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Hospital racial composition: A neglected factor in cardiac arrest survival disparities

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Abstract

Background—Racial disparities in survival after out-of-hospital cardiac arrest have been reported but their causes remain uncertain. We sought to determine if hospital racial composition accounted for survival differences for patients hospitalized after cardiac arrest.

Methods—We evaluated hospitalizations of white and black Medicare beneficiaries (2000–2007) admitted from the ED to ICU with a diagnosis of cardiac arrest or ventricular fibrillation. We examined unadjusted survival rates and developed a multivariable logistic regression model which included patient and hospital factors.

Results—We analyzed 68,115 cardiac arrest admissions. Unadjusted survival to hospital discharge was worse for blacks (n=7,942) compared with whites (n=60,173) (30% vs. 33%, p<0.001). In multivariate analyses accounting for patient and hospital factors, adjusted probability of survival was worse for black patients at hospitals with higher proportions of black patients (31%, 95% CI: 29%–32%) compared with predominately white hospitals (46%, 95% CI: 36%–57%; p=.003). Similarly, whites had worse risk adjusted survival at hospitals with higher proportions of black patients (28%, 95% CI: 27%–30%) compared to predominately white hospitals (32%, 95% CI: 31%–33%, p=.006). Blacks were more likely to be admitted to hospitals with low survival rates (23% vs. 15%, p<.001).

Conclusion—Hospitals with large black patient populations had worse cardiac arrest outcomes than predominantly white hospitals, and blacks were more likely to be admitted to these high

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mortality hospitals. Understanding these differences in survival outcomes may uncover the causes for these disparities and lead to improved survival for all cardiac arrest victims.

Differences in survival from out-of-hospital cardiac arrest between blacks and whites have been previously described but the mechanisms generating these disparities remain uncertain.¹⁻⁴ Previous studies have identified that pre-hospital factors such as bystander cardiopulmonary resuscitation (CPR), initial rhythm, whether the event was witnessed, and incident location may contribute to racial differences in survival from cardiac arrest.²⁻⁶ However, these studies have not accounted for the role of hospital factors after initial resuscitation and intensive care unit (ICU) admission.

These hospital factors may be important. Blacks with cardiovascular disease are more likely to receive care at hospitals with worse risk-adjusted surgical outcomes, longer times to reperfusion therapy, and fewer evidenced-based technologies.⁷⁻¹¹ These differences are associated with worse outcomes. Skinner and colleagues demonstrated that hospital racial composition is itself an important predictor of AMI outcome: blacks were more likely to be treated at hospitals with higher proportions of black patients and, those hospitals had higher mortality.¹²

The same circumstances may be relevant in cardiac arrest as an estimated 80% of cardiac arrests occur at home and current practice is for patients in cardiac arrest to go to the closest hospital.¹³ Since blacks in the US are more likely to live in racially homogenous neighborhoods and therefore receive care at hospitals which serve these neighborhoods,¹⁴⁻¹⁸ racial differences in post arrest survival may be related to the racial composition of hospitals where blacks are most likely to be admitted. Racial composition is likely a marker of other hospital processes of care which could represent important areas for intervention and improvement.

Our study's goal was to determine whether the racial composition of US hospitals accounts for racial differences in survival rates for patients hospitalized after out-of-hospital cardiac arrest. We also evaluated if blacks and whites were admitted to hospitals with different survival rates from cardiac arrest to further characterize racial differences in admission patterns.

Methods

Data sources and study population

We examined all fee-for-service Medicare beneficiaries hospitalized for cardiac arrest or ventricular fibrillation (VF) from January 2000 to September 2007. This time frame represents 118,613,902 total admissions for all causes across 4,293 hospitals. To establish a cohort of patients with presumed out-of-hospital cardiac arrest who were resuscitated and admitted to the hospital, we used Medicare Provider Analysis and Review (MedPAR) data to identify individuals with an admission diagnosis of cardiac arrest or VF, (International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM] codes 427.4, 427.41, and 427.5) admitted from the emergency department to the ICU. Patients were included if identified in the Medicare enrollment database as black or white (considered a valid measure of race as it is self reported at the time of Medicare enrollment).¹⁹

To increase the likelihood that the admission diagnosis of VF represented an actual admission for cardiac arrest rather than a non-urgent admission for arrhythmia evaluation, we excluded patients with a procedure, secondary diagnosis or diagnosis related group (DRG) code related to implantable cardioverter defibrillator (ICD) replacement or device

check. We also excluded patients discharged alive to home within one day of admission, as this would be highly unlikely in the event of an actual cardiac arrest.

