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# Fetal environment, epigenetics, and pediatric renal disease

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### Abstract

The notion that some adult diseases may have their origins in utero has recently captured scientists' attention. Some of these effects persist across generations and may involve epigenetic mechanisms. Epigenetic modifications, DNA methylation together with covalent modifications of histones, alter chromatin density and accessibility of DNA to cellular machinery, modulating the transcriptional potential of the underlying DNA sequence. Here, we will discuss the different epigenetic modifications and their potential role in and contribution to renal disease development.

#### Keywords

Epigenetics; Intrauterine environment; Histone modification; DNA methylation

## Introduction

During normal development, somatic cells descending from a single progenitor and containing a similar genotype differentiate to acquire diverse biological functions by expressing and repressing different sets of genes. During development, the genome is partitioned into active and inactive segments by establishing epigenetic marks (Fig. 1). This process occurs via modification of genetic material while the nucleotide sequence remains untouched. Later, epigenetic marks are maintained through cell division in order to retain cell identity. A classic example of epigenetics is genomic imprinting, a phenomenon in which the father and mother contribute different epigenetic patterns for specific genomic loci in their germ cells that are responsible for the clinical phenotype in certain pediatric diseases [1]. For example, Angelman and Prader Willi syndromes are both caused by partial deletion of chromosome 15q. However, they have different phenotypes; Angelman syndrome is characterized by severe mental retardation, absence of speech, microcephaly, facial dysmorphism, seizures, neonatal hypotonia, ataxic movements, and inappropriate laughter while patients with Prader-Willi syndrome are characterized by hypotonia, short stature, hyperphagia, obesity, behavioral issues, small hands and feet, hypogonadism, and

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Recent discoveries also indicate that epigenetic reprogramming can facilitate the dedifferentiation of cells back to pluripotent stem cells [4–6]. Environmental factors can also alter the epigenome and thereby the cellular phenotype. Although the role of epigenetics in cancer development is fairly well established, the evidence is now emerging that epigenetic changes play a role in renal disease and kidney development as well [7,8].

#### The case for the role of epigenetics in kidney disease

While studying Swedish boys who were exposed to famine during preadolescence, Pembrey et al. observed that paternal (not maternal) grandsons were less likely to die of cardiovascular disease [9,10]. The opposite effect was observed for females: only paternal (not maternal) granddaughters of women who experienced famine while in the womb lived shorter lives on average, suggesting trans-generational epigenetic inheritance.

Epidemiologic data shows an association between low birth weight and subsequent adult hypertension, diabetes, cardiovascular disease and chronic kidney disease (CKD) [11]. A direct correlation of low birth weight (LBW) with microalbuminuria has been reported in type 1 diabetics and in older nondiabetic persons [12]. LBW also directly correlates with albuminuria in type 2 diabetic Pima Indians, and with CKD progression [12]. Animal studies and indirect evidence from human studies support the hypothesis that LBW (a marker of adverse intrauterine circumstances) is associated with a congenital deficit in nephron number [12]. In humans, the final endowment of nephrons depends on the intrauterine environment and gestational age at birth as no new nephrons are formed after birth. Growthretarded stillbirth and liveborn infants with intra-uterine growth retardation (IUGR) demonstrate a reduced nephron number compared to control infants with birth weights appropriate for gestational age [13]. In adults, autopsy studies also reveal a significant correlation between birth weight, nephron number, and mean arterial pressure [14]. Normal birth weight, however, does not always indicate normal nephron number. Oligomeganephronia, a type of renal hypoplasia that results from arrested development of the metanephric blastema at 14 to 20 weeks of gestation, is characterized by a reduced nephron number and marked compensatory glomerular and tubular hypertrophy [15]. Recently, Hodgin et al. reported six cases of focal segmental glomerulosclerosis (FSGS) where the clinical and pathological findings were most consistent with the secondary form of FSGS in whom a history of prematurity and very low birth weight were the only identifiable risk factors for secondary FSGS [16]. These studies highlight the connection between the intrauterine environment, nephron number, and renal disease development.

Important factors influencing fetal development are malnutrition and uteroplacental insufficiency. Animal models of fetal growth restriction can be produced by maternal caloric or protein restriction, change in sodium or iron intake, placental embolization or surgical reduction of blood flow, and exposure to corticosteroids. These interventions often result in a marked reduction of nephron number, kidney function, and increased susceptibility for hypertension. Molecular mechanisms that have been implicated include inhibition of the renin-angiotensin system in utero, reduced circulating insulin-like growth factor 1, and increased apoptosis. In animal models, the reduction in nephron number is associated with compensatory glomerular hypertrophy leading to the development of hypertension and glomerulosclerosis, which in turn initiate a vicious cycle leading to further nephron loss and ultimately CKD development [11]. While the low nephron number hypothesis has been

developed by Barker and Brenner in the early 1960s, the underlying molecular mechanism of "intrauterine programming" and its implication on later life CKD is not yet known [12]. As epigenetic marks are established during development, we can speculate that epigenetic differences potentially play a role in this process (Fig. 1).

