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# $\rm 5-HT_{1B}$ autoreceptors originating in the caudal dorsal raphe nucleus reduce expression of fear and depression-like behavior

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# Abstract

**Background**—5-HT<sub>1B</sub> autoreceptors regulate release of serotonin from terminals of dorsal raphe nucleus (DRN) projections. 5-HT<sub>1B</sub> expression in the DRN correlates with behavioral measures of emotion, and viral-mediated overexpression of 5-HT<sub>1B</sub> receptors in the middle DRN reduces measures of fear and anxiety in unstressed rats. Since the caudal subregion of the DRN is important in translating stress into emotional dysregulation, we explored behavioral functions of 5-HT<sub>1B</sub> autoreceptors in the caudal DRN.

**Methods**—We manipulated 5-HT<sub>1B</sub> autoreceptor function in rats using either viral-mediated gene transfer into the caudal DRN or systemic injections of the 5-HT<sub>1B</sub> agonist 3-(1,2,5,6-tetrahydro-4-pyridyl)-5-propoxypyrrolo[3,2-b]pyridine (CP-94,253). Rats were tested in forced swim test, open field test, and contextual fear conditioning.

**Results**—5-HT<sub>1B</sub> overexpression in the caudal DRN increased swimming in the forced swim test. It did not alter locomotion or thigmotaxis in the open field test, but did reduce conditioned freezing. Freezing was reduced when 5-HT<sub>1B</sub> overexpression was present only during testing, but not training. CP-94,253 exerted an inverted U-shaped dose response curve on conditioned freezing, with most pronounced effects seen at 1 mg/kg. At this dose, CP-94,253 administered before a fear retention test reduced freezing both during that session and in subsequent drug-free testing, but only when drug was paired with re-exposure to the fear context.

**Conclusions**—5-HT<sub>1B</sub> autoreceptors originating in the caudal DRN regulate behavioral expression of helplessness and fear. Since systemic pharmacological treatment with a 5-HT<sub>1B</sub> agonist facilitates reductions in fear, 5-HT<sub>1B</sub> receptors may be a target for the treatment of certain anxiety disorders.

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serotonin; forced swim test; fear conditioning; open field test; HSV

# Introduction

The neurotransmitter 5-hydroxytryptamine (5-HT; serotonin) has been widely implicated in the development and expression of psychiatric disease states such as depression and anxiety disorders (1). The dorsal raphe nucleus (DRN), a discrete cluster of serotonergic cell bodies in the midbrain, is the major source of forebrain 5-HT (2). Synaptic 5-HT is regulated in part by 5-HT1B autoreceptors, which are Gi/o-coupled receptors found on serotonergic terminals (3). 5-HT<sub>1B</sub> autoreceptors respond to extracellular 5-HT by inhibiting further release (4) and synthesis (5) while enhancing reuptake (6), thus functioning as a negative feedback system to fine-tune synaptic 5-HT concentrations. Levels of basal 5-HT<sub>1B</sub> autoreceptor mRNA in the DRN correlate with behavioral measures of anxiety (7,8), and are dynamically regulated by emotion-arousing events such as stress, exercise, and chemical antidepressant treatment (8-10). Studies with 5-HT<sub>1B</sub> knockout mice demonstrate a role of 5-HT<sub>1B</sub> receptors in regulating emotional and cognitive processes (9). However, it is difficult to attribute these effects to 5-HT<sub>1B</sub> autoreceptors on serotonergic terminals or 5-HT<sub>1B</sub> heteroreceptors on non-serotonergic terminals. Although autoreceptor- and heteroreceptor-containing terminals are intermingled throughout the brain, the cell bodies that express the respective mRNAs are anatomically distinguishable, with autoreceptor mRNA restricted to the midbrain raphe nuclei and heteroreceptor mRNA found throughout the forebrain, most prominently in striatum and hippocampus (10). Our laboratory has developed a viral-mediated strategy to selectively increase 5-HT<sub>1B</sub> autoreceptor mRNA at the level of the cell body via stereotaxic injection of viral vector into the DRN (11).