To further support the admission diagnosis of cardiac arrest with consistent inpatient documentation, we included in the cohort only those patients who had a procedure, hospital diagnosis, or DRG code of cardiac arrest, VF, CPR, mechanical ventilation, or heart countershock.

Patient Characteristics

We used the Medicare denominator file to ascertain race, age, and gender (from birth records used for Social Security files). Race and gender were tested as an interaction term in the model and found to not be significant so these variables were entered separately.

We included only patients aged 66 and over to insure that at least one year of data was available before the index hospitalization for cardiac arrest to assess pre-arrest comorbidities. We identified comorbidities, by linking the index MedPAR file with all Medicare records for outpatient visits and inpatient hospitalizations in the 365 days preceding the index hospitalization for cardiac arrest. Comorbid conditions in our model included myocardial infarction, congestive heart failure (CHF), prior arrhythmia, valvular disease, hypertension (HTN), diabetes, and renal disease identified by the coding scheme described by Elixhauser et al. to group diagnosis codes.²⁰ Coronary artery disease was classified by ICD-9-CM codes 410–414, 429.2, and V45.81. We generated a variable “no prior diagnoses” to identify patients with no outpatient or inpatient claims in the year preceding their cardiac arrest.

Diagnoses during the index hospitalization which could be related to the etiology of the cardiac arrest were entered in the model and included concurrent: AMI (410.00–410.92), acute coronary syndrome (412, 411.81, 411.89), pulmonary embolism (415.11, 415.19), sepsis/shock (771.81, 785.50–785.59, hyperkalemia (276.7), and cerebrovascular accident (430–436, 432.0–435.9, 433.00–434.91).

Hospital Variables

We used American Hospital Association annual survey data (2000–2007) and Medicare claims data to identify hospital variables that could account for differences in survival outcomes across racial groups or be correlated with hospital racial composition. These included: academic status, urban location, US Census region, hospital ownership and hospital bed count-divided into tertiles based on the sample distribution. Hospitals (n=526) with incomplete data for variables included in the model were excluded. As a proxy for hospital economic status, we used the median household income reported for Medicare fee-for service beneficiaries admitted to the index hospital per year, and categorized hospitals in tertiles.

We used MedPAR data claims from all hospital discharges for each year to determine hospital racial composition, reflecting the proportion of claims from black patients treated annually for cardiovascular conditions (i.e. DRG in major diagnosis category 5). We then divided the hospitals in our cardiac arrest cohort into quintiles along this dimension. The first quintile represented hospitals whose overall admissions included the fewest black patients and the highest quintile represented hospitals with the highest percentage of admissions for black patients. To further identify the types of hospitals that black and white patients with cardiac arrest were admitted to, we used our cardiac arrest cohort to divided facilities into quintiles reflecting the survival rate per hospital.

Statistical Analyses

Summary statistics are reported by racial group for patient factors and by quintile for hospital level factors. Statistical significance across groups was determined using chi-squared tests for nominal data, t tests for interval data, and Kruskal-Wallis tests for non-parametric median data.

We compared unadjusted probability estimates for survival outcomes by race using the chi-square test. To evaluate if blacks and whites were more or less likely to be admitted to hospitals with differing cardiac arrest survival rates we used a non-parametric test of trend for the ranks of across ordered groups.

We created a multivariable logistic regression to adjust for variables hypothesized to explain the relationship between race and survival to hospital discharge and we used a clustered variance estimator to control for clustering of data by hospital. Covariates (for post-arrest patients admitted to the ICU) in the regression model included patient level factors (age, comorbidities, inpatient concurrent conditions) and hospital level factors (each facility's racial composition, volume, ownership (i.e., for-profit, non-for-profit, government), teaching status, location, income, urban status, and cardiovascular procedure capability [e.g. presences of onsite interventional cardiology]). We included interactions between race and the percentage of black patients per hospital as categorized in quintiles. The primary outcome variable was survival to hospital discharge.

We examined risk-adjusted mortality across quintiles (to determine if blacks and whites had different survival outcomes at different hospitals) and within quintiles to determine if blacks and whites had different survival outcomes at the same hospital). To improve the interpretation of logistic regression results, rather than presenting odds ratios we presented probabilities reflecting unit changes in covariates of interest (e.g. black, hospital race quintile) with all other covariates fixed at their mean.

