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## Phenotypic Diversity of Cytomegalovirus DNA Polymerase Gene Variants Observed after Antiviral Therapy

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### Abstract

**Background**—Cytomegalovirus UL54 DNA polymerase mutations observed in clinical specimens are of diagnostic significance if confirmed to affect antiviral drug susceptibility.

**Objectives**—Validate an updated recombinant phenotyping method to determine the degree of drug resistance conferred by previously uncharacterized UL54 sequence variants, in comparison with known resistance-related mutations.

**Study Design**—Bacterial artificial chromosome clones of viral DNA were mutagenized by recombination, transfected to produce live virus and phenotyped by standardized reporter-based yield reduction assays.

**Results**—Sixteen recombinant viruses were constructed, representing baseline sequences, known resistance-related mutations and amino acid changes of unproven significance from clinical specimens. Phenotypes of baseline strains and known mutants were comparable to results from prior methods and helped to resolve some published inconsistencies. Mutations F412L, F412S, L545W were newly confirmed to confer ganciclovir and cidofovir resistance, while Q578H conferred ganciclovir and foscarnet resistance with borderline cidofovir resistance. Some foscarnet-resistant mutants were appreciably growth-retarded.

**Conclusions**—Results add to known exonuclease domain mutations that confer ganciclovir-cidofovir cross-resistance, polymerase domain mutations that confer foscarnet resistance with variably decreased ganciclovir/cidofovir susceptibility, and increase the list of sequence variants with no measurable impact on drug susceptibility. The phenotypic diversity of similar UL54 genotypic variants complicates the interpretation of genotypic resistance testing. Technical improvements are facilitating the phenotyping of remaining unknown sequence variants.

### 1. Background

Drug-resistant cytomegalovirus (CMV) is an ongoing concern especially in transplant recipients who are routinely exposed to extended prophylactic or treatment courses of ganciclovir (GCV), its oral prodrug valganciclovir, foscarnet (FOS) and/or cidofovir (CDV).

<sup>1</sup> Resistance testing is prompted by persistent plasma viral loads or overt disease after

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#### CONFLICT OF INTEREST STATEMENT

The author has no conflicts of interest to declare.

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prolonged therapy. Because direct susceptibility testing of clinical CMV culture isolates is impractically slow, genotypic testing is used instead to detect viral drug resistance mutations in clinical specimens. Accurate interpretation of such testing depends on a validated database of genotype-phenotype correlations that is substantial but incomplete for CMV.<sup>2</sup>

Most GCV-resistant CMV isolates contain one of the canonical mutations in the viral UL97 kinase gene involved in the phosphorylation of GCV.<sup>2</sup> Less commonly, mutations in the viral UL54 DNA polymerase (*pol*) gene may confer resistance to one or more of the current drugs. Larger<sup>3, 4</sup> and smaller<sup>2</sup> studies indicate that *pol* mutations conferring GCV and CDV resistance are concentrated in the exonuclease domains (codons 301, 408–413, 501–545) and region V (codons 981–987), while FOS resistance mutations range more widely, clustering in and between regions II and III (codons 696–845). Some early studies did not find any FOS-GCV cross-resistance,<sup>4</sup> but later reports suggest that FOS resistance mutations may variably decrease susceptibility to GCV and CDV.<sup>2</sup> Interpretation of *pol* sequence variants is further complicated by considerable baseline sequence polymorphism.<sup>2</sup>

The role of individual mutations in drug resistance is evaluated by their transfer to a control laboratory CMV strain and determining the resulting effect on drug susceptibility. This recombinant phenotyping process has historically been complicated by low operational efficiency.<sup>2</sup> A recently developed CMV bacterial artificial chromosome (BAC) clone containing a reporter gene facilitated the phenotyping of many UL97 gene variants<sup>5</sup> and was adapted here for phenotyping *pol* variants.

## 2. Objectives

(1) To validate a new BAC-based *pol* recombinant phenotyping method by comparison of results with previously published findings on known baseline and mutant strains; (2) Newly define the phenotypes of uncharacterized *pol* mutations plausibly linked to drug resistance that were found in CMV sequences from diagnostic laboratories.