In previous 5-HT<sub>1B</sub> overexpression studies we targeted the ventromedial region of the DRN at midrostrocaudal levels - the DRN subregion with the greatest density of serotonergic neurons (12) and highest basal expression of 5-HT<sub>1B</sub> mRNA (13). However, emerging evidence suggests that the DRN contains subregional heterogeneity with respect to anatomical connectivity and function (14). The caudal DRN, compared to other DRN subregions, is particularly sensitive to uncontrollable stress (15,16), uniquely regulates active escape behavior in rodent models of "learned helplessness" (19), and is molecularly dysregulated in the brains of human suicide victims (20). Here we tested the effects of increased 5-HT<sub>1B</sub> expression in the caudal DRN in rodent behavioral models of fear, anxiety, and depression. Additionally, we tested whether systemic treatment with a selective 5-HT<sub>1B</sub> agonist reduced measures of conditioned fear.

# Methods and Materials

#### Animal care

All animal procedures were approved by The University of Washington's animal care committee and carried out in accordance with National Institutes of Health guidelines. Care was taken to minimize animal discomfort. Adult male Sprague-Dawley rats (Charles River Laboratories; Hollister, CA) were housed 2-3 per cage with access to food and water available *ad libitum*. Rats were housed on a 12 / 12 h light cycle with lights on at 06:00. All experiments were performed during the rats' light cycle. Rats weighed 275-350 g at the time of behavioral testing. With the exception of the open field test / fear conditioning experiment (Figure 3), each rat was used in a single test of behavior.

# Stereotaxic surgery and viral gene transfer

Experimental viral vector expressed hemagglutinin-tagged 5-HT<sub>1B</sub> and GFP from separate transcriptional cassettes (1B/GFP), whereas a vector expressing GFP alone was used as a control. We have shown that recombinant 5-HT<sub>1B</sub> receptors possess normal 5-HT<sub>1B</sub>-like function in vitro (13,21) and in vivo (13,22). Additionally, we recently found that overexpression of 5-HT<sub>1B</sub> autoreceptors enhances 5-HT reuptake in the hippocampus (Hagan, McDevitt, and Neumaier, in preparation). 1B/GFP and GFP viral vectors were prepared and injected with general surgical procedures carried out essentially as previously described (13). Briefly, a 27-gauge needle was stereotaxically directed into the caudal DRN (-8.4 mm bregma) at an angle of  $25^{\circ}$  off vertical. Because of the small size of the caudal DRN, injection volumes were restricted to 1  $\mu$ l (~10<sup>5</sup> infective units) to minimize spread. 1B/GFP or GFP virus was injected over 5 min, after which the needle was left in place for 5 min, then withdrawn slowly. Post-surgical pain was managed with buprenorphine (0.05 mg)kg, s.c.). To confirm injection site, brains were post-fixed in 4% paraformaldehyde, sectioned, and examined for GFP fluorescence. The extent of transgene expression due to spread of viral particles across the rostrocaudal axis within the DRN was measured in a randomly chosen subset of 10 brains as  $738 \pm 59 \,\mu\text{m}$  (mean  $\pm$  SEM). For illustrative purposes, tissue from one rat was prepared for tryptophan hydroxylase (Tph) immunohistochemistry. Immunohistochemistry was performed using standard methods, with incubation in sheep anti-Tph (1:500; Chemicon, Temecula, CA) followed by donkey antisheep Alexa 568 (1:500; Molecular Probes, Eugene, OR). Because rats in the fear acquisition experiment were sacrificed at a time point too late to observe HSV-mediated expression (23,24) injection sites were assessed by cresyl violet staining and visualization of needle tracks. In all tissue analyses, an investigator blind to treatment conditions assessed DRN boundaries, defined by published atlases of the rat brain and of DRN gene expression (13,17). For a rat to be included in data analysis, more than 50% of GFP-positive neurons (for cresyl violet stains, tip of the needle track) had to be within the caudal dorsal raphe nucleus (-8.0 to -9.3 mm bregma).

# Drugs

Rats were injected intraperitoneally with saline or 3-(1,2,5,6-tetrahydro-4-pyridyl)-5propoxypyrrolo[3,2-b]pyridine (CP-94,253; Tocris Bioscience, Ellisville, MO) dissolved in water. In the initial dose-response experiment, several waves were performed in which injection volumes were 1 ml/kg or 2 ml/kg. Comparison between waves suggested better efficacy of CP-94,253 when dissolved at 2 ml/kg (data not shown); therefore all subsequent drug experiments used injection volumes of 2 ml/kg. All injections were performed 30 min prior to behavioral testing. To minimize any influence of stress from the parenteral injection procedure on 5-HT<sub>1B</sub> receptor function, rats were gradually habituated to injection procedures. Following several days of routine handling, habituation began with rats being held briefly in a "bear claw" crip while in experimenter's arms. The next day, rats were held in this grip and cradled vertically against the experimenter's body while having their belly gently touched with a finger. Finally on the day before the experiment, rats were held and injected with 1 ml/kg saline.

