Distinct contributions of nicotinic acetylcholine receptor subunit α 4 and subunit α 6 to the reinforcing effects of nicotine

Richard Exley^{a,1}, Nicolas Maubourguet^{b,1}, Vincent David^{c,1}, Raphaël Eddine^d, Alexis Evrard^b, Stéphanie Pons^b, Fabio Marti^d, Sarah Threlfell^a, Pierre Cazala^c, J. Michael McIntosh^{e,f}, Jean-Pierre Changeux^{b,2}, Uwe Maskos^b, Stephanie J. Cragg^{a,2}, and Philippe Faure^{b,d,2}

^aDepartment of Physiology, Anatomy and Genetics, University of Oxford, Oxford OX1 3PT, United Kingdom; ^bCentre National de la Recherche Scientifique,
Unité de Recherche Associée 2182, Unité Neurobiologie Intégrative de National de la Recherche Scientifique, Unité Mixte de Recherche 5228, Centre de Neurosciences Intégratives et Cognitives, Université Bordeaux 2, Talence, France; ^dUniversité Pierre et Marie Curie, Centre National de la Recherche Scientifique, Unité Mixte de Recherche 7102, 75005 Paris, France; and Departments of ^eBiology and ^fPsychiatry, University of Utah, Salt Lake City, UT 84112

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Nicotine is the primary psychoactive component of tobacco. Its reinforcing and addictive properties depend on nicotinic acetylcholine receptors (nAChRs) located within the mesolimbic axis originating in the ventral tegmental area (VTA). The roles and oligomeric assembly of subunit α 4- and subunit α 6-containing nAChRs in dopaminergic (DAergic) neurons are much debated. Using subunit-specific knockout mice and targeted lentiviral re-expression, we have determined the subunit dependence of intracranial nicotine self-administration (ICSA) into the VTA and the effects of nicotine on dopamine (DA) neuron excitability in the VTA and on DA transmission in the nucleus accumbens (NAc). We show that the α 4 subunit, but not the α 6 subunit, is necessary for ICSA and nicotine-induced bursting of VTA DAergic neurons, whereas subunits α 4 and α 6 together regulate the activity dependence of DA transmission in the NAc. These data suggest that α 4-dominated enhancement of burst firing in DA neurons, relayed by DA transmission in NAc that is gated by nAChRs containing α 4 and α 6 subunits, underlies nicotine self-administration and its long-term maintenance.

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Nicotine, the principal addictive component of tobacco smoke, is responsible for tobacco abuse, the leading cause of preventable morbidity and mortality, and referred to as an epidemic by the World Health Organization (1). More than 5 million people are expected to die every year from the consequences of nicotine addiction, and some 600,000 die from the consequences of secondhand smoke. The underlying neurobiological mechanisms of addiction are complex (2), and further work is required to identify novel smoking cessation targets (3). Nicotine exerts its reinforcing effects through its action on nicotinic acetylcholine receptors (nAChRs), a heterogeneous family of pentameric, ligand-gated ion channels (4, 5). nAChRs situated in the mesolimbic reward system mediate nicotine-induced dopamine (DA) release in the nucleus accumbens from midbrain dopaminergic (DAergic) neurons located in the ventral tegmental area (VTA) (6, 7). Among the different nAChRs expressed in this region, β2-containing nAChRs $(\beta 2^*$ nAChRs) have been shown to play a crucial role in the positive rewarding properties of nicotine (8–10).

Nicotine modifies DA neuron excitability (11, 12) and switches activity from tonic to bursting, increasing striatal DA release (8, 13, 14). However, this somatic action in VTA is not exclusive. Nicotine also modulates striatal DA release probability by action on presynaptic nAChRs (15–18). However, there is little consensus about which of the varied array of possible oligomers of β2* nAChRs in DA soma and terminals, particularly those containing the α4 and α6 nAChR subunits, participate in nicotine reinforcement.

