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The intelligibility of noise-vocoded speech: spectral information available from across-channel comparison of amplitude envelopes

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Noise-vocoded (NV) speech is often regarded as conveying phonetic information primarily through temporal-envelope cues rather than spectral cues. However, listeners may infer the formant frequencies in the vocal-tract output—a key source of phonetic detail—from across-band differences in amplitude when speech is processed through a small number of channels. The potential utility of this spectral information was assessed for NV speech created by filtering sentences into six frequency bands, and using the amplitude envelope of each band ($\leq$30 Hz) to modulate a matched noise-band carrier (N). Bands were paired, corresponding to F1 ($\approx$N1 + N2), F2 ($\approx$N3 + N4) and the higher formants (F3′ $\approx$ N5 + N6), such that the frequency contour of each formant was implied by variations in relative amplitude between bands within the corresponding pair. Three-formant analogues (F0 = 150 Hz) of the NV stimuli were synthesized using frame-by-frame reconstruction of the frequency and amplitude of each formant. These analogues were less intelligible than the NV stimuli or analogues created using contours extracted from spectrograms of the original sentences, but more intelligible than when the frequency contours were replaced with constant (mean) values. Across-band comparisons of amplitude envelopes in NV speech can provide phonetically important information about the frequency contours of the underlying formants.

Keywords: noise-vocoded speech; spectral cues; formant frequencies; intelligibility

1. INTRODUCTION

Speech is highly redundant and so it can remain intelligible even after substantial distortion or simplification of the signal. A commonly used simplification is vocoding, which involves filtering speech into one or more frequency bands, using the amplitude envelope of each band to modulate a carrier shaped by the corresponding filter, and reconstructing the simplified signal by summing the modulated carrier bands. The technique was originally devised by Dudley [1] for speech transmission through telecommunication systems, particularly for encrypted communications, and has since been used widely for voice processing in popular music. Shannon *et al*. [2] first introduced noise vocoding, in which the carrier for each channel is filtered Gaussian noise. Their study demonstrated that the intelligibility of noise-vocoded (NV) speech can be high when only three or four channels are used, at least when all stimuli are derived from the speech of a single talker. Dorman *et al*. [3] obtained comparable results with sine-vocoded speech, a closely related stimulus consisting of a set of amplitude-modulated sinusoids instead of noise bands. Vocoding has since become a standard research tool for simulating listening to speech through a cochlear implant; many contemporary studies use NV speech (e.g. [4–7]) or sine-vocoded speech (e.g. [8,9]) for this purpose.

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Interpreting the results of perceptual experiments using vocoded speech requires an understanding of the nature of, and weight attached to, sources of phonetic information in the signal. Processing speech through a noise vocoder with a small number of channels implies a considerable loss of spectral information. Hence, this type of stimulus is often regarded as conveying phonetic information primarily through temporal-envelope cues rather than spectral cues [2,10]. In this conception, the intelligibility of NV speech depends mainly on the within-channel analysis of low-rate changes in amplitude over time; an account of the types of linguistic information potentially available from temporal-envelope cues has been provided by Rosen [11]. Other studies of noise- and sine-vocoded speech have tended to characterize the relative contributions of spectral and temporal cues to intelligibility in terms of the effects of varying the number of channels and the low-pass envelope cut-off, respectively, and their trade-off (e.g. [12–14]). What is often overlooked in this characterization is the spectral information that can potentially be retrieved through comparing the levels of adjacent channels [3,15]. In particular, changes in relative amplitude across channels over time can potentially carry information about the underlying frequency contours of the spectral prominences in the signal, and this derived spectral information may contribute more (and temporal-envelope information perhaps less) to the intelligibility of noise- and sine-vocoded speech than is commonly supposed.

Spectral prominences in speech are perceptually important, because they commonly arise as a result of

