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**Author Manuscript** 

*Biol Psychiatry*. Author manuscript; available in PMC 2011 June 15.

Published in final edited form as:

Biol Psychiatry. 2010 June 15; 67(12): 1154–1162. doi:10.1016/j.biopsych.2010.03.013.

## INCREASED 5-HT<sub>2A</sub> RECEPTOR AVAILABILITY IN THE ORBITOFRONTAL CORTEX OF PHYSICALLY AGGRESSIVE PERSONALITY DISORDERED PATIENTS

Daniel R. Rosell, M.D., Ph.D.<sup>a</sup>, Judy L. Thompson, Ph.D.<sup>b</sup>, Mark Slifstein, Ph.D.<sup>b</sup>, Xiaoyan Xu, Ph.D.<sup>b</sup>, W. Gordon Frankle, M.D.<sup>c</sup>, Antonia S. New, M.D.<sup>a</sup>, Marianne Goodman, M.D.<sup>a</sup>, Shauna R. Weinstein, B.A.<sup>a</sup>, Marc Laruelle, M.D.<sup>d</sup>, Anissa Abi Dargham, M.D.<sup>b</sup>, and Larry J. Siever, M.D.<sup>a,\*</sup>

<sup>a</sup> James J. Peters Veterans Affairs Medical Center, Bronx, NY; Mount Sinai School of Medicine, New York, NY

<sup>b</sup> Columbia University Medical Center, New York, NY; The New York State Psychiatric Institute, New York, NY

<sup>c</sup> Western Psychiatric Institute and Clinic, University of Pittsburgh, Pittsburgh, PA

<sup>d</sup> Imperial College of London, London, UK

## Abstract

**Background**—Impulsive physical aggression is a common and problematic feature of many personality disorders. The serotonergic system is known to be involved in the pathophysiology of aggression, and multiple lines of evidence have implicated the 5-HT<sub>2A</sub> receptor (5-HT<sub>2A</sub>R). We sought to examine the role of the 5-HT<sub>2A</sub>R in impulsive aggression specifically in the orbitofrontal cortex (OFC), given that our own studies and an extensive literature indicate that serotonergic disturbances in the OFC are linked to aggression. We have previously hypothesized that increased 5-HT<sub>2A</sub>R function in the OFC is a state phenomenon which promotes impulsive aggression.

**Methods**—5-HT<sub>2A</sub>R availability was measured with positron emission tomography and the selective 5-HT<sub>2A</sub>R antagonist radioligand [<sup>11</sup>C]MDL100907 in two groups of impulsively aggressive personality disordered patients --14 with current physical aggression, and 15 without current physical aggression --and 25 healthy controls. Clinical ratings of various symptom dimensions were also obtained.

**Results**—Orbitofrontal 5-HT<sub>2A</sub>R availability was greater in patients with current physical aggression compared to patients without current physical aggression and healthy controls; no

FINANCIAL DISCLOSURES

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<sup>&</sup>lt;sup>\*</sup>Corresponding Author: Larry J. Siever, M.D., James J. Peters Department of Veterans Affairs Medical Center, 130 West Kingsbridge Road, Room 6A-44, Bronx, New York 10468, Phone: (718) 584-9000 ext 5227 or x5225, larry.siever@va.gov.

Dr. Slifstein reports the following: Grant Support: Intracellular Therapies, Inc.; Consulting: Glaxo-SmithKline, Inc., Amgen Inc. Dr. Frankle reports the following: Consulting: Ono Pharma USA, Inc., Sepracor, Inc.; Speaking Fees: Bristol-Meyers Squibb, Inc.; Research Support: Glaxo-SmithKline, Inc., Sepracor, Inc. Dr. Laruelle is a full time employee of Glaxo-SmithKline, Inc. Dr. Abi-Dargham reports the following: Grant support: Glaxo-SmithKline, Inc.; compensation received from BMS-Otsuka for consulting and speaking engagements. The other authors report no biomedical financial interests or potential conflicts of interest.

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differences in OFC 5-HT<sub>2A</sub>R availability were observed between patients without current physical aggression and healthy controls. No significant differences in 5-HT<sub>2A</sub>R availability were observed in other brain regions examined. Among both groups of impulsively aggressive personality disordered patients combined, OFC 5-HT<sub>2A</sub>R availability was correlated, specifically, with a state measure of impulsive aggression.