There is some evidence for roles of both α 4^{*}-containing (α 4^{*}) and α 6-containing (α 6*) nAChRs in vivo. In mice with α 4 deletion (α 4^{-/-} mice), there is a 100% increase in basal striatal DA tone but a disappearance of both nicotine-elicited DA release, as measured by microdialysis (19), and i.v. self-administration (IVSA) (10). Modified α ^{4*} nAChRs that have a gain of function indicate an important role for this subunit in nicotine-induced reward, tolerance, and sensitization (20). However, there also is considerable evidence for dependence on α 6 subunits. In α 6^{-/} mice, IVSA is abolished (10), although, intriguingly, DA release in the nucleus accumbens (NAc) elicited by systemic nicotine is intact, as measured by microdialysis (21). In addition, $α6*$ nAChRs within the NAc play a key role in regulating the sensitivity of DA release to presynaptic activation, measured with fast-scan cyclic voltammetry (15, 17). Recent studies in rats also suggest a role for α6* nAChRs in somatic (22) or terminal (23) regions during systemic self-administration of nicotine. Finally, modified α 6 subunits, α 6^(L9'S), that have a gain of function suggest a potentially important role for the α 6 subunit in the regulation of DA neuron firing and axonal release within dorsal striatum (24, 25). However, it has been unclear how these two key subunits, $α4$ and $α6$, in their native form, might be jointly important for nicotine dependence.

Results

Intracranial Self-Administration of Nicotine and Nicotine-Elicited Enhancement of DA Neuron Firing in the VTA Are Dependent on $α4*$ but Not $α6*$ nAChRs. Mice lacking $α6$ or $α4$ subunits do not develop self-administration with IVSA (10). To address specifically the role of nAChRs at the level of DA somata, we compared the intracranial self-administration (ICSA) of nicotine into the VTA in WT mice and mice with targeted deletion of subunits. Sustained ICSA of nicotine (100 ng) into the VTA was readily acquired in WT mice over three sessions. Mice with α 6 deletion (α 6^{-/-} mice) self-administered nicotine at this dose in a manner similar to WT (Fig. 1A Left). In α 4^{-/-} mice, however,

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¹R. Exley, N.M., and V.D. contributed equally to the work.

²To whom correspondence may be addressed. E-mail: changeux@pasteur.fr, [stephanie.](mailto:stephanie.cragg@dpag.ox.ac.uk) [cragg@dpag.ox.ac.uk,](mailto:stephanie.cragg@dpag.ox.ac.uk) or philippe.faure@snv.jussieu.fr.

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Fig. 1. Long-term nicotine ICSA is dependent on α4* nAChRs, and DA neurons in α4^{-/-} mice display abolished bursting response to nicotine injection. (A) (Left) Intra-VTA nicotine self-administration in WT (black), α4^{-/-} (red), and α6−/−green) mice: Number of self-administrations per daily session expressed as mean \pm SEM. A1–A7 are nicotine self-administration trials, with 100 ng nicotine per self-administered dose. α6^{-/−} mice exhibit normal selfadministration [three-way ANOVA, genotype, drug, and session effects; genotype: $F(3.561) = 0.544$, $P = 0.46$; drug: $F(354.073) = 54.089$, df = 6, $P <$ 0.001], whereas $\alpha 4^{-/-}$ mice do not maintain self-administration [three-way ANOVA, genotype, drug, and session effects; genotype: F(79.147) = 32.582, df = 6, P < 0.000]. (Right) Self-administration at 10 ng in WT and α 6^{-/-} mice. As on the left with 10 ng nicotine as salt per self-administered dose. (B) Firing frequency modification. (Left) Mean \pm SEM of increased responses in firing frequency. (Right) Representation of the maximum (mean \pm SEM) response in firing rate. In individual cells variation of the maximum firing rate from baseline ranged from −10 to 149%, with a mean of +40%, in WT mice (gray mask); from −18 to 203%, with a mean of +18%, in α 4^{-/-} mice (red trace), and from -31 to 340%, with a mean of $+84\%$, in α 6^{-/-} mice (green trace). (C) Bursting: presentation as in B. Variation in percentage of spike within burst (%SWB; Materials and Methods) ranged from −3 to +53% with a mean of +10%. An increase in frequency was seen in 18 of 22 cells, and bursting activity was increased, or equal, in 18 of 22 cells. In cells from α 4^{-/-} mice variation in %SWB ranged from -12 to 16% with a mean of +1%. An increase in frequency was seen in 14 of 21 cells, whereas bursting activity was raised in 15 of 21 cells. In cell from α 6^{-/-} mice, variation in %SWB ranged from −6.6 to 60% with a mean of +18%. An increase in frequency was seen in 10 of 13 cells, whereas bursting activity was enhanced in 11 of 13 cells (Wilcoxon signed rank test comparison between baseline and nicotine response; $*P < 0.05$, $***P < 0.001$).

