then computed by multiplying centered psychological variables (FABQPA, FABQW, PCS) with centered SES.

# **Predicting Disability**

All regression models for disability are reported in Table 3. The first disability model included the FABQPA and explained a significant amount of variance in disability ( $R^2$ = 0.17), [F(1,99)=6.52, p<0.001]. Standardized beta was significant for FABQPA main effect, but not for the SES main effect or interaction term (Table 3). The second disability model included the FABQW and explained a significant amount of variance in disability ( $R^2$ = 0.26), [F(1,99)=11.79, p<0.001]. A significant main effect was found for FABQW, but not for SES (Table 3). The interaction term accounted for additional variance in disability outcome, suggesting that the link between FABQW and disability depended on different socioeconomic levels (Table 3). In order to analyze the significant interaction term SES was divided into low and high socioeconomic groups. As shown in Figure 1, a lower association for FABQW related disability was noted in the high socioeconomic group. In contrast, people in the low socioeconomic group experience a higher association of FABQW related disability. The third disability model included the PCS and explained a significant amount of variance in disability ( $R^2$ = 0.21), [F(1,99)=8.88, p<0.001]. A significant main effect was found for PCS, but not for SES or the interaction term (Table 3)

# **Predicting Pain intensity**

All regression models for pain intensity are reported in Table 4. The first model included the FABQPA and explained a significant amount of variance predicting pain intensity ( $R^2$ = 0.10), [F(1,99)= 3.73, p<0.05]. There was a significant main effect for FABQPA, but not for SES or the interaction term (Table 4). The second model included the FABQW and explained a significant amount of variance predicting pain intensity ( $R^2$ = 0.10), [F(1,99)= 3.56, p<0.05], only the FABQW main effect was significant. No significant interaction with SES was found in this model (Table 4). The third model included the PCS and explained a significant amount of variance predicting pain intensity ( $R^2$ = 0.11), [F(1,99)= 4.13, p<0.05]. Standardized beta was significant for PCS main effect, but not for the SES main effect or interaction term (Table 4).

#### **Predicting Physical Impairment**

All regression models for physical impairment are reported in Table 5. The first model included the FABQPA and was non-significant ( $R^2$ =0.04), [F(1,97)= 1.19, p=0.32]. The model with the FABQW explained a significant amount of variance predicting physical impairment ( $R^2$ =0.13), [F(1,97)= 4.67, p<0.05]. The standardized beta indicated that the FABQW was the only unique main effect (Table 5). The third model included the PCS and was non-significant ( $R^2$ =0.04), [F(1,97)= 1.28, p=0.29].

# **Longitudinal Analysis**

Only the moderation model for the FABQ and SES predicting disability was considered in the longitudinal analysis. Interestingly, longitudinal analysis show that the regression model explaining disability at 4 weeks and at 6 months after controlling for initial disability was also significant ( $R^2$ = 0.22), [F(4,87) = 5.86, p<0.001] and ( $R^2$ = 0.33), [F(4,69) = 7.83, p<0.001] respectively. The interaction term accounted for additional variance in disability at 4 weeks (Beta= -0.25, p= 0.01), and 6 months (Beta= -0.27, p= 0.01) suggesting that socioeconomic levels affect FABQW and disability over time.

# **Discussion**

The present study examined the influence of SES and psychological factors consistent with fear-avoidance models on 3 different outcome measures for patients with acute and subacute LBP. The analyses considered both mediation and moderator effects of SES. Our

results indicate that SES has a minimal influence on pain and physical impairment, but there is potential for an influence on disability. Specifically, SES altered the association between FABQW and disability such that those with lower SES had a higher association between FABQW and disability. This association was observed at baseline, 4-weeks, and at 6-months. This study makes a novel contribution to the literature because we have incorporated SES when assessing psychological factors for influence on disability. Furthermore, we have investigated these factors in a cohort of subjects with acute and subacute LBP, so these data supplement studies that have studied SES in patients with chronic LBP.

Previous research has reported that fear avoidance beliefs, pain catastrophizing, coping strategies and anxiety level are important factors in low back pain outcome (4,22,25–29). Nevertheless, the interference of SES in psychological models has been under-reported in the literature. Our analyses indicated that psychological factors were consistently correlated with outcome measures. In contrast, SES was not correlated with the outcome measures, suggesting SES had a minimal direct influence on disability, pain, and physical impairment in this sample.