## 3. Study Design

### 3.1 Viral strains and clones

CMV strain T2211 was derived from standard strain AD169 and features a secreted alkaline phosphatase (SEAP) reporter gene for rapid viral quantitation.<sup>6</sup> It was cloned as BAC BA15 and used to derive BAC BA33, where the entire *pol* coding sequence was replaced with a bacterial *galK* expression cassette by recombineering (Fig. 1),<sup>7</sup> as described for the UL97 region *galK* clone BA9.<sup>5</sup> BA33 was propagated in *E. coli* host strain SW105.<sup>5, 7</sup>

### 3.2 Transfer vectors

Strain AD169 *pol* region nucleotides 76643 through 81436 (Genbank sequence X17403) were cloned into Bluescript vector pBS2KS+ (Stratagene) using restriction sites SacI and EcoRI. The clone was mutagenized to create a Bsu36I restriction site 23–29 nucleotides upstream of the start of the *pol* coding sequence, with removal of 34 nucleotides of native AD169 sequence upstream of the created restriction site. A Bsu36I-Frt-flanked kanamycin resistance cassette (*Kan*), the same as used for vector SC408,<sup>5</sup> was ligated into the Bsu36I restriction site, creating clone SC409. Derivatives of SC409, created by replacing the BglIII-BspE1 restriction fragment (*pol* codons 324–1107) with products of PCR mutagenesis, were used as transfer vectors to introduce the desired *pol* sequence configurations into BAC clone BA33, as previously described for UL97 mutations.<sup>5</sup> Following arabinose-induced Flp recombinase-mediated removal of the bacterial *Kan* cassette, a final BAC clone was obtained (Fig. 1) which contained a 34-nucleotide Frt motif upstream of *pol*. All BAC clones were checked for an intact HindIII digest pattern.<sup>5</sup> Because of distinctive restriction

digest pattern changes, it was possible to verify the success of each construction step (Fig. 2).

### 3.3 Phenotypic assays

BAC DNA was transfected into human foreskin fibroblast (HFF) to reconstitute and propagate live CMV.<sup>5</sup> Normal-appearing cytopathic effect was observed for all the mutants reported here. Recombinant strains were checked throughout *pol* for the intended sequence. Cell-free virus stocks were prepared and phenotypic assays were performed by SEAP reporter yield reduction as standardized in previous reports.<sup>2, 5, 6, 8</sup> Briefly, a calibrated low multiplicity inoculum of cell-free virus stock was inoculated into 6 wells of a 24-well HFF cultures and incubated for 6 days under serial drug dilutions to determine the drug concentration required to reduce culture supernatant SEAP activity by 50% (EC50) as measured using a chemiluminescent substrate.<sup>6</sup> Two replicate assays were performed at a time, and only assays meeting defined criteria pertaining to viral inoculum, adequate viral growth at 6 days, proper curve fit of SEAP values under different drug concentrations, and valid results with known controls<sup>5, 6</sup> were accepted for reporting. Susceptibility phenotypes were assigned based on the mean EC50 values from assays set up on at least 4 different dates, to allow for variation in cell culture conditions. An EC50 value of 2-fold or greater than that of a matching susceptible control strain was used as the conventional criterion for drug resistance.<sup>2, 4, 5</sup>

### 3.4 Growth assays

Multicycle growth assays were performed as previously described<sup>6, 8-10</sup> based on measurement of supernatant SEAP activities, with the initial low multiplicity viral inoculum calibrated among strains being compared, by measurement of SEAP activity at 24 hours. Comparisons among strains were set up in parallel using 3 to 4 replicate wells per strain.