the initial approach toward the nicotine-reinforced arm of the Ymaze did not lead to steady ICSA beyond session 3 and returned to chance level by session 4 (Fig. 1A Left). In contrast, α 6^{-/-} mice exhibited a WT-like ICSA response for the 100-ng nicotine dose. However, self-administration of the lower dose of nicotine (10 ng) was supported to a lesser extent than in WT mice (Fig. 1A Right).

We then used electrophysiological recordings to investigate how selective deletion of the $α4$ and $α6$ subunits modified nicotine-elicited changes in VTA neuron activity using electrophysiological recordings (see *[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)* for DA cell identification and activity analysis and [Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=SF1) for spontaneous activity analysis). DA cells fired with slow and regular, irregular, or burst firing patterns ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)). Bursts were identified as discrete events consisting of a sequence of spikes with (i) burst onset defined by two consecutive spikes within an interval $\langle 80 \text{ ms or } (ii)$ burst termination defined by an interspike interval >160 ms (26, 27). Systemic administration of nicotine (30 μg/kg) in vivo resulted in a rapid and pronounced increase in firing rate in WT mice and in $\alpha 6^{-/-}$ mice (Fig. 1B). In α 4^{-/-} mice, nicotine-elicited increases in firing rate were delayed (∼100 s) and markedly attenuated compared with those in WT mice (Wilcoxon rank sum test; $W = 147$, $P = 0.04163$; Fig. 1B). Bursting activity (assessed as the percentage of spikes within a burst, %SWB) (*[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)*) increased after nico-
tine application in WT and α 6^{-/−} mice (Fig. 1*C*). There was no statistical difference between WT and $\alpha 6^{-/-}$ mice (Wilcoxon rank sum test; $W = 163$, $P = 0.51$ for firing rate; $W = 172$, $P =$ 0.3277 for %SWB). However, in α 4^{-/-} mice, although firing rate increased after nicotine, there was no associated increase in % SWB, suggesting that the loss of ICSA in these animals correlates with a lack of burst firing activity and with reduced changes in average firing rate.

Both α 6 and α 4 Subunits Contribute to nAChR Control of DA Release in the NAc: Loss of Either Subunit Promotes Frequency Sensitivity of Release. Besides their function in controlling DA neuron excitability in the VTA, α 4 or α 6 subunits also might play a major role in influencing DA release directly within the NAc through nAChRs on DA axons. In acute striatal slices of NAc, DA release probability is strongly modulated by endogenous ACh or nicotine acting at striatal nAChRs (15, 17, 18). The extracellular DA concentration ([DA]_o) detected using fast-scan cyclic voltammetry at a carbon-fiber microelectrode after a single-pulse stimulus (0.2 ms) in the NAc was 1.35 ± 0.13 μ M in WT mice (Fig. 2A), but was ~30% lower in either $\alpha 6^{-/-}$ or in $\alpha 4^{-/-}$ mice (Fig. 2 A and B) (one-way ANOVA, Bonferroni t test; $P < 0.05$; $n = 35$). We explored the frequency sensitivity of DA release, using trains of four pulses at 1–100 Hz. In WT controls, DA release exhibited an inverted U-shaped dependence on frequency which was modified by an α 6*nAChR antagonist, α -conotoxin MII (α -CtxMII; 30 nM), to promote significantly the contrast between DA signals released by low- vs. high-frequency stimuli (Fig. 2C Top), as reported previously (15). Antagonism of other nAChRs using a broad-spectrum antagonist (DHβE; 1 μM) did not further modify DA release. There were profound changes in the frequency sensitivity of DA transmission in control conditions in either $\alpha 6^{-/-}$ or $\alpha 4^{-/-}$ mice: DA release demonstrated a strong activity dependence that was similar to that seen in WT mice after full nAChR inhibition or desensitization by nicotine (Fig. 2C and refs. 15 and 17). Furthermore, inhibition of β2* nAChRs remaining in these knockout mice using DHβE (1 μM) had only a modest effect (Fig. 2C Middle and Bottom). We also tested whether nicotine itself (500 nM) could further promote the frequency sensitivity of DA release in α 4^{-/-} or α 6^{-/-} mice. In a simplified stimulus protocol that compared [DA]_o evoked by one vs. four pulses at 100 Hz, nicotine (500 nM) in WT mice increased the contrast between $[DA]_o$ evoked by burst vs. singlepulse stimuli (Fig. 2D), a result that is consistent with an action via nAChR desensitization (17, 18). However, in both α 4^{-/-} and α 6^{-/-} mice, nicotine did not significantly promote the already

