

Published in final edited form as:

HIV Med. 2007 October ; 8(7): 439–450. doi:10.1111/j.1468-1293.2007.00491.x.

The long-term benefits of genotypic resistance testing in patients with extensive prior antiretroviral therapy: a model-based approach

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Abstract

Objectives—Resistance testing in HIV disease may provide long-term benefits that are not evident from short-term data. Our objectives were to estimate the long-term effectiveness, cost and cost-effectiveness of genotype testing in patients with extensive antiretroviral exposure.

Methods—We used an HIV simulation model to estimate the long-term effectiveness and cost-effectiveness of genotype testing. Clinical data incorporated into the model were from NARVAL, a randomized trial of resistance testing in patients with extensive antiretroviral exposure, and other randomized trials. Each simulated patient was eligible for up to three sequential regimens of antiretroviral therapy (i.e. two additional regimens beyond the trial-based regimen) using drugs not available at the time of the study, such as lopinavir/ritonavir, darunavir/ritonavir and enfuvirtide.

Results—In the long term, projected undiscounted life expectancy increased from 132.2 months with clinical judgement alone to 147.9 months with genotype testing. Median survival was estimated at 11.9 years in the resistance testing arm vs 10.4 years in the clinical judgement alone arm. Because of increased survival, the projected lifetime discounted cost of genotype testing was greater than for clinical judgement alone (€13 900 vs €63100; US\$399 000 vs US\$334 400).

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Genotype testing cost €9 600 (US\$88 500) per quality-adjusted life year gained compared with clinical judgement alone.

Conclusions—In patients with extensive prior antiretroviral exposure, genotype testing is likely to increase life expectancy in the long term as a result of the increased likelihood of receiving two active new drugs. Genotype testing is associated with cost-effectiveness comparable to that of strategies accepted in patients with advanced HIV disease, such as enfuvirtide use.

Keywords

cost-effectiveness; costs; genotype testing; HIV infection; long-term effectiveness

Introduction

For many patients infected with HIV, antiretroviral therapy (ART) fails to result in complete viral suppression [1,2]. In HIV-infected patients failing therapy, several studies have compared virological response in patients given genotype resistance tests with virological response in patients whose therapy was guided by clinical judgement alone [3–6]. These studies indicate that short-term virological response to a new antiretroviral regimen can be improved when the results of resistance tests are available to guide drug choices [3–6]. As a result, current guidelines recommend the use of resistance testing in patients who are failing therapy [7,8].

However, a recent meta-analysis of randomized controlled trials (RCTs) comparing resistance testing and clinical judgement alone in guiding physicians' choice of salvage regimens for treatment-experienced HIV-infected patients showed only a small virological benefit for genotype resistance testing over clinical judgement alone [9]. Furthermore, an RCT that evaluated resistance testing compared with clinical judgement alone in patients with extensive prior antiretroviral exposure did not demonstrate any clinical benefit of resistance testing over clinical judgement alone in the short term [10]. Consequently, it has been suggested that genotype resistance testing may be most useful for patients with limited antiretroviral exposure and few resistance mutations, and that further studies are needed to define the utility of these tests in patients who are highly drug-experienced [11].

Traditionally, the efficacy of resistance testing has been evaluated using short-term surrogate endpoints. However, use of these short-term surrogate markers fails to capture the HIV resistance 'cost' associated with antiretroviral therapy. Past RCTs evaluating resistance testing have shown that the number of both individual drugs and drug classes used by patients was greater when the regimens were chosen by clinical judgement alone [10,12]. Thus, subsequent therapy choices in these patients may be more difficult than in those for whom regimens were chosen using results of resistance testing. By preserving future drug options, resistance testing may provide a long-term benefit that is not evident from short-term data, especially in patients with extensive prior antiretroviral exposure.

Combining results from an RCT of resistance testing in treatment-experienced patients [10] with data from other trials assessing the efficacy of new antiretroviral drugs available since the end of the resistance testing trial, we projected the long-term clinical impact and cost-effectiveness of genotype testing in HIV-infected patients with extensive prior antiretroviral exposure.

