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# Tear Oxygen Under Hydrogel and Silicone Hydrogel Contact Lenses in Humans

#### Joseph A. Bonanno<sup>\*</sup>, Christopher Clark<sup>†</sup>, John Pruitt<sup>‡</sup>, and Larry Alvord<sup>‡</sup>

School of Optometry, Indiana University, Bloomington, Indiana (JAB, CC), and CIBA Vision Corporation, Duluth, Georgia (JP, LA).

# Abstract

**Purpose**—To determine the tear oxygen tension under a variety of conventional and silicone hydrogel contact lenses in human subjects.

**Methods**—Three hydrogel and five silicone hydrogel lenses (Dk/t = 17 to 329) were coated on the back surface with an oxygen sensitive, bovine serum albumin-Pd meso-tetra (4-carboxyphenyl) porphine complex (BSA-porphine). Each lens type was placed on the right eye of 15 non-contact lens wearers to obtain a steady-state open eye tear oxygen tension using oxygen sensitive phosphorescence decay of BSA-porphine. A closed-eye oxygen tension estimate was obtained by measuring the change in tear oxygen tension after 5 min of eye closure. In separate experiments, a goggle was placed over the lens wearing eye and a gas mixture (PO<sub>2</sub> = 51 torr) flowed over the lens to simulate anterior lens oxygen tension during eye closure.

**Results**—Mean open eye oxygen tension ranged from 58 to 133 torr. Closed eye estimates ranged from 11 to 42 torr. Oxygen tension under the goggle ranged from 8 to 48 torr and was higher than the closed eye estimate for six out of the eight lenses, suggesting that the average closed eye anterior lens surface oxygen tension is <51 torr. For Dk/t >30, the measured tear oxygen tension is significantly lower than that predicted from previous studies.

**Conclusions**—The phosphorescence decay methodology is capable of directly measuring the *in vivo* post lens  $PO_2$  of high Dk/t lenses without disturbing the contact lens or cornea. Our data indicate that increasing Dk/t up to and beyond 140 continues to yield increased flux into the central cornea.

# Keywords

silicone hydrogel contact lenses; cornea; oxygen tension; phosphorescence

Corneal hypoxia secondary to contact lens wear is a well-recognized clinical risk factor for corneal edema, red eye, and microbial keratitis.<sup>1–4</sup> Corneal hypoxia is significantly greater during overnight wear of contact lenses, and this is associated with increased incidence of clinical problems.<sup>2–4</sup> These factors were the major impetus for the contact lens industry to develop highly oxygen permeable materials and resulted in the introduction of silicone hydrogel (SiH) lenses several years ago. Although there have been a number of studies

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Joseph A. Bonanno, School of Optometry, Indiana University, 800 E. Atwater Ave., Bloomington, Indiana 47405, jbonanno@indiana.edu.

<sup>\*</sup>OD, PhD, FAAO

<sup>&</sup>lt;sup>†</sup>OD <sup>‡</sup>PhD

<sup>\*</sup>PhI

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examining clinical complications of SiH lens use relative to conventional hydrogels, and measuring the oxygen transmissibility of SiH lenses,<sup>5–7</sup> there has been very little measurement data gathered for the "on eye" oxygen delivery performance of SiH lenses.

Mathematical modeling of central corneal oxygenation has suggested that increases in oxygen transmissibility (Dk/t) of SiH lenses above 50 yields very little gain in performance, where performance is gauged by predicted changes in oxygen flux into the cornea or changes in corneal oxygen consumption.<sup>8,9</sup> However, direct measurements of tear oxygen tension under rigid lenses in rabbits<sup>10</sup> and under a SiH lens in humans<sup>11</sup> are lower than that predicted by modeling. Similarly, using the oxygen uptake approach in human subjects, significant decreases in "oxygen shortfall" were measured after RGP lens wear up to Dk/t =  $135.^{12}$  These studies suggest that corneal oxygen flux saturates at a higher level than previously thought or that the parameters used in the modeling of oxygen distribution (e.g., corneal Dk, O<sub>2</sub> consumption, boundary conditions) may not adequately represent the actual conditions of the cornea.

Direct measurement of tear oxygen levels while wearing a lens of known Dk/t, enables the determination of oxygen flux and human corneal Q (oxygen consumption) *in vivo*. Using a small set of lenses (Dk/t = 4.2, 12, and 99), our laboratory previously found that corneal flux and Q increased with increasing tear oxygen tension to levels that were higher than expected based on previous *in vitro* corneal Q measurements.<sup>11</sup> To confirm and expand on our earlier work, the current study was undertaken to provide direct, on eye, non-contact tear oxygen measurements using a greater range and variety of SiH and conventional hydrogel lenses than used previously.

