

Caspofungin Activity against Clinical Isolates of Fluconazole-Resistant *Candida*

Michael A. Pfaller,^{1,2} Shawn A. Messer,¹ Linda Boyken,¹ Cassie Rice,¹ Shailesh Tendolkar,¹ Richard J. Hollis,¹ and Daniel J. Diekema^{1,3*}

Departments of Pathology,¹ Epidemiology,² and Medicine,³ University of Iowa College of Medicine and College of Public Health, Iowa City, Iowa

Received 10 June 2003/Returned for modification 14 August 2003/Accepted 1 September 2003

A total of 7,837 clinical isolates of *Candida* were tested against fluconazole, and 351 resistant (fluconazole MIC \geq 64 μ g/ml) isolates were identified (4% of the total tested). All fluconazole-resistant isolates were inhibited by caspofungin at concentrations that can be exceeded by standard doses (MIC at which 90% of the isolates were inhibited, 1 μ g/ml; 99% of the MICs were \leq 2 μ g/ml).

Invasive candidiasis is a common and devastating infection. The annual incidence of bloodstream infections due to *Candida* spp. in the United States ranges from 6 to 10 infections per 100,000 persons, and the mortality attributable to such infections is estimated to be 30 to 50% (3, 4, 9, 10, 23, 29, 33; R. A. Hajjeh, 6th ASM Conf. *Candida* Candidiasis, abstr. S-6, p. 15, 2002). The optimal treatment of candidemia requires a high index of suspicion and the early use of systemically active antifungal agents (25, 26). Although antifungal resistance among invasive isolates of *Candida* is not common (16–19, 25, 26), it remains a concern, especially for *Candida glabrata* and *C. krusei* (20–22, 25). Both of these species are known to express intrinsic (*C. krusei*) or acquired (*C. glabrata*) resistance to fluconazole and may also demonstrate decreased susceptibility to amphotericin B (16, 18, 20, 22, 25, 27). Thus, there is a great need for systemically active agents with fungicidal activity against species expressing resistance to fluconazole.

Caspofungin is an echinocandin with potent fungicidal activity against many species of *Candida* (2, 5, 6, 21). Recently, caspofungin was shown to be equivalent to (and less toxic than) amphotericin B in the treatment of patients with invasive candidiasis (13). Caspofungin is now approved for the treatment of candidemia and esophageal candidiasis as well as for salvage therapy for invasive aspergillosis (13, 31).

Caspofungin and other echinocandins have been shown to exhibit potent activity against fluconazole-resistant *Candida* spp. (5, 7, 12, 15). In the interest of augmenting the data on this phenomenon, we have determined the in vitro activity of caspofungin against 351 strains of fluconazole-resistant *Candida* spp. This set of strains constitutes the largest collection of fluconazole-resistant *Candida* isolates from blood or sterile sites yet tested against caspofungin.

We tested 7,837 clinical isolates of *Candida* obtained between 1992 and 2002 from over 160 medical centers worldwide (see Table 1). More than 85% of the isolates were from blood or a normally sterile body fluid (cerebrospinal fluid, pleural

fluid, or peritoneal fluid), and each isolate represented an individual infectious episode. The isolates were identified by standard methods (32) and stored as water suspensions until they were used. Prior to testing, each isolate was passaged at least twice on potato dextrose agar (Remel, Lenexa, Kans.).

Standard antifungal powders of caspofungin (Merck & Co., Whitehouse Station, Pa.) and fluconazole (Pfizer, Inc., New York, N.Y.) were obtained from their respective manufacturers. Stock solutions of caspofungin and of fluconazole were prepared in sterile water. Serial twofold dilutions were prepared exactly as outlined in NCCLS document M27-A2 (14). Final dilutions in RPMI 1640 medium (Sigma, St. Louis, Mo.) buffered to pH 7.0 with 0.165 M morpholinepropanesulfonic acid (MOPS) buffer (Sigma) were prepared. Aliquots (0.1 ml) of each antifungal agent at two times the final dilution were dispensed into wells of plastic microdilution trays with a Quick Spense II system (Dynatech Laboratories, Chantilly, Va.). The trays were sealed and frozen at -70°C until they were used.