Methods

Study design

We used a previously described state-transition model of HIV disease [13–16] and a first-order Monte Carlo simulation to project patient outcomes beyond the endpoints of an RCT of resistance testing in patients with extensive prior antiretroviral exposure [10] to evaluate the long-term effectiveness and cost-effectiveness of genotype testing compared with clinical judgement alone. Each simulated patient was eligible for up to three sequential regimens of ART (i.e. two additional regimens beyond the regimen used in the trial) (Fig. 1). Model outcomes included life expectancy and median survival time. In addition, to estimate the cost-effectiveness of genotype testing, quality-adjusted life expectancy (QALE), lifetime costs and incremental cost-effectiveness ratios were also estimated. The incremental cost-effectiveness ratio is defined as the additional cost of a specific strategy compared with the next least expensive strategy, divided by its additional clinical benefit [17]. For the cost-effectiveness analysis, we adopted a modified societal perspective and discounted both costs and clinical benefits at 3% per year [17]. All costs were expressed in year 2006 Euros (€ = US\$1.27095; 29 September 2006).

Model structure

In the model, health states are defined according to current and maximum HIV RNA levels and CD4 cell counts and history of clinical events [13–15]. In the absence of acute illness, patients reside in a chronic health state and are subject to the risks governing the progression of HIV disease and deterioration of immune function. In the event of an acute clinical illness (e.g. opportunistic disease), patients enter a temporary acute health state. While in a temporary acute health state, patients may advance to a new chronic state which incorporates the history of the specific clinical event that occurred. Deaths occur in patients residing in either a chronic or an acute state.

HIV disease progression is linked to both CD4 cell count and HIV RNA level. In the absence of ART, the rate of CD4 decline over time is determined by each patient's initial HIV RNA level or setpoint [18]. HIV-related morbidity and mortality are determined by the CD4 cell count [13,19]. Effective opportunistic disease prophylaxis results in a reduction in the incidence of the opportunistic disease against which it is instituted [20,21]. Effective ART results in HIV RNA suppression and a CD4 cell count increase at rates reported in the literature. ART, whether or not effective in suppressing HIV RNA, also decreases the probability of opportunistic diseases and AIDS-related death [10,22,23]. If ART fails, HIV RNA increases. Once HIV RNA returns to the setpoint, CD4 cell counts begin to decrease 12 months later [18].

Input data

Clinical data—Mean age, sex, initial median CD4 cell count and HIV RNA level were obtained from the NARVAL trial, details of which have been published elsewhere [10]. Briefly, patients failing ART with previous exposure to at least one protease inhibitor (PI) for at least 3 months were randomly assigned to one of three treatment arms: (1) treatment guided by phenotype testing, (2) treatment guided by genotype testing, or (3) treatment guided by clinical judgement alone. From April to October 1999, 541 patients were randomized, with 192 entering the genotype testing arm and 159 entering the clinical judgement alone arm. In this study, resistance assays did not demonstrate clinical benefit over clinical judgement alone in the short term.

French estimates of the monthly incidence of opportunistic diseases and death as a function of CD4 cell count in the absence of ART and opportunistic disease prophylaxis were derived

using data obtained from two French clinical cohorts (Table 1) [24]. We used opportunistic disease prophylaxis strategies recommended in French national guidelines [25]. For each prophylaxis regimen, the efficacy in preventing opportunistic diseases and rates of toxic events were derived from published RCTs (Table 1) [20,21].

The initial ART regimen after model entry was assumed to be the regimen used in the NARVAL trial. In the trial, this regimen was based on a genotype resistance assay in patients enrolled in the genotype resistance testing arm, and not based on a resistance assay in those enrolled in the clinical judgement alone arm (Fig. 1) [10]. Virological success, CD4 cell count increase, and rates of severe toxic events (i.e. events requiring in-patient admission) for patients on ART were derived from the NARVAL trial. In the clinical judgement alone arm, the subsequent ART regimen (i.e. 'second regimen') was assumed to be a lopinavir/ritonavir- and enfuvirtide-containing regimen. This regimen was not based on a genotype resistance assay and was chosen because, upon trial enrolment, all patients in NARVAL were lopinavir/ritonavir and enfuvirtide naïve.

In the genotype resistance testing arm, we assumed that a second genotype resistance test was performed after the initial regimen failure. In this arm, the second ART regimen was assumed to be: (1) a lopinavir/ritonavir- and enfuvirtide-containing regimen in patients with strains susceptible to lopinavir/ritonavir; or (2) a darunavir/ritonavir- and enfuvirtide-containing regimen in patients with strains resistant to lopinavir/ritonavir. To estimate the efficacy of this regimen, we first determined the prevalence of lopinavir/ritonavir resistance at week 12 in patients enrolled in the NARVAL trial. Strains were assumed to be resistant to lopinavir/ritonavir if at least six of the following 13 protease mutations were present: L10F/I/R/V, K20M/R, L241, L33F, M46I/L, 150V, F53L, I54M/L/T/V, L63P, A71I/L/V/T, V82A/F/S/T, I84V and L90M (according to the French National Agency for AIDS Research genotype-resistance guidelines) [23]. The proportion of strains resistant to lopinavir/ritonavir was higher in the clinical judgement arm (50.7%) than in the genotype testing arm (41.9%) as a result of the accumulation of protease mutations in the clinical judgement arm, where patients resistant to PIs continued to receive these drugs. We then used data from the medical literature to estimate the virological and immunological success of a PI-boosted enfuvirtide regimen with respect to the susceptibility of strains for lopinavir/ritonavir, and overall for darunavir (Table 1) [26,27].