**Conclusions**—These findings are consistent with our previously described model in which impulsive aggression is related to dynamic changes in 5-HT<sub>2A</sub>R function in the OFC.

#### Keywords

Aggression; Personality Disorder; Intermittent Explosive Disorder; Serotonin; Positron Emission Tomography; Orbitofrontal Cortex

## INTRODUCTION

Impulsive physical aggression is a common and problematic feature of severe personality disorders, of which borderline personality disorder (BPD) is a prototype. Integrated research criteria have been developed for the diagnosis of intermittent explosive disorder (IED-IR), and the confirmation of its discriminant and convergent validity has previously been described (1). In addition to its clinical importance, IED-IR serves as a useful paradigm to study impulsive aggression in humans. A particular advantage of the IED-IR criteria is the ability to qualify aggression in terms of whether it is expressed in a physical and/or verbal form; as there are specific temporal parameters, IED-IR can also be designated as either being current or past. Moreover, IED-IR criteria were purposely developed to not exclude for comorbid personality disorders that characteristically manifest aggressive behavior, e.g., BPD and antisocial personality disorder (ASPD). These characteristics of IED-IR offer the capacity to reliably stratify subgroups of highly aggressive personality disordered patients, and examine more precisely specific hypotheses about the neurobiology of aggression.

Altered serotonergic function has consistently been implicated in the pathophysiology of aggression (2); the 5-HT<sub>2A</sub>R, in particular, appears to be involved. In animal models, antagonists and agonists to the 5-HT<sub>2A</sub>R attenuate and augment, respectively, aggressive and impulsive behaviors (3–5). Platelet 5-HT<sub>2A</sub>R binding is associated with aggression in personality disordered patients but not in healthy controls (6). In a post-mortem study, 5-HT<sub>2A</sub>R expression in prefrontal cortical regions correlated positively with lifetime aggression in subjects who committed suicide, but not in those who died by other causes (7). Specific genetic polymorphisms of the 5-HT<sub>2A</sub>R are associated with aggression (8) and impulsivity (9,10).

The orbitofrontal cortex (OFC) is our *a priori* region of interest to examine the means by which the 5-HT<sub>2A</sub>R may modulate aggression. Methyl-L-tryptophan trapping --a putative index of serotonin synthesis --is lower in the ventromedial PFC in suicide attempters (11) and impulsive patients (12). Patients with BPD exhibit decreased activation in orbitofrontal regions in response to fenfluramine, a presynaptic 5-HT secretagogue (13). Blunted medial prefrontal and orbitofrontal activation in response to fenfluramine (14) and meta-chlorophenylpiperazine (m-CPP) (15), a 5-HT<sub>2C</sub>R agonist, has been demonstrated in impulsive-aggressive patients. Treatment of impulsive-aggressive patients with fluoxetine, a selective serotonin reuptake inhibitor (SSRI), improved symptoms of aggression and irritability, and enhanced prefrontal glucose metabolism (16).

We have previously described a model in which attenuated presynaptic cortico-limbic 5-HT represents a vulnerability trait, predisposing an individual to impulsive aggression; dynamic functional changes of the 5-HT<sub>2A</sub>R in orbitofrontal regions, however, determines the degree

of impulsive aggressive behavior, in a state dependent manner. To further assess this model, we examined 5-HT<sub>2A</sub>R availability with positron emission tomography (PET) and the selective 5-HT<sub>2A</sub>R antagonist radioligand [<sup>11</sup>C]MDL100907 in personality disordered patients with IED-IR. In order to determine whether changes in 5-HT<sub>2A</sub>R availability would reflect a state as opposed to trait phenomenon, IED-IR patients were stratified into two groups: those with current physical IED-IR and those without. We selected physical as opposed to verbal IED-IR, as the former is the more severe form, manifesting in terms of physical assault against others and destruction of valuable property. Consistent with our model, we predicted: 1) greater [<sup>11</sup>C]MDL100907 binding potential in the OFC of the IED-IR group with current physical aggression compared to the IED-IR group without current physical aggression and healthy controls; and 2) an association between OFC [<sup>11</sup>C]MDL100907 binding potential and state aggression.