Broth microdilution testing was performed as described previously (21) and in accordance with the guidelines in NCCLS document M27-A2 (14) with an inoculum concentration of $(1.5 \pm 1.0) \times 10^3$ cells/ml and RPMI 1640 medium buffered to pH 7.0 with MOPS. A 0.1-ml yeast inoculum was added to each

TABLE 1. Frequency of fluconazole resistance^a among *Candida* species

Species	No. of isolates tested	No. (%) of fluconazole-resistant isolates ^b
<i>C. albicans</i>	5,345	47 (1)
<i>C. glabrata</i>	1,432	121 (8)
<i>C. parapsilosis</i>	434	4 (1)
<i>C. tropicalis</i>	319	3 (1)
<i>C. krusei</i>	171	171 (100)
<i>C. guilliermondii</i>	56	3 (5)
<i>C. lusitanae</i>	42	1 (2)
<i>C. pelliculosa</i>	14	0 (0)
<i>Candida</i> spp. ^c	24	1 (4)
All species	7,837	351 (4)

^a Determined by NCCLS M27-A2 broth microdilution.

^b MIC, \geq 64 μ g/ml.

^c *C. dubliniensis* (two isolates), *C. famata* (three isolates), *C. kefyr* (seven isolates), *C. lipolytica* (four isolates), *C. rugosa* (five isolates), and *C. zeylanoides* (three isolates).

* Corresponding author. Mailing address: Medical Microbiology Division, C606 GH, Department of Pathology, University of Iowa College of Medicine, Iowa City, IA 52242. Phone: (319) 356-8615. Fax: (319) 356-4916. E-mail: daniel-diekema@uiowa.edu.

TABLE 2. In vitro activity of caspofungin against 351 clinical isolates of *Candida* spp. resistant to fluconazole^a

Species	No. of isolates tested	Cumulative % of isolates susceptible at MIC ($\mu\text{g/ml}$) ^b of:							
		0.03	0.06	0.12	0.25	0.5	1	2	4
<i>C. albicans</i>	47	0	0	30	66	74	96	98	100
<i>C. glabrata</i>	121	1	45	68	82	95	100		
<i>C. krusei</i>	171	38	38	38	39	47	88	99	
<i>Candida</i> spp. ^c	12	25	25	42	58	67	67	92	100
All species	351	20	35	47	58	68	92	99	100

^a Fluconazole resistance was determined by NCCLS M27-A2 broth microdilution and fluconazole interpretive criteria (resistance MIC, $\geq 64 \mu\text{g/ml}$).

^b Caspofungin MICs were determined by the NCCLS M27-A2 broth microdilution method.

^c *C. tropicalis* (three isolates), *C. guilliermondii* (three isolates), *C. parapsilosis* (four isolates), *C. lusitanae* (one isolate), and *C. lipolytica* (one isolate).

well of the microdilution trays. The final concentrations of the antifungal agents were 0.007 to 8 $\mu\text{g/ml}$ for caspofungin and 0.12 to 128 $\mu\text{g/ml}$ for fluconazole. The trays were incubated at 35°C, and MIC end points were read after 48 h. Drug-free and yeast-free controls were included.

Following incubation, the wells were examined with a reading mirror, and the growth in each well was compared with the growth in the control well. The MIC of caspofungin was defined as the concentration resulting in complete inhibition of growth (21), and the MIC of fluconazole was defined as the lowest concentration that produced a prominent decrease in turbidity (approximately 50%) relative to that of the drug-free control well. Importantly, susceptibility testing methods for caspofungin, unlike those for fluconazole, have not been standardized yet. Our choice of complete growth inhibition as the MIC end point reflects both the fungicidal activity of caspofungin against *Candida* (2, 5, 6), compared to the fungistatic activity of fluconazole, and our experience with testing large numbers of organisms, including selected glucan synthesis mutants. However, the methods we used in this study may differ from future standardized methods.

The interpretive criterion for fluconazole resistance was that published by Rex et al. (24) and the NCCLS (14): an MIC of $\geq 64 \mu\text{g/ml}$. Isolates of *C. krusei* were considered resistant to fluconazole irrespective of the MIC (14). For quality control, the NCCLS-recommended strains *C. krusei* ATCC 6258 and *C. parapsilosis* ATCC 22019 were tested (1, 14).

Table 1 summarizes the frequency of fluconazole resistance among 7,837 clinical isolates of *Candida* spp. All 171 isolates of *C. krusei* were considered resistant to fluconazole, including 6 isolates for which the fluconazole MICs were $\leq 8 \mu\text{g/ml}$, 105 for which the MICs were 16 to 32 $\mu\text{g/ml}$, and 60 for which the MICs were $\geq 64 \mu\text{g/ml}$.