In addition to "fetal programming", studies indicate that similar "programming" might occur during adulthood as well. Data from the Epidemiology of Diabetes Interventions and Complications (EDIC) study, which followed 1,441 patients with type 1 diabetes after they completed the Diabetes Control and Complication Trial (DCCT), shows that early chronic exposure to a moderately high level of hyperglycemia has prolonged effects on diabetic complications during subsequent periods of improved glycemia, a phenomenon termed "metabolic memory" [17,18]. For example, atherosclerotic changes not present at the end of DCCT appeared subsequently in the previously higher HbA<sub>1c</sub> group, followed by a twofold increase in myocardial infarction, stroke, and cardiovascular death (Fig. 1). These changes occurred despite the fact that hemoglobin $A_{1c}$  (Hb $A_{1c}$ ) levels were identical in the two groups during the length of the study. Recent in vitro studies suggest that epigenetic differences and changes in histone modification occurring during periods of hyperglycemia might play a role in "hyperglycemic memory" [19,20]. However, it should be noted that the "metabolic memory" concept might present a risk of focusing attention on interventions associated with glycemic control, while diverting attention away from other modifiable risk factors, i.e. high blood pressure and hyperlipidemia [21].

#### Cell type specification, kidney development, and epigenetics

The adult kidney is composed of more than 20 different cell types; different specialized epithelial, endothelial, and stromal cells [22]. Local signaling molecules (including bone morphogenetic proteins, Wnt proteins) and DNA-binding proteins, such as Pax2/8 and Osr1 (odd skipped related), play key roles in kidney development [23]. During cell type specification in the kidney, the genome is partitioned into transcriptionally active (eurochromatin) and inactive areas (heterochromatin). This process is achieved by establishing specific epigenetic marks. Much remains to be learned about the different epigenetic modifications in the kidney. Recent studies, however, indicate that Pax2 plays an important role in determining epigenetic marks during renal development. Pax2 interacts with the adaptor protein PTIP and participates in promoting histone H3K4 methylation at kidney-specific loci in response to inductive signals [24]. Trimethylation of H3K4 promotes nucleosome remodeling, which in turn attracts the RNA polymerase II complex, commencing gene activation. These results suggest that Pax2/8 functions to provide locus and tissue specificity for epigenetic cues to restrict the developmental potential of the intermediate mesoderm to the renal lineage. In addition to the Pax2/PTIP axis, there are likely many other players awaiting discovery.

#### DNA methylation and CKD

In eukaryotes, DNA methylation entails the covalent addition of methyl groups at the 5position of cytosines [25,26]. This methylation occurs specifically on cytosines followed by guanines (CpG dinucleotides). In the human genome, CpG dinucleotides are generally concentrated in regions called CpG islands, which are preferentially located in gene promoter areas. Some physiological processes require DNA methylation of CpG islands [27,28], these include silencing of imprinted genes, where only one allele should be expressed in a progenitor-of-origin manner (i.e. only paternal or maternal expression) and X chromosome inactivation in women, a mechanism for dose compensation [29]. CpG dinucleotides not embedded in CpG islands are mainly located in repetitive or centromeric sequences while some are distributed in gene or intergenic regions. DNA methylation may

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affect the transcription of genes in two ways; first, the methylation of DNA may itself physically impede the binding of transcriptional proteins to the gene, and second, (likely more important) methylated DNA may be bound by proteins known as methyl-CpG-binding domain proteins (MBDs) [30]. MBD proteins recruit additional proteins to the locus, such as histone deacetylases and other chromatin remodeling proteins that can modify histones to form compact, inactive chromatin, termed silent chromatin. This link between DNA methylation and chromatin structure appears to be very important. In particular, loss of methyl-CpG-binding protein 2 (MECP2) has been implicated in Rett syndrome; and methyl-CpG-binding domain protein 2 (MBD2) mediates the transcriptional silencing of hypermethylated genes in cancer [31,32].

