# Methylphenidate for gait impairment in Parkinson disease

A randomized clinical trial

A.J. Espay, MD, MSc
A.K. Dwivedi, PhD
M. Payne, MS, MBA
L. Gaines, BA
J.E. Vaughan, BPhil
B.N. Maddux, MD, PhD
J.T. Slevin, MD
M. Gartner, RN, MEd
A. Sahay, MD
F.J. Revilla, MD
A.P. Duker, MD
R. Shukla, PhD

Address correspondence and reprint requests to Dr. Alberto J. Espay, Department of Neurology, University of Cincinnati, 260 Stetson St., Suite 2300 (P.O. Box 670525), Cincinnati, OH 45267-0525 alberto.espay@uc.edu

## ABSTRACT

**Background:** There is a paucity of therapies for gait impairment in Parkinson disease (PD). Openlabel studies have suggested improved gait after treatment with methylphenidate (MPD).

Objective: To evaluate the efficacy of MPD for the treatment of gait impairment in PD.

**Methods:** Twenty-seven subjects with PD and moderate gait impairment were screened for this 6-month placebo-controlled, double-blind study. Subjects were randomly assigned to MPD (maximum, up to 80 mg/day) or placebo for 12 weeks and crossed over after a 3-week washout. The primary outcome measure was change in a gait composite score (stride length + velocity) between groups at 4 and 12 weeks. Secondary outcome measures included changes in motor function, as measured by the Unified Parkinson's Disease Rating Scale (UPDRS), Freezing of Gait Questionnaire (FOGQ), number of gait-diary freezing episodes, and measures of depression, sleepiness, and quality of life. Three-factor repeated-measures analysis of variance was used to measure changes between groups.

**Results:** Twenty-three eligible subjects with PD were randomized and 17 completed the trial. There was no change in the gait composite score or treatment or time effect for any of the variables. Treatment effect was not modified by state or study visit. Although there was a trend for reduced frequency of freezing and shuffling per diary, the FOGQ and UPDRS scores worsened in the MPD group compared to placebo. There was a marginal improvement in some measures of depression.

**Conclusions:** MPD did not improve gait and tended to worsen measures of motor function, sleepiness, and quality of life.

**Classification of evidence:** This study provides Class III evidence for the lack of benefit of MPD on PD-associated gait impairment. Clinical trial registration: NCT00526630. *Neurology*<sup>®</sup> **2011;76: 1256-1262** 

## GLOSSARY

**ESS** = Epworth Sleepiness Scale; **FOGQ** = Freezing of Gait Questionnaire; **GDS** = Geriatric Depression Scale; **MADRS** = Montgomery-Åsberg Depression Rating Scale; **MPD** = methylphenidate; **PD** = Parkinson disease; **STN DBS** = subthalamic deep brain stimulation; **UPDRS** = Unified Parkinson's Disease Rating Scale.

Gait impairment in the form of shuffling and freezing of gait often occurs during the course of Parkinson disease (PD), generating substantial disability.<sup>1</sup> Dopaminergic treatments and currently available surgical therapies are often insufficiently effective for gait disorders in PD.<sup>2,3</sup> Freezing of gait has been proposed to result in part from the noradrenaline reduction due to locus ceruleus degeneration and the subsequent dopamine-noradrenergic imbalance.<sup>4</sup> Methylphenidate (MPD, Ritalin<sup>®</sup>), an amphetamine-like psychomotor stimulant, is a candidate to support this hypothesis given its inhibitory action on the striatal and cortical presynaptic transporter for dopamine<sup>5</sup> and norepinephrine,<sup>6</sup> thus enhancing synaptic levels of both neurotransmitters.

Three open-label studies have reported efficacy of MPD in PD-associated gait impairment. In the first, gait speed, stride time variability, and a timed gait test improved following a single 20-mg dose of MPD given to 21 patients with PD.<sup>7</sup> In the second, total walking time, total freezing time,

Supplemental data at www.neurology.org

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From The Neuroscience Institute, Department of Neurology, Movement Disorders Center (A.J.E., M.P., L.G., J.E.V., B.N.M., M.G., A.S., F.J.R., A.P.D.), and Center for Biostatistical Services, Department of Environmental Health (A.K.D., R.S.), University of Cincinnati, Cincinnati, OH; and Veterans Affairs Medical Center and Department of Neurology (J.T.S.), University of Kentucky Medical Center, Lexington. *Study funding:* Supported by the Michael J Fox Foundation.