In both the clinical judgement alone and genotype resistance testing arms, the remaining subsequent ART regimen ('third regimen') was assumed to be a darunavir/ritonavir-containing regimen without enfuvirtide. For patients in both arms who were sensitive to lopinavir/ritonavir after the initial ART regimen failure and who had never received darunavir, we considered that probabilities of virological and immunological success for this third regimen were identical (Table 1). In patients in the clinical judgement alone arm who were resistant to lopinavir/ritonavir after the initial ART regimen failure but who had never received darunavir/ritonavir, we assumed that the probabilities of virological and immunological success for this third regimen were lower than the probabilities of success in previous patients. This assumption was based on the hypothesis that resistance mutations to PIs would accumulate because these patients were started on a regimen to which they were resistant (i.e. patients received lopinavir/ritonavir although resistant to lopinavir/ritonavir). In patients in the genotype testing arm who were resistant to lopinavir/ritonavir after initial ART regimen failure and who had already received darunavir/ritonavir, we assumed that the antiretroviral regimen had a potential clinical benefit, but not a virological or immunological benefit [28,29]. In general, because of the potential clinical benefit of remaining on ART despite virological rebound, we assumed continuation of the third regimen even after virological rebound occurred [28]. The efficacy of the third regimen was estimated using

data from the medical literature or was based on assumptions when data were not available (Table 1) [26,30].

For enfuvirtide-containing regimens, toxic event rates were from the TORO studies, which reported higher probabilities of minor toxicity with enfuvirtide-containing regimens than with other ART regimens as a consequence of enfuvirtide injection site reactions [31]. For other subsequent regimens, toxic event rates were estimated to be equal to the weighted average of the rates of toxic events in both arms of the NARVAL trial. For the initial and subsequent regimens, the duration of ART benefit beyond the clinical trial endpoint was extrapolated from a matrix derived from trial-based efficacy data [13–15]. We assumed that all patients receiving ART would experience failure of their current regimen after 120 months [32–34].

Cost and health-related quality-of-life data—Direct costs of treatment for opportunistic diseases and routine medical care in the absence of an opportunistic disease were based on data from a previously described French clinical cohort (Table 1) [24]. For the initial ART regimen after study enrolment, the costs of drugs, drug level monitoring tests and toxic events in each arm were estimated from the NARVAL trial. These costs demonstrate a higher mean cost per patient per month in the clinical judgement alone arm than in the genotype testing arm. This was attributable primarily to higher expenditures on antiretroviral medications in the clinical judgement alone arm (€1040 vs €880 per month; $P = 0.0001$; Table 1) [35]. For subsequent ART regimens, drug costs were from the pharmacy records of Tourcoing Hospital in France. The cost of toxic events was conservatively considered to be the same in the genotype testing and clinical judgement alone arms, and equal to a weighted average of estimates from the NARVAL trial.

Morbidity was incorporated in a single outcome measure which adjusted life expectancy for quality of life [14,15,17]. Health-related quality weights for different HIV-related health states were obtained from the HIV Cost and Services Utilization Study as previously described [36–38].

Sensitivity analysis

We specifically evaluated the implications of alternative assumptions in areas where we lacked primary data. We explored the impact of varying the prevalence of resistance to lopinavir/ritonavir between the genotype testing and clinical judgement alone arms after initial ART regimen failure. In addition, in patients with strains resistant to lopinavir/ritonavir, we varied the efficacy of the subsequent ART regimens consisting of darunavir/ritonavir with and without enfuvirtide.

We also evaluated the implication of several pessimistic scenarios regarding genotype resistance testing. For example, we considered the possibility that information from earlier genotype tests performed may be available for patients in the clinical judgement only arm, allowing a proportion of these patients with strains resistant to lopinavir/ritonavir to receive a darunavir/ritonavir- and enfuvirtide-containing regimen, rather than a lopinavir/ritonavir- and enfuvirtide-containing regimen. In another sensitivity analysis, we also evaluated the impact of the availability of a new class of HIV antiretroviral drugs, the integrase inhibitors (i.e. MK-0518), on the results [39].