Fig. 2. In the NAc both the α 4 and α 6 subunits are necessary to maintain nicotine-sensitive cholinergic regulation of DA release. (A) Averaged profiles of extracellular [DA]_{o ±} SEM evoked by a single pulse in WT (black), α 4^{-/-} (red), and α 6^{-/-} (green) mice from different recording sites ($n = 35-36$) in the NAc. One-way ANOVA for genotype, $*P < 0.05$, $*P < 0.01$. (B) Representative voltammograms used to identify DA following a single-stimulus pulse in A. DA is identified by characteristic oxidation and reduction peak potentials at 0.6 V and −0.2 V, respectively, vs. Ag/AgCl. (C) Mean peak [DA]_o $±$ SEM vs. frequency during four-pulse trains (1–100 Hz) in WT (Top), α 4^{-/} (Middle), and α 6^{-/-} mice (Bottom). Evoked [DA]_o was recorded in drug-free control (\odot), α-CtxMI (\Diamond), or nonspecific β2* block (∇). All data were normalized to one-pulse (1p) controls. (Post hoc t tests; $*P < 0.05$, $***P < 0.001$ vs. controls; $n = 9$.) (D) Mean peak $[DA]_o \pm SEM$ vs. pulse number for 100-Hz stimuli in the presence (○) or absence (●) of nicotine (500 nM) from recording sites ($n = 32-36$). All data were normalized to 1p. (Post hoc t tests; *** $P < 0.001$ vs. controls.)

strong activity dependence seen in control conditions (Fig. 2D). These data suggest that nAChR regulation of DA transmission in the NAc requires both α 6 and α 4 subunits together, i.e., α4α6* nAChRs. In other experiments, we explored whether a role for any $α6(non-α4)$ or $α4(non-α6)$ nAChRs remaining after subunit deletion could be revealed by boosting extracellular ACh. However, these experiments did not provide any evidence that independent $\alpha 6^*$ and $\alpha 4^*$ nAChRs can play significant roles ([SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT): nAChR control of striatal DA transmission and [Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=SF2)).

Targeted Re-expression of α4 Restores Short-Term ICSA and Nicotine-Sensitive Bursting Properties of VTA DA Neurons. To explore further the dependence of ICSA on α ^{4*} nAChRs and the correlation with the ability of nicotine to elicit bursting activity, we used lentiviral re-expression of the α 4 subunit in the VTA of α 4^{-/-} mice (denoted "α4vec") to explore whether this treatment restored ICSA and/or the effect of nicotine on %SWB. Lentiviral re-expression was performed as described previously (8, 10). It restored nAChR binding (Fig. 3A) and nAChR control of DA release in the NAc [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=SF3)). Furthermore, re-expression of α 4 in the VTA significantly prolonged ICSA. Nicotine self-administration rates fell only after session 5 (two-way ANOVA, effect of re-expression: F $(2,20) = 12.07, P < 0.001$; re-expression \times session interaction: F $(6,120) = 11.31, P < 0.001$) (Fig. 3B). In addition, α4vec expression at least partially restored nicotine-elicited increases in firing