number of freezing episodes, and nonfreezing walking time improved after a single 10-mg dose of MPD given to 5 patients with PD.8 In the third, freezing episodes decreased and timed gait improved after a daily 50-80 mg dose of MPD given for 3 months to 17 subthalamic deep brain stimulation (STN DBS)-treated patients with PD.9 We sought to study in a double-blind, placebo-controlled manner the extent to which MPD improves gait in a population of patients with advanced PD in whom festination and freezing of gait have become a primary source of disability. We hypothesized that daily treatment with a maximally tolerable daily oral dose of MPD would improve gait velocity, stride length, and cadence, and decrease freezing of gait in adult patients without dementia with moderately advanced PD and moderately severe gait impairment (Hoehn & Yahr stage 2–3).

METHODS Subjects and design. We recruited consecutive consenting patients with PD, between the ages of 35 and 85 years, with mild to severe gait disturbance with score >1 on the motor subscale of the Unified Parkinson's Disease Rating Scale (UPDRS) item 29 in the "on" state but without need for a continuous ambulatory aid such as a walker or wheelchair (Hoehn & Yahr stage 3). Patients were on a stable dose of antiparkinsonian medications and were expected to require no medication adjustments during the course of the study. The following exclusion criteria were applied: 1) musculoskeletal disorders such as severe arthritis, post knee surgery, hip surgery, or any other condition that the investigators determine may impair assessment of gait; 2) previous treatment with DBS; 3) history of stroke; 4) cerebellar, vestibular, or sensory ataxia; 5) Mini-Mental State Examination score <25; 6) concurrent use of, or within 2 weeks from discontinuing, MAO inhibitor drugs (selegiline, rasagiline); and 7) women of childbearing potential. The study followed a double-blind crossover prospective experimental design. All subjects underwent a standardized preliminary assessment of their gait using validated rating scales (see Clinical interventions) as well as structured gait and balance assessments both before and after their randomization into one of the study arms. A 3-week washout was deemed sufficient to prevent bias related to carryover effects from the first treatment. All study-related assessments took place twice: first during the "practically defined off period," at least 12 hours from the last dose of any antiparkinsonian medication,10 and then 30 to 60 minutes later, during the "on" state, once the subject's usual dopaminergic dose, administered as levodopa equivalents,11 led to maximum clinical benefits.

Standard protocol approvals, registrations, and patient consents. The study protocol was approved by the University of Cincinnati Institutional Review Board and all subjects provided informed consent. The clinical trial identifier number assigned by clinicaltrials.gov was NCT00526630.

**Dosage schedule.** Patients received a maximum dose of 1 mg/kg of MPD divided in 3 doses (at 8 AM, noon, and 4 PM). A 4-week titration period was used, with 0.25-mg/kg increments per week until achieving the weight-adjusted target dosage, which ranged from 5 to 8 10-mg tablets per day. The maximum daily dose was 80 mg/day, similar to the target used in the French open-label trial.<sup>9</sup> Tolerability of MPD was monitored for each patient throughout the treatment period and, if necessary, dose reduction was permitted. Compliance with medication schedules was determined through pill counts.

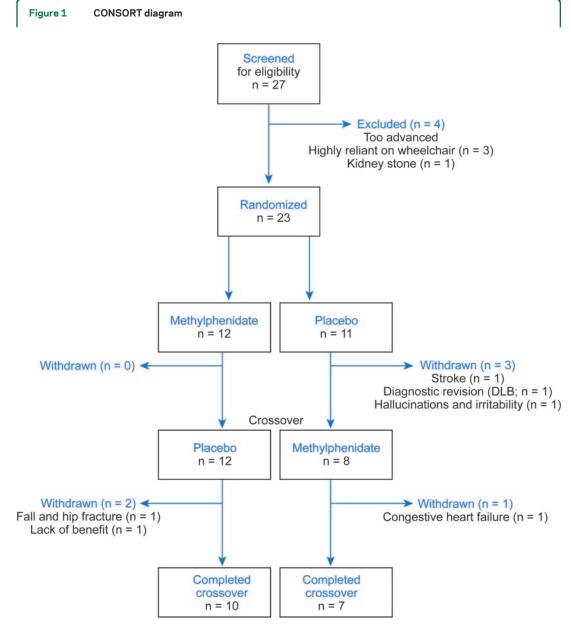
**Gait measurements.** We used a valid and reliable gait analysis system (GAITRite, CIR Systems, Inc., Havertown, PA),<sup>12,13</sup> consisting of an electronic walkway measuring 24 inches (61 cm) wide and 144 inches (366 cm) long, with a total of 13,824 embedded sensors. Accompanying software controlled the functionality of the walkway, processed the raw data into footfall patterns, and computed the temporal (timing) and spatial (distance) gait variables, including, among others, stride length, cadence, velocity, single and double support, and stance and swing phases. Data were collected in the "off" and "on" state after subjects walked on a predefined 10-m path, beginning and ending at the same point over a roundtrip path. The path included the 3.66-meter electronic walkway, which recorded initiation of gait, straight walk, and turns.