# MATERIALS AND METHODS

#### Subjects

Fifteen subjects (eight male, seven female, median age 24 years, range, 21 to 53) free of ocular and systemic disease and who had not worn contact lenses for at least 6 months participated in this study. The research followed the tenets of the Declaration of Helsinki and was approved by the Indiana University Human Subjects Committee.

#### Lenses

Table 1 lists the lenses used in the study. The Dk values listed are those reported by the manufacturer. Using a new approach, a recent study showed that these Dk values were consistent except for Lotrafilcon A (140), which gave a significantly different value (180).<sup>7</sup> All lenses had back surface radii of ~8.6 mm, diameter of 13.5 mm, and -0.50 D power to achieve uniform thickness. An ET-1 thickness gauge (Rehder Development Co., Castro Valley, CA) was used to verify lens thickness. All lenses are commercially available except for the Night & Day Ultrathin, obtained directly from Ciba Vision.

#### Tear PO<sub>2</sub>

Tear oxygen tension (PO<sub>2</sub>) under the lenses in the open eye and closed eye was measured with a time-domain phosphorimeter as previously described<sup>11,13</sup> with some modification. In the first modification, lenses were clamped at the edge between two plastic rings that stood upright in a small well. Bovine serum albumin-Pd meso-tetra (4-carboxyphenyl) porphine complex (BSA-porphine) solution was pipetted onto the back surface only and lenses were incubated at room temperature overnight. The next day, the solution was discarded and the lens washed extensively with Unisol. This procedure avoided phosphorescence from the front surface. The lens coated with the oxygen sensitive phosphorescent dye on the back surface was then inserted onto the right eye of the subject and allowed to settle for 10 min

before the steady-state (SS) open eye tear  $PO_2$  was measured. SS measurements were continuous for at least 60 s (~75 intensity measurements). The subject blinked normally. Data collection and analysis were obtained using a Medical Systems phosphorimeter and software.<sup>11</sup> Each reported data point is a calculated mean of 10 phosphorescence exponential decay rates. If the associated correlation of an individual decay rate to the exponential model was <0.9, that point was discarded.

The eyes were then closed for 5 min and PO<sub>2</sub> measured (1.25 Hz) on eye opening and continually over the next minute. The resultant PO<sub>2</sub> data were fit to a first order exponential model and extrapolated to time = 0, which was used as the estimate for closed eye PO<sub>2</sub>. A tight fitting goggle was then placed over the eyes and a calibrated gas mixture (6.7% oxygen, ~51 torr/balance nitrogen) flowed over the right eye. Phosphorescence decay was then measured through the goggles to obtain a simulated eye closure PO<sub>2</sub>. After this procedure, the goggle gas was switched to air and PO<sub>2</sub> measurements were made. We found that the PO<sub>2</sub> estimates under the lens without wearing a goggle were not significantly different from those while wearing the goggle when perfused with air (data not shown). This indicated that the goggle procedure did not interfere with the PO<sub>2</sub> estimate.

All subjects were tested with each of the eight lenses. New lenses were used for each trial. Lenses were always placed on the right eye. Subjects fixated a light emitting diode about 10 feet away using the left eye. There was at least 24 h between trials.

#### Calibration

The manufacturer's surface treatment of the lenses altered the phosphorescence quenching characteristics and in some cases the adherence of the BSA-porphine. Therefore, we devised a modified calibration procedure. Lotrafilcon A (Night & Day) & B (O<sub>2</sub>Optix) were coated with polyacrylic acid to overcome the low protein affinity of the plasma treated surface and enable the BSA-porphine to adhere. In solution, the quenching constant ( $k_q$ ) for this dye complex is 310 [Torr<sup>-1</sup> sec<sup>-1</sup>].<sup>13</sup> This value was used in our previous study.<sup>11</sup> To determine the apparent  $k_q$  for each lens type, lenses were stained on the front surface and placed on the eye of a subject so that temperature and tear pH were similar to the experimental condition. Because the phosphorescence decay rate in air is very rapid and difficult to measure, we placed a goggle over the eye and exposed the front surface to a slow stream of a calibrated gas mixture (6.7% oxygen) and determined the  $k_q$  using the Stern-Vollmer equation:

$$\tau \Big|_{\tau_0} = 1 + \tau_0 k_q [O_2] \tag{1}$$

where  $\tau$  is the measured phosphorescence decay time constant,  $\tau_0$  is  $\tau$  at 0% oxygen (626 ms), and (O<sub>2</sub>) is the oxygen tension. In practice, the flow rate in the goggle was slowly adjusted upward until a positive pressure could be discerned by observing bubbles emanating from the goggle outflow tube within 1 cm of water.

