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# Cells lacking IkB kinase $\alpha$ (IKK $\alpha$ ) demonstrate nuclear cyclin D1 overexpression and a neoplastic phenotype: Role of IKK $\alpha$ as a Tumor Suppressor

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# Abstract

IKKα and IKKβ, catalytic subunits of IκB kinase (IKK) complex are involved in activation of NF- $\kappa B$  and in mediating a variety of other biological functions. Though these proteins have a high sequence homology, IKK $\alpha$  exhibits different functional characteristics as compared to IKK $\beta$ . Earlier, we have demonstrated that cyclin D1 is over-expressed and predominantly localized in the nucleus of IKK $\alpha^{-/-}$  cells, indicating that IKK $\alpha$  regulates turnover and sub-cellular distribution of cyclin D1, which is mediated by IKK $\alpha$ -induced phosphorylation of cyclin D1. Since cyclin D nuclear localization is implicated in tumor development, we examined whether the absence of IKK $\alpha$  leads to tumor development as well. In the current study, we demonstrate that IKK $\alpha$  plays a critical role in tumorigenesis. Though IKK $\alpha^{-/-}$  MEF cells demonstrate a slower anchoragedependent growth, they are clonogenic in soft agar. These cells are tumorigenic in nude mice. Microarray analysis of IKK $\alpha^{-/-}$  cells indicates a differential expression of genes involved in proliferation and apoptosis. Further, analysis of microarray data of human lung cancer cell lines revealed decreased IKKα RNA expression level as compared to cell lines derived from normal bronchial epithelium. These results suggest that IKKα may function as a tumor suppressor gene. Absence of IKK $\alpha$  may induce tumorigenicity by nuclear localization of cyclin D1 and modulating the expression of genes involved in neoplastic transformation.

# INTRODUCTION

Several studies suggest the role of the NF- $\kappa$ B pathway in neoplasia and constitutive activation of the pathway has been demonstrated in a variety of tumor types (1,2). The I $\kappa$ B kinase (IKK) complex is critical for activation of the NF- $\kappa$ B pathway (3–8) and consists of catalytic subunits IKK $\alpha$  and IKK $\beta$ , and a regulatory subunit IKK $\gamma$ /NEMO. Despite of their structural and biochemical similarities, IKK $\alpha$  and IKK $\beta$  appear to be functionally distinct (9,10). IKK $\beta$  is the major kinase for NF- $\kappa$ B activation by canonical pathway, while the role

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of IKK $\alpha$  appears redundant in phosphorylation of IkB. However, several recent observation suggest different biological functions of IKK $\alpha$  including phosphorylation of p100 (11), transcriptional regulation of gene expression (12) and a role in post-translational modification of cyclin D1 (13). IKK $\alpha^{-/-}$  mice demonstrate a phenotype characterized by severe defects of skin and limb development, which is distinct from that of IKK $\beta$  or IKK $\gamma$  knockout mice. The epidermal cells in IKK $\alpha$  knockout mice demonstrate increased proliferation with dysregulated epidermal differentiation, a role possibly independent NF- $\kappa$ B (14–18).

Several studies demonstrated that altered cyclin D1 expression or sub-cellular distribution is associated with a variety of neoplasms including breast, colon, esophagus, lung, and mantle cell lymphoma (19–21). Increased expression or nuclear localization of cyclin D1 likely plays a major role in development of these tumors (22–24). Earlier it was shown that GSK-3 $\beta$  phosphorylates cyclin D1 at T286, which is required for nuclear export during the S phase of the cell cycle and subsequent proteolysis (25,26). Recently, we demonstrated that IKK $\alpha^{-/-}$  cells exhibit nuclear localization of cyclin D1 at T286 required for phosphorylation of cyclin D1 at T286 (13). Mutation of cyclin D1 at T286 results in constitutive nuclear distribution. Cyclin D1 T286A mutant in murine cells induces cellular transformation *in vitro* and leads to tumor development in nude mice. These findings suggest that constitutive nuclear localization of cyclin D1 is tumorigenic. Further studies with a splice variant of cyclin D1 (cyclin D1b), which lacks C-terminus (including T286) required for its nuclear export, remains distributed in the nucleus similar to cyclin D1 T286A mutant (27,28). Cells expressing cyclin D1b variant acquire a neoplastic phenotype and clinically this variant has been described in esophageal cancer (28).

Hyperplasia of epidermal cells in IKK $\alpha^{-/-}$  mice also suggested a role of IKK $\alpha$  in cellular proliferation (14,15). While investigating the role of IKK $\alpha$  in cellular proliferation, we demonstrated that cyclin D1 is localized constitutively in the nucleus of IKK $\alpha^{-/-}$  cells (13). As nuclear localization of cyclin D1 is associated with neoplasia, we investigated whether IKK $\alpha$  regulates cellular transformation and tumor development. In this study, we demonstrate that IKK $\alpha^{-/-}$  cells exhibit anchorage-independent growth in soft agar and are tumorigenic in athymic nude mice. Further, experiments suggest that there is decreased expression of IKK $\alpha$  in a panel of lung cancer cell lines by microarray analysis. Comparison of microarray data on IKK $\alpha^{-/-}$  with IKK $\alpha$  reconstituted cells suggest that IKK $\alpha$  regulates tumorigenicity by modulating expression of genes known to play a critical role in neoplastic transformation.