Only a significant interaction between SES and the FABQW was detected. This interaction was such that people in low SES group experienced a higher association of fear avoidance belief work related disability. Longitudinal analysis show that this interaction detected at baseline was also related to disability at 4 weeks and 6 months. Previous studies show that SES could have a marked impact on chronic LBP (30), and also described that individuals with chronic LBP and lower SES are more likely to have increased pain, mental distress, and disability (30–32). The specific interaction of SES with the FABQW is important because previous studies have indicated the FABQW is predictive of disability and work loss (28,33). These findings add to the limited literature of SES in patients with acute and subacute LBP by suggesting the development of chronic disability is accentuated through fearful work beliefs for patients with lower SES.

This study has important limitations to be considered for future study in this area. First, patients seeking health care from three different orthopedic physical therapy centers associated with an academic medical center were recruited into this study, and do not necessarily represent the general population with LBP. Future studies may consider probability sampling techniques to investigate SES influence for those with LBP that do not seek health care. Second, our SES measure was compromised of only education and income and although there is evidence to suggest this is a proxy measure of SES (16), our measure should not be considered a comprehensive assessment of SES. Furthermore, we used an idiosyncratic SES measure that had not been validated in other studies. Future studies in this area should consider more sophisticated assessment of SES using validated measures. For example, perceived adequacy of income, and social network or living arrangement were included in a recent report from Jordan et al. (34) and assessment of these factors could lead to different results. Third, this is a secondary analysis without a priori power analysis; however the sample size was sufficient to investigate our models. Fourth, as we only have one significant interaction, there is a probability that this finding could be attributed to chance.

# **Conclusions**

Our findings indicate limited potential for SES (measured as by education and income) to directly influence disability, pain, and physical impairment in this cohort of patients with acute and sub-acute LBP. We did find that people in lower SES groups experienced a higher association with fear avoidance beliefs about work and low back pain disability. This

interaction was present at baseline, 4-weeks, and 6-months. Clinicians and researchers involved with management of LBP should be aware that fear-avoidance beliefs about work may have a stronger association with disability for patients with low SES, but future studies are needed to confirm these findings.

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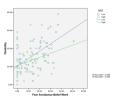
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**Figure 1.** Correlation Between FABQW scores and Disability scores among patients with low SES and High SES.

Valencia et al.

Descriptive data from Clinical Trial

AGE         106         15.00         60.00         37.14         14.46           Sex: Male         37 (34.3%)           14.47         14.47            FABQPA         108          24.00         14.77         5.68           FABQW         108          51.00         14.77         5.68           PCS         108          49.00         13.30         11.51           SES         102         2.00         11.00         6.58         2.50           ODI         108          78.00         29.21         16.34           PAIN         108          78.00         3.17         1.87	Variable	N=108	Minimum	Minimum Maximum	Mean	SD
dale         37 (34.3%)           PA         108         .00         24.00         14.77           IVA         108         .00         51.00         13.30           IVA         108         .00         49.00         16.12           IVA         102         2.00         11.00         6.58           IVA         108         .00         78.00         29.21           IVA         108         .00         9.00         4.67           Almpairment         104         .00         7.00         3.17	AGE	106	15.00	00:09	37.16	14.46
Penale         69 (63.9%)         .00         24.00         14.77           W         108         .00         51.00         13.30           I         108         .00         49.00         16.12           102         2.00         11.00         6.58           I         108         .00         78.00         29.21           alimpairment         104         .00         7.00         3.17	Sex: Male	37 (34.3%)				
PA         108         .00         24.00         14.77           (W)         108         .00         51.00         13.30           108         .00         49.00         16.12           102         2.00         11.00         6.58           108         .00         78.00         29.21           108         .00         9.00         4.67           alimpairment         104         .00         3.17	Female	69 (63.9%)				
W         108         .00         51.00         13.30           108         .00         49.00         16.12           102         2.00         11.00         6.58           108         .00         78.00         29.21           108         .00         9.00         4.67           alimpairment         104         .00         3.17	FABQPA	108	00.	24.00	14.77	5.68
108       .00       49.00       16.12         102       2.00       11.00       6.58         108       .00       78.00       29.21         108       .00       9.00       4.67         alimpairment       104       .00       3.17	FABQW	108	00.	51.00	13.30	11.51
102     2.00     11.00     6.58       108     .00     78.00     29.21       108     .00     9.00     4.67       alimpairment     104     .00     3.17	PCS	108	00.	49.00	16.12	11.31
108       .00       78.00       29.21         108       .00       9.00       4.67         al impairment       104       .00       7.00       3.17	SES	102	2.00	11.00	6.58	2.50
108 .00 9.00 4.67 alimpairment 104 .00 7.00 3.17	IGO	108	00.	78.00	29.21	16.34
104 .00 7.00 3.17	PAIN	108	00.	00.6	4.67	2.10
	Physical impairment	104	00.	7.00	3.17	1.87

FABQPA – Fear-Avoidance Beliefs Questionnaires related Physical Activity, FABQW – Fear- Avoidance Beliefs Questionnaires related Work, PCS – Pain Catastrophizing Scale, SES – Socioeconomic Status, ODI – Oswestry Disability Index.