Clinical interventions. For each of the 6 assessments, participants' degree of motor impairment was rated by the primary investigator (A.J.E.) using the UPDRS14 and Hoehn & Yahr15 scales during the "off" and "on" states. Gait function at home was ascertained with the self-administered Freezing of Gait Questionnaire (FOGQ)<sup>16</sup> and the gait diary, detailing the incidence and time spent freezing, tripping, and falling, on an hourly basis and according to medication state ("off" and "on"). From these data the hours spent freezing and falling were collated for analysis. To examine the effect of MPD on mood, we included the clinician-administered Montgomery-Åsberg Depression Rating Scale (MADRS), considered the most widely used depression-measuring instrument in treatment trials.<sup>17,18</sup> This scale is validated, reliable, and as sensitive to depression as the Hamilton Depression Rating Scale, devised primarily for use on patients already diagnosed with affective disorders.<sup>19</sup> Two self-administered scales complemented the MADRS: the 15-item Geriatric Depression Scale (GDS-15) and the 20-item Zung Self-Rating Depression scale.<sup>20</sup> The Zung scale has been validated both as a screening instrument and as one sensitive to change. To assess quality of life and activities of daily living, we used the EQ-5D Health Questionnaire<sup>21</sup> and the activities of daily living subcomponent of the UPDRS (part II). Finally, Epworth Sleepiness Scale (ESS)22 was applied to document any wake-enhancing effects associated with MPD.

**Outcome measures.** The primary outcome measure was the change in the gait composite score of GAITRite-measured velocity and stride length between the MPD and placebo groups, at week 12 after completion of each study arm. Cadence was not incorporated into the gait composite score given its poor sensitivity to capturing changes in gait.<sup>23</sup> Secondary outcome measures included changes in gait diary (number of freezing episodes and falls), FOGQ (total score), MADRS, EQ-5D, and ESS between days 1 and 84; that is, at the end of week 12 for each study arm.

**Statistical analyses.** Assuming an effect size of 20% as reported by the timed gait test outcome from the French study,<sup>9</sup> and a SD of 0.30, we had 80% power, allowing for a type I error

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DLB = dementia with Lewy bodies.

of 5%, of detecting significant differences with 10 subjects randomized into each treatment arm (total of 20 patients), in the paired design of this crossover trial. To account for anticipated dropouts, the recruitment goal was a total sample of 24 patients.

Three-factor repeated-measures analysis of variance was used to evaluate for statistically significant changes in the gait composite scores between groups (MPD, placebo), while also accounting for variation due to state ("off"/"on") and time (baseline, 4-week visit, 12-week visit) and their interactions with group. The combined effect of treatment at the second visit (after dose titration) and the third visit (after 8 weeks at the stable target dose) was explored. For outcome variables of interest, the average value at the second and third assessments was determined. The relative change was computed as (average at second and third visit – baseline assessment value)/baseline assessment value. The relative change of the variables was compared between groups using paired t test. Fisher exact test was performed to compare the proportions of patients withdrawn between groups before and after crossover. All statistical analyses were calculated using SAS 9.0. Predetermined significance level was for *p* values less than 5%.

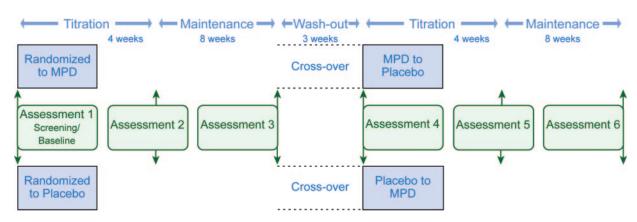
**RESULTS Patient disposition and characteristics.** A total of 27 patients (22 male) were screened during the study period (figure 1). Twenty-three subjects were randomized and 17 completed the entire 6-month study evaluations (figure 2). The mean dose of MPD was  $64.4 \pm 18.7$  mg/day. There was a greater dropout rate than anticipated, likely due to complications inherent to a moderately advanced PD population. The withdrawal rate was comparable between groups before (p = 0.09) and after crossover (p = 1.00), though it was clearly greater in the placebo arm. Of the 6 subjects who

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Figure 2



MPD = methylphenidate.

withdrew from the study, only one was in the MPD arm (figure 1).

