

# Structural alterations in lateral prefrontal, parietal and posterior midline regions of men with chronic posttraumatic stress disorder

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**Background:** So far, the neural network associated with posttraumatic stress disorder (PTSD) has been suggested to mainly involve the amygdala, hippocampus and medial prefrontal cortex. However, increasing evidence indicates that cortical regions extending beyond this network might also be implicated in the pathophysiology of PTSD. We aimed to investigate PTSD-related structural alterations in some of these regions. **Methods:** We enrolled highly traumatized refugees with and without (traumatized controls) PTSD and non-traumatized controls in the study. To increase the validity of our results, we combined an automatic cortical parcellation technique and voxel-based morphometry. **Results:** In all, 39 refugees (20 with and 19 without PTSD) and 13 controls participated in the study. Participants were middle-aged men who were free of psychoactive substances and consumed little to no alcohol. Patients with PTSD (and to a lesser extent traumatized controls) showed reduced volumes in the right inferior parietal cortex, the left rostral middle frontal cortex, the bilateral lateral orbitofrontal cortex and the bilateral isthmus of the cingulate. An influence of cumulative traumatic stress on the isthmus of the cingulate and the lateral orbitofrontal cortex indicated that, at least in these regions, structural alterations might be associated with repeated stress experiences. Voxel-based morphometry analyses produced largely consistent results, but because of a poorer signal-to-noise ratio, conventional statistics did not reach significance. **Limitations:** Although we controlled for several important confounding variables (e.g., sex, alcohol abuse) with our particular sample, this might limit the generalizability of our data. Moreover, high comorbidity of PTSD and major depression hinders a definite separation of these conditions in our findings. Finally, the results concerning the lateral orbitofrontal cortex should be interpreted with caution, as magnetic resonance imaging acquisition in this region is affected by a general signal loss. **Conclusion:** Our results indicate that lateral prefrontal, parietal and posterior midline structures are implicated in the pathophysiology of PTSD. As these regions are particularly involved in episodic memory, emotional processing and executive control, this might have important implications for the understanding of PTSD symptoms.

## Introduction

Posttraumatic stress disorder (PTSD) is a psychiatric condition that may emerge in reaction to a severe threat to life or bodily integrity. On a neuronal level, its symptom development has so far mainly been attributed to disturbed function-

ing of a network located in medial prefrontal and medial temporal lobe structures.<sup>1-3</sup> Indeed, reports of PTSD-associated structural alterations within these regions have been numerous. Reduced volumes were reported for the hippocampus,<sup>4</sup> amygdala<sup>4</sup> and anterior cingulate cortex (ACC).<sup>5,6-8</sup> Furthermore, a thinner prefrontal cortex has been

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shown in war veterans with chronic PTSD.<sup>9,10</sup> Notwithstanding, it has repeatedly been highlighted that the network introduced above cannot satisfactorily account for the complex symptom pattern associated with the disease.<sup>11</sup>

Research in healthy individuals has revealed that the neuronal network mediating episodic memory and/or emotional processing (functions that are thought to be disturbed in PTSD) is widespread. Based on functional neuroimaging and brain lesion studies, the role of parietal,<sup>12,13</sup> lateral prefrontal<sup>14–18</sup> and posterior midline structures<sup>19</sup> was particularly emphasized in this context. On a functional level, there is some evidence that these regions might be disturbed in PTSD. During trauma-related, script-driven imagery, increased neuronal activity was reported in retrosplenial and/or posterior cingulate,<sup>20</sup> lateral prefrontal<sup>21</sup> and parietal cortices.<sup>20,21</sup> Furthermore, patients with PTSD showed an increased resting cerebral blood flow in posterior cingulate and parietal sections.<sup>22</sup>

On a structural level, PTSD-associated alterations in these cortical regions have received little attention so far. This might be partly owing to methodological problems with the evaluation of broader cortical regions. Manual segmentations are very time-consuming and not practicable for major sections. The alternative, classical automatic procedures would, on the other hand, not be accurate and sensitive enough to reveal the subtle structural alterations more typical for psychiatric conditions.<sup>23,24</sup> Moreover, brain structural research on PTSD is impeded by the long-term pharmacological treatment and/or alcohol or substance abuse that is frequently associated with chronic PTSD.<sup>25</sup> In particular, enduring and excessive alcohol consumption has repeatedly been shown to have a strong effect on brain structures and may thus distort findings.<sup>6,26</sup>

We aimed to investigate PTSD-related, structural alterations in cortical regions extending beyond the conventional psychobiological model of this disease. In doing so, we chose specific regions of interest (ROIs) in prefrontal, parietal and posterior midline regions that have previously been associated with episodic memory<sup>12,14,19</sup> and/or emotional processing,<sup>16–18</sup> and we predicted that patients with PTSD should show reduced volumes in these structures. Furthermore, we speculated a “building-block effect” of traumatization, with greater cumulative exposure to traumatic stress leading to smaller brain volumes. As the currently most popular method of structural brain research, voxel-based morphometry (VBM), has recently come into question,<sup>23,24,27</sup> we used 2 independent methods (a cortical parcellation technique and VBM) to improve the validity of our results. By choosing a study population that took no regular psychiatric medication and barely consumed alcohol, we controlled for confounding variables that often have hampered PTSD-related brain research.

## Methods

### *Participants*

We recruited participants from local shelters for asylum-seekers and Kurdish recreational facilities. Participants were included if they were healthy refugees between the ages of 18

and 55 years. Exclusion criteria were lifetime or current abuse of substances (particularly alcohol), neurologic diseases, any contraindication for magnetic resonance imaging (MRI) and psychiatric conditions other than PTSD or major depression.

The objective of the study was to investigate the effects of traumatization and PTSD on brain morphology. Accordingly, we explicitly screened participants for PTSD having developed as the primary disease in reaction to traumatic stress. In all participants, major depression had developed as a secondary, comorbid disease, and some fulfilled criteria for major depression according to DSM-IV.<sup>28</sup> As sex influences on the results of morphometric analyses are well-documented,<sup>29,30</sup> we selected a male sample to minimize the level of variability not owing to traumatization and/or PTSD. Our final sample comprised participants who currently had PTSD, participants who did not have PTSD but who had repeatedly experienced traumatic stress (traumatized controls) and nontraumatized controls who had not experienced severe traumatic stressors.

We conducted the investigation in 2 stages. At the first meeting, the purpose and the course of the investigation were explained in detail, informed consent was acquired, and diagnostic procedures took place. Magnetic resonance imaging measurements were obtained on a separate day (the time interval never exceeding 2 weeks) at the university hospital of Magdeburg, Germany. Participants received compensation of 70 euros. All procedures were conducted in accordance with the Declaration of Helsinki and approved by the Ethics Committee of the University of Konstanz, Germany.