## METHODS AND MATERIALS

#### Human Subjects

The study was approved by the Institutional Review Boards of the New York State Psychiatric Institute, Columbia University Medical Center, Mount Sinai Hospital, and the Bronx Veterans Affairs Medical Center. Written informed consent was obtained from each research participant after explanation of study procedures. Participants were recruited through advertisements in local newspapers and the internet. All participants underwent a medical clearance, consisting of a medical history, physical exam, basic blood and urine tests, and electrocardiogram. All participants were free of significant medical/neurological problems, and were not pregnant or nursing. None of the [<sup>11</sup>C]MDL100907 binding potential data of any of the subjects in this study have been previously published. Fourteen participants (10 males, 4 females, mean age = 36.75 [SD = 10.31, range = 21-54]) met criteria for at least one DSM-IV personality disorder and current IED-IR with 'current physical aggression' (1); current physical aggression was designated if patients met IED-IR criterion A2: three episodes of physical assault against other people or destruction of property over the past year. It is important to note that unlike DSM-IV IED criteria (17), IED-IR criteria (1) were deliberately designed to not exclude for a comorbid personality disorder that characteristically manifests aggressive behavior. Fifteen participants (12 males, 3 females, mean age = 36.40 [SD = 9.25, range = 20-51]) met criteria for at least one personality disorder and IED-IR (either current or past) without current physical aggression (i.e., either past physical, and/or current or past verbal aggression). Verbal aggression was operationalized in terms of IED-IR criterion A1: verbal aggression towards others occurring twice weekly on average for one month; these episodes may have been accompanied by incidents of minor physical aggression (e.g., brief pushing/shoving, or slamming a door shut) that did not le to physical ad assault against others or destruction of valuable property. The Structured Clinical Interview for DSM-IV Axis I Disorder (18) was used for Axis I diagnoses. The Structured Interview for DSM-IV Personality Disorders (SIDP-IV) (19) was used for Axis II diagnoses.

Sixteen patients were naïve to psychotropic medications and the remaining 13 patients were free of psychotropic medications for a minimum of 6 months prior to initial screening. Patients were excluded if they met criteria for a current major depressive episode, a history of schizophrenia or other psychotic disorder, bipolar-I, or current/recent (within the past 6 months) alcohol or substance abuse/dependence. Patients were also excluded for histories of serious past alcohol/substance abuse/dependence which may have led to long-standing neurochemical sequelae, namely: delirium tremens or medically complicated alcohol withdrawal, significant methylene-dioxymethamphetamine (MDMA) use, intravenous drug use, or chronic/persistent cocaine dependence.

All IED-IR patients were assessed with the Overt Aggression Scale-Modified (OAS-M) by an experienced clinical psychologist. The initial development and psychometric characterization of the OAS-M has previously been described (20). In brief, the OAS-M exhibits excellent interrater reliability, significant one week test-retest stability, and internal consistency. OAS-M ratings were performed twice, once at the initial screen and then again approximately 2-4 weeks later, and the means of these two scores were used. The OAS-M is composed of three subscales: Assaultiveness, Global Irritability, and Suicidality. The former two were used as measures of state aggression. Numerous clinical studies have validated the utility of the Assaultiveness and Global Irritability subscales as state measures sensitive to changes in impulsive aggression in response to various pharmacological treatments (21-26). The score of the Assaultiveness subscale is a sum of incidents of verbal and physical aggression, weighted according to severity, which occurred over the preceding week; technically there is no maximum score for this subscale. The Global Irritability subscale score is based on the rating of the patient's level of reported subjective irritability and overt/ expressed irritability over the preceding two weeks; both subjective and overt/expressed irritability are rated on a scale of 0–5 resulting in a maximum score of 10.

All patients completed self-report measures of trait aggression (Buss-Durkee Hostility Inventory and/or Buss-Perry Aggression Questionnaire) (27,28), trait impulsivity (Barratt Impulsiveness Scale version-11) (29), affective lability (Affective Lability Scale) (30), and state depressive symptoms (Beck Depression Inventory) (31). The Buss-Perry Aggression Questionnaire (BPAQ) is an updated version of the Buss-Durkee Hostility Inventory (BDHI). In the IED-IR group with current physical aggression six completed the BDHI, six did the BPAQ, and two did both. In the group without current physical aggression, nine completed the BDHI, five did the BPAQ, and one completed both. In order to derive one trait aggression score that was comparable across patients, T scores were derived for each scale and the mean of these scores was calculated for each patient.

Healthy comparison participants (n = 25, 15 males, 10 females, mean age = 32.86 [SD = 10.52, range = 19–55]) had no current or past DSM-IV Axis I or II psychiatric disorder or first-degree relative with a history of schizophrenia, bipolar disorder, major depression, or Axis II disorder.