In addition, we performed sensitivity analyses on other model input parameters, including genotype testing costs, darunavir costs, enfuvirtide costs, costs of subsequent antiretroviral regimens in the clinical judgement alone arm, health-related quality-of-life weights, and the discount rate.

Results

Long-term clinical impact of genotype testing

In the long term, mean projected undiscounted life expectancy increased from 132.2 months (108.7 months discounted) with clinical judgement alone to 147.9 months with genotype testing (119.1 months discounted) (Fig. 2). The survival curve highlights the increased proportion of patients surviving in the genotype resistance testing arm 10–15 years after enrolment. Median undiscounted survival was estimated at 125.0 months in the clinical judgement alone arm and 143.0 months with genotype testing.

In sensitivity analysis, when we removed the benefit of genotype testing in reducing the occurrence of lopinavir/ritonavir resistance in subsequent ART regimens (Table 2), we still found that genotype resistance testing increased discounted life expectancy by 10.3 months (15.4 months undiscounted). When we considered that up to 50% of patients in the clinical judgement alone arm with strains resistant to lopinavir/ritonavir received a darunavir/ritonavir- and enfuvirtide-containing regimen after the first virological failure, genotype resistance testing still increased discounted life expectancy by 3.5 months (Table 2). When we increased by 50% the virological efficacy of the second-line darunavir/ritonavir- and enfuvirtide-containing regimen in patients in the genotype testing arm who were resistant to lopinavir/ritonavir after the initial ART regimen, discounted gains in life expectancy attributable to genotype testing increased by 18.3 months. Availability of the integrase inhibitors during follow-up increased discounted gains in life expectancy in both the clinical judgement alone arm (from 108.7 to 134.5 months) and the genotype testing arm (from 119.1 to 142.6 months). The relative benefit of genotype resistance testing vs clinical judgement alone was not sensitive to the availability of these drugs.

Cost-effectiveness of genotype testing

In the base case analysis, discounted lifetime costs increased from €263100 with clinical judgement alone to €313 900 with genotype testing. After discounting costs and health effects and adjusting for health-related quality of life, the incremental cost-effectiveness of genotype testing was €69 600 per quality-adjusted life-year (QALY) gained compared with clinical judgement alone.

In the sensitivity analyses, the cost-effectiveness of genotype resistance testing compared with clinical judgement alone was not sensitive to: (1) the prevalence of resistance to lopinavir/ritonavir after initial ART regimen failure in the genotype testing and clinical judgement alone arms; (2) the virological efficacy of the second-line darunavir/r- and enfuvirtide-containing regimen; or (3) the proportion of patients with strains resistant to lopinavir/ritonavir in the clinical judgement alone arm who received a darunavir/ritonavir- and enfuvirtide-containing regimen (Table 3). Although the life expectancy and total medical costs were dependent on these variables, the cost-effectiveness ratios were not.

Results were also not sensitive to genotype testing costs (Table 3). When the darunavir/ritonavir daily cost was reduced from €34 to €15 (i.e. the daily cost of lopinavir/ritonavir), the cost-effectiveness of genotype testing vs clinical judgement alone decreased to €59 600/QALY gained. However, the results were highly sensitive to drug costs in the clinical judgement alone arm. In the NARVAL trial, the cost of drugs and of toxic events were approximately 15% higher in the clinical judgement alone arm than in the genotype testing arm. In this analysis, we conservatively considered that both toxic event costs and the costs of the backbone regimen associated with lopinavir/ritonavir, darunavir/ritonavir and enfuvirtide would be the same in the genotype testing and clinical judgement alone arms, and equal to the weighted average of estimates from the NARVAL trial. In sensitivity analysis, a 15% increase in the cost of drugs for the second- and third-line regimens in the

clinical judgement arm yielded an incremental cost-effectiveness ratio of €5 300/QALY gained for genotype testing compared with clinical judgement alone.

Discussion

Most studies of genotype testing have examined short-term efficacy and resistance patterns after the test [3–6,10]. We sought to understand the likely long-term outcomes related to genotype testing in patients with advanced HIV disease, basing our analysis on the NARVAL trial [10]. We used a published simulation model and found that genotype testing is likely to increase discounted life expectancy by approximately 11 months in patients with extensive prior antiretroviral exposure in the long term, a finding that the short-term trial was not designed to evaluate (16 months undiscounted).