### *Diagnostic interviews*

Interviews were structured and administered in the maternal language of the participants with the aid of trained interpreters. Initially, sociodemographic information was obtained, and participants were questioned about their health status and smoking habits. Subsequent diagnostic procedures proceeded as follows.

### **vivo Checklist of war, detention and torture events**

We evaluated exposure to traumatic stressors with a shortened version of the vivo Checklist of war, detention and torture events.<sup>31</sup> The shortened scale is based on the unweighted sum of 28 imprisonment- and nonimprisonment-related traumatic event types (e.g., being beaten or receiving electrical shocks as imprisonment-related items, witnessing the murder of a relative or experiencing bombings as nonimprisonment-related items).

### **Clinician Administered PTSD Scale**

We assessed current and lifetime PTSD symptoms with the Clinician Administered PTSD Scale (CAPS<sup>32</sup>). This 30-item, structured interview corresponds to PTSD criteria according to DSM-IV<sup>28</sup> and allows a quantification of the 3 clusters of PTSD symptoms (intrusions, avoidance and hyperarousal).

### **Mini-International Neuropsychiatric Interview**

The diagnosis of major depression, suicidal ideations and alcohol or substance dependency or abuse according to

DSM-IV<sup>28</sup> was based on the corresponding sections of the Mini-International Neuropsychiatric Interview (MINI).<sup>33</sup>

### *MRI acquisition and data analyses*

High-resolution, whole-brain, 3-dimensional (3-D) structural MRI scans were acquired on a 3 T Siemens MAGNETOM Trio scanner with an 8-channel phased-array head coil using a  $T_1$ -weighted 3D-magnetization prepared rapid acquisition gradient echo (MPRAGE) sequence in sagittal orientation (echo time [TE] 4.77 ms, repetition time [TR] 2500 ms, TI 1100 ms, flip angle 7°, bandwidth 140 Hz/pixel, matrix 256 × 256 × 192, field of view [FOV] 256 mm, isometric voxel size 1.0 mm<sup>3</sup>).

### **FreeSurfer cortical parcellation and volume measurements**

We performed cortical reconstruction and volumetric segmentation with the FreeSurfer software package (<http://surfer.nmr.mgh.harvard.edu/>). The precise technical details of these procedures are described elsewhere.<sup>34,35</sup> In short, each scan is registered into Talairach space, intensity-corrected and skull-stripped. Images are then segmented to identify the boundary between grey and white matter and to create a surface representation of the cortical white matter. Finally, the cerebral cortex is parcellated into units based on its gyral and sulcal structure.<sup>36</sup> According to probabilistic information estimated from a reference atlas, a neuroanatomical label is assigned to each vertex of the surface model, and the corresponding information (i.e., volume) is calculated for each section. All procedures with FreeSurfer are conducted in native space.

The quality of the skull-stripping and the accuracy of the grey/white matter boundary as well as the pial surface were reviewed by an anatomically skilled operator, who was blind to any group membership. If necessary, results of the surface reconstruction were edited manually. The following regions that have previously been associated with episodic memory<sup>12,14,19</sup> and/or emotional processing<sup>16–18</sup> were chosen for further analysis:

- prefrontal cortex (superior frontal cortex, rostral middle frontal cortex, inferior frontal cortex, orbitofrontal cortex and ACC),
- posterior midline structures (posterior cingulate cortex, isthmus of the cingulate, precuneus), and
- lateral parietal cortex (superior parietal cortex, inferior parietal cortex and supramarginal cortex).

### **Voxel-based morphometry**

As specific preprocessing steps may enhance the accuracy of VBM,<sup>37</sup> MRI scans were skull-stripped with BET<sup>28</sup> and bias-corrected<sup>39</sup> before analyses. Subsequent VBM analyses were performed using SPM5 (Wellcome Department of Cognitive Neurology, Institute of Neurology, London) running in MATLAB R2006a (Mathworks). Magnetic resonance images were spatially normalized and then segmented based on their intensity distribution and spatial information derived from prior probability maps.<sup>40</sup> To keep our analysis comparable to previous VBM in patients with PTSD,<sup>5–7</sup> we smoothed the images with a 12-mm full-width at half-maximum isotropic Gaussian kernel. As the VBM analysis further aimed to repli-

cate the previous cortical parcellation analysis, we focused on the ROIs that have been included in the cortical parcellation analysis. Bilateral ROIs were created based on an average participant (the so-called Bert) provided by FreeSurfer and were then normalized in Montreal Neurological Institute (MNI) space and smoothed with the identical parameters as the participants' MRI scans. Subsequent statistical VBM analyses were masked for the ROIs under investigation.

### *Statistical analysis*

#### **Sample characteristics**

We compared sample characteristics and clinical parameters using analyses of variance (ANOVAs). All data were tested for normality with the Shapiro–Wilk test.<sup>41</sup> If the normality assumption was not fulfilled, we calculated nonparametric alternatives (Kruskal–Wallis rank sum tests). For post-hoc comparisons, we used pair-wise *t* tests and, as a nonparametric alternative, pair-wise Wilcoxon rank sum tests. Post-hoc tests were corrected for multiple comparisons according to Hommel.<sup>42</sup> We analyzed count data using Fisher exact tests.

#### **Cortical parcellation**

As age and intracranial volume (ICV) are potential confounds for volumetric measures of brain structures,<sup>28</sup> we considered these 2 parameters as covariates in all structural analyses. Volumetric group differences were analyzed with linear mixed-effects models, in which hemisphere was included as a within-group factor. Specific group differences were clarified by inspection of the corresponding parameter estimates in the linear mixed-effects models. If a significant group × hemisphere interaction (indicating a lateralized group effect) was revealed, each hemisphere was considered separately in a linear model. To control for an effect of lifetime PTSD on volumetric variables, analyses were repeated under exclusion of participants with a diagnosis of lifetime PTSD.

#### **Voxel-based morphometry**

We initially explored group differences in SPM5, applying a full factorial model with age and intracranial volume as covariates. Directional *t* contrasts were defined between groups. The corresponding SPM(*t*) values were transformed to the normal distribution (SPM(*z*)) and thresholded at  $p < 0.005$  (uncorrected) with a minimum cluster size of 25 voxels. We extracted mean intensity values in the encountered clusters using MarsBaR.<sup>43</sup> Intensity values for each cluster were then directly compared in linear models, again including age and intracranial volume as covariates.