#### **Behavioral procedures**

**Forced swim test**—A modified forced swim test was performed as previously described (13). Rats were videotaped from overhead and scored offline by experimenters blind to treatment group, using a time-sampling method (26) in which every 5 sec behavior was assessed as swimming, climbing, or immobility.

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**Open field test**—Testing occurred in an arena previously described (18). Rats were placed in a small chamber attached to the open field by a guillotine door that could be opened from an adjacent room. After a 2 min acclimation period in the start box, the guillotine door was held open until the rat fully emerged into the open field, where it remained for 10 min under recording and digital tracking with SMART software (San Diego Instruments, San Diego, CA).

**Contextual fear conditioning**—Training and testing occurred in a shuttle box (Med Associates) in a sound-attenuated cubicle. The shuttlebox center door remained closed, restricting rats to a chamber  $20 \times 16 \times 21$  cm. The floor consisted of stainless steel rods 4.8 mm in diameter, with centers spaced 16 mm apart. Scrambled shocks were delivered through a constant current generator connected to a computer interface. Unless otherwise noted, rats were trained with one 2 sec, 1.0 mA footshock after a 2 min acclimation period; 24 h later, rats were placed back in the chamber for a 5 min test. Training and test sessions were recorded with a digital video camera. Videos were scored offline by experimenters blind to treatment, using a time sampling procedure (28) in which rats were observed every 10 sec and judged whether or not to be in a freezing posture, defined as the absence of all movement except that required for respiration.

Statistical analyses—Analyses were performed with SPSS (IBM; Chicago, IL) with alpha set at 0.05. For the forced swim test, a standard statistical approach was followed (19) in which the three classes of behaviors were analyzed separately. Swimming, climbing, and immobility were each analyzed with two-way repeated measures analysis of variance (ANOVA) using time as a within-subjects factor and virus treatment as a between-subjects factor. Post-hoc comparisons of GFP and 1B/GFP virus groups were made using two-tailed Student's t-tests. For the open field test, we used a standard approach (14) of separately analyzing each dependent variable, including center time, corner time, and total distance traveled, using two-tailed Student's t-tests. In fear conditioning experiments, the dependent variable used for analysis was freezing during test sessions. Although post-shock freezing during training sessions (or during a pretest) was often recorded, these values were used to assign animals to groups, and thus were not included in main analysis as a dependent measure. However, for the sake of demonstrating that groups were assigned without bias, group baseline freezing values were compared using either a Student's t-test or one-way ANOVA, depending on the number of groups compared. Values for freezing during the test session were compared with two tailed Student's t-test in experiments comparing two groups at a single time point (Figs 3D, 4A, 4B, 5C). The CP-94,253 experiment testing multiple doses over multiple time points (Figure S1 in the Supplement) was analyzed with two-way repeated measures ANOVA using time as a within-subjects factor and dose as a betweensubjects factor. Dunnett's post-hoc comparisons were used to compare various doses of drug to a saline control group on any given day of testing. The CP-94,253 experiment using a single dose of drug with multiple time points (Fig 5B) was compared using repeated measures ANOVA with time as a within-subjects factor and dose as a between-subjects factor. Post-hoc comparisons between CP-94,253 and saline groups were made using twotailed Student's t-tests. No animals were excluded as outliers in any analyses.

# Results

Rats were injected with GFP (n=12) or 1B/GFP (n=11) viral vector into the caudal DRN (Figure 1), and exposed to forced swim sessions on days 3 and 4 following surgery (Figure 2). Analysis of behavior in the second swim session is commonly used to screen for antidepressant-like effects of drugs administered between sessions (20). The effects of chronic antidepressants are apparent in a single 15 min swim session, with effects most visible during the first 5 min (19). Accordingly, we analyzed behavior during the first 5 min (20).

of the first swim and the full 5 min second swim (complete data in Table S1 in the Supplement). Climbing, swimming, and immobility were each separately analyzed with repeated measures ANOVA, with post-hoc comparisons performed using Student's t-tests. Analysis of immobility revealed an interaction between virus and day [F(1,21)=7.07, p=0.015]. Post-hoc comparisons showed that GFP and 1B/GFP groups differed in immobility during the first swim session (p=0.0014) but not the second (p=0.57). Analysis of swimming revealed a significant interaction between virus and day [F(1,21)=16.32, p<0.001]. Post-hoc tests indicated that GFP and 1B/GFP groups differed in swimming during the first swim (p<0.001) but not the second (p=0.31). There was no effect of virus on climbing.