The long-term benefits of genotype testing may be attributable to a number of factors. First, patients in the genotype testing arm were more likely than those in the clinical judgement alone arm to receive two active new drugs in their treatment regimens. Among those enrolled in NARVAL, a high proportion of patients were resistant to lopinavir/ritonavir after the first antiretroviral regimen failure despite not having received this drug previously. As a result, in our analysis, when genotype resistance testing was not performed, resistance to lopinavir/ritonavir was not detected and these patients were given a regimen containing lopinavir/ritonavir plus only one active drug (i.e. enfuvirtide).

Previous studies have shown that adding new drugs to salvage regimens in antiretroviral-experienced patients can improve and sustain HIV RNA suppression, emphasizing that the use of two or more active drugs in treatment regimens is more likely to achieve and maintain a virological response [40–42]. The results of this analysis are consistent with those reports. Even when we considered that some resistance information from previous failures may be available in the clinical judgement alone arm, genotype resistance testing at late failure still increased life expectancy. In this analysis, we also demonstrated that the upfront use of two active drugs in a treatment regimen is associated with a better long-term efficacy than use of a single active drug sequentially in two subsequent regimens.

Our results on the long-term benefit of genotype testing are also related to the HIV-resistance ‘cost’ associated with ART. In the NARVAL trial, lopinavir/ritonavir-resistant strains were less frequent in the genotype testing arm at week 12 compared with the clinical judgement alone arm, despite patients’ inexperience with this drug. Development of drug resistance mutations in failing regimens has been shown to be time dependent, especially for nucleoside reverse transcriptase inhibitors and PIs [43,44]. Even in patients with extensive prior antiretroviral exposure with a lack of fully active agents, genotype resistance testing may be used for choosing drugs in subsequent regimens to avoid additional accumulation of resistance mutations and thus prevent the development of high-level class resistance. This is particularly important because of the ongoing risk of accumulating additional resistance mutations [43,44]. Increases in the risk of cross-resistance may decrease the effectiveness of experimental drugs under development, therefore jeopardizing future treatment options [45,46].

In this study, we found incremental cost-effectiveness ratios for genotype testing (€9 600/QALY gained) that were higher than those previously reported in both the USA and Europe [14,47]. Studies using data from other RCTs have reported incremental cost-effectiveness ratios for genotype testing after failure of ART to be US\$22 800/QALY gained (year 2006 US\$; €17 900 year 2006 euros) in the USA [14], and €25 000/year of life saved in Germany (year 2006 euros) [47]. However, unlike these studies, the current study was conducted in patients with extensive prior antiretroviral exposure and advanced HIV disease for whom

the background cost of care, most notably drug costs, contributes to the higher cost-effectiveness ratios. The impact of high drug costs is illustrated in the sensitivity analysis, which found that the cost-effectiveness of genotype testing is not sensitive to genotype test costs, but is more sensitive to drug costs. The cost-effectiveness ratios decrease when drug costs, in particular those of enfuvirtide and darunavir/ritonavir, decrease. The cost-effectiveness ratio for genotype testing in this study was similar to the incremental cost-effectiveness ratio reported for enfuvirtide use in treatment-experienced patients (€62 800/QALY gained; US\$79 800 in 2006, compared with an optimized background regimen), a recommended treatment strategy in France and the USA for patients with advanced HIV disease [31].

There are several limitations to this analysis. First, to estimate the long-term benefit of genotype testing, we used a simulation model of HIV disease that combines input data from multiple sources and relies on several assumptions. For example, when modelling ART efficacy for initial and subsequent regimens, long-term outcomes were extrapolated from short-term studies. Data on the efficacy of subsequent regimens were from subanalyses of RCTs with large confidence intervals surrounding the point estimates [22,23]. Uncertainties regarding the modelling of ART efficacy were, however, considered in sensitivity analyses. Even with pessimistic assumptions regarding the benefits of genotype testing, the results were stable with respect to the long-term clinical benefits of genotype testing.

Salvage ART regimens in HIV-infected patients with prior antiretroviral exposure have lower success rates and are more expensive than early regimens [48–50]. In this analysis, we demonstrated that, in treatment-experienced patients, genotype testing is likely to effectively guide the choice of subsequent therapy in the long term. Substantial gains in life expectancy as a result of genotype testing relate to the fact that patients with extensive prior ART exposure were more likely to receive two active new drugs in their treatment regimens. In addition, the cost-effectiveness of genotype testing compared with the use of clinical judgement alone is commensurate with other accepted strategies in the care of patients with advanced HIV disease. In heavily experienced HIV-infected patients, the inclusion of genotype testing in HIV management guidelines should be strongly encouraged.