**Outcome measures.** Little change was noted between baseline and last visit (table 1). There was no treatment or time effect for any of the variables. The expected state effect (improvements in the "on" vs "off" state) was observed in all the variables (table 2).

Table 1         Baseline and treatment measures				
	Baseline, mean ± SD	MPD, last visit, mean ± SD	Placebo, last visit, mean ± SD	
Age, y	$67.6 \pm 9.6$			
Disease duration, y	$\textbf{10.9} \pm \textbf{11}$			
Levodopa equivalents, mg	$\textbf{1,630} \pm \textbf{494.5}$			
UPDRS, "off " state	$29.89\pm7.6$	$\textbf{32.5} \pm \textbf{11.78}$	$\textbf{31.58} \pm \textbf{8.37}$	
UPDRS, "on" state	$\textbf{18.39} \pm \textbf{8.21}$	$\textbf{21.18} \pm \textbf{12.73}$	$\textbf{20.33} \pm \textbf{10.48}$	
H&Y off	$\textbf{2.61} \pm \textbf{0.63}$	$2.56\pm0.68$	$\textbf{2.61} \pm \textbf{0.68}$	
H&Y on	$2.15\pm0.34$	$\textbf{2.29} \pm \textbf{0.53}$	$2.25\pm0.39$	
MADRS	9.44 ± 5.93	$5.79\pm5.24$	$\textbf{6.71} \pm \textbf{6.2}$	
GDS	$10.12\pm5.78$	$\textbf{7.83} \pm \textbf{5.85}$	$\textbf{10.12} \pm \textbf{6.85}$	
Zung	$42.25\pm8.5$	$39.67 \pm 7.9$	$\textbf{39.33} \pm \textbf{8.11}$	
ESS	$11.24\pm3.53$	$\textbf{11.05} \pm \textbf{4.55}$	$\textbf{10.71} \pm \textbf{3.7}$	
EQ-5D	$68.67 \pm 13.56$	$65 \pm 25.7$	$\textbf{63.94} \pm \textbf{20.71}$	
FOGQ	$12.71\pm3.87$	$12.31\pm2.73$	$12.56\pm4.11$	
Diary-shuffling, h	$\textbf{9.1}\pm\textbf{3.7}$	$\textbf{7.67} \pm \textbf{5.02}$	$\textbf{6.15} \pm \textbf{4.08}$	
Diary-freezing, h	$5.4\pm4.11$	$\textbf{3.07} \pm \textbf{2.99}$	$\textbf{3.25} \pm \textbf{3.02}$	
Stride length off, cm	$\textbf{82.15} \pm \textbf{29.9}$	$\textbf{86.53} \pm \textbf{19.81}$	$70.73 \pm 26.85$	
Stride length on, cm	$103.35\pm24.24$	$107.66\pm26.04$	$\textbf{112.29} \pm \textbf{21.85}$	
Velocity off, cm/s	$74.66\pm30.66$	$\textbf{77.49} \pm \textbf{14.75}$	$64.84 \pm 23.94$	
Velocity on, cm/s	$95.76\pm25.38$	$96.96 \pm 22.38$	$99.24 \pm 15.16$	
Cadence off, steps/min	$112.31\pm25.07$	$109.95 \pm 13.25$	$\textbf{118.89} \pm \textbf{28.06}$	
Cadence on, steps/min	$\textbf{110.74} \pm \textbf{14.89}$	$\textbf{110.61} \pm \textbf{12.45}$	$\textbf{109.91} \pm \textbf{11.93}$	

Abbreviations: ESS = Epworth Sleepiness Scale; FOGQ = Freezing of Gait Questionnaire; GDS = Geriatric Depression Scale; H&Y = Hoehn & Yahr; MADRS = Montgomery-Åsberg Depression Rating Scale; MPD = methylphenidate; UPDRS = Unified Parkinson's Disease Rating Scale.

The effect of treatment (group) was not affected by state or study visit (time). About a third of patients or their caregivers reported subjective gait benefits within the first 3 weeks of titration, with a loss of such benefit after increasing doses as stipulated in the protocol. These patients were allowed to return to the dose they believed was effective but a restoration of their perceived benefit was rarely regained as measured by the blinded primary investigator.