To test the role of 5-HT<sub>1B</sub> autoreceptors in behavioral models of anxiety and fear, rats were injected with GFP (n=7) or 1B/GFP (n=9) viral vector into the caudal DRN. On day 3 after surgery rats were subjected to the open field test in the morning and 4 h later were trained in fear. Fear retention testing occurred 24 h later. 5-HT<sub>1B</sub> overexpression did not alter locomotion (Figure 3C), percent time in the center or corners (Figure 3B), or number of entries into the center region during the first 3 min (data not shown), a measure previously used (13). In contrast, 5-HT<sub>1B</sub> overexpression significantly reduced freezing (p=0.027; Student's t-test) in a test of conditioned fear (Figure 3D). Evidence of innate fear of the context, defined as freezing during the 2 min acclimation period before footshock, was very low and did not differ between groups (data not shown).

To test the role of 5-HT<sub>1B</sub> autoreceptors in the acquisition of conditioned fear, we performed an experiment in which 5-HT<sub>1B</sub> expression was present during fear training, but not fear testing (Figure 4A). Rats were injected with GFP (n=8) or 1B/GFP (n=11) viral vector into the caudal DRN. Rats were trained on day 3 after surgery, and remained undisturbed in the vivarium until testing on day 19 after surgery. This is sufficient time for the HSV IE 4/5 promoter to inactivate and transgenic 5-HT<sub>1B</sub> protein to degrade (23, 24). Freezing in the test session did not differ between groups. We next tested the role of 5-HT<sub>1B</sub> autoreceptors in the expression of conditioned fear. Intact rats were trained, and later tested in the presence of 5-HT<sub>1B</sub> overexpression (Figure 4B). Preliminary testing suggested that surgery caused a partial reduction in the strength of fear conditioning (data not shown), as has been observed elsewhere (30). To ensure a sufficiently high retention of conditioning post-surgery, rats were trained with two footshocks. Post-shock freezing did not differ between groups (p=0.67; Student's t-test). After 2 d, rats were injected with GFP (n=12) or 1B/GFP (n=12) viral vector into the caudal DRN. In a test session on day 4 after surgery, 5-HT<sub>1B</sub> overexpression significantly reduced freezing (p=0.03; Student's t-test).

In an initial CP-94,253 dose-response experiment (0.3 - 3 mg/kg, i.p.) we found maximal reduction of conditioned freezing at 1.0 mg/kg (Figure S1 in the Supplement). To establish that these effects are context-specific, rats were trained and assigned to groups with balanced post-shock freezing, [F(3,48)=0.04, p=0.99]. Rats were administered CP-94,253 (1.0 mg/kg, *i.p.*) or saline in one of two contexts, either paired with reexposure to the conditioned stimulus or in their homecages in the vivarium (n=13 per group). Because the different pairings involved different numbers of observed test sessions, they were analyzed separately. Rats that received drug in vivarium (Figure 5C) showed no difference in freezing tested 24 h later (p=0.66; Student's t-test). In contrast, rats that received drug paired with reexposure to the fear chamber (Figure 5B) showed reduced freezing both at the time of injection and 24 h later. Repeated measures ANOVA revealed an overall effect of drug [F(1,24)=6.78, p=0.016] and time [F(1,24)=54.4, p<0.0001].

# Discussion

Our laboratory has previously studied 5-HT<sub>1B</sub> autoreceptors in mid-rostral DRN neurons, where overexpression in unstressed rats reduces anxiety and conditioned fear without affecting behavior in the forced swim test (11). In the present study, 5-HT<sub>1B</sub> overexpression in the caudal DRN resulted in a different pattern of behavioral results, reducing conditioned fear and helplessness without affecting anxiety. These results suggest a rostrocaudal gradient of DRN effects on various emotional states, with rostral DRN affecting anxiety, caudal DRN affecting helplessness, and the full DRN axis influencing conditioned fear.