Among the 351 fluconazole-resistant isolates, 99% were inhibited by caspofungin at an MIC of $\leq 2 \mu\text{g/ml}$, and no caspofungin MICs greater than 4 $\mu\text{g/ml}$ were observed (Table 2). Although in vitro susceptibility testing of caspofungin is not yet standardized, it is notable that testing by the method employed in the present study revealed that caspofungin MICs for all laboratory-derived glucan synthesis mutants of *C. albicans* and *C. krusei* with in vivo resistance to caspofungin (11) were $\geq 8 \mu\text{g/ml}$ (8 $\mu\text{g/ml}$ for one mutant and $\geq 32 \mu\text{g/ml}$ for seven mutants) (data not shown). Given that peak plasma drug concentrations in excess of 16 μg of caspofungin per ml may be achieved with a dosage of 1 mg/kg daily (8, 28), it is evident that the concentrations of the drug in plasma may exceed by

fourfold or more the caspofungin MICs for fluconazole-resistant isolates of *Candida* (5, 6).

Our data also demonstrate the low frequency of fluconazole resistance among bloodstream isolates of *Candida* spp. (Table 1). Fluconazole resistance was extremely rare among isolates of *C. albicans* (1%), *C. tropicalis* (1%), *C. parapsilosis* (1%), *C. lusitanae* (2%), and miscellaneous *Candida* spp. (0 to 4%). Furthermore, only 8% of 1,432 isolates of *C. glabrata* exhibited resistance to fluconazole.

In summary, we have used a large international collection of clinical *Candida* isolates to demonstrate both a low incidence of fluconazole resistance and the excellent potency of caspofungin against those *Candida* strains that are fluconazole resistant.

We thank Linda Elliott and Shanna Duffy for excellent secretarial assistance in the preparation of the manuscript.

This study was supported in part by Merck & Company and Pfizer Pharmaceuticals.

REFERENCES

- Barry, A. L., M. A. Pfaller, S. D. Brown, A. Espinel-Ingroff, M. A. Ghanoum, C. Knapp, R. P. Rennie, J. H. Rex, and M. G. Rinaldi. 2000. Quality control limits for broth microdilution susceptibility tests of ten antifungal agents. *J. Clin. Microbiol.* **38**:3457–3459.
- Bartizal, K., C. J. Gill, G. K. Abruzzo, A. M. Flattery, L. Kong, P. M. Scott, J. G. Smith, C. E. Leighton, A. Bouffard, J. F. Dropinski, and J. Balkovec. 1997. In vitro preclinical evaluation studies with the echinocandin antifungal MK-0991 (L-743,872). *Antimicrob. Agents Chemother.* **41**:2326–2332.
- Diekema, D. J., S. A. Messer, A. B. Brueggemann, S. L. Coffman, G. V. Doern, L. A. Herwaldt, and M. A. Pfaller. 2002. Epidemiology of candidemia: 3-year results from the Emerging Infections and the Epidemiology of Iowa Organisms study. *J. Clin. Microbiol.* **40**:1298–1302.
- Edmond, M. B., S. E. Wallace, D. K. McClish, M. A. Pfaller, R. N. Jones, and R. P. Wenzel. 1999. Nosocomial bloodstream infections in United States hospitals: a three-year analysis. *Clin. Infect. Dis.* **29**:239–244.
- Ernst, E. J., M. E. Klepser, and M. A. Pfaller. 1999. In vitro pharmacodynamic properties of MK-0991 determined by time-kill methods. *Diagn. Microbiol. Infect. Dis.* **33**:75–80.
- Ernst, E. J., M. E. Klepser, and M. A. Pfaller. 2000. Postantifungal effects of echinocandin, azole, and polyene antifungal agents against *Candida albicans* and *Cryptococcus neoformans*. *Antimicrob. Agents Chemother.* **44**:1108–1111.
- Ernst, M. E., M. E. Klepser, E. J. Wolfe, and M. A. Pfaller. 1996. Antifungal dynamics of LY303366, an investigational echinocandin B analog, against *Candida* spp. *Diagn. Microbiol. Infect. Dis.* **26**:125–131.
- Groll, A. H., B. M. Gullick, R. Petraitis, V. Petraitis, M. Candelario, S. C. Pisticelli, and T. J. Walsh. 2001. Compartmental pharmacokinetics of the antifungal echinocandin caspofungin (MK-0991) in rabbits. *Antimicrob. Agents Chemother.* **45**:596–600.
- Gudlaugsson, O., S. Gillespie, K. Lee, J. Vande Berg, S. Messer, L. Herwaldt, M. Pfaller, and D. Diekema. 2003. Attributable mortality of nosocomial candidemia, revisited. *Clin. Infect. Dis.* **37**:1172–1177.
- Kao, A. S., M. E. Brandt, W. R. Pruitt, L. A. Conn, B. A. Perkins, D. S. Stephens, W. S. Baughman, A. L. Reingold, G. A. Rothrock, M. A. Pfaller, R. W. Pinner, and R. A. Hajjeh. 1999. The epidemiology of candidemia in