#### **Effects of cumulative exposure to traumatic stress**

We investigated a putative dosage effect of multiple traumatic event types on the probability of PTSD diagnosis for traumatized participants using a logistic regression model. The effect of the number of different traumatic events on PTSD symptom severity was explored using a bivariate regression model. To reveal a possible relation between the severity of trauma exposure and parcellation results/mean intensity values, these variables were included in a linear

regression model and corrected for age and intracranial volume as covariates. Models were then compared with likelihood ratio tests. We considered the number of traumatic stress types experienced to be influential if the model including trauma exposure was favoured.

We performed all analyses (except the exploration of VBM group differences in SPM5) using the statistical program R (version 2.7.1<sup>44</sup>) with the additional package *nlme* (version 3.1–90<sup>45</sup>).

## Results

### Participants

Fifty-two refugees were included in the study: 20 currently had PTSD, 19 did not have PTSD but had repeatedly experienced traumatic stress (traumatized controls) and 13 nontraumatized controls had not experienced severe traumatic stressors. In 3 of the traumatized controls, an earlier episode of PTSD had remitted.

The main population characteristics of the sample are summarized in Table 1. Participants' mean age was 36 years in the PTSD group (standard deviation [SD] 7.7, range 23–55 yr), 34 years in traumatized controls (SD 9.9, range 21–53 yr) and 29 years in nontraumatized controls (SD 7.2, range 18–48 yr). The group difference regarding age reached

significance: Kruskal–Wallis  $\chi^2_2 = 7.35$ ,  $p = 0.025$ . Post-hoc tests revealed that nontraumatized controls were younger than participants with PTSD (Wilcoxon rank sum test,  $p = 0.010$ ). However, nontraumatized controls did not differ significantly from traumatized controls, and traumatized controls did not differ from patients with PTSD. In an attempt to control for this confound, age was considered as covariate in every subsequent analysis. Groups tended to differ regarding the years of formal education: Kruskal–Wallis  $\chi^2_2 = 5.06$ ,  $p = 0.08$ . Post-hoc tests revealed that traumatized controls tended to have had more years of formal education than patients with PTSD (Wilcoxon rank sum test,  $p = 0.06$ ). Participants were mainly of Kurdish ( $n = 48$ ) race. The 4 remaining participants were Albanian, Serbian, Romanian and Turkish, respectively. Forty-nine participants were right-handed, and 3 participants (1 in each control group and 1 patient with PTSD) were left-handed. One participant in the PTSD group had taken antidepressant medication on an irregular basis (maximally once a week). Twenty-nine participants were smokers: 9 nontraumatized controls (mean 18.00, SD 6.40 cigarettes/d), 10 traumatized controls (mean 22.18, SD 13.66 cigarettes/d) and 10 patients with PTSD (mean 23.10, SD 16.04 cigarettes/d). Group differences in the number of smokers or cigarettes smoked per day were nonsignificant. Other than that, none of the participants consumed any psychoactive drugs or medication.

**Table 1: Population characteristics of refugees who underwent magnetic resonance imaging to assess the effects of traumatization and PTSD on brain morphology**

Characteristic	Group; mean (SD)*			Kruskal–Wallis $\chi^2_2$ †	p value †
	Nontraumatized controls, $n = 13$	Traumatized controls, $n = 19$	PTSD, $n = 20$		
Age, yr	29.0 (7.2)	34.1 (9.9)	36.2 (7.7)	7.4	0.025
Years of formal education	8.5 (6.0)	10.7 (4.4)	7.6 (4.0)	5.1	0.08
Cigarettes smoked, no./d	12.5 (10.1)	12.8 (15.2)	11.6 (16.2)		0.75
Age at first traumatic experience	—	15.5 (6.8)	16.4 (6.8)		0.86
No. smokers	9	11	9		0.38
No. participants fulfilling criteria for major depression	1	1	15		< 0.001

PTSD = posttraumatic stress disorder; SD = standard deviation.  
 \*Unless otherwise indicated.  
 †All test results were 2-tailed.

**Table 2: Traumatization and symptoms of posttraumatic stress disorder**

Measure	Group; mean (SD)		Kruskal–Wallis $\chi^2_1$ *	p value*
	Traumatized controls, $n = 19$	PTSD, $n = 20$		
Checklist	7.68 (4.66)	14.80 (5.63)	13.26	< 0.001
CAPS score				
Sum of event list	4.68 (2.24)	6.60 (2.19)	5.53	< 0.001
Intrusion subscale	7.05 (5.19)	22.70 (6.14)	25.66	< 0.001
Avoidance subscale	3.16 (4.95)	26.10 (6.10)	27.42	< 0.001
Hyperarousal subscale	2.84 (4.22)	20.10 (5.99)	25.42	< 0.001
Sum	13.05 (11.98)	68.90 (15.46)	27.04	< 0.001

CAPS = Clinician Administered PTSD Scale;<sup>32</sup> Checklist = shortened version of the vivo Checklist of war, detention and torture events;<sup>31</sup> PTSD = posttraumatic stress disorder; SD = standard deviation.  
 \*All tests were 2-tailed, with  $p < 0.01$  indicating a highly significant group difference.

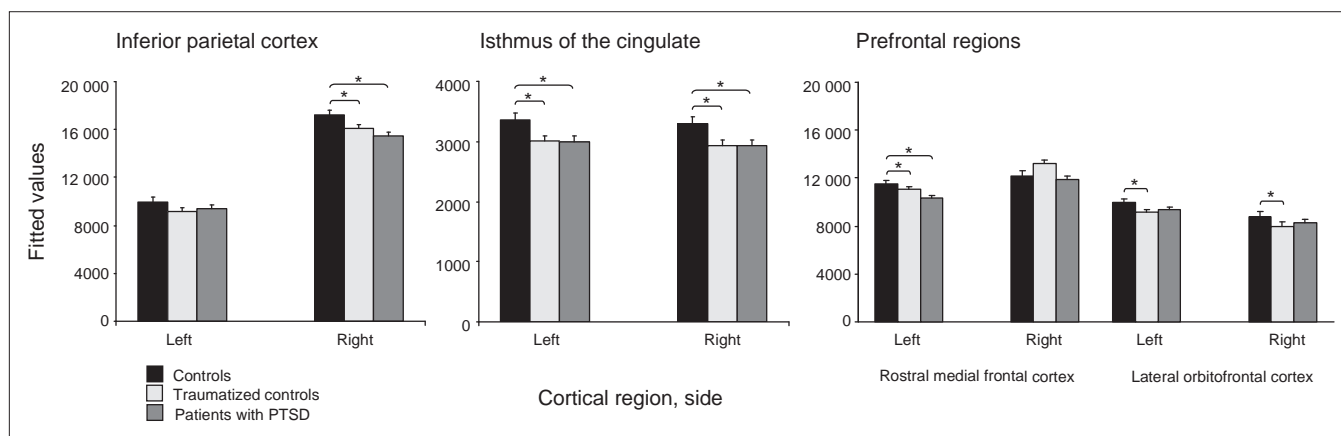
Most of the traumatized participants were exposed to severe traumatic stress more than a decade ago: 44% reported their first traumatic event 10–20 years ago; 41% reported that traumatic experiences had started even more than 20 years ago. Participants were between 5 and 35 years old when they experienced their first traumatic event (mean age 15.8, SD 6.6 yr). Patients with PTSD and traumatized controls did not differ regarding their age at first traumatic experience. As expected, patients with PTSD reported experiencing a greater number of different types of traumatic events (see Table 2 for means and SDs of clinical instruments in traumatized participants). Seventeen participants (1 in each control group and 15 in the PTSD group) fulfilled criteria for major depression according to DSM-IV.<sup>28</sup> Eleven participants showed either low

( $n = 10$ ) or high ( $n = 1$ ) suicidality, with higher suicidality in participants with PTSD: Pearson  $\chi^2_4 = 11.26, p = 0.024$ .