### 3.5 Sequence variants selected for phenotyping

Control baseline BAC clones were created to represent the upstream Frt motif, and common *pol* amino acid polymorphisms S655L, N685S, A885T and N898D as found in Towne strain (Genbank HS5Towne). Representative drug resistance mutations were constructed and tested as BAC clones to compare with prior results.<sup>2</sup> These included exonuclease domain mutations N408K and P522S, mutations in the *pol* catalytic domains (D588N, E756K, V781I, A809V and A987G). Mutations found in clinical isolates and suspected to confer drug resistance but not specifically validated by recombinant phenotyping included F412L<sup>11</sup>, F412S<sup>12</sup>, L545W and Q578H.<sup>13</sup> Other *pol* sequence variants of undetermined significance and found after genotyping of specimens from treated individuals included C304S,<sup>14</sup> V544A and A714V. These were chosen for phenotyping because of their close proximity to known resistance mutations D301N, L545S and V715M.<sup>2</sup>

## 4. Results

Table 1 shows the GCV, FOS and CDV susceptibilities of baseline and variant CMV strains as assessed by their mean EC50 values when compared to a matching baseline control strain.

### 4.1 Phenotypes of baseline BAC-derived strains

Strains T3265 and T3413 contain an upstream Frt motif and *pol* amino acid changes that are representative of interstrain polymorphism.<sup>2</sup> The EC50s for GCV, FOS and CDV for T3265 and T3413 were not significantly different from the original strain T2211. Strain T3265 had a similar SEAP growth curve as its source strain T2211 (Fig. 3).

## 4.2 Phenotypes of known resistance-related mutations

Among *pol* mutations previously phenotyped without use of BAC clones,<sup>2</sup> current results (Table 1) were consistent, with only minor differences in readouts of low-level cross-resistance. Mutations N408K, P522S and A987G have the same GCV-CDV dual resistance phenotypes as previously reported.<sup>4, 15</sup> Mutations D588N, E756K, V781I and A809V that confer primarily FOS resistance (Table 1) are reported to have inconsistent or low levels of GCV or CDV resistance.<sup>2</sup> The D588N mutant has shown low-grade GCV and CDV resistance,<sup>16</sup> no GCV resistance,<sup>17</sup> or a borderline GCV phenotype here. Similarly, an E756K mutant showed GCV and CDV EC50 ratios of 3.5 and 2.2,<sup>3</sup> compared with 1.9 and 1.7 here, which are closer to ratios reported for another E756K mutant,<sup>18</sup> and other mutants at codon 756.<sup>2</sup> Mutation V781I was associated with GCV EC50 ratios ranging from 1.0 to 4.5,<sup>4, 17</sup> compared with 2.4 found here. Mutation A809V was previously associated with GCV and CDV EC50 ratios of 2.6 and 1.7,<sup>19</sup> versus 2.0 and 1.6 here.

## 4.3 Phenotypes of uncharacterized sequence variants

Among *pol* mutations not previously validated by recombinant phenotyping (Table 1), F412L, F412S and L545W confer GCV resistance with substantial CDV cross-resistance, comparable to exonuclease mutants F412C, F412V and L545S.<sup>4, 20</sup> Mutation Q578H was selected in vitro under FOS<sup>21</sup> and also found in a clinical isolate.<sup>13</sup> Current data (Table 1) show that it confers FOS resistance, with GCV and CDV cross-resistance. Of the 3 *pol* sequence variants C304S, V544A and A714V selected for their close proximity to known resistance mutations at codons 301, 545 and 715, none were found to confer significant drug resistance.

## 4.4 Growth properties

Comparison of multicycle growth curves by SEAP reporter assay (Fig. 3) showed perceptible growth retardation especially for the FOS-resistant mutants Q578H and E756K, consistent with prior reports of similar mutants<sup>3, 9, 22</sup>. Exonuclease mutants, e.g. N408K, P522S and L545W, along with the region V mutation A987G, appeared to be less growth-attenuated than the FOS-resistant mutants.

## 5. Discussion

An updated recombinant phenotyping method for CMV *pol* mutations based on BAC-cloned control strains containing a SEAP reporter gene yielded similar results as previous studies done using more traditional techniques for known mutations. It newly validated some resistance mutations while not confirming a role in drug resistance for other *pol* sequence variants reasonably suspected to have one.