Fig. 3. Re-expression of the α 4 subunit in the VTA of α 4^{-/-} mice partially restored bursting and ICSA. (A) Re-expression of the α 4 subunit in the VTA results in an increase in 125I-epibatidine binding in the NAc. Increased binding of ¹²⁵I-epibatidine after α 4 re-expression in α 4^{-/-} mice shown in the NAc (circled) compared with increased binding in the VTA (arrow). (B) Intra-VTA nicotine self-administration in WT (black), α4GFP^{-/-} (red), α4νec^{-/-} (blue), and α 4 α 6^{-/-} (magenta) mice. The number of self-administrations per daily session is expressed as mean \pm SEM. A1–A7 are nicotine self-administration trials, with 100 ng nicotine as salt per self-administered dose. (C) Effect of nicotine injection on DA neurons of WT (gray mask), α4νec (blue trace), and $α4α6^{-/}$ mice (magenta trace). Mean \pm SEM of increased responses in firing frequency (Upper) and in percentage of spikes within burst (%SWB) (Lower). (D) Representation of the maximum (mean \pm SEM) of the response in firing rate (Left) and in %SWB (Right). In α 4vec mice, variation of the maximum firing rate from baseline in individual cells ranged from 0.6–65%, with a mean of +20%. The variation in %SWB ranged from −4 to 28% with a mean of +5.6%. An increase in frequency was seen in 13 of 13 cells, whereas bursting activity was enhanced in 12 of 13 cells. In $α4α6^{-/-}$ mice, variation of the maximum from baseline in individual cells ranged from −29 to 14%, with a mean of 0.4% in firing rate. The variation in %SWB ranged from −1 to 10% with a mean of +1.5%. Five of eight cells showed an increase in frequency, whereas bursting activity was enhanced in six of eight cells (Wilcoxon signed rank test; $*P < 0.05$; $**P < 0.001$).

rate after systemic injection (Δ mean = 20.8%, Wilcoxon signed rank test, $P < 0.001$, $n = 13$) (Fig. 3 C and D). Re-expression also reduced the delay to maximum increase compared with α 4⁻ (Fig. 1B) and, importantly, significantly increased the %SWB (Δ mean = 5.6%, Wilcoxon signed rank test, $P = 0.032$) (Fig. 3 C and D). These results demonstrate that although the VTA α 4 subunit is not sufficient to restore ICSA completely in the long term, it is crucial to reward-relevant bursting activity of DA cells in the VTA. In the NAc, α4vec re-expression appeared to restore nAChR control of DA release. In particular, the contrast between [DA]_o evoked by high- vs. low-frequency stimuli was significantly attenuated [\(Fig. S3\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=SF3); furthermore, α -CtxMII sensitivity was restored with no additive effect of DHβE, suggesting that the reintroduced α4 subunits reform native α6α4β2* nAChRs and that the function of α 4β2^{*} receptors without α 6 subunits is limited, as in WT.

Finally, given the transient ability of $\alpha 4^{-/-}$ mice to selfadminister nicotine in early training sessions (Figs. 1A and 3A) and the partial sensitivity of ICSA to the availability of the α 6 subunit (Fig. 1B), we explored whether the behavioral and physiological effects of nicotine in α 4^{-/-} mice might be caused by the presence of α6 subunits by testing the effects of combined deletion of both α4 and α6 subunits. In α 6α4^{-/-} mice, both approach and learning phases of ICSA were abolished, and firing activity and changes to %SWB ($n = 8$) were completely insensitive to systemic application of nicotine (Figs. $3 B$ and D).

Discussion

Our results define distinct contributions of the α 4 and α 6 nAChR subunits in nicotine's reinforcing properties. We first show profound differences between intra-VTA and systemic nicotine selfadministration in $\alpha 4^{-/-}$ and $\alpha 6^{-/-}$ mice. We propose that these differences may be related to the distinct contribution of α ^{4*} and α6* nAChRs in the soma vs. axon terminals (NAc) of VTA DA neurons. Indeed, we present evidence that the stability of intra-VTA nicotine self-administration is related to the bursting properties of DA cells and that α4* nAChRs in VTA neurons are critical for burst generation and long-term stability of ICSA, but α6* nAChRs are not. Finally, we show that nAChR control of striatal DA transmission in the NAc is dependent on a specific population of α6α4β2* nAChRs.