Of the 52 participants, 3 (1 in each group) were excluded from further analysis because their MRI data were of extremely bad quality owing to movement artifacts.

*Group differences in cortical volume and cerebral grey matter*

See Figure 1 for a graphic depiction of cortical parcellation results and Table 3 for a succinct summary of corresponding statistical models. No significant group differences were found regarding the cortex as a whole ( $F_{2,44} = 0.53, p = 0.59$ ) or total grey matter ( $F_{2,44} = 0.42, p = 0.66$ ). However, groups differed in the bilateral isthmus of the cingulate ( $F_{2,44} = 3.98,$



**Fig. 1:** Graphic depiction of group differences in cortical regions associated with episodic/autobiographical memory. Depicted are the fitted values (predicted group means with the covariates kept constant at the mean of the whole population) and standard errors (original uncorrected volumes were given in millimetres). Significant group differences were found in the bilateral isthmus of the cingulate, the left rostral middle frontal cortex and the right inferior parietal cortex. The bilateral lateral orbitofrontal cortex showed a trend toward group differences. Age and intracranial volume were considered as covariates in all analyses. Precise statistical parameters are presented within the main text. MFC = medial frontal cortex; OFC = orbitofrontal cortex; PTSD = posttraumatic stress disorder.

**Table 3: Summary of group differences in cortical volume and cerebral grey matter**

Imaging; brain region	$F_{2,44}$ p value		Parameter statistics*						Covariate statistics*					
			NTC v. PTSD		NTC v. TC		TC v. PTSD		Age		ICV		Hemisphere	
			$t_{44}$	p value	$t_{44}$	p value	$t_{44}$	p value	$F_{1,44}$	p value	$F_{1,44}$	p value	$F_{1,48}$	p value
<b>Parcellation</b>														
Bilateral isthmus of the cingulate	3.98	0.025	-2.59	0.013	-2.48	0.017	-0.04	0.97	14.66	< 0.001	41.65	< 0.001	1.12	0.30
Bilateral lateral orbitofrontal cortex	2.38	0.10	-1.49	0.14	-2.17	0.035	0.84	0.41	9.02	0.004	13.57	< 0.001	123.95	< 0.001
Left rostral middle frontal cortex	4.12	0.022	-2.68	0.010	-0.84	0.40	-2.03	0.048	8.33	0.006	6.82	0.012	—	—
Right inferior parietal cortex	4.57	0.016	-3.02	0.004	-1.90	0.06	-1.20	0.24	4.92	0.031	28.22	< 0.001	—	—
<b>VBM grey matter volumes (extracted with MarsBaR)</b>														
Left isthmus of the cingulate	5.45	0.008	-3.26	0.002	-2.41	0.020	-0.87	0.39	3.69	0.06	16.46	< 0.001	—	—
Right inferior parietal cortex	6.69	0.003	-3.65	< 0.001	-2.03	0.049	-1.75	0.09	12.93	< 0.001	9.62	0.003	—	—
Left rostral anterior cingulate cortex	4.75	0.013	-3.03	0.004	-2.30	0.026	-0.75	0.46	7.34	0.010	10.59	0.002	—	—
Right rostral anterior cingulate cortex	6.01	0.005	-3.24	0.002	-2.96	0.005	-0.22	0.83	3.29	0.08	12.20	0.001	—	—

ICV = intracranial volume; NTC = nontraumatized control group; PTSD = posttraumatic stress disorder; TC = traumatized control group; VBM = voxel-based morphometry. \*All tests were 2-tailed, with  $p < 0.001$  indicating a significant group difference.