- two United States cities: results of a population-based active surveillance. *Clin. Infect. Dis.* **29**:1164–1170.
11. Kurtz, M. B., G. Abruzzo, A. Flattery, K. Bartizal, J. A. Marrinan, W. Li, J. Milligan, K. Nollstadt, and C. M. Douglas. 1996. Characterization of echinocandin-resistant mutants of *Candida albicans*: genetic, biochemical, and virulence studies. *Infect. Immun.* **64**:3244–3251.
 12. Martinez-Suarez, J. V., and J. L. Rodriguez-Tudela. 1996. In vitro activities of semisynthetic pneumocandin L-733,560 against fluconazole-resistant and -susceptible *Candida albicans* isolates. *Antimicrob. Agents Chemother.* **40**:1277–1279.
 13. Mora-Duarte, J., R. Betts, C. Rotstein, A. L. Colombo, L. Thompson-Moya, J. Smietana, R. Lupinacci, C. Sable, N. Kartsonis, and J. Perfect. 2002. Comparison of caspofungin and amphotericin B for invasive candidiasis. *N. Engl. J. Med.* **347**:2020–2029.
 14. National Committee for Clinical Laboratory Standards. 2002. Reference method for broth dilution antifungal susceptibility testing of yeasts. Approved standard M27-A2, 2nd ed. National Committee for Clinical Laboratory Standards, Wayne, Pa.
 15. Nelson, P. W., M. Lozano-Chiu, and J. H. Rex. 1997. In vitro growth inhibitory activity of pneumocandins L733,560 and L-743,872 against putatively amphotericin B- and fluconazole-resistant *Candida* isolates: influence of assay conditions. *J. Med. Vet. Mycol.* **35**:285–287.
 16. Pfaller, M. A., D. J. Diekema, R. N. Jones, H. S. Sader, A. C. Fluit, R. J. Hollis, S. A. Messer, and the SENTRY Participant Group. 2001. International surveillance of bloodstream infections due to *Candida* species: frequency of occurrence and in vitro susceptibilities to fluconazole, ravuconazole, and voriconazole of isolates collected from 1997 through 1999 in the SENTRY Antimicrobial Surveillance Program. *J. Clin. Microbiol.* **39**:3254–3259.
 17. Pfaller, M. A., and D. J. Diekema. 2002. Role of sentinel surveillance of candidemia: trends in species distribution and antifungal susceptibility. *J. Clin. Microbiol.* **40**:3551–3557.
 18. Pfaller, M. A., S. A. Messer, R. J. Hollis, R. N. Jones, and D. J. Diekema. 2002. In vitro activities of ravuconazole and voriconazole compared with those of four approved systemic antifungal agents against 6,970 clinical isolates of *Candida* spp. *Antimicrob. Agents Chemother.* **46**:1723–1727.
 19. Pfaller, M. A., S. A. Messer, L. Boyken, H. Huynh, R. J. Hollis, and D. J. Diekema. 2002. In vitro activities of 5-fluorocytosine against 8,803 clinical isolates of *Candida* spp.: global assessment of primary resistance using National Committee for Clinical Laboratory Standards susceptibility testing methods. *Antimicrob. Agents Chemother.* **46**:3518–3521.
 20. Pfaller, M. A., D. J. Diekema, S. A. Messer, L. Boyken, R. J. Hollis, R. N. Jones, and the International Fungal Surveillance Participant Group. 2003. In vitro activities of voriconazole, posaconazole, and four licensed systemic antifungal agents against *Candida* species infrequently isolated from blood. *J. Clin. Microbiol.* **41**:78–83.
 21. Pfaller, M. A., D. J. Diekema, S. A. Messer, R. J. Hollis, and R. N. Jones. 2003. In vitro activities of caspofungin compared with those of fluconazole and itraconazole against 3,959 clinical isolates of *Candida* spp., including 157 fluconazole-resistant isolates. *Antimicrob. Agents Chemother.* **47**:1068–1071.