Comparison of relative change variables. Given similar outcomes at 1 and 3 months, we also explored the combined outcome of these study visits (table e-1 on the Neurology<sup>®</sup> Web site at www.neurology.org; figure 3). Although there was a lower frequency of freezing and shuffling in the MPD group as measured per diary, the gait composite was not improved (p = 0.08 in the "off" state, p = 0.91 in the "on"state) and the FOGQ marginally favored placebo (p = 0.11). Further, overall motor function, as measured by UPDRS, tended to worsen with MPD. Post hoc analysis showed neither clinical nor statistical effect of MPD on axial UPDRS items (neck rigidity, posture, and postural stability), singly or in isolation. There was no clear benefit for depression as MADRS worsened in the MPD group even though the selfreported GDS and Zung scores improved. There were no improvements in sleepiness or quality of life.

**Tolerability.** Peak-dose dyskinesias, hypersexuality, mania, irritability, and sweating were among the changes noted more commonly among those on MPD (table e-2). Surprisingly, lack of energy or decreased strength was reported by 25% in this group. Nevertheless, 10 of 19 patients requested MPD as part of their poststudy medication regimen.

**DISCUSSION** This is the first double-blind placebo-controlled trial to explore a possible benefit of MPD on freezing of gait in patients with PD.

Neurology 76 April 5, 2011 1259 Copyright © by AAN Enterprises, Inc. Unauthorized reproduction of this article is prohibited. Table 2 Effect of treatment (MPD vs placebo), time, and state on outcome measures using 3-factor repeated measure analysis of variance (p values)

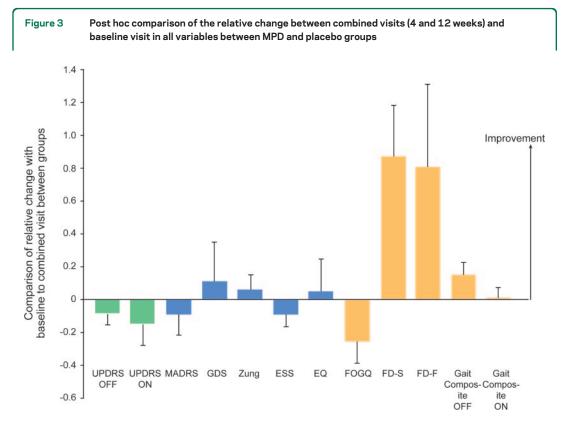
Variables	Treatment effect	State effect	Time effect
UPDRS III	0.58	<0.0001	0.27
Hoehn & Yahr	0.88	0.0036	0.69
MADRS	0.65	N/A	0.08
Geriatric Depression Scale	0.49	N/A	0.75
Zung scale	0.41	N/A	0.11
Epworth Sleepiness Scale	0.78	N/A	0.23
EQ-5D health questionnaire	0.87	N/A	0.62
Freezing of Gait Questionnaire	0.60	N/A	0.42
Diary-shuffling	0.66	N/A	0.27
Diary-freezing	0.17	N/A	0.84
Gait composite score	0.46	0.0150	0.59

Abbreviations: MADRS = Montgomery-Åsberg Depression Rating Scale; MPD = methylphenidate; UPDRS = Unified Parkinson's Disease Rating Scale.

> Contrary to open-label data, MPD did not improve gait as assessed by FOG-Q, the freezing diary, and kinematic variables except for a slight improvement of the gait composite score in the "off" medication state. Further, motor function, as measured by UPDRS, and depression, as measured by MADRS, tended to deteriorate in patients treated with MPD

compared to placebo. Unexpectedly, there were no benefits in sleepiness or quality of life measures. The diary-only trend for improvement of freezing and shuffling in the MPD group is of questionable value, as most subjects correctly identified their study drug allocation when queried after all study assessments were completed. This may have induced a logging of their freezing and shuffling that did not correspond with more objective measures, including the FOGQ. Our results are also tempered by a dropout larger than anticipated in the placebo arm (5/6 withdrawn patients were on placebo), which may be explained by the fragility of the advanced PD population. The observed effect size for the primary outcome measure of change in gait composite score was rather small (15% in the "off" state and 1% in the "on" state). While the sample size of the study became smaller than expected due to the large dropout rate, it is unlikely that an increase of sample size would have given us statistically significant differences, particularly in the "on" state, when the benefits for gait would have been most relevant.