Acknowledgments

We acknowledge the assistance of Françoise Brun-Vezinet, MD, PhD and Dominique Costagliola, PhD for suggestions on the analysis. We are also indebted to April D. Kimmel, MS, A. David Paltiel, PhD and George R. Seage III, ScD for their valuable suggestions during the development of the model and for helpful comments on the analysis. This study was supported by grants from the French Agence National de Recherches sur le SIDA (ANRS 088), the US National Institute of Allergy and Infectious Diseases (NIAID AI42006, K23 AI0794, K24 AI062476, K25 AI50436 and CFAR P30 AI42851), the US Centers for Disease Control and Prevention (Cooperative Agreements U64/CCU 114927 and U64/CCU 119525), and the Doris Duke Charitable Foundation Clinical Scientist Development Award to RPW.

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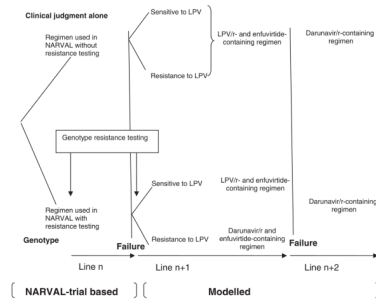


Fig. 1. Sequential regimens of highly active antiretroviral therapy (HAART) used in each modelled patient in the clinical judgement alone and genotype resistance arms. LPV, lopinavir; r, ritonavir.

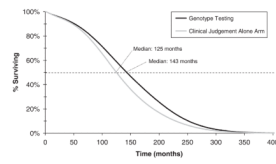


Fig. 2. Model-based survival curves for the simulated patient cohort in the clinical judgement alone and genotype resistance testing arms.

Table 1

Base case values for model variables

Opportunistic infection incidence rate (per 100 person-months) [24]						
CD4 cell count/mm ³	PCP	Toxo	CMV	MAC	Fungal	Other OD
Primary						
>500	0.1	0.0	0.0	0.0	0.0	0.2
301–500	0.1	0.0	0.1	0.1	0.1	0.3
201–300	0.2	0.0	0.1	0.0	0.1	0.7
101–200	0.4	0.2	0.3	0.2	0.2	0.9
51–100	0.4	0.3	0.1	0.4	0.2	1.5
0–50	1.2	0.5	0.7	0.9	0.9	2.4
Relapse	0.9	2.0	3.4	3.0	0.7	2.0

Efficacy of prophylaxis		Decrease in incidence of infection (%)
<i>Pneumocystis carinii</i> pneumonia (trimethoprim-sulfamethoxazole) [20]		97.8
Toxoplasmic encephalitis (trimethoprim-sulfamethoxazole) [20]		65.0
<i>Mycobacterium avium</i> complex bacteraemia (azithromycin) [21]		63.4

Efficacy of HAART		HIV RNA suppression (%)	Increase in CD4⁺ cell count/mm³
Initial regimen [10]		38.5 at week 12	27 at week 12
1st subsequent regimen			
<i>Clinical judgement arm</i> (LPV/r-enfuvirtide-containing regimen)			
LPV/r susceptible [26]		45.0 at week 48	127 at week 48
LPV/r resistant [26]		8.0 at week 48	57 at week 48
<i>Genotype resistance testing arm</i>			
LPV/r-enfuvirtide-containing regimen [26]		45.0 at week 48	127 at week 48
Darunavir/r-enfuvirtide-containing regimen [27]		63.0 at week 48	102 at week 48
2nd subsequent regimen (Darunavir/r-containing regimen without enfuvirtide)			
<i>Clinical judgement arm</i>			
LPV/r susceptible after the initial regimen failure [26,30]		26.0 at week 24	31 at week 48
LPV/r resistant after the initial regimen failure (assumption)		13.0 at week 24	31 at week 48
<i>Genotype resistance testing arm</i>			

Efficacy of HAART	HIV RNA suppression (%)	Increase in CD4⁺ cell count/mm³
LPV/r susceptible after the initial regimen failure [26,30]	26.0 at week 24	31 at week 48
LPV/r resistant after the initial regimen failure	No virological or immunological efficacy but clinical benefit	

Cost of care for patients with no history of AIDS [24] (per person-month) CD4 cell count/mm³	Cost (2006 €)^{††}
>500	369
301–500	448
201–300	576
101–200	615
51–100	578
0–50	790

Cost of care for patients with specific AIDS-defining events and history of AIDS-defining events (per person-month) (2006 €)^{††}	PCP	Toxo	CMV	MAC	Fungal	Other OD
Specific events	3851	4751	4872	3853	1631	2635
History of events	702	2555	1808	419	735	870

Cost of care for patients during the one month prior to death	Cost (2006 €)^{††}
No history of an AIDS-defining event	3942
>30 days after an AIDS-defining event	6772
≤ 30 days after an AIDS-defining event	8571