To further characterize the types of hospitals that black and white patients with cardiac arrest were admitted to, we also used the cardiac arrest cohort to divide hospitals into quintiles by the survival rate per facility. To determine the adjusted probability of survival at these high and low survival facilities, interactions between race and the percentage of survivors per hospital were also categorized in quintiles and included in a multivariate model to assess racial differences within hospitals.

All statistical analyses were performed with Stata version 10, College Station, Texas. The institutional review board of the University of Pennsylvania approved this study. This research was supported by funding from the Robert Wood Johnson Foundation Clinical Scholars program at the University of Pennsylvania (Merchant). This research was also supported by an unrestricted grant from the Institute for Health Technology Studies (Washington, DC), as well as grant 1-R01-HL086919 from the National Heart, Lung, and Blood Institute (Groeneveld). The authors are solely responsible for the design and conduct of this study, all study analyses, the drafting and editing of the paper and its final contents.

Results

We identified 68,115 admissions for cardiac arrest (from the emergency department to the ICU) to 3,767 hospitals over an approximately 7 year period. Of our sample, 88% (n=60,173) of patients were white and 12% (n=7,942) were black. White patients were older, more likely to be male, and more likely to have a presenting rhythm of VF. Black patients had more comorbidities. (Table 1).

In the analysis of hospital racial composition, overall hospital admissions by race varied from <1% black patients in the lowest quintile to 13%–93% black patients in the highest quintile. Blacks were more likely (63% vs. 15%, $p<.001$) to be admitted to hospitals with higher proportions of black patients (5th quintile) which represented 24% of US hospitals.

Hospitals with the largest numbers of black patients were more likely to be urban, located in the south, higher volume, academic, and to provide care for patients of lower income (Table 2).

The unadjusted probability of survival to discharge was lower among blacks compared with whites (30% vs. 33%, $p<0.001$). Whites were also more likely to be discharged home (Table 3).

Hospital characterization by racial composition

In multivariate analyses accounting for patient and hospital level factors, the racial composition of the hospital was significantly associated with survival outcomes in the quintiles with the lowest and highest percentages of black patients (Figure 1). Black patients had lower survival at hospitals with a higher proportion of black patients (5th quintile) compared with blacks receiving care at hospitals with a predominately white patient population (1st quintile,) (adjusted mean probability of survival 31%, [95% CI 29%–32%] vs. 46% [95% CI 36%–57%], $p=.003$).

Similarly, white patients at hospitals with the largest numbers of black patients had a lower adjusted mean probability of survival compared with survival for white patients admitted to hospitals with primarily white patients (28% [95% CI 27%–30%] vs. 32% [95% CI 31%–35%], $p=0.006$).

The regression model had good discrimination (c -statistic=0.73) and calibration (p value for goodness of fit=0.37)

Hospital characterization by arrest survival rates

The adjusted rate of survival was better for blacks compared to whites within hospitals with the lowest survival and the highest survival (Figure 2). Black patients however, were more likely to be admitted to hospitals with worse survival, (23% vs. 15%, $p<.001$) and white patients were more likely to be admitted to hospitals with better survival (5th quintile vs. 1st quintile (21% vs. 19%, $p<.001$).

Discussion

This study has three main findings. First, we observed significantly better unadjusted survival from out-of-hospital cardiac arrest for whites compared to blacks. Second, these effects were almost entirely explained by the hospitals where patients were admitted. Blacks were much more likely to go to hospitals that had worse outcomes (for blacks and whites). Third, within groups of hospitals categorized by race percentage and the survival rate/hospital, the few “within-group” racial differences in outcomes favored survival for blacks. These three findings are consistent with a single story: hospitals vary in their ability to deliver care. Because of racial residential segregation, blacks are much more likely to be admitted to hospitals caring for largely black populations, and these hospitals generally have poorer cardiac arrest outcomes for both black and white patients. These results explain a troubling health disparity and suggest that hospital-level influences are a leading reason why there are racial differences in cardiac arrest outcomes. These data are unique in that they are derived from a large population-based cohort of nearly all patients over the age of 66

admitted to nearly all acute care hospitals in the United States with an out-of-hospital cardiac arrest over a seven year period.