# MATERIAL AND METHODS

# **Cell lines**

Wild-type mouse embryonic fibroblast (MEF) were a kind gift from Xiaodong Wong. IKK $\alpha^{-/-}$  and IKK $\beta^{-/-}$  cells were kindly provided by Inder M. Verma (15). A Moloneybased retrovirus expressing Myc-tagged IKK $\alpha$  or  $\beta$ -galactosidase was utilized with hygromycin selection to generate stable cell lines from parental IKK knockout cells, expressing IKK $\alpha$  (IKK $\alpha^{+/+}$ ),  $\beta$ -galactosidase (IKK $\alpha^{-/-}$ ), or IKK $\gamma^{-/-}$ , and has been described previously (12). The IKK $\alpha^{-/-}$  cells generated were similar to parental IKK $\alpha$  knockout cells and were used to perform different experiments described previously (13). HeLa and 293 cells were obtained from ATCC. All cells were maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% (v/v) fetal bovine serum (FBS).

## Western blot analysis

Immunoblotting was performed with IKK $\alpha$  (Oncogene, OP-133), IKK $\beta$  (Santa Cruz, sc-7607), cyclin D1 (Santa Cruz, sc-718, sc-72-13G), Actin (Santa Cruz, sc-1615) as described earlier (13,29).

# Soft agar growth assay

Wild-type MEF,  $IKK\alpha^{-/-}$ , and  $IKK\alpha^{+/+}$  cells were tested for their growth in soft agar plates.  $IKK\beta^{-/-}$ ,  $IKK\gamma^{-/-}$  cells were used as control. One ml of 0.6% Bacto-Agar (Becton Dickinson) in DMEM was poured into 6-well plate. After bottom layer was solidified, 1 ml of cell suspension in 0.4 % agar (2 ml of  $3 \times 10^4$ /ml cells mixed with 4 ml of 0.6% Bacto-Agar) was poured on the top of solidified bottom layer. Final concentration of FBS in the top layer was 10% in 1X DMEM. Colonies were counted and photographed after 10 to 14 days of incubation at 37 °C.

# **Tumorigenicity assays**

Wild-type MEF, IKK $\alpha^{-/-}$ , and IKK $\alpha^{+/+}$  cells were harvested and washed twice in 10% FBS-containing DMEM media, and the number of viable cells was counted by trypan blue exclusion. Six week-old immunocompromised athymic female nude mice (Swiss nu/nu nude) obtained from Charles River (Wilmington, MA) were injected subcutaneously with  $5 \times 10^6$  cells in a volume of 0.5 ml PBS. The size of the tumor was measured at every two days. Mice were sacrificed when tumor size was more than 2 centimeters in largest dimension. Institutional guidelines and an Animal Research Committee-approved protocol were followed for mouse studies.

# In vivo BrdU labeling

Athymic mice bearing tumor were injected with 350 µl of BrdU (10 mg/ml) or PBS as control twice, 24 and 4 hours prior to sacrificing. Frozen tumor sections were prepared, and BrdU staining was performed using BrdU *In-Situ* Detection Kit (BD Biosciences) following a method as suggested by the manufacturer. Diaminobenzidine (DAB) was used as chromogen.

#### Immunofluorescence microscopy

Paraffin embedded tumor sections were prepared from tumors induced by injection of  $IKK\alpha^{-/-}$  cells in athymic mice. These sections were deparaffinised and boiled with antigen retrieval solution for 1 hour. Antigen staining was performed with overnight incubation of these sections with antibody directed against either cyclin D1 (Santa Cruz, sc-72-13G) or IKK $\alpha$  (Oncogene, OP-133). Then secondary antibody conjugated with Texas Red was added to the section. After extensive washing, sections were subjected to immunofluorescence microscopy.

## Microarray assays and analysis

Wild-type MEF, IKK $\alpha^{-/-}$ , and IKK $\alpha$  reconstituted cells grown under normal condition were harvested and total RNA was extracted using Rneasy Mini Kit (Qiagen). Two independent experiments were performed. Sentrix® Beadchip (Illumina, Inc.) arrays for gene expression were used on each sample. For this, each RNA sample was amplified using the Ambion Illumina RNA amplification kit with biotin UTP (Enzo) labeling. The Ambion Illumina RNA amplification kit uses T7 oligo(dT) primer to generate single stranded cDNA followed by a second strand synthesis to generate double stranded cDNA. The cDNA was then column purified. In vitro transcription was done to synthesize biotin labeled cRNA using T7 RNA polymerase. The cRNA was then purified on the column and checked for the size and yield using the Bio-Rad Experion system. 1.5  $\mu$ g of cRNA was hybridized for each array