#### Statistics

Summary statistics for the measured parameters are expressed as the mean and standard deviation.

# RESULTS

Table 1 lists the results of the calibration procedure. Purevision and Acuvue 2 lenses showed very little deviation of  $k_q$  from that in solution, whereas the Oasys, Advance and Biomedics lenses showed positive deviation and the polyacrylic acid-coated lotrafilcon lenses showed a

negative deviation. In other words, if left uncorrected, the oxygen tension under the polyacrylic acid coated lenses would be underestimated, whereas for the positively deviating lenses  $PO_2$  would be overestimated. For example, if the uncorrected  $k_q$  was used for the positively deviating lenses, the open eye oxygen tension was calculated to be near 200 torr, which is impossible.

Table 2 lists the calculated open eye, closed eye, and goggle PO<sub>2</sub> using the  $k_q$  determined in the calibration procedure. The Table also includes the calculated open and goggle (simulated closed eye) flux (J) values assuming Pa = 155 and 51 torr, respectively, where J = (Dk/t) × (P<sub>a</sub> - P<sub>cl</sub>) and P<sub>a</sub> is anterior and P<sub>cl</sub> is posterior lens surface PO<sub>2</sub>. The open eye SS PO<sub>2</sub> as a function of Dk/t is plotted in Fig. 1, which also includes the data from the two polymacon lenses (Dk/t = 4.2 and 12,  $k_q = 310$ ) used in our previous study.<sup>11</sup> Fig. 1 shows that lenses with widely different  $k_q$ , but similar Dk/t, show similar open eye oxygen tensions indicating internal consistency in the calibration and measurement procedure. Fig. 1 also shows the predicted PO<sub>2</sub> based on Equivalent Oxygen Percentage (EOP) data from Benjamin<sup>14</sup> and the model by Brennan.<sup>9</sup> The direct PO<sub>2</sub> estimates from our study are significantly less than that predicted by the earlier work, especially for Dk/t >30.

Fig. 2 shows representative data after eye closure for all lenses. The data was fit to a first order exponential model and extrapolated to time 0 to obtain the initial PO<sub>2</sub> (In), which was used as the closed eye estimate. At higher PO<sub>2</sub> the change in  $\tau$  for a unit change in PO<sub>2</sub> gets smaller, which leads to the observed greater variability in PO<sub>2</sub> estimates. Fig. 3 shows summary representative curve fits for all lenses indicating that as the Dk/t increases, the rate of increase in tear PO<sub>2</sub> also increases after eye opening. A summary of the averaged closed eye PO<sub>2</sub> is listed in Table 2 and plotted in Fig. 4. The closed eye estimates appear to asymptote at 43 to 45 torr.

Because the closed eye estimate is indirect and requires curve fitting, we devised an alternate method to more directly estimate closed eye tear PO<sub>2</sub>. A swimmer's goggle with inflow and outflow tube fittings was placed over the lens-wearing eye. Compressed air at room temperature was hydrated by passing through a water spirging vessel and led to the goggle. The flow rate was adjusted to obtain positive pressure in the goggle. The gas was then switched to a certified gas mixture (6.7% oxygen/balance nitrogen) at the same flow rate. We found that the tear PO<sub>2</sub> under the lens with the goggle in air was not significantly different than that without the goggle (data not shown). Fig. 4 shows the summary data using the goggle with 6.7% O<sub>2</sub> (51 torr). At Dk/t <30, the closed eye estimates and the goggle estimates were not significantly different. However, at higher Dk/t, the goggle estimate was consistently higher. These data suggest that on average, the anterior lens surface PO<sub>2</sub> under the closed lid is <51 torr.