Maintenance methylation activity is necessary to preserve DNA methylation after every cellular DNA replication cycle. Without DNA methyltransferase (DNMT), the replication machinery itself would produce unmethylated daughter strands leading to passive demethylation over time. DNMT1 is the proposed maintenance methyltransferase responsible for copying DNA methylation patterns to daughter strands during DNA replication. Mouse models with both copies of DNMT1 deleted are embryonic lethal at approximately day 9, due to the requirement of DNMT1 activity for development in mammalian cells. It is thought that DNMT3a and DNMT3b are the de novo methyltransferases that set up DNA methylation patterns early in development.

Since many tumor suppressor genes are silenced by DNA methylation during carcinogenesis, there have been attempts to re-express these genes by inhibiting DNMTs. 5-Aza-2'-deoxycytidine (decitabine) is a nucleoside analog that inhibits DNMTs by trapping them in a covalent complex on DNA by preventing the  $\beta$ -elimination step of catalysis, resulting in the enzymes' degradation. However, for decitabine to be active, it must be incorporated into the genome of the cell, which can cause mutations in the daughter cells if the cell does not die. In addition, decitabine's therapeutic potential is undermined by its toxicity to bone marrow.

A report from the Zeisberg group indicates that DNA methylation patterns of kidney fibroblasts differ between control and diseased kidneys [33]. The differential DNA methylation appears to control fibroblast proliferation and contribute to the development of fibrosis. Mice treated with 5' azacytidine, where DNA methylation was inhibited, were protected from renal fibrosis, indicating that increased DNA methylation contributes to fibrosis development. This is the first report to support a direct role of DNA methylation in CKD development.

The methylation differences might even be established during development. Einstein et al. reported that IUGR subjects (compared to controls) possessed a distinctive number of consistent differences in methylation near genes involved in processes critical to stem cell function, including cell cycle and cellular maintenance [34]. A locus that emerged consistently in their pathway analysis was *HNF4A*, a gene already implicated in type 2 diabetes (T2DM), but not previously demonstrated to undergo epigenetic dysregulation in response to IUGR. *HNF4A* is best known as a monogenic cause for the autosomal dominant form of maturity onset diabetes of the young (MODY). They found differences in DNA methylation targeted to one of the *HNF4A* promoters, supporting a model of isoform variation of the gene being related to susceptibility to T2DM.

#### The histone code and kidney disease

Histones are strongly basic proteins found in eukaryotic cell nuclei, which package and order DNA into structural units called nucleosomes. Histones are highly conserved and grouped into five major classes: H1/H5, H2A, H2B, H3, and H4. They fall into one of two

super-classes: core histones (H2A, H2B, H3 and H4) or linker histones (H1 and H5). The nucleosome core particle, composed of two copies of each core histone, is wrapped by 147 base pairs of DNA in 1.65 left-handed super-helical turns. The N-terminal tails of the four core histone proteins H2A, H2B, H3 and H4 have biochemical sites for a range of posttranslational modifications, including acetylation, methylation, O-GlcNac modification, phosphorylation, SUMOylation, ADP-ribosylation, and ubiquitination [35]. Figure 3 describes in detail the different histone tail modifications. Appropriate enzymes facilitate all of these histone modifications. Methylation and demethylation of histones are carried out by histone methyltransferases and demethylases such as the jumonji protein family. The key enzymes involved in acetylation at lysine residues include histone acetyltransferases (HATs), histone deacetylases (HDACs) [30]. The final outcome of histone modification depends not only on the identity of the modified residue but also on the site of modification, i.e. methylation of histone H3 on lysine 4 and 36 (H3K4 and H3K36) is generally associated with an "open" euchromatin structure and transcriptional activation, whereas methylation of histone H3 on lysine 9 and 27 (H3K9 and H3K27) is generally associated with a "closed" heterochromatin structure and gene silencing (Figs. 2 and 3). To make things more complex, histone lysine tails can be monomethylated, dimethylated, or trimethylated. Trimethylated H3K4 (H3k4me3) is preferentially associated with gene activation and is proposed to promote gene expression through recognition by transcription-activating effector molecules, whereas histone H3 lysine 27 trimethylation (H3K27me3) is associated with repression. It is postulated that bivalent marks identify genes that are silent but poised for transcription [23,24] (Figs. 2 and 3).

In addition, it appears that the different histone modifications are not completely independent from each other. DNA methylation and histone modifications might function in close interplay with nucleosome remodeling and positioning complexes that bind trimethylated H3K4 and methyl CpG binding proteins and move nucleosomes on DNA by ATP-dependent mechanisms [36,37].