For the control resistance mutations in Table 1, findings confirm and help to interpret previous data that showed minor inconsistencies,<sup>2</sup> including borderline or low levels of GCV cross-resistance for the FOS-resistance mutations D588N, E756K, V781I and A809V, at just above or below the EC50 ratio of 2 used as a cutoff for resistance. Such mutations can combine with UL97 mutations to increase the overall level of GCV resistance.<sup>9</sup>

Three of the newly confirmed GCV-CDV resistance mutations (F412L, F412S, L545W) involve different amino acid changes at the same codons (412 and 545) as previously implicated in drug resistance<sup>2</sup>. Codon 412 now has 4 different amino acid changes (F412C/V/L/S) known to confer a similar GCV-CDV phenotype, whereas at codon 522, amino acid change P522L did not confer the GCV-CDV resistance associated with P522A or P522S,<sup>23</sup> indicating that mutations at the same codon cannot be assumed to have the same phenotype. Validation of Q578H as a FOS-resistance mutation with measurable GCV-CDV cross-

resistance highlights the widening range of *pol* codons involved in FOS resistance. The codon range 492–588 is a region containing exonuclease III and polymerase delta region-C homologies among DNA polymerases<sup>2</sup> and appears to be populated by both the typical exonuclease mutations conferring GCV-CDV dual resistance as well as FOS mutations (N495K, Q578H, D588N) with limited GCV or CDV cross-resistance.<sup>2</sup>

A number of *pol* sequence variants encountered in clinical specimens from treated subjects were considered plausibly linked to drug resistance because of their close proximity to proven resistance mutations, but C304S, V544A and A714V were not found to confer significant drug resistance. These variants add to an increasing list of *pol* amino acid changes that have been shown by recombinant phenotyping to confer no resistance to current drugs.<sup>18, 24</sup> Their appearance in diagnostic specimens could reflect previously unrecognized baseline sequence polymorphism. Alternatively, the sequence changes may modulate drug susceptibility only in certain sequence contexts or combinations, or simply be artifacts of diagnostic PCR and sequencing.

BAC-based recombinant phenotyping technology<sup>5, 18</sup> is advantageous, in part because the clonal character of BAC recombinants eliminates the need for CMV plaque purification in cell culture. The positive selection strategy chosen here (Fig. 1) for construction of BAC recombinants in *E. coli*, using a *Kan* cassette that was later removed to leave a residual 34-bp *Frt* motif that did not affect the drug resistance phenotype, is efficient and requires only a few clones to be screened for each recombinant construct (Fig. 2). An alternative counter-selection technique using deoxygalactose is available for introducing *pol* mutations using the same starting materials.<sup>7</sup> This creates final recombinants which do not have an extraneous *Frt* motif, but requires considerably more screening effort to eliminate defective BACs.

Overall, recent technical improvements speed the work flow of assigning a drug susceptibility phenotype to uncharacterized *pol* amino acid changes, of which many remain<sup>2, 25</sup> and require future analysis in order to refine the interpretation of genotypic resistance testing data.