# DISCUSSION

This is the first report of direct, *in vivo* measurements of tear PO<sub>2</sub> under a wide range of conventional and SiH contact lenses in human subjects. As expected, SS open eye PO<sub>2</sub> increased with increasing Dk/t in a non-linear hyperbolic fashion. What is notable is that the data approaches an asymptote at significantly higher Dk/t than that predicted by previous EOP data and other models.<sup>8,9,14</sup> However, our data are very similar to that generated in rabbits using an RGP contact lens Clark-type electrode.<sup>10</sup> Furthermore, the early EOP and modeling results<sup>8,9,14</sup> predict little oxygen deficiency above Dk/t = 80. However, a recent study using an EOP methodology with high Dk/t RGP lenses indicated significant "oxygen shortfall" with lenses as high as Dk/t = 135.<sup>12</sup> These studies, using different methodologies, are consistent with the BSA-porphine data presented here, indicating that our approach provides reasonable PO<sub>2</sub> estimates.

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For a number of lenses studied here, we needed to use dye quenching constants  $(q_k)$  that significantly deviated from that in aqueous solution. The cause of this deviation is presumably due to interactions of BSA-porphine with lens surface chemistry. Of note, the Purevision lens avidly binds BSA-porphine to a much greater extent than any of the other lenses. The quenching constant for Purevision was not significantly different from that in solution. This may indicate that if the mole fraction of BSA-porphine significantly exceeds that of the interacting surface chemistry, the quenching constant will tend toward that in solution. Other lenses had significant positive or negative deviations in the quenching constant. Nevertheless, the estimated PO<sub>2</sub> for Purevision, positively, and negatively deviating lenses were in a range (100 to 134 torr) and in an order that is internally consistent. This indicates that the calibration procedure was a reasonable estimate of the true quenching constants. Although the calibration lenses were actually on the eye, we were measuring the front surface which could be ~1°C cooler than the back surface. Furthermore, the gas was at room temperature, which could cause further cooling. At 35°C, a 1° drop lowers the quenching constant by 2.3%.<sup>13</sup> Thus, cooling could possibly have led to an underestimation of the true quenching constant when the dye is on the posterior surface. Using a quenching constant that is low will lead to an overestimate of PO2 resulting in an under estimate of the corneal oxygen flux. The potential error, however, is small. Considering that SS PO<sub>2</sub> under goggles in air was similar to that without goggles suggest that potential cooling is not a significant factor.

 $PO_2$  under SiH lenses in the closed eye ranged from 38 to 42 torr. If we assume that the anterior lens surface  $PO_2$  is 55 torr in the closed eye, then 42 torr (UT, Table 2) represents about 75% of the potential oxygenation. For the open eye, 155 torr is assumed to be the anterior lens surface  $PO_2$  and 134 torr (UT, Table 2) represents 86% of the potential oxygenation. This suggests that the assumed 55 torr in the closed eye is too high. Indeed, the goggle experiments using 51 torr at the anterior lens surface, produced higher  $PO_2$  estimates than eye closure, suggesting that the anterior lens surface  $PO_2$  in the closed eye is <51 torr. Using several gas mixtures, it should be possible to use this goggle approach to match the closed eye  $PO_2$  and get an estimate of the anterior surface  $PO_2$  for each individual.

SS oxygen flux into the cornea can be calculated if the Dk/t of the lens and the anterior (Pa) and posterior  $(P_{cl})$  lens surface PO<sub>2</sub> values are known  $[J = Dk/t \times (P_a - P_{cl})]$ . Assuming that  $P_a = 155$  torr, our data suggests that open eye SS flux levels off at ~25 µl/cm<sup>2</sup> h for Dk/t > 140. These flux values are higher than those (7 to  $9 \,\mu$ l/cm<sup>2</sup> h) predicted using models generated from EOP estimates of Pcl behind lenses of relatively lower Dk/t.8,9,14 The open eye flux values calculated from our data are also higher than oxygen flux into the cornea as measured by the oxygen depletion rate from the membrane covering a Clark electrode.<sup>15,16</sup> There could be several reasons for this: (1) the BSA-porphine  $PO_2$  is an underestimate of the true value. For the reasons described above, this seems unlikely. (2) The Clark electrode  $PO_2$  (EOP) is an over estimate. It may be difficult to compare the BSA-porphine estimate of SS flux with the EOP-Clark electrode approach, which is a non-SS measure. (3) The SiH lens Dk/t values are inaccurate. The precision of Dk measures is always a subject of debate, but the variance in Dk measures could not explain the differences in flux. (4) The boundary condition, Pa, is too high. Variations in altitude (Bloomington is 700 to 900 feet above sea level) and relative humidity could reduce Pa. Because the anterior lens surface is coated with water, the relative humidity at that boundary could be considered to be 100%, which would lower P<sub>a</sub> to ~146 torr, but this would only reduce the flux estimate from 25 to ~21  $\mu$ l/cm<sup>2</sup> h.