#### **PET Acquisition and Reconstruction**

Following a 15-minute transmission scan for attenuation correction, [11C]MDL100907 was injected intravenously as a single bolus over 30 seconds. Emission data were acquired for 90 minutes (see Supplement 1). Primary outcome measures were binding potential relative to the nondisplaceable radioligand concentration in the brain (BP<sub>ND</sub>), and binding potential relative to the unmetabolized radioligand concentration in the plasma (BP<sub>P</sub>). See Supplement 1 and Innis *et al.* (32) for definitions of these outcome measures, and Supplement 1 for additional information regarding PET scan acquisition and estimation procedures.

#### Data analysis and statistics

One-way ANOVAs were used to examine potential group differences in scan parameters (i.e., parameters that do not reflect receptor availability). Using the General Linear Model framework, group differences in  $BP_{ND}$  and  $BP_P$  for the hypothesized ROI (OFC; Figure S1 in Supplement 1) were tested with 3-group ANOVAs, controlling for covariates as indicated (see below). Group differences for non-normally distributed variables were examined with non-parametric tests (i.e., Kruskal-Wallis *H* for 3-group and Mann-Whitney *U* for 2-group comparisons). Associations between clinical variables and binding potential measures (patient groups only) were examined with Pearson product-moment correlations, or

Spearman rank coefficients for non-normally distributed variables. Tests for exploratory analyses were corrected for multiple comparisons using the false discovery rate procedure (FDR) (33).

## RESULTS

### **Demographic and Clinical Characteristics**

There were no significant group differences on any of the demographic parameters examined (Table 1). Axis I and II diagnoses, and IED-IR designations of patients are presented in Table S1 in Supplement 1. The great majority of IED-IR patients met criteria for BPD.

Scores of the Assaultiveness subscale of the OAS-M were significantly increased among the IED-IR group with current physical aggression compared to those without (Table 2). When excluding 2 outlying scores from the group with current physical aggression, a significant group difference was still observed (p = .020). Scores on the OAS-M Global Irritability subscale were significantly greater among the patients with current physical aggression compared to those without (Table 2). The two IED-IR groups did not differ significantly on the other clinical measures employed (Table 2). Therefore, of the various clinical measures employed, the two IED-IR groups differed significantly only with respect to our two measures of state aggression.

#### Scan Parameters

There were no significant group differences in injected dose of [<sup>11</sup>C]MDL100907 or in specific activity at time of injection (Table 1). Injected mass was significantly greater in the control group compared to both IED-IR groups (Supplement 1). Injected mass was not related to OFC [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> or BP<sub>P</sub> across the total sample, or within any of the 3 diagnostic groups (Supplement 1). Nevertheless, group differences in OFC BP<sub>ND</sub> and BP<sub>P</sub> were examined with and without injected mass as a covariate. Peripheral clearance of [<sup>11</sup>C]MDL100907 was significantly faster in the IED-IR group without current physical aggression compared to the control group, and increased at the trend level compared to the group with current physical aggression (Supplement 1). Note, however, that binding potential measures are independent of peripheral clearance (32). Neither the plasma free fraction of [<sup>11</sup>C]MDL100907 nor the non-displaceable distribution volume (VND, measured as cerebellum distribution volume) differed among the 3 groups (Table 1).

#### **Regional Volumes**

The mean ROI volumes by group are presented in Table S2 in Supplement 1. There were no group differences in the OFC or other ROI volumes examined with the exception of the parietal cortex, which was significantly smaller among patients with current physical aggression compared to controls (Table S2, Supplement 1 for Results and Discussion). Across the total sample, age was significantly negatively related to the volumes of the DLPFC and MPFC; and, amygdala volumes were significantly greater in males compared to females (Results and Discussion in Supplement 1).

## Associations of Demographic Features with OFC [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> and BP<sub>P</sub>

Neither sex nor ethnicity (dichotomized) was related to  $[^{11}C]MDL100907$  OFC BP<sub>ND</sub> or BP<sub>P</sub>. There was a significant negative association between age and OFC BP<sub>ND</sub> (r = -.31, p = .022), but not with BP<sub>P</sub> (r = -.17, p = .218). Further examination of this relation revealed a trend for an age-by-group interaction [F(2,48) = 2.73, p = .076] such that age was negatively associated with OFC BP<sub>ND</sub> in patients with current physical aggression (r = -.65, p = .013) but not in patients without (r = -.12, p = .68) or healthy controls (r = -.26, p = .026)

= .22). Therefore, analyses were performed with age and the age-by-group interaction as predictor terms in the 3-group ANOVAs of OFC BP<sub>ND</sub> and BP<sub>P</sub>, and age and age-by-group were controlled for when examining associations of OFC BP<sub>ND</sub> and BP<sub>P</sub> with clinical measures.