The racial composition of the hospital (as a marker of ways in which hospitals may be deficient in some areas of quality and have worse outcomes) is a neglected factor in previous studies of cardiac arrest outcomes. Prior work has primarily focused on the role of pre-hospital factors as predictors of differences in outcomes.^{1-3, 5, 6} Controlling for these and other factors related to the circumstances of out-of-hospital arrest has not entirely explained racial differences in survival-suggesting a role for hospital factors.³ Data regarding racial differences in in-hospital arrest outcomes also suggest a role for hospital-level influences and processes of care.^{21, 22} Hospital racial composition represents a potentially important factor to consider as blacks and whites tend to live in racially homogenous areas and receive care at different types of hospitals. Emergent conditions such as cardiac arrest are even more likely than non-urgent conditions to reflect the segregation of the population, since most arrests occur at home and patients tend to be treated at the nearest hospital.

For both white and black patients in our cohort, survival to discharge was lower in hospitals with higher proportions of black patients. It is increasingly apparent that hospitals vary non-randomly in their cardiac arrest outcomes^{23, 24} and the mechanisms explaining these differences are likely influenced by both measurable and unmeasurable factors.

Variability in treatment intensity of all patients with cardiovascular conditions may be an important contributing factor for observed differences in survival by hospital racial composition.²⁵⁻³⁰ Surprisingly, we found that hospitals which provided care for higher proportions of black patients were more likely to have the capability to provide treatments like PCI. Capability may not equate to actual utilization in the post-arrest setting however, and prior studies have demonstrated lower rates of revascularization, surgical treatment of cardiac disease, and ICD placement in blacks compared with whites.^{28, 29, 31-35} These differences have also been elucidated even when controlling for the hospital where patients were admitted.³⁶ A better understanding of how hospital level access and utilization of post-resuscitation therapies influence survival outcomes may help to direct next steps for improving health outcomes and help to determine if there is a case for regionalization of post-arrest care.^{37, 38}

Differences in physician and nursing quality, ancillary support, patient/family preferences regarding end of life care, and other unmeasured domains could account for some of the observed differences.^{37, 39-41} Improved tools for measuring these factors are needed to better understand differences in hospital level processes of care. The emphasis of our work was not to simply account for all of the factors that influence disparities (unadjusted survival rates prove they exist) but rather to better understand some of the mechanisms driving these differences to help focus possible intervention strategies.

Another area of focus for improving overall arrest rates is in the pre-hospital arena. Notably, we identified a higher adjusted survival rates for black patients in our cohort in the lowest and highest quintiles. One possible explanation for this finding is that consistent with prior reports documenting fewer resuscitation resources in black neighborhoods-black patients with OHCA are less likely to survive arrest pre-hospital, be transferred to an emergency room and then resuscitated and admitted to an ICU.^{1, 2} The cohort of black patients in our study (resuscitated and admitted to the ICU) may have a favorable survival bias which could explain the higher adjusted survival rates for blacks after accounting for hospital effects.

Limitations

There are several limitations of our findings. We reported racial differences in cardiac arrest survival in a patient population aged 66 and older. Due to significant racial and gender differences in life expectancy, our reported differences in survival outcomes may not be generalizable to a younger patient population. In addition, we developed inclusion and exclusion criteria for claims data to support the likelihood of identifying actual out-of-hospital cardiac arrest, but due to the limitations of claims data we were unable to validate these criteria with actual emergency medical system or emergency department documentation. It is unlikely however that we excluded or included cases in a manner that would have created racial bias.

Our cohort was limited to patients resuscitated from cardiac arrest and subsequently hospitalized, so our findings do not account for differences in initial incidence, attempted resuscitation, initial rhythm etc. which could exist between black and white patients.^{1, 2, 4, 5} Our intent however was to study a hospitalized population that would be likely to be impacted by differences in hospital factors.

We may have omitted confounding factors related to hospitals that serve large numbers of blacks such as hospital financial status, accessibility of specialist physicians, or general quality of carer.³⁹ Hospital differences in discharge policies may have also impacted our findings. Similarly there may have been unmeasured confounding patient level factors (comorbidities, inpatient diagnoses) that were not included in our model which could account for unexplained variability in outcomes and bias our findings. Despite our limitations, we were however able to include a wide variety of patient and hospital level factors in our analysis.

Conclusion

Racial disparities in hospital survival from cardiac arrest exist but were reduced when accounting for hospital racial composition. Improving care at high mortality hospitals, which disproportionately provide care for black patients, has the potential to improve cardiac arrest survival outcomes for both black and white patients.