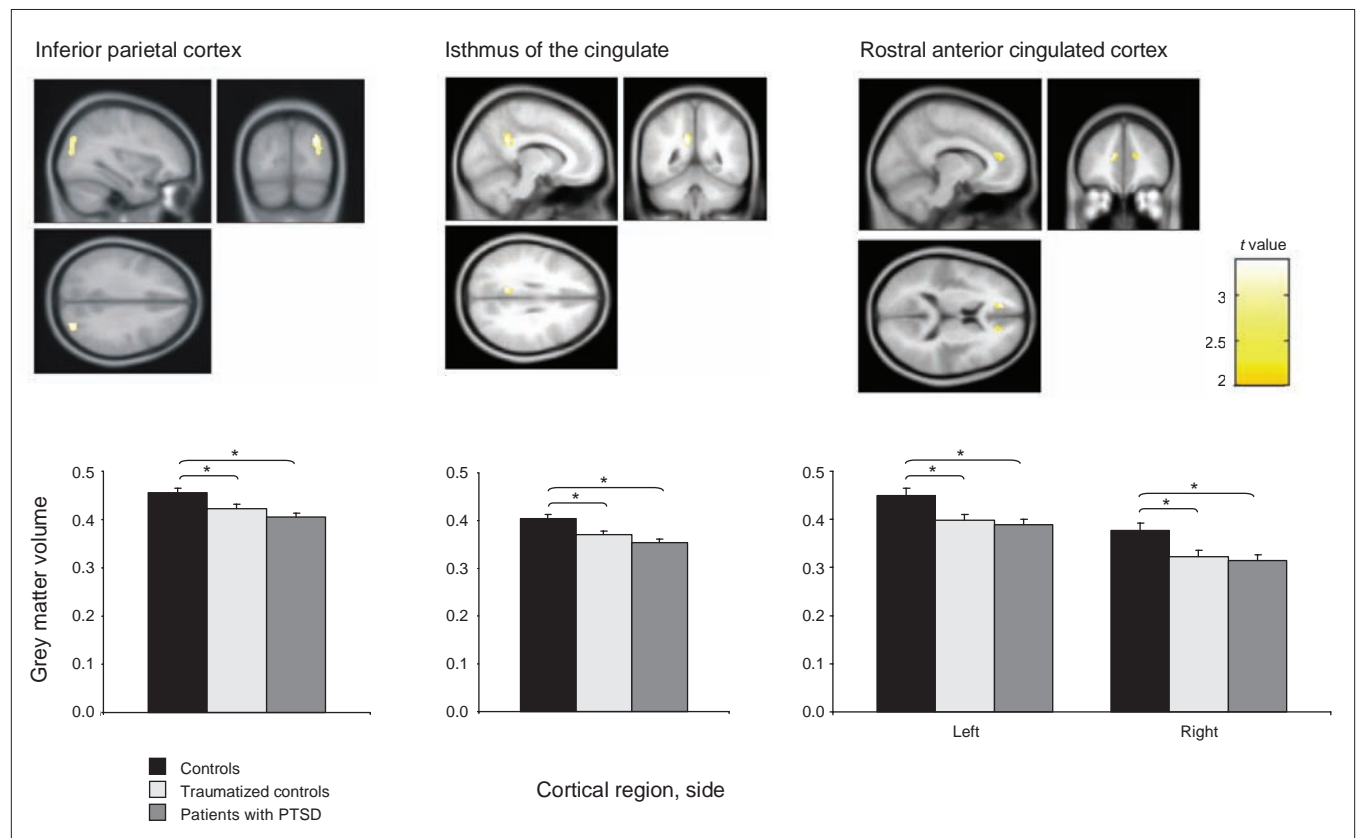
$p = 0.026$ ). Compared with the nontraumatized controls, the PTSD group ( $t_{44} = -2.59, p = 0.013$ ) and the traumatized controls ( $t_{44} = -2.48, p = 0.017$ ) showed lower volumes in this section. Traumatized controls and patients with PTSD did not differ significantly ( $t_{44} = -0.04, p = 0.97$ ). Furthermore, there was a trend toward a bilateral group difference in the lateral orbitofrontal cortex ( $F_{2,44} = 2.38, p = 0.10$ ). Traumatized controls showed less volume than nontraumatized controls ( $t_{44} = -2.17, p = 0.035$ ). However, the difference between nontraumatized controls and patients with PTSD (with less volume in the PTSD group) did not reach statistical significance ( $t_{44} = -1.49, p = 0.14$ ). Again, traumatized controls and the PTSD group did not differ ( $t_{44} = 0.84, p = 0.41$ ).

We found significant group  $\times$  hemisphere interactions in the rostral middle frontal cortex ( $F_{2,46} = 4.59, p = 0.015$ ) and inferior parietal cortex ( $F_{2,46} = 4.39, p = 0.018$ ). Therefore, volumes were compared separately for each hemisphere in these regions. In the rostral middle frontal cortex, we found a significant group difference in the left hemisphere ( $F_{2,44} = 4.12, p = 0.023$ ). Participants with PTSD showed lower volumes

than both control groups (nontraumatized controls v. PTSD,  $t_{44} = -2.68, p = 0.010$ ; traumatized controls v. PTSD,  $t_{44} = -2.03, p = 0.048$ ; nontraumatized v. traumatized controls,  $t_{44} = -0.84, p = 0.40$ ). In the inferior parietal cortex, there was a significant right-hemispheric difference ( $F_{2,44} = 4.57, p = 0.015$ ). In this case, patients with PTSD as well as traumatized controls showed lower volumes than nontraumatized controls (nontraumatized controls v. PTSD,  $t_{44} = -3.02, p = 0.004$ ; traumatized controls v. PTSD,  $t_{44} = -1.20, p = 0.24$ ; nontraumatized v. traumatized controls,  $t_{44} = -1.90, p = 0.06$ ). Excluding traumatized controls who fulfilled the criteria of a lifetime PTSD or left-handed persons did not affect the results.

#### Voxel-based morphometry grey matter volume

See Figure 2 for a graphic depiction of VBM results and Table 3 for a summary of corresponding statistical models. At the uncorrected significance threshold of  $p < 0.005$  (minimum cluster size [ $k$ ] of 25 voxels), clusters with lower grey matter volumes in patients with PTSD than nontraumatized controls



**Fig. 2:** Brain regions showing less grey matter volume in patients with posttraumatic stress disorder (PTSD) than in nontraumatized controls (at a threshold of  $p < 0.005$ , uncorrected). Results of the voxel-based morphometry (VBM) analysis did not reach significance within a classic voxel-wise comparison. Bar graphs depict the fitted values (predicted group means with the covariates kept constant at the mean of the whole population) and standard errors of extracted mean volume levels in the respective clusters. After extraction of mean volume levels, significant group differences were found in the inferior parietal cortex (patients with PTSD and traumatized controls showed significantly lower grey matter volume than nontraumatized controls and, as a trend, patients with PTSD showed lower grey matter volumes than traumatized controls), isthmus of the cingulate (patients with PTSD and traumatized controls showed significantly less grey matter volume than nontraumatized controls) and bilateral anterior cingulate cortex (patients with PTSD and traumatized controls showed significantly lower grey matter volume than nontraumatized controls). Precise statistical parameters are presented within the main text.

were found in the vicinity of the left isthmus of the cingulate (peak coordinates  $x, y, z$  mm = -10, -48, 28;  $k = 111, t = 3.35$ ), the right inferior parietal cortex (peak coordinates  $x, y, z$  mm = 30, -80, 32 and 34, -80, 20;  $k = 175, t = 3.43$  and 3.08) and the bilateral rostral ACC (peak coordinates  $x, y, z$  mm = -14, 44, 14;  $k = 57, t = 3.38$  in the left hemisphere and 16, 40, 16;  $k = 36, t = 3.08$  in the right hemisphere). No significant differences were observed comparing healthy and traumatized controls or traumatized controls and patients with PTSD.