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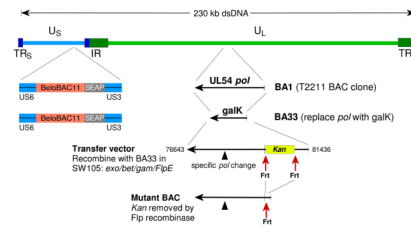
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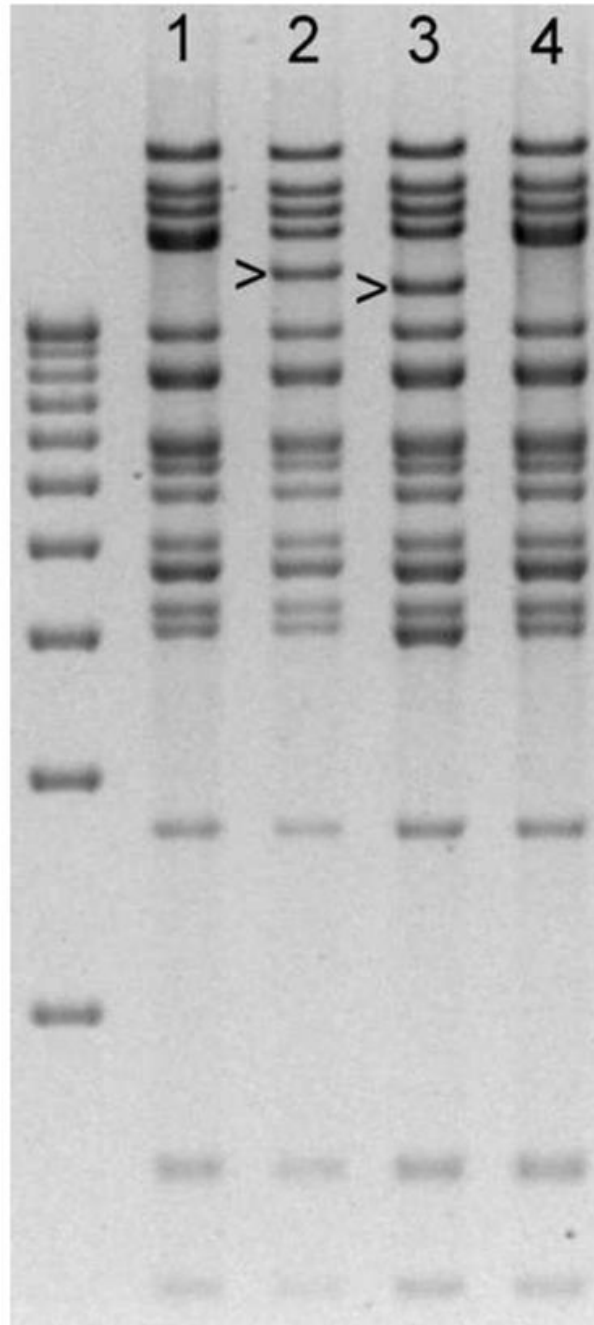
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### Figure 1. Construction of mutant BACs

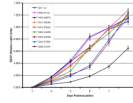
The entire UL54 DNA polymerase sequence of the SEAP reporter strain T2211 BAC clone BA1 was replaced with a bacterial *galK* cassette, forming clone BA33. The desired *pol* sequence configuration was then introduced by recombination of a transfer vector into BA33 and positive selection for the *Kan* marker, which was subsequently removed by an induced Flp recombinase. The recombinant BACs were transfected into fibroblast cultures to reconstitute live CMV.





**Figure 2. Restriction digest patterns of BAC DNA**

BAC DNAs were digested with enzyme HindIII and run on a field inversion agarose gel.<sup>5</sup> Lane 1: BA1; Lane 2: BA33; Lane 3: BA33 after recombination with transfer vector containing the Frt-*Kan* cassette; Lane 4: Final recombinant BAC after removal of *Kan* marker, restoring the original digest pattern of BA1 (lane 1). Bands distinctive to each BAC construct are indicated. Sequential changes in restriction digest patterns were used to monitor the progress of each recombinant virus construction. The left lane is a ladder of DNA size markers ranging from 3kb to 12kb at 1-kb intervals.



**Figure 3. SEAP growth curves of baseline and mutant BACs**

Viral strains were inoculated at a multiplicity of infection of 0.02 as calibrated by supernatant SEAP activity at 24 hours. On each of days 4 to 8 postinoculation, culture supernatant was sampled for SEAP activity using a chemiluminescent substrate. Growth curves show the mean and standard deviation of values from 3 to 4 replicates of each strain set up in parallel. A severely growth inhibited UL97 kinase-defective mutant (T2266) was included as an additional control. The legend lists the strains in top to bottom order according to the position of their corresponding growth curves between days 5 and 6.