The forced swim test is highly responsive to chemical antidepressant treatment, and thus can be viewed as having predictive validity in modeling certain aspects of depression (21). 5- $HT_{1B}$  overexpression in the caudal (Figure 2), but not the mid-rostral DRN (11), increased swimming and reduced immobility in the forced swim test. Because overexpression did not alter measures of general locomotor activity in the open field test, we interpret this result as an antidepressant-like effect. This is consistent with evidence for a selective role of the caudal DRN in two distinct behavioral models of helplessness and depression. First, measures of immobility during a forced swim session correlate with changes in extracellular 5-HT in target regions of the caudal, but not rostral, DRN (22,23). Second, pharmacological stimulation of caudal, but not rostral, DRN can substitute for stress in causing deficits in shuttlebox escape behavior (19). Thus, the influence of serotonin on helplessness behavior may occur in brain regions that receive serotonergic innervation preferentially from caudal versus rostral DRN - for example, lateral septum, nucleus accumbens, and hippocampus (32-34). We presume that overexpression of 5-HT<sub>1B</sub> autoreceptors in the caudal DRN serves to limit 5-HT release in target areas, and that this produces the antidepressant-like behavioral effect observed. It may seem counterintuitive that reductions in serotonin release could lead to the same behavioral effects as treatment with a serotonin reuptake inhibitor. In the lateral septum, however, fluoxetine pretreatment has complex bimodal effects on extracellular 5-HT: while baseline concentrations are elevated, there is a magnification in the decrease in 5-HT that occurs during a forced swim test session (22). Inhibition of the caudal DRN by direct infusion of a 5-HT<sub>1A</sub> agonist is sufficient to recapitulate the behavioral effects of antidepressant treatments in the forced swim test (24) and active shuttlebox escape (25). The specificity of 5-HT<sub>1B</sub> overexpression effect on swimming versus climbing is consistent with studies showing that these responses are selectively influenced by serotonin and norepinephrine, respectively (26,37,38). Antidepressant-like effects of CP-94,253 in mice have been reported in the forced swim test (26). The lack of effect of 5-HT<sub>1B</sub> overexpression on swimming behavior on the second day of testing suggests that exposure to uncontrollable stress may disrupt 5-HT<sub>1B</sub> function for at least 24 h. Similar stress effects have been observed with respect to physiological (27) and behavioral (28) measures of 5-HT<sub>1B</sub> function.

The open field test is a model of anxiety in which the benzodiazepine class of anxiolytics reduces avoidance of the field's center ("thigmotaxis"). While this test is not sensitive to chronic treatment with serotonin reuptake inhibitors, it is responsive to drugs that target specific 5-HT receptors (29). Thigmotaxis is altered by 5-HT<sub>1B</sub> overexpression in the midrostral (13), but not caudal, DRN (Figure 3B). Similarly, we have shown that measures of thigmotaxis correlate with basal 5-HT<sub>1B</sub> mRNA levels in the middle, but not caudal, DRN (30). Thus, the form of anxiety modeled by this test may be regulated by brain regions that receive serotonergic projections preferentially from the rostral and middle DRN – for example, the basolateral amygdala, frontal cortex, and dorsal striatum (32-34). Although exposure to behavioral tests of anxiety alone do not appear to strongly activate the caudal DRN, the combination of test exposure with a history of traumatic stress (31) or

administration of corticotropin-releasing factor (32) robustly activates DRN neurons projecting to the prefrontal cortex and amygdala.

We found that conditioned fear was reduced by  $5\text{-HT}_{1B}$  overexpression in both the caudal (Figure 3D) and mid-rostral DRN (28). Within the caudal DRN,  $5\text{-HT}_{1B}$  overexpression reduced expression, but not acquisition, of conditioned freezing. In contrast, increased systemic serotonin function at the time of fear training is reported to enhance fear acquisition (33). These effects are likely mediated by serotonergic projections originating from neurons outside of the caudal DRN. However, it is possible that our behavioral procedures were less sensitive than those of Burghardt and colleagues (33) in detecting changes in fear acquisition. An additional possibility is that acquisition of conditioned fear can be altered by increases but not decreases in serotonergic function, regardless of methodology used for serotonergic manipulation or behavioral testing. The reduction of freezing that we observed after 5-HT<sub>1B</sub> autoreceptor overexpression, which presumably reduces extracellular serotonin at terminals, is consistent with numerous studies showing 5-HT to enhance various behavioral measures of expression of learned fear (41-46).