In a direct comparison of the mean volumes extracted with MarsBar, group differences reached significance in all SPM clusters: in the vicinity of the left isthmus of the cingulate, patients with PTSD and traumatized controls showed less grey matter volume than nontraumatized controls ( $F_{2,44} = 5.45, p = 0.008$ ; nontraumatized controls v. PTSD,  $t_{44} = -3.26, p = 0.002$ ; traumatized controls v. PTSD,  $t_{44} = -0.87, p = 0.39$ ; nontraumatized v. traumatized controls,  $t_{44} = -2.41, p = 0.020$ ). In the right inferior parietal cortex, traumatized participants showed less grey matter volume than nontraumatized controls ( $F_{2,44} = 6.69, p = 0.003$ ; nontraumatized controls v. PTSD,  $t_{44} = -3.65, p < 0.001$ ; nontraumatized v. traumatized controls,  $t_{44} = -2.03, p = 0.049$ ). Furthermore, there was a trend with traumatized controls showing less grey matter volume than patients with PTSD ( $t_{44} = -1.75, p = 0.09$ ). In the bilateral rostral ACC, patients with PTSD and traumatized controls showed lower grey matter volumes than nontraumatized controls (left hemisphere:  $F_{2,44} = 4.75, p = 0.014$ ; nontraumatized controls v. PTSD,  $t_{44} = -3.03, p = 0.004$ ; traumatized controls v. PTSD,  $t_{44} = -0.75, p = 0.46$ ; nontraumatized v. traumatized controls,  $t_{44} = -2.30, p = 0.026$ ; right hemisphere:  $F_{2,44} = 6.01, p = 0.005$ ; nontraumatized controls v. PTSD,  $t_{44} = -3.24, p = 0.002$ ; traumatized controls v. PTSD,  $t_{44} = -0.22, p = 0.83$ ; nontraumatized v. traumatized controls,  $t_{44} = -2.96, p = 0.005$ ). See Figure 3 for a graphic depiction of the VBM results and the underlying, smoothed ROIs generated based on the FreeSurfer parcellation.

### Building-block effect

We found a strong positive relation between the number of traumatic event types experienced by a participant and the incidence of PTSD ( $[\log P(PTSD) \div P(1-PTSD)] = -3.10 + 0.28 \times \text{vivo Checklist}$ ;  $R^2_{adj} = 15.81, p < 0.001$ ). Furthermore, a linear regression analysis showed a significant relation between cumulative exposure to traumatic stress and current symptom severity of PTSD (CAPS sum  $4.35 + 3.22 \times \text{vivo Checklist}$ ;  $R^2_{adj} = 0.37, \text{ANOVA } F_{1,35} = 21.91, p < 0.001$ ).

Likelihood ratio tests supported a significant influence of the sum score of traumatization in the isthmus of the cingulate ( $\chi^2_2 = 5.92, p = 0.05$ ). Furthermore, an influence was revealed in the lateral orbitofrontal cortex ( $\chi^2_2 = 8.09, p = 0.018$ ). In both cases, this effect was mediated by intracranial volume (isthmus of the cingulate:  $\text{ICV} \times \text{vivo Checklist}$ :  $t_{32} = -2.35, p = 0.026$ ; lateral orbitofrontal cortex:  $\text{ICV} \times \text{vivo Checklist}$ :  $t_{32} = -2.73, p = 0.010$ ). See Figure 4 for a graphic depiction of the relation between the extent of traumatization and brain volumes and Table 4 for the model equations and respective parameter statistics. No influence of traumatization could be shown for the left rostral middle frontal cortex and the right inferior parietal

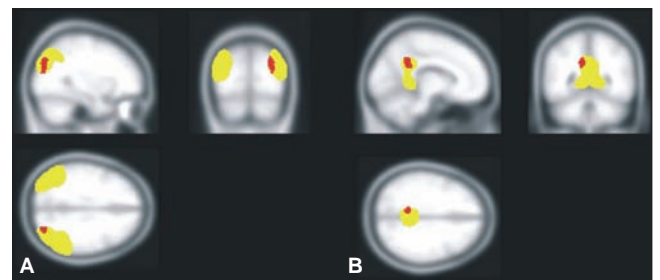
cortex. The influence of the sum score of traumatization on parcellation variables could not be replicated for mean volume levels in the respective clusters of the VBM.

## Discussion

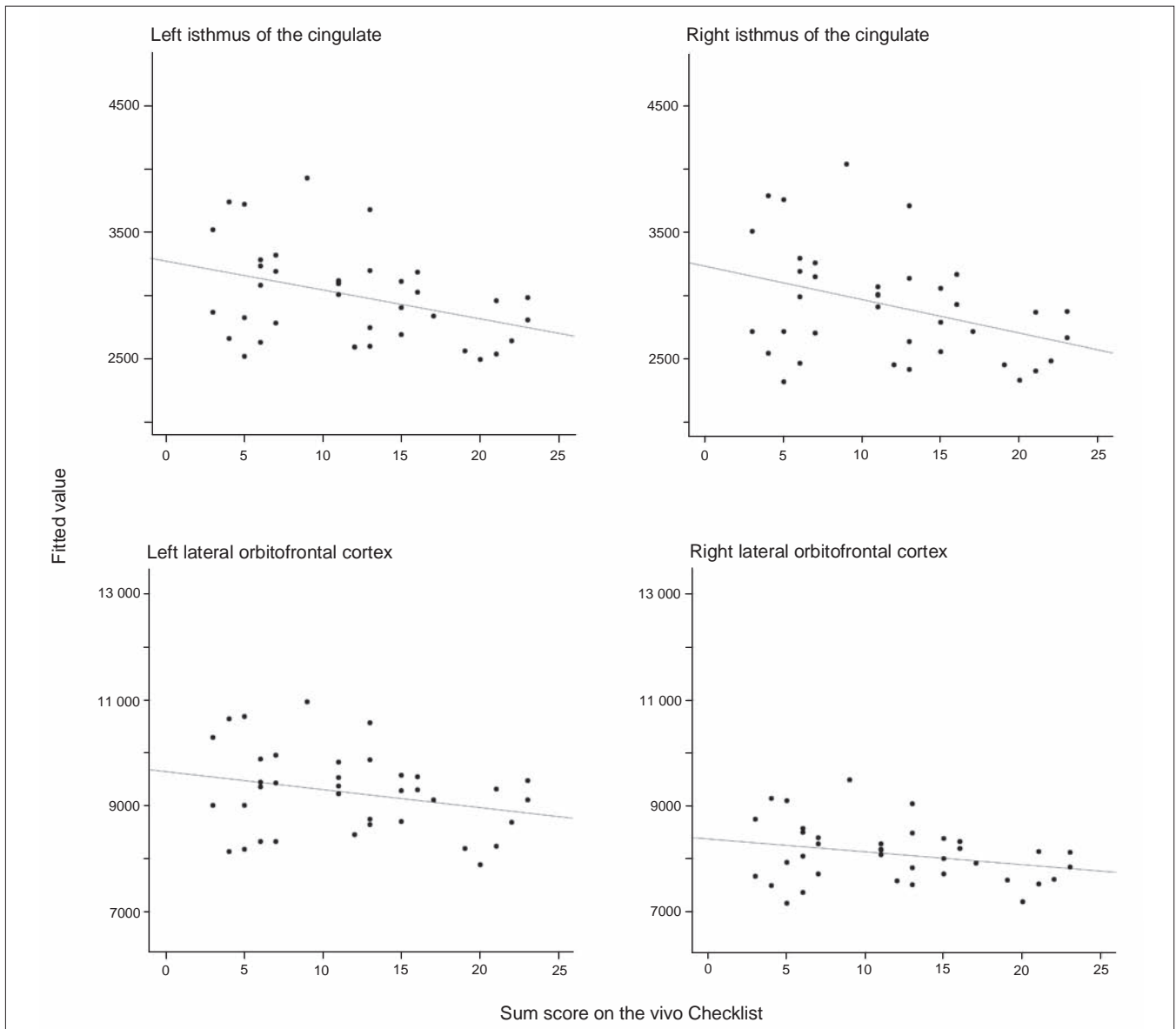
The scope of the present study was to investigate the influence of traumatization and PTSD on cortical grey matter volumes. To increase the validity of our findings, we implemented 2 independent methods: an automated cortical parcellation analysis and VBM. According to the cortical parcellation, patients with PTSD (and to a lesser extent traumatized controls) showed reduced brain volumes within several lateral prefrontal regions, the right inferior parietal cortex and the bilateral isthmus of the cingulate. Subsequent regression analysis revealed that this volume loss correlated with the extent of traumatization at least in lateral orbitofrontal cortices and the isthmus of the cingulate. These results were partially confirmed by the VBM analysis, showing a PTSD-related decrease of grey matter volumes in the right parietal cortex, left posterior midline regions and, beyond the parcellation findings, in the bilateral rostral ACC. However, VBM results did not survive conventional correction for multiple comparisons and should therefore generally be interpreted with caution.