## Group Differences in [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> and BP<sub>P</sub>

**Orbitofrontal Cortex**—A 3-group ANOVA (controlling for age and age-by-group) of OFC [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> indicated significant group differences (Table 3; Figure 1). Specifically, BP<sub>ND</sub> in the OFC was significantly higher among patients with current physical aggression compared to those without current physical aggression and healthy controls. Patients without current physical aggression did not differ significantly from healthy controls.

A 3-group ANOVA (controlling for age and age-by-group) of OFC [<sup>11</sup>C]MDL100907 BP<sub>P</sub> indicated group differences consistent with BP<sub>ND</sub> (Table 4). Although the overall *F* reached the level of a statistical trend, pairwise comparisons indicated that BP<sub>P</sub> in the group with current physical aggression was significantly higher compared to healthy controls, and increased at the trend level compared to patients without current physical aggression. No significant differences in BP<sub>P</sub> were observed between patients without current physical aggression and healthy controls. Including injected mass as a covariate in the above 3-group ANOVAs for OFC BP<sub>ND</sub> and BP<sub>P</sub> led to results essentially identical to those when injected mass was not a covariate.

As one-third of patients without current physical aggression had no history of prior physical IED-IR, an exploratory analysis was performed in which only the 10 patients with past physical IED-IR were included in the group without current physical aggression. A 3-group ANOVA (controlling for age and age-by-group) showed significant group differences for both OFC BP<sub>ND</sub> [F(2,43) = 5.61, p = .007] and BP<sub>P</sub> [F(2,43) = 3.94, p = .027]. Patients with current physical aggression had significantly greater OFC BP<sub>ND</sub> and BP<sub>P</sub> compared to this subset of patients with past physical aggression (p = .004 for BP<sub>ND</sub> and p = .012 for BP<sub>P</sub>) and healthy controls (p = .010 for BP<sub>ND</sub> and p = .034 for BP<sub>P</sub>). Patients with past physical aggression did not differ significantly from healthy controls.

**Exploratory ROIs**—Exploratory analyses were performed across the other brain regions for which reliable measures of [<sup>11</sup>C]MDL100907 binding potential could be obtained (Tables 3 and 4). The only 3-group comparison for which p was below .05 was the amygdala BP<sub>ND</sub>; this result was not considered statistically significant after correcting for multiple comparisons using the FDR procedure.

**Effect of Past History of Alcohol/Substance Abuse/Dependence**—As can be appreciated from Table S1 (see Supplement 1), the IED-IR group with and the group without current physical aggression each consisted of approximately 50% of patients with a past history of alcohol/substance abuse/dependence. There were no significant differences between the IED-IR group with and the group without a past history of alcohol/substance abuse/dependence in OFC [<sup>11</sup>C]MDL100907 binding potential or with respect to our two measures of state aggression (Table S3 in Supplement 1).

## Associations of Clinical Measures with OFC [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> and BP<sub>P</sub>

Scores of the OAS-M Assaultiveness subscale were positively skewed; thus Spearman correlation coefficients (controlling for age and age-by-group) were used to examine associations between Assaultiveness and OFC  $BP_{ND}$  and  $BP_P$  among the IED-IR patients (both groups combined . No significant associations were observed, whether including or

excluding the two patients with outlying Assaultiveness subscale scores from the group with current physical aggression (Table 5).

Using Pearson correlation coefficients (controlling for age and age-by-group), the Global Irritability subscale was significantly associated with OFC BP<sub>ND</sub> (Table 5; Figure 2) and BP<sub>P</sub> (r = .42, p = .023). These associations were similar when adjusting only for age (Supplement 1). The other clinical measures employed, however, were not associated with BP<sub>ND</sub> or BP<sub>P</sub> (Table 5). Therefore, [<sup>11</sup>C]MDL100907 binding potential in the OFC correlated specifically with a measure of state aggression.