 α 6 Has a Modulatory Role in the Outcome of Nicotine's Action in the

VTA. The α 6 subunit has been shown to be necessary for systemic IVSA (10), but during intra-VTA self-administration the role of α6* receptors revealed here is complex. ICSA was attenuated in α 6^{-/-} mice when presented with the lowest but not with higher doses of nicotine, but ICSA was eliminated in $α4α6^{-/-}$ mice, including an early phase of ICSA that did remain in α 4^{-/-} mice, presumably mediated via α6* nAChRs. These data suggest that native α6* nAChRs in VTA are not necessary for intra-VTA ICSA but nonetheless are consistent with the view that $α6*$ nAChRs do have a role in nicotine reinforcement (22, 23). Our data correspondingly indicate slight, albeit not statistically significant, changes in the amplitude and duration of nicotineevoked responses of VTA DA neurons in α 6^{-/-} mice as well as changes in the NAc in the dynamic frequency filtering of DA release probability.

The two nicotine self-administration protocols, IVSA and ICSA, necessarily involve nAChRs with different distributions. Systemic nicotine acts on nAChRs in the VTA and at $α4α6*$ nAChRs on projections in the NAc, a key component in the dynamic frequency filtering of DA release probability. Furthermore, the published IVSA protocol is based on the ability to respond to nicotine by nose-poking during a 20-min session and, unlike the ICSA protocol used here, does not involve learning (28, 29). The single 20-min sessions involved in IVSA paradigms would be dominated by the acute psychomotor stimulant action

of nicotine, in which the α 6 subunit is required at the axon terminal level (24). We provide direct evidence using ICSA that the role of α6* nAChRs in nicotine reinforcement may be particularly important during the initial phase of reward, leading mice to approach a location or a cue associated with nicotine delivery (see below).

nAChR Control of Striatal DA Transmission in the NAc Is Dependent on a Population of α 6 α 4 β 2* nAChRs. We show that in the NAc both α 4 and α 6 subunits play a major role in influencing DA release by regulating the contrast in DA signals caused by burst vs. nonburst activity. It was demonstrated previously that this control is α 6 dependent (15), and the apparent codependence on both α 6 and α4 subunits revealed by the current study indicates that α6α4β2* nAChRs are those specifically involved. Interestingly, recent studies in the adjacent dorsal striatum, the caudate putamen (CPu), using expression of a nonnative α 6^(L9'S), which has gain of function, suggest that α6α4* nAChRs can be made to participate in the axonal control of DA release in the CPu (25). However, under native conditions, endogenous α6 subunits have been shown to play a more limited role in the control of DA transmission in the CPu than in the NAc (15).

In the NAc in $\alpha 6^{-/-}$ and $\alpha 4^{-/-}$ mice, there is enhanced frequency sensitivity of DA release, which also is thought to occur in WT genotypes after nAChR desensitization in the presence of systemic nicotine (17, 30) or in response to synchronized pauses in striatal cholinergic interneuron (ChI) activity caused by salient stimuli (31, 32). Under these conditions, changes in burst activity in the VTA will be relayed more faithfully by DA release from axons. Nicotine in the NAc, deletion of nAChR subunits in the NAc, or pauses in ChI firing therefore may have a permissive role in allowing DA to signal changes in DA neuron activity caused by nicotine in the VTA. Thus each of these scenarios ultimately might facilitate the DA-dependent striatal plasticity that underlies striatal learning. Therefore, subunit knockout in the NAc might not prevent but rather be permissive to (or promote) the acquisition of nicotine ICSA, provided that nicotine's action in the VTA is preserved. This scenario is seen in α6^{-/-} mice. The data in α6^{-/- $\overline{ }$} mice are consistent with previous observations showing that DA release is unaltered after systemic nicotine injections in $\alpha 6^{-/-}$ mice, when measured by microdialysis (33). It should be noted, however, that microdialysis measures of DA release may be an integrated function of net DA release and be poorly sensitive to discrete burst firing-induced release, unless uptake is blocked (34).