using standard Illumina protocols with streptavidin-Cy3 (Amersham) being used for detection. Slides were scanned on an Illumina Beadstation. The summarized mean bead intensities for each probe were generated by BeadStudio software. These intensity values represent expression level for each probe and were used for further normalization. Quantile normalization was done for within-array normalizations by using "affy" package in Bioconductor. Another package from Bioconductor, "limma", was used for statistical analysis (30). Briefly, the normalized data for each replicates from Wild-type MEF, IKK $\alpha^{-/-}$ , and IKK $\alpha^{+/+}$  cells were fitted into a linear model. An empirical Bayes method was applied to moderate standard error of estimated log fold changes. To assess differentially expressed genes, hypothesis test was performed and p-value was adjusted using Benjamini and Hochberg's method. The cutoff for adjusted p-value is p <0.01.

To assess the functional importance of the genes differentially expressed between IKK $\alpha^{-/-}$ , IKK $\alpha^{+/+}$ , and MEF cells, we examined the expression data on all altered genes found in IKK $\alpha^{-/-}$  cells in comparison to IKK $\alpha^{+/+}$  and MEF cells using Ingenuity Pathway Analysis software. Microarray assays of lung cancer cell lines and normal lung epithelial cells were performed using Affymetrix HG-U133A and HG-U133B GeneChips together, or HG-U133 plus 2 GeneChip according to the manufacturer's instructions (Affymetrix, Santa Clara, California). The A and B arrays consist of 22,283 (HG-U133A) and 22,645 (HG-U133B) probe sets which together amount to 18,281 unique genes based on Unigene build 199. The Plus 2 array consists of 54,676 probe sets corresponding to 21,270 unique genes. Only the genes common to both sets were used. Analysis was performed using Affymetrix Microarray Suite 5.0 and in-house Visual Basic software MATRIX 1.26. Array data were median-normalized and sample classes were compared by calculating log ratios for each gene with a t-test providing univariate statistical significance.

Complete microarray data are available in Gene Expression Omnibus: http://www.ncbi.nlm.nih.gov/geo, accession GSE4824 (for the cell line microarray data) and in ArrayExpress: http://www.ebi.ac.uk/arrayexpress/, accession E-MEXP-231(for primary lung cancer microarray data).

# **Clustering Analysis**

Differentially expressed genes between  $IKK\alpha^{-/-}$  and wild-type cells were combined with genes that were differentially expressed between  $IKK\alpha^{-/-}$  and  $IKK\alpha^{+/+}$  cells. The resultant 3077 genes were used for clustering analysis. We calculated expression ratios by using normalized signals from  $IKK\alpha^{-/-}$  and  $IKK\alpha^{+/+}$  cells divided by normalized signals of wild-type cells. We used Cluster 3.0 to perform the analysis, applied complete linkage, and used Java TreeView to visualize the results.

# Reverse transcription-polymerase chain reaction (RT-PCR)

RT-PCR was performed to verify expression of genes identified by microarray analysis of wild-type MEF,  $IKK\alpha^{-/-}$ , and  $IKK\alpha^{+/+}$  cells using RT-PCR kit (Invitrogen). Total RNA was extracted from these cells, and mRNA levels were determined in triplicate in a 50 µl volume with specific primer sets for 24, 30, and 35 cycles using GeneAmp® PCR System 9700 (Applied Biosystem). The oligonucleotide primers used to analyze transcripts were as the following: Kangai1 (13 to 254 nt: 5'-TGT GTC AAA GTC ACC AAG T-3' and 5'-AGC AAG CAG CGG ACC TCA T-3'), Cxcl12 (1 to 223 nt: 5'-TCG TCG CCG TGC TGG CCC T-3' and 5'-ACC GGG GTG TCC CCG AGA G-3'), TEAD2 (142 to 406 nt: 5'-GAC ATT GAG CAG AGT TTT C-3' and 5'-ACA TGG TGG CCA TCG TCT G-3'), Birc2 (118 to 364 nt: 5'-AAG TTT GAC TTT TCG TGT GA-3' and 5'-GCA GAC TGG CTG AAA GCA GA-3'), Pem (58 to 255 nt: 5'-GAA GAC TCG GAA GAA CAG C-3' and 5'-TCA TTT TGT TCC TGC TCC A-3'), Glipr1 (5 to 255 nt: 5'-AGG TCA TCC TTG CTG TGA TA-3'

and 5'-TGA ATG CAG CTG TGG GTT GT-3'), Mrpplf3 (4 to 263 nt: 5'-CTC CCT TCT TCG ATT CAA C-3' and 5'-TTG CAT CTC ATG GGG CTT T-3'), Itgb7 (87 to 437 nt: 5'-GGA GGC CGC AGA ATG GGA G-3' and 5'-GAG GAA GCG GAC CCG GAA-3'), and GAPDH (825 to 900 nt: 5'-TGA AGC AGG CAT CTG AGG G-3' and 5'-TTG AAG TCG CAG GAG ACA ACC-3'), and 18S (5'-GTA ACC CGT TGA ACC CCA TT-3' and 5'-CCA TCC AAT CGG TAG TAG CG-3').