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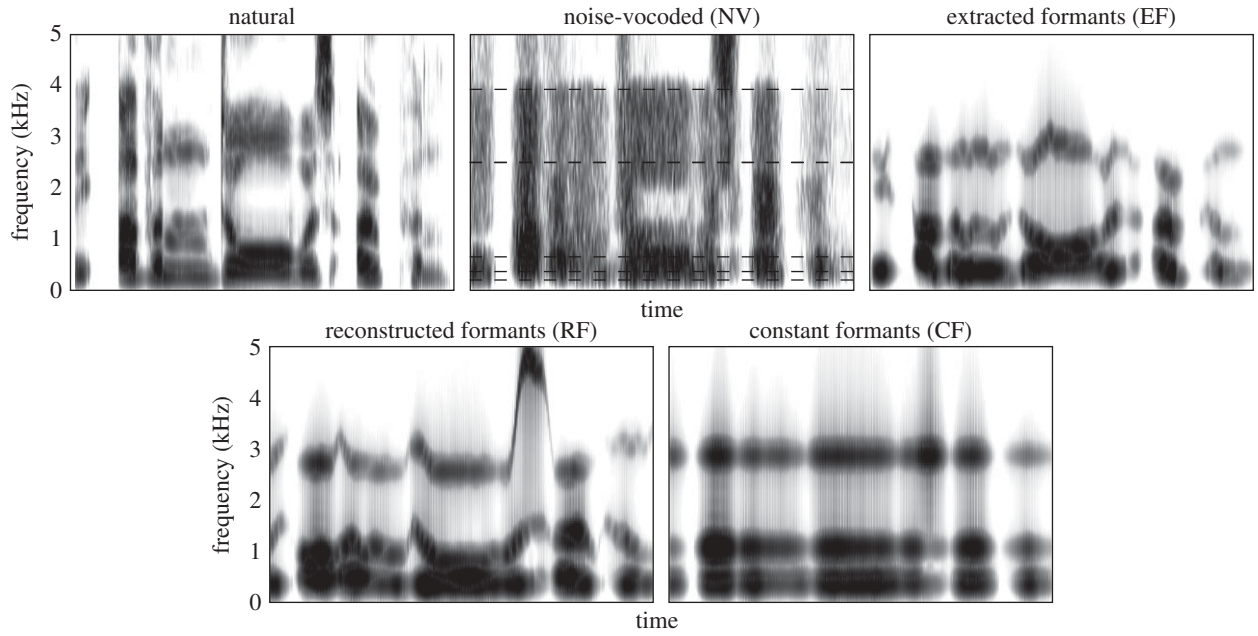

Figure 1. Spectrograms of an exemplar original sentence, 'the oven door was open', and of the four experimental versions derived from it. The horizontal dashed lines in the panel depicting the noise-vocoded (NV) stimulus indicate the band cut-off frequencies. Note that the most striking discrepancy between the extracted-formants (EF) and the reconstructed-formants (RF) stimuli corresponds to the voiced fricative [z] in 'was'. In the EF case, the formant contour extracted by PRAAT corresponds to F3, but in the RF case the reconstructed formant contour is dominated by the energy in the fricative formant.

resonances in the air-filled cavities of the talker's vocal tract. These resonances, and the associated spectral prominences, are referred to as *formants*. Variation in the centre frequency of a formant is an inevitable consequence of change in the size of its associated cavity as the vocal-tract articulators—particularly the tongue, lips and jaw—are moved by the talker. Thus, knowledge of formant frequencies and their change over time is likely to be of considerable benefit to listeners, as it provides salient information about the configuration and kinematics of the talker's vocal tract. The experiment reported here demonstrates that the formant-frequency contours implied by variations in relative amplitude between adjacent spectral bands can be extracted from NV signals and can support intelligibility in synthetic-formant analogues of speech.

2. METHODS

(a) *Participants*

Twenty listeners (10 males) took part; their mean age was 23.2 years (range = 19.2–54.7). All listeners were native speakers of British English, naive to the purpose of the experiment and had audiometric thresholds better than 20 dB hearing level at 0.5, 1, 2 and 4 kHz. Each listener gave written consent to participate in the experiment, which was approved by the Aston University Ethics Committee.

(b) *Stimuli and conditions*

All stimuli were derived from 24 Bamford-Kowal-Bench sentences [16], spoken by a British male talker of Received Pronunciation English and low-pass filtered at 5 kHz. There were four conditions in the experiment, corresponding to the four speech analogues described below. Figure 1 shows the spectrogram of an example sentence and of the four analogues derived from it. For each listener, the sentences were divided equally across conditions (i.e. six per condition) using an allocation that was counterbalanced by rotation across each set of four listeners tested. Each sentence group was balanced so as to contain 95 or 96 phonemes in total. Examples of the stimuli are available in the electronic supplementary material.