It is important to note certain technical limitations of the viral-mediated strategy used here. The virus and promoter system we used is not specific for a particular neuron type. Therefore, injections into the DRN would be expected to infect a variety of neuron types. The DRN as a whole is approximately 50% serotonergic, also containing neurons identified as GABAergic and dopaminergic. However, dopaminergic neurons are not found at caudal levels of the DRN, and most of the GABAergic neurons are located lateral to the midline caudal DRN subregion that we targeted (47-49). Therefore, while infected cells may represent different neuron types, we would predict on the basis of anatomy that most were serotonergic. This interpretation is supported by the convergence of our overexpression results with administration of CP-94,253 at a dose that is known to reduce extracellular 5-HT in terminal regions (34), as well as studies using other methods to manipulate 5-HT function (referenced earlier). In addition to projections throughout the forebrain, neurons of the DRN also send axon collaterals to other DRN neurons. Overexpression of  $5-HT_{1B}$ autoreceptors would thus be expected to alter release of 5-HT both in distal brain regions and within the DRN itself. Similarly, although most 5-HT<sub>1B</sub> receptors are actively transported to axon terminals (11,35) we cannot exclude the possibility that some viralmediated 5-HT<sub>1B</sub> receptors are present on dendrites. Such receptors might reduce dendritic 5-HT release within the DRN, reducing 5-HT<sub>1A</sub>-mediated autoinhibition of serotonergic neurons and thereby functioning to increase activity of serotonergic DRN neurons. Although we do not presently have electrophysiological or microdialysis data to directly measure these effects, the net behavioral effects of 5-HT<sub>1B</sub> autoreceptor overexpression were consistent with other methods of inhibiting 5-HT function.

The ability of 5-HT<sub>1B</sub> autoreceptors to reduce expression of fear is an attractive feature with regards to clinical application, since treatment is generally more available at the time of symptom presentation than during development. We therefore tested the effects of systemic treatment with the selective 5-HT<sub>1B</sub> agonist, CP-94,253. Although systemic treatment is not specific for 5-HT<sub>1B</sub> autoreceptors originating in the caudal DRN, it is more easily translated to human application – particularly since agonists for this receptor are routinely used for treatment of migraine headaches (36). The dose range we used is reported not to alter measures of general behavioral activity (37-39), suggesting that the effects on freezing are specific to conditioned fear. Furthermore, the fact that the drug-induced reduction in freezing persisted into a drug-free state suggests that its effects were due to reduction of fear, rather than temporary interference with context recognition or ability to perform a freezing response. Interestingly, this pattern of results differs from the benzodiazepine class of anxiolytics, which acutely reduce expression of fear but interfere with extinction (51, 52)

by creating state-dependent extinction learning (53). The ability of CP-94,253 to induce long-term reductions in conditioned fear responses resembles recent findings with the NMDA partial agonist D-cycloserine (54). Our results suggest that, like Dcycloserine, acute pairing of CP-94,253 with exposure therapy may facilitate long-term reduction of fear associated with discrete cues. Because 5-HT<sub>1B</sub> autoreceptor function is inhibited by uncontrollable stress, the ability of 5-HT<sub>1B</sub> agonists to reduce fear in patients with posttraumatic stress disorder would depend on the return of 5-HT<sub>1B</sub> autoreceptor function.

CP-94,253 had an inverted U-shaped dose-response curve on conditioned freezing. CP-94,253 is selective for 5-HT<sub>1B</sub> receptors, with a 25-fold selectivity over 5-HT<sub>1D</sub> receptors (40). The doses that we tested (0.3 - 3.0 mg/kg) are within the range that causes behavioral effects that are reversible with selective 5-HT<sub>1B</sub> antagonists (41-43) or genetic deletion (59, 60). Thus, the biphasic effects of CP-94,253 on conditioned freezing are likely due to distinct populations of 5-HT1B receptors which are differentially recruited at low and high doses of agonist. 5-HT<sub>1B</sub> autoreceptor activity in synaptosomes is preferentially recruited at lower concentrations of both 5-HT and synthetic agonist, compared to 5-HT<sub>1B</sub> heteroreceptors (44). In vivo administration of CP-94,253 at 1 mg/kg may preferentially activate 5-HT<sub>1B</sub> autoreceptors - an interpretation that would be consistent with our findings that viral-mediated overexpression of 5-HT1B autoreceptors in the DRN exert similar behavioral effects on conditioned fear. Similar U-shaped dose-response curves, mediated by differential autoreceptor versus heteroreceptor sensitivities, have been described for 5-HT<sub>1A</sub> (25,45) and D<sub>2</sub> (46-48) receptors. The apparent loss of effect on fear at 3 mg/kg CP-94,253 may be driven by anxiogenic (39) and aversive (49,50) effects of drug that occur at and above 3 mg/kg, but not at lower doses. If these effects are mediated by 5-HT<sub>1B</sub> heteroreceptors, agonists with greater selectivity for autoreceptors versus heteroreceptors may be more useful in clinical applications.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Figure 1.