# RESULTS

# IKK $\alpha^{-/-}$ cells form colonies in soft agar

We previously demonstrated that IKK $\alpha$  regulates subcellular distribution and turnover of cyclin D1 by phosphorylation at T286 (13). Immunofluorescent staining indicated that cyclin D1 was distributed in the cytoplasm of wild-type MEF and IKK $\beta^{-/-}$  cells (Figure 1A). However, IKK $\alpha$  knockout cells showed that cyclin D1 is predominantly localized in the nucleus and remained nuclear throughout different phases of the cell cycle. IKK $\alpha^{-/-}$  cells reconstituted with IKK $\alpha$  (IKK $\alpha^{+/+}$ ) demonstrated predominantly cytoplasmic distribution of cyclin D1, similar to MEF cells. These results indicate that IKK $\alpha$  is involved in regulating cyclin D1 localization. Expression of IKK $\alpha$  and IKK $\beta$  in these cells was confirmed by Western blot analysis (Figure 1B). Cyclin D1 level in IKK $\alpha$  knockout cells was higher than that observed in wild-type MEF and IKK $\alpha$  reconstituted cells, indicating that cyclin D1 nuclear localization suggests reduced cytoplasmic proteosome-mediated degradation due to lack of nuclear export (Figure 1B).

Cyclin D1, an important regulator for cell cycle initiation and G1/S transition, is implicated in the pathogenesis of a variety of tumors. Constitutive nuclear expression of cyclin D1 T286A and a splice variant cyclin D1b is associated with a neoplastic phenotype as suggested by growth in soft agar and tumor formation in nude mice (27,28,31,32). As our work indicated that IKK $\alpha$  is required for nuclear export of cyclin D1, we addressed whether nuclear localization of cyclin D1 in IKK $\alpha^{-/-}$  cells leads to the acquisition of a neoplastic phenotype. To determine the ability of IKK $\alpha$  knockout cells to grow in anchorage independent manner, soft agar colony assays were performed. For these experiments, wildtype MEF, IKK $\alpha^{-/-}$ , IKK $\beta^{-/-}$ , and IKK $\alpha^{+/+}$  cells were used. The assays revealed that wild-type MEF, IKK $\beta^{-/-}$ , and IKK $\gamma^{-/-}$  cells do not grow in soft agar. In contrast, IKK $\alpha^{-/-}$  cells were able to grow in anchorage independent manner to form colonies in soft agar. IKK $\alpha$  reconstituted cells grew in soft agar but the number of colonies observed was far lower than IKK $\alpha^{-/-}$  cells (Table 1 and Figure 2A). These results indicate that in the absence of IKK $\alpha$ , there is nuclear localization of cyclin D1 and these cells acquire the ability to form colonies in soft agar.

# IKK $\alpha^{-/-}$ cells develop tumor in nude mice

We next determined whether  $IKK\alpha^{-/-}$  cells induced tumors in immune-deficient mice. Wild type MEF,  $IKK\alpha^{-/-}$  and  $IKK\alpha^{+/+}$  cells, were subcutaneously injected into athymic nude mice and observed for tumor formation. Consistent with the soft agar assays, the wild-type MEF cells did not form any tumors in nude mice. In contrast, tumors were observed in all nude mice injected with  $IKK\alpha^{-/-}$  cells, and tumor growth was visible as early as 7day after inoculation. Reconstitution of  $IKK\alpha^{-/-}$  cells with  $IKK\alpha$  abrogated the ability of these cells to induce tumor in these mice (Table 2 and Figure 2B). The result indicates that  $IKK\alpha$  is important for suppression of tumorigenic potential of mouse embryo fibroblasts. The tumors induced by  $IKK\alpha^{-/-}$  cells grew at a rapid rate as shown in figure 2C. Histological examination of  $IKK\alpha^{-/-}$ -induced tumor revealed increased cellularity, mitotic figures and areas of necrosis; hallmarks of high-grade tumors (Figure 2D, upper panels). Vascular proliferation was also observed in tumors indicating neo-angiogenesis. Further, we

performed BrdU incorporation in tumor cells to determine the number of cells undergoing active cycling. Athymic nude mice with  $IKK\alpha^{-/-}$  cells induced tumors were injected with either BrdU or PBS as a control, and tumor sections were stained to assess the number of cells incorporating BrdU. The results showed that the majority of tumor cell population incorporated BrdU, indicating a high mitotic activity in these cells (Figure 2D, lower panels). Taken together, *in vivo* tumorigenicity assay of  $IKK\alpha^{-/-}$  cells is consistent with soft agar colony assay and suggest that  $IKK\alpha$ -mediated cyclin D1 nuclear localization leads to tumorigenicity of these cells