Noise-vocoded (NV) *stimuli* were created from the original sentences using PRAAT software [17]. The speech was first filtered using a 16th-order Butterworth filter (96 dB/octave roll-off), into six logarithmically spaced bands with cut-off frequencies of 200, 362, 655, 1186, 2147, 3885 and 7032 Hz. Pairs of bands were tailored to correspond quite closely with the formant ranges of the talker (B1 + B2 ≈ F1; B3 + B4 ≈ F2; B5 + B6 ≈ F3 and above, denoted F3′). The amplitude envelope (≤30 Hz) of each band was then extracted by half-wave rectification and used to modulate a Gaussian noise source with the same lower and upper cut-off frequencies; increasing the low-pass corner frequency above 30 Hz does not improve the intelligibility of NV speech further [18]. Each band (N1–N6) was scaled to have the same r.m.s. level as that of the corresponding band in the original speech and the bands were summed to create the modulated noise-band speech analogues.

Extracted-formant (EF) *stimuli* were created from the original sentences using PRAAT to estimate automatically from the waveform the frequency contours of the first three formants every 1 ms; a 25 ms-long Gaussian window was used. During phonetic segments with frication, the third-formant contour often corresponded to the fricative formant rather than to F3. Gross errors in formant-frequency estimates were hand-corrected using a graphics tablet; amplitude contours corresponding to the corrected frequencies were extracted from spectrograms for each sentence. The frequency and amplitude contours were used to generate three-formant analogues of the sentences by means of simple parallel-formant synthesis, using second-order resonators and an excitation pulse modelled on the glottal waveform [19]. The pitch was monotonous (F0 = 150 Hz), and the 3 dB bandwidths of F1, F2 and F3 were 50, 70 and 90 Hz, respectively.

Reconstructed-formant (RF) *stimuli* were created from the NV sentences using a simple procedure designed to retrieve

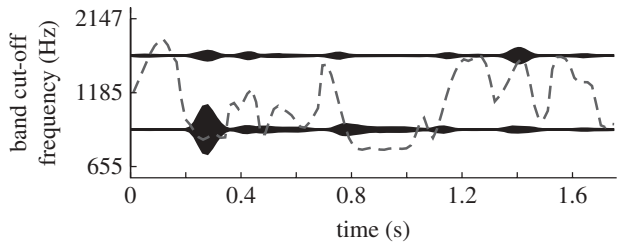

Figure 2. Reconstruction of formant-frequency contours. This schematic illustrates the reconstruction of the frequency contour of F2 from the noise-vocoded (NV) version of the exemplar sentence 'the oven door was open'. The reconstructed contour (dashed line) is governed by changes over time in the relative amplitudes of noise bands 3 and 4; the amplitude modulation of each band is depicted by a filled contour centred on the geometric mean frequency. The frequency contour was computed frame-by-frame using equations (2.1) and (2.2) (see main text).

the information about formant frequency and amplitude carried by each pair of bands (i.e. N1 + N2 for F1, N3 + N4 for F2, N5 + N6 for F3′). For each pair, the amplitude contour of the reconstructed formant was computed frame-by-frame as the mean amplitude across both bands. The frequency contour was derived from frame-by-frame changes in the relative amplitudes of the two bands within each pair. Figure 2 depicts the reconstruction of the F2 frequency contour from the band pair N3 + N4 for an example sentence. The reconstructed contours were used to generate three-formant analogues of the sentences by parallel synthesis, as described above for the EF stimuli. At a particular time, the implied frequency, F, is given by:

$$\log F = \log(g) + kw \log\left(\frac{f_{\mathrm{hi}}}{g}\right) \tag{2.1}$$

and

$$w = \frac{a_{\mathrm{hi}} - a_{\mathrm{lo}}}{a_{\mathrm{hi}} + a_{\mathrm{lo}}} \quad (-1 \leq w \leq +1), \tag{2.2}$$

where a_{lo} and a_{hi} are the amplitudes of the lower and upper bands, f_{hi} is the upper cut-off frequency of the upper band, k $(0 < k \leq 1)$ is a scale factor determining the maximum possible frequency range, and g is the geometric mean frequency of the lower and upper bands. The value of k used here was 0.9; this was to ensure that the frequency range available for formant excursions in the reconstructions was substantial, but not so great as to have allowed unnaturally close approaches between neighbouring formants. Note that low-pass filtering the original sentences at 5 kHz lowers the amplitude of band N6 in the NV stimuli, which tends to lower the frequency, as well as the amplitude, of the reconstructed F3′, particularly during fricative segments. This improves the overall quality of the RF stimuli by reducing the 'buzziness' of these segments.

Constant-formant (CF) *stimuli* differed from their RF counterparts only in that the frequency of each formant was set to be constant at the geometric mean frequency of the whole reconstructed track.