## DISCUSSION

This is the first study of its kind to examine 5-HT<sub>2A</sub>R availability in two groups of impulsively aggressive personality disordered patients that differed namely in terms of their state levels of aggression --i.e., one with and one without current physical IED-IR --as well a healthy control group. We found greater OFC 5-HT2AR availability, specifically in the IED-IR group with current physical aggression compared to the other two groups. Moreover, OFC 5-HT2AR availability correlated specifically with a measure of state aggression among the IED-IR patients (both groups combined). Patients in our study were medication-free, and without a current major depressive episode or active alcohol/substance abuse. Additionally, in contrast to similar studies (34,35), we excluded for histories of serious past alcohol/substance abuse which could potentially have had long-lasting neurochemical sequelae.

Results for BP<sub>P</sub> were slightly less significant though qualitatively similar to BP<sub>ND</sub>; the slightly smaller effect size for BP<sub>P</sub> may owe to added variance from the measurement of unmetabolized [<sup>11</sup>C]MDL100907 in arterial plasma, which can influence the calculation of BP<sub>P</sub> more than that of BP<sub>ND</sub>. The modest effect size of our primary finding of increased [<sup>11</sup>C]MDL100907 binding potential in the OFC of IED-IR patients with current physical aggression may owe to the variability (in terms of both measurement error and biological variability) associated with clinically assessing complex and episodic human behavioral constructs, such as state aggression, as well as examining group differences in receptor availability. However, despite the modest effect size, the significance of greater OFC [<sup>11</sup>C]MDL100907 binding potential in the IED-IR group with current physical aggression compared to the IED-IR group without --i.e., that it reflects greater levels of state aggression --is supported by the correlation between OFC [<sup>11</sup>C]MDL100907 binding potential and the OAS-M Global Irritability subscale.

The lack of a correlation between the Assaultiveness subscale and [<sup>11</sup>C]MDL100907 binding potential in the OFC was unexpected; however, there are important differences between the Assaultiveness and Global Irritability subscales, which may account for why a correlation was found only with the latter. The Assaultiveness subscale score is based on events that cross the threshold for overt verbally or physically assaultive events. Generally speaking, assaultive events --in particular, high-severity ones --occur with relatively low frequency and consistency; this quality leads to greater 'temporal variability' or what Coccaro *et al.* (21,22) refer to as greater intra-individual variability. The Global Irritability subscale, on the other hand, is sensitive to both overt assaultive acts, as well as milder events that occur on a more regular and consistent basis and reflect an individual's propensity for assaultive behavior. Therefore, Global Irritability may afford greater statistical precision, compared to Assaultiveness; thus, we speculate that with additional assessments around the time of scanning, we may have observed a significant correlation between Assaultiveness scores and OFC 5-HT<sub>2A</sub>R availability. Similarly, Coccaro *et al.* (21,22) and Mattes (26) have described how these qualities of the Assaultiveness subscale contribute to it being less sensitive than the Global Irritability subscale at detecting drug-placebo differences in impulsive aggression.

An additional limitation of this study is the lack of a second urine toxicology screen performed the day of scanning. However, IED-IR patients who may have had the greatest propensity for substance use around the time of scanning --i.e., those with a history of past alcohol/substance abuse/dependence --did not differ from IED-IR patients without such a history in terms of OFC 5-HT<sub>2A</sub>R availability nor on measures of state aggression.

#### **Comparison with Related Imaging Studies**

In a study using PET with [<sup>18</sup>F]altanserin, increased hippocampal 5-HT<sub>2A</sub>R availability was demonstrated in a cohort of female patients with BPD selected for high impulsivity compared to healthy controls (34). Hippocampal 5-HT<sub>2A</sub>R availability was not correlated with impulsivity or other clinical measures.

The results of this comparison study and ours converge, as they both demonstrate a relationship between behavioral dysregulation in personality disorders and increased 5- $HT_{2A}R$  availability in cortico-limbic regions. We were unable to assess the hippocampus, as [<sup>11</sup>C]MDL100907 binding potential values were unreliable for this region. The absence of findings in the OFC in this comparison study may owe to: their selection for high impulsivity as opposed to aggression (IED-IR), the presence of current major depression and active alcohol/substance abuse in a significant portion of patients in their study, and differences from our study in average age and gender ratio, and radioligand used.