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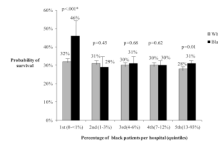


Figure 1. Adjusted survival from cardiac arrest by race and hospital racial composition
 Controlling for patient (age, sex, comorbidities, inpatient concurrent diagnoses) and hospital factors (volume, ownership, teaching status, location, income, urban status, and cardiovascular procedure capability), survival by race is represented in each % black quintile. P values reflect within hospital comparisons by race. Across hospital (quintile 1 versus 5) adjusted survival was significant for blacks (p=.003) and whites (p=.006).

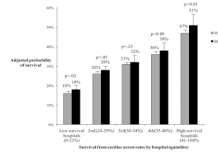


Figure 2. Adjusted survival for blacks and whites at hospitals with high and low survival rates from cardiac arrest

Controlling for patient and hospital factors the adjusted probability of survival by race is represented in each quintile of hospital survival rate. P values reflect within hospital comparisons by race.

Table I

Characteristics of patients with cardiac arrest by race (n=68,115)

	White (n=60,173)	Black (n=7,942)	p value
Patient Characteristics			
Age (mean) \pm standard deviation	78.1 \pm 7.3	77.4 \pm 7.8	p=.01
66–70	18%	23%	p<.001
71–75	22%	22%	p=.01
76–80	23%	22%	p<.001
81–85	17%	13%	p<.001
>85	20%	19%	p<.001
Gender, male	56%	39%	p<.001
Admission diagnosis:			
Ventricular fibrillation (42741)	12%	8%	p<.001
Cardiac arrest (4275)	88%	92%	p<.001
Length of stay: (days)			
Hospital	5.3 \pm 7	4.7 \pm 7	p<.01
Intensive care unit	2.7 \pm 75	2.4 \pm 74	p<.01
Pre-arrest comorbidities:			
Hypertension	30%	42%	p<.001
Congestive heart failure	25%	31%	p<.001
Diabetes	16%	25%	p<.001
Arrhythmia	21%	7%	p<.001
Coronary artery disease	12%	18%	p<.001
Renal disease	7%	13%	p<.001
Valvular disease	10%	9%	p<.001
Myocardial infarction	6%	6%	p=.70
No prior diagnoses	33%	27%	p<.001
In-hospital concurrent conditions:			
Acute myocardial infarction	33%	27%	p<.001
Sepsis/shock	12%	14%	p<.001
Acute coronary syndrome	12%	6%	p<.001
Hyperkalemia	7%	10%	p<.001
Cerebral vascular accident	5%	6%	p<.001
Pulmonary embolism	1%	2%	p<.001

Table II
Hospital characteristics by racial composition-black patients/hospital (quintile)

	Lowest quintile (0-<1%)	2 nd (1-3%)	3 rd (4-6%)	4 th (7-12%)	5 th (13-93%)	p
<i>white patients (n)</i>	13,281	13,350	12,817	11,969	8,756	
<i>black patients (n)</i>	98	305	731	1,771	5,037	
Hospital size *						
Large volume	10%	21%	31%	35%	31%	P<.001
Hospital type:						
Proprietary	11%	19%	18%	17%	15%	p<.001
Non-profit	78%	73%	74%	70%	64%	p<.001
Government	11%	7%	8%	13%	21%	p<.001
Academic	5%	9%	17%	27%	25%	p<.001
Urban	25%	51%	51%	59%	60%	p<.001
High income [†]	29%	46%	38%	36%	20%	p<.001
Hospital geographic location:						
Midwest	37%	27%	26%	21%	20%	P<.001
Northeast	19%	25%	21%	21%	12%	P<.001
South	11%	26%	40%	49%	64%	P<.001
West	34%	22%	13%	10%	3%	P<.001
Hospital procedure capabilities:						
PCI	72%	86%	91%	91%	88%	P<.001
CABG	41%	54%	61%	63%	57%	P<.001
ICD	49%	64%	69%	71%	65%	P<.001

* Hospital volume divided into tertiles (large=>275 beds)

[†] Income: median household income of Medicare fee-for service beneficiaries admitted to the index hospital per year in tertiles (high income ≥\$45,000)

PCI, percutaneous coronary intervention; CABG, coronary artery bypass graft; ICD, Implantable cardioverter defibrillator

Table III

Disposition of survivors at hospital discharge (n=21,600)

	White (n=19,297)	Black (n=2,303)	p value
Home	8%	6%	p<.001
Another hospital	10%	9%	p<.001
Skilled nursing facility	7%	8%	p<.001
Hospice	2%	2%	p=0.67
Inpatient rehabilitation	1%	1%	p=0.81
Discharged against medical advise	<1%	<1%	p=0.72