For all conditions, the speech analogues were played at a sample rate of 22.05 kHz and 16-bit resolution over Sennheiser HD 480-13II earphones, via a sound card, programmable attenuators (Tucker-Davis Technologies PA5), and a headphone buffer (TDT HB7). Output levels were calibrated using a sound-level meter (Brüel and Kjaer, type 2209) coupled to the earphones by an artificial ear (type 4153). All stimuli were shaped using 10 ms raised-cosine onset and offset ramps and presented diotically at 75 dB SPL.

(c) *Procedure*

Listeners were tested while seated in front of a computer screen and a keyboard in a sound-attenuating booth. There were two phases to the study—training and the main experiment—which together took less than an hour to complete. Stimuli were presented in quasi-random order in both phases of the study. Listeners first completed a training session to familiarize them with synthetic-formant and NV speech analogues, in that order. The former were examples of EF stimuli, but differed from those used in the main experiment in that the natural pitch contour was used in the resynthesis; listeners were not exposed to RF or CF stimuli during training. The stimuli for each part of the training were derived from 40 sentences taken from commercially available recordings of the Institute of Electrical and Electronics Engineers sentence lists [20]. On each of the 40 trials in each part, participants were able to listen to the stimulus up to a maximum of six times before typing in their transcription of the sentence. After each transcription was entered, feedback to the listener was provided by playing the original recording followed by a repeat of the speech analogue. Davis *et al.* [21] found this 'degraded-clear-degraded' presentation strategy to be an efficient way of enhancing the perceptual learning of speech analogues. All listeners who obtained scores of greater than or equal to 60 per cent keywords correct in the second half of each set of training trials were included in the main experiment. As in the training, participants in the main experiment were able to listen to each stimulus up to six times before typing in their transcription, and the time available to respond was not limited. However, this time the listeners did not receive feedback of any kind on their responses.

(d) *Data analysis*

For each listener, the intelligibility of each sentence was quantified in terms of the overall percentage of phonetic segments identified correctly. Phonetic scores are usually more effective at distinguishing performance between conditions for which there is limited intelligibility, owing to floor effects in keyword scores. Listeners' typed responses were converted automatically into phonetic representations using eSpeak [22] for comparison with stored phonetic representations of the original sentences. Phonetic scores were computed using HResults, part of the HTK software [23]. HResults uses a string alignment algorithm to find an optimal match between two strings.

3. RESULTS AND DISCUSSION

Figure 3 shows the mean percentage of phonetic segments identified correctly across conditions, with inter-subject standard errors. A within-subjects analysis of variance showed a highly significant effect of condition on intelligibility ($F_{3,57} = 278.9$, $p < 0.001$, $\eta^2 = 0.936$). Paired-samples comparisons (two-tailed) were computed using the restricted least-significant-difference test [24]; the scores for each condition differed significantly from those for every other condition ($p < 0.001$, in all cases). Scores were very high for the NV speech, given that there were only six spectral bands (cf. [2]). This may

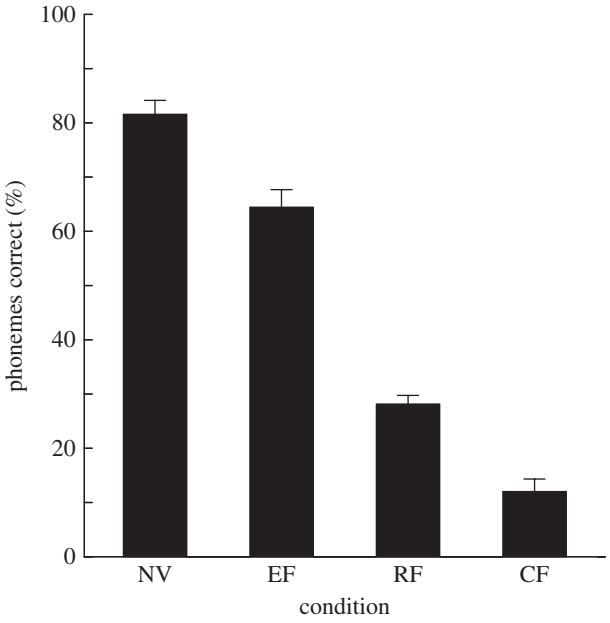

Figure 3. Intelligibility of the four analogues derived from the original sentences. These correspond to the noise-vocoded (NV, 81.6%), extracted-formant (EF, 64.4%), reconstructed-formant (RF, 28.1%) and constant-formant (CF, 12.0%) conditions. Each histogram bar shows the mean phonetic score and corresponding inter-subject standard error ($n = 20$).