So far, etiological concepts of PTSD considered its symptom pattern to be mainly associated with alterations in medial prefrontal and medial temporal lobe regions.<sup>1-3</sup> Support for this notion came from numerous studies reporting reduced volumes in the hippocampus,<sup>4</sup> amygdala,<sup>4</sup> prefrontal cortex<sup>9,10</sup> and ACC.<sup>5-8</sup> However, it has repeatedly been stated that these structures cannot account for all symptoms and deficits observed.<sup>11</sup> By demonstrating respective volume reductions within lateral prefrontal, parietal and posterior midline structures the present results provide evidence that these areas might be indeed implicated in PTSD and/or traumatization.

Reports of PTSD- and/or stress-related structural and functional alterations in prefrontal regions are numerous. Besides the previously mentioned volume reductions in the ACC<sup>5-8</sup> and lateral prefrontal cortex,<sup>9,10</sup> patients with PTSD showed altered brain functions in reaction to trauma-related memories in both regions.<sup>20,21,46</sup> Moreover, a disturbed ability



**Fig. 3:** Graphic depiction of the overlap between the 2 analysis methods in (A) the inferior parietal cortex and (B) the isthmus of the cingulate. Brain regions showing lower grey matter volumes in patients with posttraumatic stress disorder than in nontraumatized controls (at a threshold of  $p < 0.005$ , uncorrected) are depicted in red. The underlying, smoothed regions of interest generated based on the FreeSurfer parcellation are depicted in yellow.



**Fig. 4:** Correlation between the extent of traumatization and brain volumes. There was a significant relation between the extent of traumatization, the bilateral isthmus of the cingulate and the bilateral lateral orbitofrontal cortex. Scatter plots depict fitted values (predicted group means with the covariates kept constant at the mean of the whole population) of brain volumes. Precise statistical parameters are presented within the main text.

**Table 4: Influence of traumatization on cortical volumes**

Brain region	Parameter statistics											
	Age		Hemisphere		ICV		Group		vivo Checklist		ICV × vivo Checklist	
	$t_{31}$	<i>p</i> value	$t_{36}$	<i>p</i> value	$t_{31}$	<i>p</i> value	$t_{31}$	<i>p</i> value	$t_{31}$	<i>p</i> value	$t_{31}$	<i>p</i> value
Bilateral isthmus of the cingulate*	-2.18	0.037	1.12	0.27	4.92	< 0.001	0.64	0.53	2.38	0.024	-2.35	0.026
Bilateral lateral orbitofrontal cortex†	-1.81	0.08	9.91	< 0.001	4.66	< 0.001	-0.78	0.44	2.67	0.012	-2.68	0.012

ICV = intracranial volume; vivo Checklist = shortened version of the vivo Checklist of war, detention and torture events.<sup>31</sup>

\*Model equation: Isthmus of the cingulate =  $-2988 - 13.69 \times \text{age} + 83.95 \times \text{hemisphere} + 0.003 \times \text{ICV} + 87.29 \times \text{group} + 272.12 \times \text{vivo Checklist} - 0.0001 \times \text{ICV} \times \text{vivo Checklist}$ .

†Model equation: Lateral orbitofrontal cortex =  $-4677 - 26.71 \times \text{age} + 1162.27 \times \text{hemisphere} + 0.007 \times \text{ICV} - 248.09 \times \text{group} + 716.75 \times \text{vivo Checklist} - 0.0001 \times \text{ICV} \times \text{vivo Checklist}$ .



of traumatized individuals to down-regulate negative emotional responses was directly associated with reduced brain activity in the lateral prefrontal cortex.<sup>47</sup> Respective alterations might emerge very early in reaction to traumatic stress, as survivors of a severe earthquake showed an increased resting-state activity in the left lateral prefrontal cortex shortly after having experienced of this traumatic event.<sup>48</sup>

It has recently been highlighted that periods of repeated (psychosocial) stress might alter the activity in the human prefrontal cortex.<sup>49</sup> In light of corresponding findings of stress-induced dendritic atrophy in rodents,<sup>50</sup> these processes might manifest themselves in detectable structural alterations when extreme and/or repeated traumatic stress is experienced. Support for this notion might come from the association between the extent of traumatization and the volumes of the lateral orbitofrontal cortex that has been revealed in our data. Substantial volume loss in the lateral orbitofrontal cortex was already reported in war veterans with chronic PTSD.<sup>10</sup> We were able to replicate this finding and add (in line with insights from research in rodents<sup>50</sup> and reports of the consequences of severe psychosocial<sup>49</sup> and traumatic<sup>48</sup> stress on prefrontal brain functions in humans) that this volume loss might be interpreted as a consequence of repeated traumatic stress.