# Cyclin D1 remains nuclear in tumor tissue

It is possible that *in vivo* growth of IKK $\alpha^{-/-}$  cells result in altered subcellular distribution of cyclin D1. We evaluated whether tumor cells growing in nude mice preserve nuclear only distribution of cyclin D1. Immunohistochemical analysis of tumor section showed that cyclin D1 was localized in the nucleus, while there was no expression of IKKa, a phenotype similar to the injected IKK $\alpha^{-/-}$  cells. These findings suggest the tumor is derived from the injected IKK $\alpha^{-/-}$  cells and these cells do not acquire the capability to alter subcellular distribution of cyclin D1 while growing in in vivo environment (Figure 3A). To further confirm these findings, clonal cells were isolated from these tumors to determine whether cultured tumor cells retain phenotypic characteristic of injected IKK $\alpha^{-/-}$  cells. Immunofluorescence staining of two separate clones isolated from tumors of different mice, revealed that both exhibited cyclin D1 in the nucleus (Figure. 3B). Again, in this experiment, wild-type MEF and IKK $\alpha^{-/-}$  cells were used as controls. Western blot analysis showed that clonal tumor cells do not express IKK $\alpha$ , and cyclin D1 levels were increased, as compared to those of wild type and IKK $\alpha$  reconstituted cells and similar to IKK $\alpha^{-/-}$  cells (Figure 3C). These results suggest that tumor induced by IKK $\alpha^{-/-}$  cells retains nuclear localization of cyclin D1 in in vivo microenvironment, and nuclear distribution of cyclin D1 likely plays a critical role in tumorigenic potential of these cells.

# Analysis of gene expression regulated by IKKa

We evaluated genes with altered expression pattern dependent on IKK $\alpha$  and could potentially play a role in tumorigenesis, in a systematic manner by microarray analysis as described above in methods, 40k mouse cDNA microarray (Illumina) was used. Wild-type MEF, IKK $\alpha^{-/-}$ , and IKK $\alpha$  reconstituted cells grown under normal condition were harvested without any stimulation. Total RNA was extracted and reverse transcribed into cDNA using Cy5 (unstimulated)- and Cy3 (stimulated)-labeled dCTP for two-color microarray analysis. Two independent experiments were performed using RNA purified at separate times. Changes in gene expression in IKKa knockout cells as compared to wild-type MEF and IKKα reconstituted cells were analyzed (Figure 4A). The results showed that expression of 527 genes out of 40,000 was altered in IKK $\alpha^{-/-}$  cells as compared to MEF and IKK $\alpha$ reconstituted cells (Figure 4B). Upregulated genes in IKKa knockout cells include proliferin (Plf) (33), mitogen regulated protein, proliferin3 (Mrpplf3) (34), EGF-like-domain, multiple 9 (Egfl9) (35), which are involved in cell growth and proliferation; anti-apoptotic baculoviral IAP repeat-containing 2 and 3 (Birc2/Birc3) (36), and placenta and embryonic expression gene onco-fetal gene (Pem) (37). Down regulated genes included tumor suppressor genes such as suppression of tumorigenicity 6, prostate (Kai1) (38) and multiple endocrine neoplasia 1 (Men1) (39). Other notable genes regulated by IKKa included chemokine (C-X-C motif) ligand 12 (Cxcl12) (40), integrin alpha 3 (Itga3) (41) and integrin β7 (Ingb7) (42).

# RT-PCR analysis of the expression of genes identified by microarray

To verify expression change in genes of  $IKK\alpha^{-/-}$  cells identified by microarray analysis, semi-quantitative RT-PCR assay was performed. Total RNA was prepared from wild-type

MEF, IKK $\alpha^{-/-}$ , and IKK $\alpha$  reconstituted cells and subjected to RT-PCR analysis with specific DNA oliogonucleotide primers to selected genes. The RT-PCR analysis showed that expression of Kai1, Cxcl12, and TEAD2 genes was down regulated, while Birc2, Pem, Glipr1, Mrpplf3, and Itgb7 gene expression was upregulated (Figure 4C). Control genes GAPDH and 18S were similarly expressed in wild-type MEF, IKK $\alpha^{-/-}$  and IKK $\alpha$  reconstituted cells. Notably, increased expression level of Pem (78-fold) and Glipr1 (32-fold) identified by microarray assays was confirmed by RT-PCR analysis. These results indicate that RNA expression levels of most genes as evaluated by RT-PCR were consistent with results obtained by microarray analysis. Further, up-regulation of pro-proliferative and anti-apoptotic genes and down-regulation of tumor suppressor genes observed in IKK $\alpha^{-/-}$  cells is consistent with tumorigenic potential of these cells.

The analysis of all 527 altered genes using Ingenuity Pathway Analysis software shows that the most differentially expressed genes are those associated with signature characteristics of neoplastic cells including apoptosis, cell migration, and cell growth (Figure 4D).