Given the oxygen flux into the cornea it is possible to calculate corneal oxygen consumption, Q. Estimates of Q using our current data are 2 to 4 times higher than direct *in vitro* estimates of rabbit cornea Q (Harvitt and Bonanno<sup>17</sup>). However, *in vitro* estimates are likely to be a lower estimate because of the trauma of handling and dissection, and severing

of corneal nerves, activity of which is known to stimulate metabolism.<sup>18,19</sup> Mathematical predictions of corneal oxygen flux use *in vitro* estimates of Dk and thickness of the major corneal layers. The cumulative effect of inaccuracies in these parameters may also result in under estimating the flux and consumption. Indeed, recent modeling analysis of the dynamic changes in tear oxygen tension under lenses worn by human subjects after eye closure suggest that corneal Q and Dk are ~2 and 3 times greater, respectively, than that for *in vitro* rabbit corneas.<sup>20</sup>

In summary, our data shows that SiH lenses significantly increase oxygen tension behind the contact lens and oxygen availability to the cornea relative to conventional hydrogels but not to the extent predicted by earlier studies. Our data indicates that increasing Dk/t up to and beyond 140 continues to yield increasing corneal oxygenation. The need to support high levels of oxygen demand in the peripheral cornea is expected to require even higher Dk/t.<sup>21</sup> Lastly, the phosphorescence decay methodology is an appropriate technique for future investigations of corneal oxygenation with contact lens wear and potential difference in flux at the central vs. peripheral cornea.

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#### FIGURE 1.

Open eye SS PO<sub>2</sub>. Data are mean  $\pm$  SD (n = 15); also includes polymacon lenses (Dk/t = 4.2 and 12).<sup>11</sup> Solid line is a hyperbolic fit, PO<sub>2</sub> = a × (Dk/t)/[b + (Dk/t)], where a = 140.6, b = 32.1. Benjamin curve from (ref. 14). Brennan curve from (ref. 9). Horizontal dashed line represents 155 torr.

#### FIGURE 2.

Examples of  $PO_2$  data acquired immediately on eye opening after 5 min of eye closure. In, initial time 0 estimate; k, exponential time constant.



#### FIGURE 3.

Representative  $PO_2$  recovery curves on eye opening after 5 min eye closure for the eight lens types evaluated are overlayed using a common scale.



#### FIGURE 4.

Summary of posterior surface lens  $PO_2$  estimate after eye closure (filled circles) and while using a goggle with 6.7%  $O_2$  (open squares). Curve estimates were from fourth order polynomial fits.

# TABLE 1

#### Lens parameters

	Dk	Thickness (cm)	Dk/t (×10 <sup>-9</sup> Barrer/cm)	k <sub>q</sub> (torr <sup>-1</sup> )
Biomedics	19.7	0.115	17.1	370
Acuvue2	28	0.105	27	327
Advance	60	0.071	85	372
Purevision	112	0.09	124	310
Optix	110	0.08	138	187
Oasys	103	0.062	166	372
N&D	140 (180 <sup>7</sup> )	0.08	175 (226)	187
N&D UT	140 (180 <sup>7</sup> )	0.055	255 (329)	187

# TABLE 2

# Summary of tear $PO_2$

Dk/t	Open PO <sub>2</sub> (torr)	Closed PO <sub>2</sub> (torr)	Goggle PO <sub>2</sub>
17.1	$58.0\pm7.9$	$11.2\pm2.7$	$7.8\pm1.1$
27	$68.1\pm 6.8$	$12.4\pm3$	$9.9 \pm 1.2$
85	$105.0\pm8.6$	$30.0\pm5.9$	$37.0\pm1.8$
124	$109.0\pm4.9$	$24.0\pm 6$	$40.3\pm3.6$
138	$114.0\pm7.5$	$37.5\pm6.9$	$41.0\pm3.4$
166	$112.4\pm9.4$	$38.2\pm7$	$45.7\pm2.7$
226	$121.3\pm8.2$	$39.9 \pm 7.1$	$45.6\pm3.4$
329	$133.1\pm11.5$	$42.3\pm6.1$	$48.5\pm7.0$