reflect the tailored alignment of each pair of bands in relation to the talker's ranges of formant frequencies. More generally, the intelligibility of NV speech tends to be lower when the inventory of stimuli is derived from multiple talkers [25]; this reflects the need for listeners to accommodate acoustic-phonetic variability across talkers (see e.g. [26]). Scores were somewhat lower for the EF speech, probably as a result of two sources of error in recreating phonetically relevant acoustic detail. First, estimation of formant frequencies from fluent speech is a technical challenge and prone to inaccuracy, even when the output of an algorithm for the automatic extraction of formant frequencies is subject to hand correction. Such errors in the formant-frequency parameters fed to the synthesizer would be expected to impair intelligibility [27]. Second, the use of a minimal model for the formant synthesizer, incorporating only three fixed-bandwidth formants, will have introduced synthesis errors, notably in the reproduction of phonetic segments having significant amounts of high-frequency energy, such as voiceless fricatives. These sources of error do not contribute to the process of creating NV speech.

Scores approached 30 per cent when the three-formant analogues were created using frequency and amplitude contours that were reconstructed from the amplitude-envelope information carried by the three band pairs comprising the NV analogues of the original speech. Hence, RF speech was still nearly half as intelligible as EF speech, even using such a simplistic approach to reconstructing the formant-frequency contours from the NV speech. The frequency resolution of normal-hearing listeners far exceeds that required to retrieve this information from the representation of NV speech in the peripheral auditory system (see e.g. [28]). Performance was halved again for CF speech, for which the reconstructed frequency contours were replaced with constant values set to the geometric mean of each formant track. At least in part, the non-zero performance for the CF speech might be because the reconstructed amplitude contours still convey useful information about vocal tract dynamics. Note, however, that simulations comparing randomly generated text strings with those specifying the stimuli used here suggest that baseline phonetic scores can be in the region of 15 per cent for entirely unintelligible speech; the mean score for the CF stimuli was 12 per cent. Remez & Rubin [29] explored the relative contributions of variations in the frequency and amplitude contours of formants to the intelligibility of sine-wave speech, created by adding together pure tones that follow the frequency and amplitude contours of the lower formants [30,31]. They concluded that frequency variation is far more important than amplitude variation for maintaining intelligibility; this is also true for across-formant grouping in sine-wave speech [32].

The higher recognition scores observed for the RF relative to the CF condition support the notion that changes in the relative amplitudes of different bands in NV speech convey useful phonetic information about formant frequency variation. Consistent with this view, the effect of quantizing the amplitude envelope into a small number of steps (<8) has a much greater impact on the intelligibility of sine-vocoded speech processed through a small (6) rather than a large (16) number of channels, presumably because the reduced information available from across-channel amplitude comparisons makes it more difficult to infer the underlying formant frequencies [25]. The importance of combining information across a small number of channels to reconstruct signal properties supporting intelligibility is also evident in Apoux & Healy's [33] demonstration that phonemes can be identified from relatively few randomly selected channels, even when noise is present in other channels. Dorman *et al.* [3] suggested that the mechanism mediating the high degree of intelligibility achievable with a small number of channels may be the same as that mediating the recognition of speech produced by talkers with a high fundamental frequency.

Recently, more direct evidence that the frequency contours of formants can be inferred from across-channel amplitude comparisons, at least for single formant transitions, has been provided by Fox *et al.* [34]. Their study explored the role of F3 transitions in distinguishing the place of articulation of initial stops in the syllable pairs [da]-[ga] and [ta]-[ka]. They compared actual F3 transitions with virtual ones, where the percept of a frequency transition was cued by a dynamic change in the spectral centre of gravity over 50 ms arising from a smooth but rapid change in the relative amplitude of two noise-excited formants with constant frequency (1907 and 2861 Hz). These frequencies are easily resolvable by the peripheral auditory system, but fall within the much larger bandwidth of about 3.5 critical bands (roughly five equivalent rectangular bandwidths [35]) over which the central auditory system appears to integrate phonetic information (e.g. [36–38]). Virtual F3 transitions were broadly comparable with actual F3 transitions in supporting the correct identification of initial stops; listeners could also distinguish the direction of the F3 transitions when heard in isolation as rising or falling, whether actual or virtual. Fox *et al.* [34] concluded

that amplitude and frequency information can be combined in the perception of formant transitions.

To conclude, across-band comparisons of amplitude envelopes in NV speech can provide phonetically important information about the implied frequency contours of the underlying formants for sentence-length utterances. In principle, this dynamic spectral information is easily accessible to most listeners even when the number of channels available is relatively limited.

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