#### Decreased expression of IKKa in human lung cancer cells

Based on results obtained with mouse cells, we reasoned whether IKK $\alpha$  plays a role in development of human cancers. To evaluate this possibility, we looked at expression pattern of IKK $\alpha$  in 66 human lung cancer cell lines and 8 immortalized lung epithelial cell lines from normal individuals. The analysis was performed using Affymetrix chips as described in methods. Expression data revealed that average IKK $\alpha$  expression level in human lung cancer cells was about three fold lower than that of control cells. The difference was statistically significant (p < 0.0001) (Figure 5). Next, we determined whether IKK $\alpha$ -regulated genes identified by mouse cDNA arrays demonstrate a similar expression pattern in human lung cancer cells. We specifically looked at expression of genes evaluated by RT-PCR in mouse cells. Expression of tumor suppressor gene, Kai1 was similarly decreased in human lung cancer as compared to control cells (3.8 fold).

However, except possibly for Pem and Plf whose orthologs could not be identified in the Affymetrix array, the other genes evaluated by RT-PCR did not show such differential expression between tumor and control, presumably because of differences of species, cell types, and technical issues related to microarrays. Looking at array data on primary lung cancers, we could not confirm these findings (downregulation of IKK-alpha and KAI1), again presumably due to differences of species, cell types, tumor heterogeneity, etc. Further studies will therefore be needed to fully characterize the molecular pathways involved in IKK-alpha inactivation in these and other cancers.

Our panel of lung cancer cell lines included 14 cell lines derived from squamous cell and 27 from patients with non-squamous morphology. Cell lines derived from squamous cell lung cancer cells had 2.7-fold lower expression of IKK $\alpha$ , while those from non-squamous morphology had 2.6-fold decrease as compared to control lung epithelial cells. These results suggest that expression of IKK $\alpha$  is lower in lung cancer cells irrespective of histological subtypes (Figure 5). This preliminary data is consistent with tumor suppressor role of IKK $\alpha$  and raises a possibility that IKK $\alpha$  functions as a tumor suppressor gene.

# DISCUSSION

In this study, we evaluated the role of IKK $\alpha$  in cellular transformation and tumorigenesis. IKK $\alpha^{-/-}$  cells express cyclin D1 in a nuclear pattern unlike cells with preserved expression of IKK $\alpha$ . These IKK $\alpha$  knockout cells demonstrate the ability to form colonies in soft agar, a characteristic of cells with neoplastic phenotype. This was further confirmed by ability of these cells to induce tumors in 100% of athymic nude mice injected with these cells.

Reconstitution of IKK $\alpha$  in these cells markedly limited their ability to grow in soft agar and abrogated ability to induce tumor in nude mice. These results strongly implicate role of IKK $\alpha$  as a tumor suppressor. Further experiments looking at global pattern of gene expression by micro-array demonstrated that there is over-expression of known genes with oncogenic potential and reduced expression of tumor suppressor genes. In addition, we tested expression of IKK $\alpha$  in a panel of lung cancer cell lines by cDNA microarray. Results obtained were consistent with those observed with mouse cells. Decreased expression of IKK $\alpha$  in lung cancer cell lines may be involved in development of lung cancer in these patients.

D-type cyclins are critical for recruitment of post embryonic cells into cycling and mediate initial pRb phosphorylation in partner with CDK4/CDK6. Over-expression and altered subcellular distribution are implicated in the pathogenesis of a variety of tumors including breast, lung, colon, and mantle cell lymphoma (43-44). There is strong experimental evidence to support role of cycle D1 in tumorigenesis. Cyclin D1 is exported out of the nucleus during the S phase of cell cycle and degraded, a process dependent on its phosphorylation at T286 residue (25). Earlier, it was shown that GSK-3β mediates this phosphorylation (26). We demonstrated that IKK $\alpha$  is critical for phosphorylation of cyclin D1 at same threonine 286 residue (13) and in the absence of IKKa, cyclin D1 remains in the nucleus. Expression of cyclin D1-T286A mutant, which remains localized to the nucleus of cells leads to a neoplastic phenotype (31). Similar results were observed with a splice variant of cyclin D1 (cyclin D1b), in which carboxy terminus including T286 is deleted (27). Although T286A mutation of cyclin D1 is not described in tumors, transgenic cyclin D1-T286A mice developed B-cell lymphoma (32). On the other hand, expression of cyclin D1b has been observed in esophageal cancer (28). These studies, strongly suggest that nuclear localization of cyclin D1 leads to transformation of cells to a neoplastic phenotype and raises the possibility that a similar mechanism may be involved in development of some cancer types in humans. Earlier studies have not demonstrated the underlying mechanism responsible for altered expression and/or sub-cellular distribution of cyclin D1 in most of the tumors with the exception of t(11:14) for mantle cell lymphoma and isolation of cyclin D1b from esophageal cancer cell line.