Viral-mediated expression of GFP in caudal DRN. Top row, schematics from Paxinos and Watson (17) depicting DRN tissue; boxes indicate location of tissue in images below. Middle and bottom rows, 40 um slices of tissue from the same animal showing spread of viral infection (via GFP) relative to serotonergic cell bodies (immunohistochemistry for Tph). Scale bar = 200 um.

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#### Figure 2.

5-HT<sub>1B</sub> overexpression in caudal DRN increases swimming in the forced swim test. Animals received injections of GFP (n=12) or 1B/GFP (n=11) viral vector and were subjected to a standard forced swim test procedure on days 3 and 4 after surgery. A, 5-HT<sub>1B</sub> overexpression reduced immobility and increased swimming in the first 5 min of the first day swim. B, There was no effect of overexpression during the second swim session. Graphs represents mean counts + SEM of behavior. \* p<0.05 vs GFP, \*\* p<0.001 vs GFP.



#### Figure 3.

5-HT<sub>1B</sub> overexpression in caudal DRN reduces conditioned fear without altering anxiety or locomotion. A, Animals were injected with GFP (*n*=7) or 1B/GFP (*n*=9) viral vector and exposed to open field test, followed by contextual fear conditioning. B, Groups did not differ in anxiety, as measured by percent of time spent in the center and corner of the open field. C, Total distance traveled in the open field did not differ. D, 5-HT<sub>1B</sub> overexpression reduced percent of observations that rats spent freezing during a test of conditioned fear. Data shown as mean + SEM. \* p < 0.05 vs GFP.



#### Figure 4.

5-HT<sub>1B</sub> overexpression in caudal DRN reduces expression, but not acquisition, of conditioned fear. A, 5-HT<sub>1B</sub> overexpression does not alter acquisition of conditioned fear. Animals were injected with GFP (*n*=8) or 1B/GFP (*n*=11) viral vector and trained with 1 footshock in the fear chamber on day 3, at which time viral overexpression is maximal - grey wave in experimental design panel represents approximate time course of viral-mediated 5-HT<sub>1B</sub> expression (23). Fear testing occurred on day 19, when viral overexpression had dissipated. Thus, 5-HT<sub>1B</sub> expression was elevated during the acquisition, but not expression, of conditioned fear. B, 5-HT<sub>1B</sub> overexpression reduces expression of conditioned fear. Prior to viral infusion, rats were trained with 2 footshocks (to ensure sufficiently high post-surgery conditioning; see Methods section) in the fear chamber. Animals were then injected with GFP (*n*=12) or 1B/GFP (*n*=12) viral vector. Fear testing occurred at peak viral expression. Thus, 5-HT<sub>1B</sub> expression was elevated during the expression, but not acquisition, of contextual fear conditioning. Graphs in (A) and (B) depict mean + SEM of percent of observations that rats spent freezing during a test of conditioned fear. \* *p* < 0.05 vs GFP.

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#### Figure 5.

The selective 5-HT<sub>1B</sub> agonist CP-94,253 (1.0 mg/kg, *i.p.*) reduces conditioned fear only when paired with presentation of the conditioned stimulus. A, rats trained in contextually conditioned fear (*n*=13 per group) were assigned to one of two experiments in which drug was paired with reexposure to fear context or unpaired. B, CP-94,253 paired with context reexposure reduced measures of fear on the day of injection (Day 1) and during a subsequent, drug-free trial (Day 2). C, CP-94,253 given in a neutral environment (Day 1) did not affect expression conditioned fear 24 h later (Day 2). Graphs depict mean +SEM percent of observations that rats spent freezing during tests of conditioned fear. \* *p*<0.05 vs. saline.