Table 1

## Genotypes and Phenotypes of Recombinant Viruses

BAC	Virus	Mutation <sup>1</sup>	UL54 pol genotype				Ganciclovir				Foscarnet				Cidofovir			
			Baseline <sup>2</sup>	EC50 <sup>3</sup>	SD <sup>4</sup>	N <sup>5</sup>	Ratio <sup>6</sup>	EC50 <sup>3</sup>	SD <sup>4</sup>	N <sup>5</sup>	Ratio <sup>6</sup>	EC50 <sup>3</sup>	SD <sup>4</sup>	N <sup>5</sup>	Ratio <sup>6</sup>	EC50 <sup>3</sup>	SD <sup>4</sup>	N <sup>5</sup>
<b>Baseline Strains</b>																		
2211			S897L	1.06	0.27	71				33	9	42				0.18	0.05	41
BA31	3265		Fr, S897L	1.18	0.38	54				40	14	39				0.18	0.06	33
BA104	3413		Fr, S655L, N685S, A885T, N898D	1.23	0.35	14				42	17	9				0.19	0.04	8
<b>Control Resistant Mutants</b>																		
BA57	3313	<b>N408K</b>	Fr, S897L	<b>4.04</b>	1.60	36				32	7	9	0.8		<b>3.83</b>	0.57	8	<b>21</b>
BA102	3412	<b>F522S</b>	Fr, S897L	<b>2.83</b>	0.89	14	<b>2.4</b>			49	16	9	1.2		<b>0.43</b>	0.18	15	<b>2.4</b>
BA103	3408	<b>D588N</b>	Fr, S897L	<b>2.36</b>	0.57	13	<b>2.0</b>			<b>112</b>	33	12	<b>2.8</b>		0.24	0.08	13	1.3
BA116	3430	<b>E756K</b>	Fr, S897L	2.21	0.63	11	1.9			<b>141</b>	42	12	<b>3.5</b>		0.31	0.06	12	1.7
BA108	3417	<b>V781I</b>	Fr, S897L	<b>2.86</b>	0.76	13	<b>2.4</b>			<b>156</b>	41	10	<b>3.9</b>		0.27	0.09	11	1.5
BA37	3271	<b>A809V</b>	Fr	<b>2.36</b>	0.75	25	<b>2.0</b>			<b>160</b>	51	23	<b>4.0</b>		0.29	0.09	14	1.6
BA115	3429	<b>A987G</b>	Fr, A885T, N898D	<b>7.30</b>	2.28	9	<b>6.2</b>			35	9	11	0.9		<b>0.95</b>	0.34	21	<b>5.3</b>
<b>Newly Characterized Recombinant Phenotypes</b>																		
BA78	3365	C304S	Fr, S897L	1.59	0.46	11	1.3			44	15	12	1.1		0.25	0.12	11	1.4
BA35	3267	<b>F412L</b>	Fr, S897L	<b>5.37</b>	1.44	13	<b>4.6</b>			42	18	10	1.1		<b>1.70</b>	0.6	12	<b>9.4</b>
BA69	3334	<b>F412S</b>	Fr, S897L	<b>6.25</b>	2.85	14	<b>5.3</b>			34	13	9	0.8		<b>2.35</b>	1.01	12	<b>13</b>
BA71	3336	V544A	Fr, S897L	1.16	0.31	12	1.0			37	10	10	0.9		0.19	0.07	11	1.1
BA96	3400	<b>L545W</b>	Fr, S897L	<b>5.79</b>	1.90	12	<b>4.9</b>			53	13	11	1.3		<b>1.13</b>	0.49	11	<b>6.3</b>
BA112	3426	<b>Q578H</b>	Fr, S897L	<b>3.90</b>	1.35	13	<b>3.3</b>			<b>180</b>	54	10	<b>4.5</b>		<b>0.41</b>	0.14	10	<b>2.3</b>
BA70	3353	A714V	Fr, S897L	0.94	0.20	8	0.8			30	6	15	0.8		0.13	0.04	9	0.7

Items associated with drug resistance shown in **bold**.

BAC Bacterial artificial chromosome CMV clone name

Virus Serial number of recombinant CMV strain derived by transfection of BAC into fibroblasts

Fr Frt recognition site upstream of gene, left after removing Kan-R selection marker by Flp recombinase

<sup>1</sup> Targeted amino acid change introduced into BAC by recombination

<sup>2</sup> Other sequence variation from strain AD169

- <sup>3</sup> Mean drug concentration ( $\mu\text{M}$ ) required to reduce SEAP growth by 50% at 6 days post-infection
- <sup>4</sup> Standard deviation of the EC50 values
- <sup>5</sup> Number of assays (performed over at least 4 separate dates)
- <sup>6</sup> Ratio of EC50 to baseline strain