We report here that IKK $\alpha$  knockout cells with nuclear localization of cyclin D1 are tumorigenic. These findings are consistent with the above work demonstrating that nuclear distribution of cyclin D1 is associated with neoplastic phenotype. We found the lack of IKK $\alpha$  is associated with nuclear localization of cyclin D1 and ability of these cells to transform to tumors. It is likely this mechanism is involved in a broader array of human cancers, as suggested by lower expression of IKK $\alpha$  in lung cancer cell lines. These findings provide a novel mechanism for development of neoplasia and may explain the observed alteration in cyclin D1 in a variety of cancers, in which there is increased expression of cyclin D1 without a known gain of function mutation in cyclin D1 gene, or other intrinsic abnormalities of cyclin D1 expression such as those induced by gene amplification or translocation. It is likely that an IKK $\alpha$  upstream defect is responsible for this phenotype. This could be due to mutations involving IKK $\alpha$  gene or yet undefined mechanism.

Recently, a study by Liu et al identified mutations involving IKK $\alpha$  gene in exon 15 in eight of nine poorly differentiated squamous cell carcinoma of skin (45). Their findings further support the role of IKK $\alpha$  as tumor suppression gene. Though, we have not performed a mutational analysis on our lung cancer cell lines yet, a similar mechanism is a distinct possibility.

The oncogenic potential of cyclin D1b and the cyclin D1-T286A mutant has been attributed to the constitutive nuclear localization of cyclin D, lack of nuclear export and subsequent proteolysis thus leading to increased levels of cyclin D. Our data is consistent with this observation. Several possible mechanisms have been put forward to explain oncogenicity of cyclin D1 under these conditions (46,47). One likely explanation could be constitutive activation of CDK4/6 kinase activity and pRb phosphorylation leading to deregulation of G1/S checkpoint. Alternatively, it is possible that cyclin D effect is not a direct consequence of its activity as classical cyclin, instead mediated by its role as a transcription factor or as a regulatory protein for other transcription factors such as C/EBPβ, which has been implicated in the development of cancer (48). Several studies indicating cyclin D1 activity as regulator of transcription in addition to its well defined role in cell cycle support this as a possibility.

Oncogenic potential of IKK $\alpha^{-/-}$  cells is likely mediated by lack of IKK $\alpha$  to phosphorylate cyclin D1 and with similar downstream mechanisms to that observed with cyclin D1 T286A or cyclin D1b variant. However, direct effect of IKKa on other pathways remains a possibility. IKK $\alpha$  has been demonstrated to regulate transcription of  $\kappa B$  responsive and nuclear hormone receptor genes (12,49). In another study, expression of c-fos has been demonstrated as directly regulated by IKKa at transcriptional level independent of the NF- $\kappa$ B pathway (50). These studies suggest a broader role of IKK $\alpha$  as a transcription regulator. Our microarray and RT-PCR data demonstrate up regulation of pro-mitotic and angiogenesis genes and suppression of anti-apoptotic genes and tumor suppressor genes such as Kai 1 and Men 1. Whether altered expression of these genes is directly mediated by IKK $\alpha$  or through its effect on cyclin D remains to be defined. Repression of raf, ERK and VEGF-A was noted in epidermal cells by IKKa in the study demonstrating mutations involving IKKa in squamous cell cancers. These findings suggest that several pro-mitogenic/angiogenic pathways may be repressed by IKKα. However, authors in this study (45) did not look at cyclin D1 level or subcellular distribution, therefore, possibility remains that activation of mitogenic kinases observed is mediated by nuclear cyclin D in these patients as well. Further studies are needed to define underlying mechanism of tumor suppressor activity of IKKa.

Our finding of decreased IKK $\alpha$  expression in lung cancer cell lines and study by Liu et al quoted above (45) strongly suggest that IKK $\alpha$  is a tumor suppressor gene of broader clinical significance. IKK $\alpha$  gene is located on chromosome 10 near PTEN. Genetic changes involving this area are common in different malignancies. It is likely that these may involve IKK $\alpha$  gene leading to development of different neoplastic disorders. A comprehensive analysis of IKK $\alpha$  gene expression different type and degrees of cancers will provide new insight whether IKK $\alpha$  contributes to induction and progression of tumors. We are currently evaluating this using breast cancer cell lines and tissue samples from patients.

In summary, through current work we provide evidence that lack of IKK $\alpha$  leads to a neoplastic phenotype, which is not observed after reconstitution of IKK $\alpha$ . Acquisition of this neoplastic phenotype is associated with nuclear localization of cyclin D1. Our findings reported here in association with other studies suggest that decreased levels or activity of IKK $\alpha$  may be responsible for increased cyclin D1 observed in various tumors. Our findings warrant further studies to explore the role of IKK $\alpha$  as a tumor suppressor gene of wide clinical significance.