Cost per month of opportunistic infection prophylaxis, antiretroviral drugs, and cost of tests	Cost (2006 €)
Trimethoprim-sulfamethoxazole	3
Azithromycin	33
Initial antiretroviral regimen in genotype testing arm	880
Initial antiretroviral regimen in clinical judgement arm	1040
First subsequent antiretroviral regimen: LPV/r-enfuvirtide-containing [‡]	2534
First subsequent antiretroviral regimen: Darunavir/r-enfuvirtide-containing [‡]	3108
Second subsequent antiretroviral regimen	1532
CD4 cell count (per test) [51]	11
HIV RNA level (per test) [51]	81
Genotype test (per test) [51]	297

Cost per month of opportunistic infection prophylaxis, antiretroviral drugs, and cost of tests	Cost (2006 €)
Drug level monitoring test (per test) [51]	32

Health-related quality adjustment scores in patients with no history of AIDS*

CD4 cell count/mm ³	Score
>500	0.87
301–500	0.86
201–300	0.86
101–200	0.85
51–100	0.85
0–50	0.83

Health-related quality of life adjustment scores in patients with AIDS*	Acute opportunistic infections	History of opportunistic infections
PCP	0.74	0.78
Toxo	0.69	0.74
MAC	0.69	0.73
Fungal infections	0.78	0.76
CMV	0.78	0.74
Other AIDS-defining events	0.69	0.77

* The CD4 increase was assumed to occur during the first 10 months of antiretroviral therapy with a rapid increase during the first 2 months (80% of the overall increase), and a slower increase during months 3–10 (20% of the overall increase). Details of these methods have been described elsewhere [14,15].

†† Excludes opportunistic infection prophylaxis, antiretroviral regimens, CD4 cell count and HIV RNA level test costs.

§ In the base case analysis, a CD4 cell count and an HIV RNA test were performed every 3 months. For the initial antiretroviral regimen, drugs dispensed in each trial arm were estimated from NARVAL. For the subsequent antiretroviral regimen, the cost of drugs dispensed was conservatively considered to be the same in the genotype testing and clinical judgement alone arms and equal to a weighted average of the NARVAL trial estimates. Data on the unit cost of opportunistic infection prophylaxis and antiretroviral drugs were derived from the Tourcoing Hospital pharmacy records.

◆ Lopinavir/r, enfuvirtide-, darunavir/r-, enfuvirtide-, and darunavir/r-containing regimens costs were estimated assuming that in addition to these drugs patients have a backbone of two nucleoside reverse transcriptase inhibitors. Darunavir/r does not yet have a cost in Europe, we therefore assumed the same cost as tipranavir, the last approved protease inhibitor in Europe (€34 per day).

♣ The value of 1.0 is assigned to the optimal level of health-related quality of life and the value of 0.0 to death.

CMV, cytomegalovirus infection; Fungal, fungal infections (mainly *Candida esophagitis*); LPV/r, lopinavir/ritonavir; MAC, *Mycobacterium avium* complex bacteraemia; Other OD, including bacterial infections, tuberculosis, Kaposi sarcoma, and other AIDS-defining illnesses; PCP, *Pneumocystis carinii* pneumonia; Toxo, toxoplasmic encephalitis.

Table 2

Sensitivity analysis of potentially important model variables on the long-term effectiveness of genotype testing vs clinical judgement alone*

	Increase in Life Expectancy (months)
Resistance to LPV/r after the initial regimen failure in genotype testing arm (vs 49.3% in the clinical judgement only arm)	
49.3%	10.3
41.9% (base case)	10.5
Efficacy of darunavir/r-containing regimen without enfuvirtide in patients with resistant strains to LPV/r in the clinical judgement alone arm	
13% suppression, week 24 (base case)	10.5
16% suppression, week 24	10.3
20% suppression, week 24	9.9
Efficacy of darunavir/r- and enfuvirtide-containing regimen used in patients in genotype testing arm	
63% suppression at week 48 (base case)	10.5
78% suppression at week 48	14.2
95% suppression at week 48	18.3
Proportion of patients with resistant strains to LPV/r in the clinical judgement alone arm who received a darunavir/r – and enfuvirtide-containing regimen after the first virological failure [†]	
0% (base case)	10.5
30%	6.3
50%	3.5
A new class of HIV antiviral drugs available ^{††}	
No (base case)	10.5
Yes, MK-0518	8.1

* Life expectancies reported in this table are discounted.

[†] Based on information from genotype resistance tests performed during earlier failures.