Of interest, over half of participating subjects requested MPD for poststudy routine treatment, suggesting a potential benefit of the drug in unmeasured nonmotor domains, perhaps attention or executive



Values above 0 indicate improvement for the MPD group compared to placebo. ESS = Epworth Sleepiness Scale; EQ = EQ-5D Health Questionnaire; FD-S and FD-F = freezing diary for shuffling and freezing; FOGQ = Freezing of Gait Questionnaire; GDS = Geriatric Depression Scale; MADRS = Montgomery-Åsberg Depression Rating Scale; UPDRS = Unified Parkinson's Disease Rating Scale.

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function. Studies showing the enhanced attention among subjects given MPD in a single dose7 or over a longer period (in STN DBS patients, simple reaction task among subjects with PD without dementia)9 support the hypothesis that MPD's effect on attention may have justified the subjects' choice to continue this drug after the completion of a negative trial. It is possible that this mechanism or a "halo effect" (the perception that any gait benefits of MPD are influenced by the purported wake- or attentionenhancing properties of this drug) may have contributed to the modest diary-only improvements in gait as well as the choice of many subjects to continue on the drug after the trial. Unfortunately, most studies (including ours) have not incorporated measures of attention or executive function, important shortcomings when considering the growing body of evidence linking gait to higher cognitive functions.<sup>24,25</sup>

Many of our subjects and caregivers reported a subjective benefit within the first 3 weeks of their study participation, but this change was not sustained and certainly not documented after 4 or 8 weeks. As such, the immediate benefit noted in patients participating in the single-dose open-label studies that supported the rationale for the current trial<sup>7,8</sup> may have been largely driven by a placebo effect. Alternatively, lower MPD doses (10-20 mg/ day) used in these single-dose studies may be more effective than higher ones (50-80 mg/day) used in the 3-month study on STN DBS-treated subjects with PD reporting freezing<sup>9</sup> and in our clinical trial ( $\sim$ 65 mg/day). This explanation could account for the transient benefit noted in this study during the early titration phase, when the MPD dose would have been closer to 10-20 mg/day. A third possibility is that the higher levodopa doses taken by our subjects compared to the previous open-label highdose MPD study (1,630 mg vs 675 mg)<sup>9</sup> may have limited MPD benefits because a ceiling effect was reached in presence of higher exogenous dopamine concentrations. Finally, high and low MPD doses could arguably exert distinct pharmacodynamic actions, explaining the apparently paradoxical worsening in sleepiness, quality of life, and even overall motor function as target doses were reached. It must be pointed out, however, that the presumed positive effects of MPD on sleepiness and quality of life, although plausible, have never been confirmed in a controlled clinical trial.26 MPD has only been carefully examined in PD-associated fatigue.27

Current treatment strategies, particularly manipulations of dopaminergic drugs, have failed to provide meaningful improvement in, and attenuate the disability derived from, PD-associated gait impairment. Ascertaining benefits in a controlled study using nondopaminergic approaches to the complex problem of gait festination and freezing is a major unmet need in enhancing the quality of life of those with moderate and advanced PD. Despite promising open-label data for the readily available (and generally safe) MPD, our randomized controlled clinical trial failed to ascertain benefits. The data obtained here argue against the use of MPD for the gait impairment in PD but do not negate a potential effect on nonmotor areas, such as attention or executive function. If a link between gait and higher cognitive functions were to be confirmed, efforts at augmenting gait function in PD may need to move from dopamine- and norepinephrine-based therapies to enhancing acetylcholine concentrations in the striatum, cerebellum, and thalamus, where cholinergic deficits correlate with disturbances of balance and gait.28 Besides the currently available acetylcholinesterase inhibitors, an attractive option is varenicline, an  $\alpha$ -4  $\beta$ -2 nicotinic acetylcholine receptor agonist, for which there is preliminary evidence of efficacy in patients with spinocerebellar ataxia.29 Further research in nondopaminergic, possibly cholinergic therapies is warranted as a strategy to mitigate gait impairment in patients with PD.

#### **AUTHOR CONTRIBUTIONS**

Statistical analysis was conducted by Dr. A.K. Dwivedi and Dr. R. Shukla.

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#### DISCLOSURE

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Neurology 76 April 5, 2011 1261 Copyright © by AAN Enterprises, Inc. Unauthorized reproduction of this article is prohibited. Parkinson's Disease and Movement Disorders. Dr. Duker serves on the speakers' bureau for Allergan, Inc. Dr. Shukla has received research support from the NIH.

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