α4* nAChRs Are Specifically Involved in the Tonic-to-Phasic Transition Evoked by Nicotine. Our results show that in the VTA, somatodendritic α4* nAChRs are specifically involved in the bursting mechanism of DA neurons and are required to provide bursting adaptation in the tonic/phasic transition that underpins reinforcement. DA neurons exhibit two distinct patterns of activity, bursting and regular spiking (35). Burst firing is associated with anticipatory and unexpected phases of reward (36, 37), and disruption of phasic DA impairs conditioned behavioral responses and learning about cues that predict salient events (38). In the context of nicotine addiction, it therefore is crucial to understand how nicotine switches DA cell activity from tonic to phasic. The increase in nicotine-elicited bursts disappears in $α4^{-/}$ but not in $\alpha 6^{-/-}$ mice, and nicotine-elicited bursting in $\alpha 4^{-/-}$ mice is partially restored by reintroduction of α 4 subunits (a4vec mice). These data support the notion that although a brief increase in firing rate can be the consequence of nicotine action at α6β2* nAChRs on DA cells, an increase in burst firing is caused by activation of α4β2* nAChRs. A current hypothesis posits that α4β2* nAChRs on GABAergic cells in the VTA are important in the regulation of DA neuron activity by nicotine through a mechanism of disinhibition (11). GABAergic neurons express

mainly α4β2* nAChRs in WT mice and thus are supposed to be insensitive to nicotine in α 4^{-/-} mice. Because bursting is abolished in α 4^{-/-} mice, α 4β2^{*} nAChRs at the soma of DA and/or GABAergic cells then would be of importance for burst regulation. The re-expression of the α 4 subunit in the VTA only partially restores responses to systemic nicotine: The percentage of burst increased but was not comparable to WT or β2-vec responses (8, 14). The differences might be caused by the distribution and/or subunit stoichiometry of the resulting nAChRs.

Contribution of α 4* nAChRs in the Stability of the Development of Self-Administration. The stability of nicotine self-administration relies upon α4* nAChRs, as demonstrated by the peculiar biphasic learning curve observed in α 4^{-/-} animals. These mice react to nicotine and are able to display approach behavior toward the nicotine-reinforced arm during the first three sessions. Subsequently, nicotine-seeking behavior is no longer observed, and random choices reappear. This two-phase phenomenon suggests at least two different steps in the normal role of reinforcement in learning. Immediately after stimulus detection, which itself is DA dependent (28), an approach is thought to occur that leads to cue inspection (39). Present in α 4^{-/-} mice, this behavior might depend on firing rate activation and/or be permitted by the heightened sensitivity to the activity of DA axon terminals. The following step, termed the "stamping-in" of the stimulus–response association, corresponds to the retroactive impact of reinforcement on behavior and also is thought to be DA dependent (29). Lost in α 4^{-/-} mice, this behavior then might depend on burst firing in DA neurons and perhaps on the consequently larger range in $[DA]_0$ released transiently in the striatum that would be expected during these higher frequencies.

In conclusion, these data support a differential role for the α 4 and α 6 subunits in the VTA and the NAc, with α ^{4*} nAChRs having a dominant role at the somatic level in burst response and nicotine-induced conditioning.

Materials and Methods

Animals. Experiments were performed on WT mice (C57BL/6J strain), α 4^{-/-}, α 6^{-/-}, α 4^{-/-} \times α 6^{-/-} and α 4vec nAChR mice age 8-21 wk and weighing 25–35 q. $α4^{-/-}$ and $α6^{-/-}$ mice were generated as described previously ([SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT) and refs. 21 and 40).

In Vivo Electrophysiology: Extracellular Single-Cell Recordings. Mice were anesthetized with chloral hydrate, 400 mg/kg i.p., supplemented as required to maintain optimal anesthesia throughout the experiment, and were positioned in a stereotaxic frame (David Kopf). Body temperature was kept at 37 °C by means of a thermostatically controlled heating blanket. All animals had a catheter inserted into the saphenous vein for i.v. administration of nicotine. Procedures for DA cell electrophysiological recording were described previously (14). After a baseline recording of 15–30 min, 10 μL saline (0.9% NaCl) was injected i.v. into the saphenous vein, and after 3–5 min, nicotine (30 μg/kg) was administered i.v. The dose was based on previous studies showing that nicotine can be i.v. self-administered at this dose in mice (41).