^{††} For this analysis, we hypothesized that MK-0518 would be available after the second antiretroviral regimen failure. In the clinical judgement alone arm and in patients in the genotype resistance testing arm who were sensitive to lopinavir/ritonavir (LPV/r) after the initial antiretroviral therapy (ART) regimen failure, the third ART regimen was assumed to be a darunavir/ritonavir MK-0518-containing regimen. In patients in the genotype resistance testing arm who were resistant to lopinavir/ritonavir after the initial ART regimen failure, the third ART regimen was assumed to be a MK-0518-containing regimen without darunavir. Efficacy data on a MK-0518-containing regimen were from the interim study results of a phase 2b, multicentre, randomized, double-blind, dose-ranging, placebo-controlled study that compared MK-0518 plus optimized background therapy (OBT) to placebo plus OBT in experienced patients [39]. The cost of MK-0518 was considered to be the same as that of darunavir.

Table 3

Sensitivity analysis on the cost, effectiveness and cost-effectiveness of genotype resistance testing compared with clinical judgement alone*

	Increase in Lifetime Costs (€)	Increase in QALE (months)	C/E ratio (€/QALY)
Resistance to LPV/r after the initial regimen failure in the genotype testing arm (vs 49.3% in the clinical judgement only arm)			
49.3%	53 530	8.6	74 700
41.9% (base case)	50 802	8.8	69 600
Efficacy of darunavir/r-containing regimen without enfuvirtide in patients with resistant strains to LPV/r in the clinical judgement alone arm.			
13% suppression, week 24 (base case)	50 802	8.8	69 600
16% suppression, week 24	50 399	8.6	70 300
20% suppression, week 24	49 669	8.3	71 600
Efficacy of darunavir/r- and enfuvirtide-containing regimen used in patients in genotype testing arm			
63% suppression at week 48 (base case)	50 802	8.8	69 600
78% suppression at week 48	68 020	11.9	68 800
95% suppression at week 48	86 938	15.3	68 300
Proportion of patients with resistant strains to LPV/r in the clinical judgement alone arm who received a darunavir/r- and enfuvirtide-containing regimen after the first virological failure [†]			
0% (base case)	50 802	8.8	69 600
30%	32 144	5.3	73 000
50%	19 706	3.0	80 000
A new class of HIV antiviral drugs available ^{††}			
No (base case)	50 802	8.8	69 600
Yes, MK-0518	45 783	6.7	81 500
Genotype test cost			
297 €(base case)	50 802	8.8	69 600
200 €	50 571	8.8	69 200
100 €	50 333	8.8	68 900
Darunavir/r cost			
34 €/per day (base case)	50 802	8.8	69 600
21 €/per day	45 504	8.8	62 300
15 €/per day (= LPV/r cost)	43 500	8.8	59 600
Enfuvirtide cost			
52 €/per day (base case)	50 802	8.8	69 600
31 €/per day	50 713	8.8	69 400
21 €/per day	45 016	8.8	61 600
Costs of subsequent antiretroviral regimens in the clinical judgement alone arm			
= costs of that regimen in the genotype resistance testing arm (base case)	50 802	8.8	69 600
A 15% increase in costs of that regimen in the genotype resistance testing arm [§]	25 766	8.8	35 300

* Cost and QALE reported in this table are discounted.

[†] Based on information from genotype resistance tests performed during earlier failures.

^{††} For this analysis, we hypothesized that MK-0518 would be available after the second antiretroviral regimen failure. In the clinical judgement alone arm and in patients in the genotype resistance testing arm who were sensitive to lopinavir/ritonavir (LPV/r) after the initial antiretroviral therapy (ART) regimen failure, the third ART regimen was assumed to be a darunavir/ritonavir MK-0518-containing regimen. In patients in the genotype resistance testing arm who were resistant to lopinavir/ritonavir after the initial ART regimen failure, the third ART regimen was assumed to be a MK-0518-containing regimen without darunavir. Efficacy data on a MK-0518-containing regimen were from the interim study results of a phase 2b, multicentre, randomized, double-blind, dose-ranging, placebo-controlled study that compared MK-0518 plus optimized background therapy (OBT) to placebo plus OBT in experienced patients [39]. The cost of MK-0518 was considered to be the same as that of darunavir.

[§] The 15% increase in costs for the antiretroviral regimen in the clinical judgement alone arm compared with the genotype resistance arm was proposed based on the observed higher expenditures on antiretroviral medications in the clinical judgement alone arm in NARVAL.

C/E, cost-effectiveness; LPV/r, lopinavir/ritonavir; QALE, quality-adjusted life expectancy; QALY, quality-adjusted life year.