Page 10

Valencia et al.

Table 2

Pearson Correlations among variables

	Pain	IGO	FABQPA FABQW	FABQW	PCS	SES
Physical impairment	.48(**)	.39(**)	.23(*)	.34(**)	.17	08
Pain	1	.44(**)	.42(**)	.34(**)	.39(**)	13
IGO	1	1	.48(**)	.47(**)	.48(**)	08
FABQPA	1	1	-	.41(**)	.40(**)	18
FABQW	1	1		П	.32(**)	19
PCS	1	1	1	ı	1	37( <b>**</b> )
SES				ı		1

ODI – Oswestry Disability Index, FABQPA – Fear-Avoidance Beliefs Questionnaires related Physical Activity, FABQW – Fear- Avoidance Beliefs Questionnaires related Work, PCS – Pain Catastrophizing Scale, SES – Socioeconomic Status.

Page 11

\*\* p< 0.01. \* p<0.05.

Pain Med. Author manuscript; available in PMC 2012 February 1.

Summary of Hierarchical Regression Analysis for variables predicting Disability and their interaction term with Socioeconomic Status (SES)

Valencia et al.

Variables	S	$\mathbb{R}^2$	P-Value	P-Value B (Std.Error)	Beta	P-value
I.Model	I.Model predicting Disability	0.17	<0.001			
Step 1	FABQPA			1.14 (0.31)	0.37	<0.001
	SES			-0.08 (0.59)	-0.01	0.897
Step 2	$FABQPA \times SES$			-0.09 (0.12)	-0.07	0.46
2.Model	2.Model predicting Disability	0.26	<0.001			
Step 1	FABQW			0.58 (0.13)	0.41	<0.001
	SES			0.08 (0.56)	0.01	0.891
Step 2	$FABQW \times SES$			-0.15 (0.05)	-0.24	0.008
3.Model	3.Model predicting Disability	0.21	<0.001			
Step 1	PCS			0.61 (0.14)	0.43	<0.001
	SES			0.75 (0.62)	0.12	0.229
Step 2	$\text{PCS} \times \text{SES}$			-0.08 (0.05)	-0.14	0.135

Page 12

Valencia et al. Page 13

rable 4

Summary of Hierarchical Regression Analysis for variables predicting Pain Intensity and their interaction term with Socioeconomic Status (SES)

	v ariables	<b>R</b> <sup>2</sup>	P-Value	B (Std.Error)	Beta	P-value
I.Model	I.Model predicting Pain	0.10	<0.05			
Step 1	FABQPA			0.10 (0.04)	0.27	0.011
	SES			-0.06 (0.08)	-0.08	0.407
Step 2	$FABQPA \times SES$			-0.01 (0.02)	-0.05	0.606
2.Model	2.Model predicting Pain	0.10	<0.05			
Step 1	FABQW			005 (0.02)	0.26	0.012
	SES			-0.06 (0.08)	-0.08	0.429
Step 2	$FABQW \times SES$			-0.01 (0.01)	-0.09	0.354
3.Model	3.Model predicting Pain	0.11	<0.05			
Step 1	PCS			0.06 (0.02)	0.31	0.004
	SES			-0.01 (0.08)	-0.00	0.989
Step 2	$\text{PCS} \times \text{SES}$			-0.003 (0.007)	-0.05	0.614

Table 5

Summary of Hierarchical Regression Analysis for variables predicting Physical Impairment and their interaction term with Socioeconomic Status (SES)

Variables	sa	$\mathbb{R}^2$	P-Value	P-Value B (Std.Error)	Beta	P-value
I.Model	I.Model predicting Ph. Imp	0.04	>0.05			
Step 1	FABQPA			0.06 (0.04)	0.17	0.125
	SES			-0.03 (0.08)	-0.04	0.692
Step 2	$FABQPA \times SES$			-0.002 (0.15)	-0.02	0.87
2.Model	2.Model predicting Ph. Imp 0.13	0.13	<0.05			
Step 1	FABQW			0.06 (0.16)	0.36	<0.001
	SES			-0.01 (0.07)	-0.01	0.893
Step 2	$FABQW \times SES$			0.01 (0.01)	0.07	0.502
3.Model	3.Model predicting Ph. Imp	0.04	>0.05			
Step 1	PCS			0.02 (0.02)	0.10	0.350
	SES			-0.01 (0.08)	-0.01	0.940
Step 2	$\text{PCS} \times \text{SES}$			-0.01 (0.01)	-0.14	0.181