As a potential contribution of parietal and/or posterior midline structures has received relatively little attention in trauma and/or PTSD-related brain research so far, data concerning this topic are still scarce. However, there is some evidence in the literature that supports our suggestion that these structures might play some role in the development of PTSD symptoms as well. During trauma-related, script-driven imagery, an increased neuronal activity was reported in retrosplenial and/or posterior cingulate<sup>20</sup> and parietal cortices<sup>20,21</sup> of patients with PTSD. Furthermore, patients with PTSD showed an increased resting cerebral blood flow in posterior cingulate and parietal sections.<sup>22</sup>

Taken together, our results and those in the literature point out that lateral prefrontal, parietal and posterior midline regions might be involved in the pathophysiological model of PTSD. A congruency of the cortical parcellation and VBM analysis, at least in some of the regions, provides further support for the validity of this finding. A previous combination of these 2 methods<sup>51</sup> in traumatized participants revealed highly consistent results between the FreeSurfer parcellation and VBM. These authors implemented the cortical parcellation method to validate their whole-brain VBM analysis.<sup>51</sup> However, we chose to apply the methods in an opposing order. Apart from its popularity in clinical research, there has been emerging concern about some limitations of VBM. Criticism mainly concentrated on a potential distortion of results owing to spatial normalization,<sup>27</sup> a bias toward group differences that are spatially well confined<sup>24</sup> and statistical procedures that may generally be too strict to reveal subtle morphological alterations.<sup>23</sup> FreeSurfer procedures are, on the other hand, performed in native space, thus avoiding spatial normalization steps that might distort findings. Intersubject and/or template registrations are performed by projecting them onto spherical representations. This approach has been shown to result in a good matching of homologous cortical

regions and should thus be more sensitive than classic VBM.

In line with these preceding considerations, our VBM results generally did not survive conventional correction for multiple comparisons, even though they tended to indicate atrophies in similar regions as the cortical parcellation. Moreover, the results differed between methods for some other brain regions, for example, in the lateral prefrontal cortex where VBM failed to replicate a volume loss that has been revealed with the parcellation method. However, as mentioned previously, it has been suggested that VBM findings might be distorted by normalization steps.<sup>24,27</sup> As these nuisance effects might be especially pronounced at the edges of the brain, this might help to explain some of these inconsistencies. Moreover, VBM revealed PTSD-related structural alterations in the rostral ACC that were not observed with the parcellation procedure. This parallels previous reports in the literature<sup>7</sup> and emphasizes the notion that VBM is not sufficiently able to differentiate between factual volume loss and alterations in shape and/or location of brain structures.<sup>24</sup> To summarize, our data indicate that FreeSurfer and VBM are both suitable for the investigation of cerebral atrophies. However, our results still support some of the concerns mentioned above<sup>23,24,27</sup> and imply that VBM should be combined with other methods to increase its informative value.<sup>52</sup>

### Limitations

Some major limitations should be considered when interpreting the present results. Our study population consisted of mainly Kurdish, male refugees exposed to similar severe traumatic experiences in their home countries. As this specific population took no regular psychiatric medication and barely consumed alcohol, we controlled for confounding variables that frequently have hampered PTSD-related brain research. Nevertheless, this sample leads to a limited generalizability of our findings, as conclusions about potential sex differences or the impact of different kinds of traumatization (e.g., childhood abuse) cannot be drawn. As most of our participants had comorbid major depression, we furthermore cannot definitely distinguish how PTSD and depression symptoms contributed to our results. However, in light of the high prevalence of comorbid major depression in patients with PTSD, it has already been suggested that major depression and PTSD symptoms might emerge simultaneously as 2 facets of a general posttraumatic psychopathology.<sup>5,53</sup> Accordingly, the strict division between these 2 conditions might be artificial and not representative of the factual clinical reality in chronic PTSD.

Another line of concern affects general methodological issues. We had to calculate 12 independent statistical models to investigate the effects of PTSD and/or traumatization on our hypothesized ROIs. However, as we did not directly correct for multiple comparisons within this procedure, we cannot definitely rule out the possibility of false-positive results. However, given the mentioned 12 tests covering our parcellation ROIs, we would expect at most 1 random deviation on a 0.05 significance level. Our finding of 4 regions differing between groups thus largely exceeds the expectations of mere chance. Moreover, our a priori hypotheses were 1-sided, which would allow us to divide the respective *p* values

by 2, thus further strengthening the group differences revealed in our data. Finally, the validity of our findings was further increased by the implementation of 2 independent methods providing an overlapping pattern of results.

Another methodological concern that might limit the interpretation of our results is linked to general constraints of MRI acquisition. We revealed a significant volume loss in the lateral orbitofrontal cortex that was associated with the extent of traumatization. However, MRI acquisition is generally plagued by signal loss in this region. It is hard to quantify or control for the influence of this nuisance factor on our results. Notwithstanding, acquisition parameters have been identical for all participants and individuals have been scanned in an interleaved manner. Accordingly, the measurement error in the lateral orbitofrontal cortex should be constant for the whole population and should not have a systematically bigger effect in one group than the other. Further support for this line of argument comes from the literature. Similar volumetric differences in the lateral orbitofrontal cortex have already been shown in a relatively large sample of former Vietnam veterans,<sup>10</sup> and it seems highly improbable that MRI nuisance artifacts affected 2 completely independent populations in the same direction.

## Conclusion

Apart from the concerns mentioned, our findings on PTSD-and/or trauma-related structural alterations in lateral prefrontal, parietal and posterior midline regions might have important implications for the understanding of PTSD symptoms and some associated memory disturbances. These regions are part of a network that is particularly involved in episodic memory, emotional processing and executive control. Prefrontal regions play a particular role in the deliberate manipulation of emotions<sup>16,17,47</sup> and memories<sup>14,15,54</sup> and might thus be particularly important for the regulation of highly emotional memories in the aftermath of traumatic experiences.<sup>55</sup> The parietal cortex, on the other hand, has been suggested to play an important role in the volitional and unvolitional allocation of attentional resources<sup>12,13</sup> during the retrieval of episodic memories. Accordingly, the successful manipulation of emotional memories seems not only to rely on the interplay between medial temporal and prefrontal cortices but also on an intact functioning of parietal areas. Integrity of the posterior midline structures might finally be particularly important for an unobstructed communication between these structures, as this region is known to serve as a major route of information flow between them.<sup>56</sup> Disturbances in this hypothesized network, as they are indicated by our data, might help to explain some of the memory disturbances associated with PTSD, such as the fragmentation of traumatic memories,<sup>1,2</sup> the generally less detailed retrieval of autobiographical memories<sup>57</sup> or the high occurrence of recurrent, intrusive recollection of traumatic memories. It must be emphasized, however, that this interpretation remains largely speculative. Even though we presented clear evidence that lateral prefrontal, parietal and posterior midline structures might be implicated in the pathophysiology of PTSD, the factual significance of these regions in PTSD symptom development still remains to be clarified.

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**Contributors:** All authors contributed to study design and approved the article's publication. Dr. Eckart recruited and assessed the participants. Drs. Eckart, Kaufmann and Tempelmann gathered MRI data. Drs. Eckart, Stoppel, Kaufmann and Tempelmann analyzed the data. Drs. Eckart and Stoppel wrote the initial draft of the manuscript, which was critically reviewed by Drs. Elbert and Kolassa. All authors discussed the results and implications and commented on the manuscript at all stages.

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