 22. Pfaller, M. A., S. A. Messer, L. Boyken, S. Tendolkar, R. J. Hollis, and D. J. Diekema. 2003. Variation of susceptibility of bloodstream isolates of *Candida glabrata* to fluconazole according to patient age and geographic location. *J. Clin. Microbiol.* **41**:2176–2179.
 23. Rees, J. R., R. W. Pinner, R. A. Hajjeh, M. E. Brandt, and A. L. Reingold. 1998. The epidemiologic features of invasive mycotic infections in the San Francisco Bay Area, 1992–1993: results of a population-based laboratory active surveillance. *Clin. Infect. Dis.* **27**:1138–1147.
 24. Rex, J. H., M. A. Pfaller, J. N. Galgiani, M. S. Bartlett, A. Espinel-Ingroff, M. A. Ghannoum, M. Lancaster, F. C. Odds, M. G. Rinaldi, T. J. Walsh, and A. L. Barry for the Subcommittee on Antifungal Susceptibility Testing of the National Committee for Clinical Laboratory Standards. 1997. Development of interpretative breakpoints for antifungal susceptibility testing: conceptual framework and analysis of in vitro-in vivo correlation data for fluconazole and itraconazole. *Clin. Infect. Dis.* **24**:235–247.
 25. Rex, J. H., T. J. Walsh, J. D. Sobel, S. G. Filler, P. G. Pappas, W. E. Dismukes, and J. E. Edwards. 2000. Practice guidelines for the treatment of candidiasis. *Clin. Infect. Dis.* **30**:662–678.
 26. Rex, J. H., M. A. Pfaller, T. J. Walsh, V. Chaturvedi, A. Espinel-Ingroff, M. A. Ghannoum, L. L. Gosey, F. C. Odds, M. G. Rinaldi, D. J. Sheehan, and D. W. Warnock. 2001. Antifungal susceptibility testing: practical aspects and current challenges. *Clin. Microbiol. Rev.* **14**:643–658.
 27. Sanglard, D., F. Ischer, D. Calabrese, P. A. Majcherzyk, and J. Bille. 1999. The ATP binding cassette transporter gene *CgCDR1* from *Candida glabrata* is involved in the resistance of clinical isolates to azole antifungal agents. *Antimicrob. Agents Chemother.* **43**:2753–2765.
 28. Stone, J. A., S. D. Holland, P. J. Wickersham, A. Sterrett, M. Schwartz, C. Bonfiglio, M. Hensy, G. A. Winchell, P. J. Deutsch, H. Greenberg, T. L. Hunt, and S. A. Waldman. 2002. Single- and multiple-dose pharmacokinetics of caspofungin in healthy men. *Antimicrob. Agents Chemother.* **46**:739–745.
 29. Trick, W. E., S. K. Fridkin, J. R. Edwards, R. A. Hajjeh, R. P. Gaynes, and the National Nosocomial Infections Surveillance System Hospitals. 2002. Secular trend of hospital acquired candidemia among intensive care unit patients in the United States during 1998–1999. *Clin. Infect. Dis.* **35**:627–630.
 30. Vazquez, J. A., M. Lynch, D. Boikov, and J. D. Sobel. 1997. In vitro activity of a new pneumocandin antifungal, L-743,872, against azole-susceptible and -resistant *Candida* species. *Antimicrob. Agents Chemother.* **41**:1612–1614.
 31. Villanueva, A., E. G. Arathoon, E. Gotuzzo, R. S. Berman, M. J. Di Nubile, and C. A. Sable. 2001. A randomized double-blind study of caspofungin versus amphotericin for the treatment of candidal esophagitis. *Clin. Infect. Dis.* **33**:1529–1535.
 32. Warren, N. G., and K. C. Hazen. 1999. *Candida*, *Cryptococcus*, and other yeasts of medical importance, p. 1184–1199. In P. R. Murray, E. J. Baron, M. A. Pfaller, F. C. Tenover, and R. H. Tenover (ed.), *Manual of clinical microbiology*, 7th ed. ASM Press, Washington, D.C.
 33. Wey, S. B., M. Mori, M. A. Pfaller, R. F. Woolson, and R. P. Wenzel. 1998. Hospital acquired candidemia: attributable mortality and excess length of stay. *Arch. Intern. Med.* **148**:2642–2645.