The different histone modifications in the human kidney have not yet been studied in the context of CKD. However, studies performed in various mouse models of kidney disease suggest potential key differences in the "histone code". Increased levels of renal H3K9 and H3K23 acetylation, H3K4 dimethylation and H3 phosphorylation at serine 10 were detected in mouse models of advanced diabetic nephropathy [38,39]. The authors speculate that these changes could enhance chromatin unfolding and contribute to active gene transcription. Reports from the Natarajan group also support the role of epigenetic modification in diabetic complications [40,41]. They found that the chromatin histone H3-lysine 4 methyltransferase, SET7/9, is a novel coactivator of NF-kappaB and that SET7/9 plays a key role in inflammation and diabetes. In addition, there are experimental examples demonstrating the influence of posttranslational histone modifications that influence renin cell identity in the kidney. Using a cultured renin linage cell system, Pentz et al. showed that renin gene expression memory is maintained in cultured cells and can be reenacted by cAMP and chromatin remodeling (histone H4 acetylation) [42]. Gomez et al. demonstrated that CREBbinding protein (CBP) and p300 (which possess histone acetyltransferase activity) are essential for renin cell identity and morphological integrity of the kidney [43].

#### Summary

Studies characterizing the role of epigenetic changes in human complex diseases are ongoing. The National Institute of Health is carrying out a major program initiative aimed at developing new epigenomic assays, generating reference epigenomic maps, and describing disease-specific epigenomic changes (epigenomics@nih.gov). Unlike the genetic sequence, the epigenome is under the influence of various environmental factors and might mediate the

relationship between genotype and internal and external environmental factors [44,45] (Fig. 1). Emerging evidence implicates epigenetics in CKD development, calling for new genome scale epigenomic and systems biology studies.

#### Acknowledgments

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### Question

Answers appear following the reference list.

- 1. Epigenetics (nongenetic causes of a phenotype) may involve processes of:
  - a. Genetic imprinting
  - b. Histone methylation
  - c. Cytosine methylation
  - d. RNA interference
  - e. All of the above
- 2. Euchromatin denotes the region in where DNA undergoes
  - a. Transcriptional activation
  - b. Demethylation
  - c. Methylation
  - d. Gene silencing
  - e. a and b
- 3. Active histone marks (H3K4me3 and H3K36me3) refer to:
  - a. Euchromatin
  - b. Trimethylation
  - c. Heterochromatin
  - d. a and b
  - e. All of the above

- **4.** The epigenetic modifications play a role in following diseases and physiologic processes:
  - a. Cancer
  - b. Organ development
  - c. Fetal programming
  - **d.** Diabetes
  - e. All of the above
- **5.** Differences in clinical phenotype between Prader-Willi and Angelman syndromes result from:
  - **a.** Histone acetylation
  - b. DNA demethylation
  - c. Expression of micro RNAs
  - **d.** Genetic imprinting
  - e. All of the above

#### Answers

- **1.** c
- **2.** e
- **3.** d
- **4.** e
- 5. d



#### Fig. 1.

The phenotype (or disease) development depends on genetic and epigenetic factors. During normal development, somatic cells descending from a single progenitor and containing a similar genotype will differentiate to acquire diverse biological functions by expressing and repressing different sets of genes via establishing new epigenetic marks. While the genotype of an individual does not change, hyperglycemia, uremia, different dietary and environmental factors might change the epigenome of cells leading to differences in gene and protein expression. Differences in the epigenotype might be responsible for the development of a differing (including disease) phenotype. While the genotype is stable, there is a more dynamic link between environmental factors and phenotype development



#### Fig. 2.

The effect of different external signals depends on epigenetic modification in the target cells. The cellular response to external signals may reflect chromatin-based (epigenetic) differences superimposed on the static genetic code. In this example, the 5-regulatory region of a disease susceptibility gene is depicted in cells. In some cells, the promoter assumes an "open" chromatin architecture characterized by activated histone posttranslational marks, decreased nucleosome density, and the lack of DNA methylation. RNA polymerase II is recruited to the promoter, resulting in productive transcription. Alternatively, in other cells the genetically identical promoter assumes a "closed" chromatin configuration characterized by repressive posttranslational marks, increased nucleosome density, and prominent DNA methylation. RNA polymerase II is not recruited to the promoter, and no transcription occurs. Transcriptionally competent euchromatin is usually associated with unmethylated DNA, and trimethyl H3K4me3 and H3K36me3 marks. Transcription-ally incompetent heterochromatin is generally associated with methylated DNA and trimethyl H3K27 marks

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#### Fig. 3.

Different histone tail modifications and their effects on gene transcription. **a** The different H3 histone tail modifications at the amino acid resolution level (aa 1-79) and their modifications, *ac* acetylation, *me* methylation, *ph* phosphorylation. Below is the most common histone modification and the effect on gene transcription i.e. repression and/or active transcription. **b** The different post-translational modifications of the different histone amino-acids