DA Cell Identification. Extracellular identification of DA neurons was based on their location as well as on the set of unique electrophysiological properties that characterize these cells in vivo ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)). We also labeled some cells with neurobiotin (Fig. 3B) to calculate the risk of misclassifying a non-DA cell as dopaminergic ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)).

Slice Preparation and Voltammetry. Coronal striatal slices, 300 μm thick, containing both NAc and CPu were prepared from mice brain of various genotypes using previously described methods (17, 42). [DA]_o was monitored at 32 °C in bicarbonate-buffered artificial cerebrospinal fluid (containing

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2.4 mM Ca^{2+}) using fast-scan cyclic voltammetry with 10- μ m carbon-fiber microelectrodes (tip length ∼50–100 μm, fabricated in-house) and a Millar Voltammeter (PD Systems) as described previously (17, 42). In brief, the scanning voltage was a triangular waveform (-0.7 V to +1.3V range vs. Ag/ AgCl) at a scan rate of 800 V/s and a sampling frequency of 8 Hz. The evoked current signal was attributed to DA by comparing the potentials for peak oxidation and reduction currents with those of DA in calibration media (+500–600 and −200 mV vs. Ag/AgCl, respectively). Electrodes were calibrated in 2 μM DA in experimental media. Slice electrical stimulation is de-scribed in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT).

Drugs. The nicotine solution for in vivo measurements was prepared as follows: 0.5 mM of nicotine tartrate was dissolved in a 0.9% NaCl solution and adjusted to pH 7.2 using NaOH. A preliminary study showed that an i.v. injection of a control solution (0.9% NaCl/0.5 mM of KNa-tartrate) had no effect on the electrophysiological characteristics of DA neurons in WT animals.

Lentiviral Vector. The lentiviral expression vectors are derived from the pHR' expression vectors first described by Naldini et al. (43), with several subsequent modifications as indicated by Maskos et al. (8). In the lentivirus used in this study, the bicistronic expression of mouse WT α 4 nAChR subunit cDNA and the EGFP cDNA is under the control of the mouse phosphoglycerate kinase promoter (10). For experiments with α4vec mice, controls were α 4^{-/} and WT mice injected with a lentivirus expressing EGFP only. (Details of lentivirus stereotaxic injection are given in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT).)

¹²⁵I-Epibatidine Autoradiography. Coronal sections (20 μm) were incubated at room temperature with 200 pM ¹²⁵I-epibatidine (Perkin-Elmer) (specific activity 2,200 Ci/mmole) (Perkin-Elmer) in 50 mM Tris, pH 7.4, for 30 min. After incubation, sections were rinsed twice for 5 min in the same buffer and briefly in distilled water. Sections then were exposed to Kodak Biomax films overnight.

Self-Administration Protocol. To assess the reinforcing effects of nicotine, we used a previously described mouse model of ICSA (8, 44). This model is based on a Y-maze discrimination task between a nicotine-reinforced arm and a neutral arm ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)).

Statistical Analysis. Statistical analyses were performed using R, a language and environment for statistical computing [\(http://www.r-project.org](http://www.r-project.org)).

Firing pattern quantification. DA cell firing in vivo was analyzed with respect to the average firing rate and the percentage of spikes within a burst ([SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)). To quantify nicotine effect, each cell's activity was rescaled by its baseline value averaged during the 2.5 min before nicotine injection. We used a paired nonparametric Wilcoxon signed rank test to compare firing frequency and %SWB before and after nicotine injection. Nonpaired Wilcoxon tests were used to compare firing frequency and % SWB in two populations ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103000108/-/DCSupplemental/pnas.201103000SI.pdf?targetid=nameddest=STXT)).

Voltammetric detection of dopamine. Data are expressed as mean $+$ SEM, and the sample size, n , is the number of observations. Each data set represents results from three or more animals. Comparisons for differences in means were assessed by one- or two-way ANOVA, post hoc multiple comparison t tests (Bonferroni), or unpaired t tests using GraphPad Prism. Curve fitting and linear regressions were performed in GraphPad Prism or SigmaPlot.

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