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(A) IKK $\alpha^{-/-}$  cells grow anchorage-independently in soft agar. Soft agar assays were performed with wild-type MEF, IKK $\alpha^{-/-}$ , IKK $\beta^{-/-}$ , IKK $\gamma^{-/-}$ , and IKK $\alpha$  reconstituted cells. After 14 days, colonies were counted and photographed. CLL230 cells were used as a positive control. (B) IKK $\alpha^{-/-}$  cells induce tumors in athymic nude mice. Six week-old athymic nude mice were injected with 5×10<sup>6</sup> indicated cells subcutaneously and observed for development of tumor. The figure shows a representative appearance of tumor at twelve days following inoculation of cells (C) Tumor size was measured at 8, 10, and 12 days following injection of the cells and mice not developing tumor were observed up to three weeks. The figure shows average volume (mm<sup>3</sup>) on indicated days (SD±SEM). (D) Top panel: Paraffin sections from tumor induced by IKK $\alpha^{-/-}$  cells were stained with hematoxylin and eosin. Sections are shown at 100X (a) and 400X (b). Bottom panel: Athymic mice with tumors induced by injection of IKK $\alpha^{-/-}$  cells were injected with BrdU or PBS as described in methods. The frozen sections from these tumors were stained with avidin-conjugated anti-BrdU antibody followed by streptavidin-conjugated hydrogen peroxide. DAB was used as final chromogen.



# Figure 3. Analysis of IKK $\alpha$ and cyclin D1 in tumors induced by IKK $\alpha^{-/-}$ cells

(A) IKK $\alpha^{-/-}$  cells induced tumors were immunostained with either cyclin D1 (1:50) or IKK $\alpha$  antibody (1:50), followed by secondary antibody conjugated to Texas-Red. The nucleus was stained with DAPI. Control indicates immunofluorescent staining without primary antibody (B) Tumor cells were isolated from IKK $\alpha^{-/-}$  cell induced tumors and cultured and multiple clones were isolated and propagated. These cells derived from tumors were stained for cyclin D1 localization using immunofluorescent microscopy. DAPI was used to stain the nucleus. Wild-type MEF and IKK $\alpha^{-/-}$  cells were used as control for this experiment. (C) Western blot analysis of IKK $\alpha$  and cyclin D1 in tumor cell population was performed. Actin expression was used as a control.



# Figure 4. Microarray analysis of IKK $\alpha$ -regulated genes

(A) Gene expression analysis of  $IKK\alpha^{-/-}$  cells. Clustering analysis of 3077 genes that were differentially expressed in  $IKK\alpha^{-/-}$  cells in comparison with either wild-type cells or  $IKK\alpha^{+/+}$  cells. The color code represents  $lo_2$  ratio against normalized wild-type signals. Samples from  $IKK\alpha^{-/-}$  and  $IKK\alpha^{+/+}$  cells were clustered into two different groups. Gene clusters where expressions were altered only in  $IKK\alpha^{-/-}$  cells but not in  $IKK\alpha^{+/+}$  cells were highlighted. (B) VennDiagram shows common genes whose expressions were changed in  $IKK\alpha^{-/-}$  cells, when comparing with either wild-type or  $IKK\alpha^{+/+}$  cells. (C) Validation of microarray results using RT-PCR. Total RNA from cell lines was subjected to a semi-quantitative RT-PCR analysis using specific DNA oligonucleotides. GAPDH and 18S were used as internal controls. (D) The most differentially expressed genes are plotted against their transformed p-values from Fisher's Exact Test.



Figure 5. Lung cancer cells exhibits decreased level of IKKa compared to normal bronchial epithelial cell lines

Microarray data of 76 human lung cancer cell lines and 9 from those normal individuals were analyzed for expression of IKK $\alpha$ , IKK $\beta$ , cyclin D1, and GSK-3 $\beta$  genes. Cell lines derived from patients with different morphology of tumors were analyzed and are shown as: Lane 1–25, adenocarcinoma; Lane 26 (\*) adenosquamous carcinoma; Lane 27–31, bronchio-alveolar carcinoma; Lane 32–37, large cell carcinoma; Lane 38–40, mesothelioma; Lane 41–50, non-small cell lung cancer (not otherwise characterized): Lane 51–72, small cell lung cancer; Lane 73–76, squamous cell carcinoma; Lane 78–86, cell lines from normal bronchial epithelium.

## Table 1

Induction of colonies in soft agar

Cell lines	Number of colonies		
	Experiment # 1	Experiment # 2	Experiment # 3
WT MEF	0	0	0
IKKa <sup>-/-</sup>	205	190	200
ΙΚΚβ-/-	0	0	0
ΙΚΚγ-/-	0	0	0
IKKa+/+	13	7	10

 $0.5 \times 10^4$  cells were plated in soft agar as described in methods and number of colonies was counted at two weeks

#### Table 2

#### Induction of tumors in nude mice

Cell line	Number of mice	developing tumor
	Experiment # 1	Experiment # 2
WT MEF	0 of 5	0 of 4
IKKa <sup>-/-</sup>	5 of 5	5 of 5
IKKa <sup>+/+</sup>	0 of 5	0 of 5

Athymic nude mice were injected with  $5 \times 10^6$  cells, subcutaneously in flank area and development of tumor was observed three times a week for three weeks. All mice injected with IKK $\alpha$  cells had visible tumor growth by day 8.