In another study, using PET with [<sup>18</sup>F]setoperone, altered 5-HT<sub>2A</sub>R availability in prefrontal cortical regions was found in a highly violent/assaultive sample meeting criteria for ASPD (35). In comparison to healthy controls, this study showed decreased 5-HT<sub>2A</sub>R availability among subjects 19–24, and no differences in subjects 25–33 years of age; however, similar to our study, in which the average age was 36, this study showed an increase in subjects 34–39 years of age. Unlike our study, in which findings were specific to the OFC, altered 5-HT<sub>2A</sub>R availability in this study was observed in multiple prefrontal regions, as well as the temporal cortex. All patients in this comparison study were diagnosed with ASPD, were recruited from a clinical setting, and aggression was not operationalized using a specific diagnostic construct; in contrast, patients in our study were predominantly diagnosed with BPD, recruited from a non-clinical setting, and aggression was operationalized as IED-IR.

One possible explanation for these disparities is that two separate pathophysiologic processes may be involved that both manifest impulsive aggression. While ASPD and BPD both share impulsive aggression as a common symptom dimension, they characteristically differ in terms of their interpersonal/affective dimensions. More specifically, ASPD is associated with the interpersonal/affective deficits of psychopathy (36), e.g., deceitfulness/ conning and lack of remorse. On the other hand, BPD is noted for significant interpersonal sensitivity (e.g., frantic efforts to avoid real or perceived abandonment) and affective instability. Accordingly, psychopathic individuals exhibit attenuated responses to emotional stimuli (37,38), whereas patients with BPD exhibit amplified ones (39,40). Further, aggression in ASPD/psychopathy has been characterized in terms of a 'hypoarousal' pathophysiologic process (41), consistent with the decreased influence that emotion has on cognitive processes in patients with psychopathic traits (42). In contrast, a 'hyperarousal' (43) form of aggression, in which there is an excessive influence of emotional processes, likely characterizes IED-IR and BPD. Moreover, the serotonergic system is differentially involved in hypo-compared to hyperarousal aggression (44,45).

## Functional Implications of Increased 5-HT<sub>2A</sub>R Availability in the OFC

Increases in 5-HT<sub>2A</sub>R expression may be mediated in part by psychosocial stress (46–49). Specific genetic polymorphisms of the 5-HT<sub>2A</sub>R(8–10), differential regulation of BDNF in the mature CNS (50), and possibly, epigenetic effects due to developmental psychosocial adversity (51), also likely influence 5-HT<sub>2A</sub>R function, and thus modify an individual's risk for aggression.

Multiple lines of evidence are inconsistent with the notion that elevated 5-HT<sub>2A</sub>R expression simply reflects a compensatory upregulation in response to low presynaptic serotonin (52–55). Studies have demonstrated that, in a non-classical manner, the 5-HT<sub>2A</sub>R does not upregulate in response to serotonergic or adrenergic dennervation. Another atypical feature is that treatment with either agonists or antagonists of the 5-HT2AR leads to its downregulation. Our preliminary findings from an ongoing PET study using [<sup>11</sup>C]DASB in a partially overlapping cohort of IED-IR patients concurrently imaged with [<sup>11</sup>C]MDL100907 indicate that 5-HTT and 5-HT<sub>2A</sub>R availability in the anterior cingulate are not significantly associated (Siever *et al.*, unpublished results).

Owing to its dual distribution in cortical regions (56,57) --i.e., the apical dendrites of cortical pyramidal cells and a sub-population of inhibitory interneurons known as basket cells --the 5-HT2AR is believed to regulate the signal-to-noise ratio among cortical columns (57). Therefore, changes in 5-HT2AR availability may lead to a deviation from the optimal signal-to-noise ratio, impairing the OFC's ability to assess threatening stimuli.

## Conclusion

In summary, these findings are consistent with our previously described model in which we propose that heightened 5- $HT_{2A}R$  function in the OFC is a state condition associated with increased impulsive aggression in a population with a vulnerability trait (namely, attenuated presynaptic serotonin in cortico-limbic regions) that predisposes an individual to impulsive aggression.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

This research was supported by a Grant MH063875 from the National Institute of Mental Health and by a Veterans Affairs Merit Review Grant (7609-028) to Larry J. Siever; and by the Veterans Affairs VISN 3 Mental Illness Research, Education & Clinical Center. Writing of this manuscript was supported by the Office of Academic Affiliations, Advanced Fellowship Program in Mental Illness Research and Treatment, Department of Veterans Affairs. This publication was made possible by Grant Number MO1-RR-00071 from the National Center for Research Resources (NCRR), a component of the National Institutes of Health (NIH). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of NCRR or NIH.

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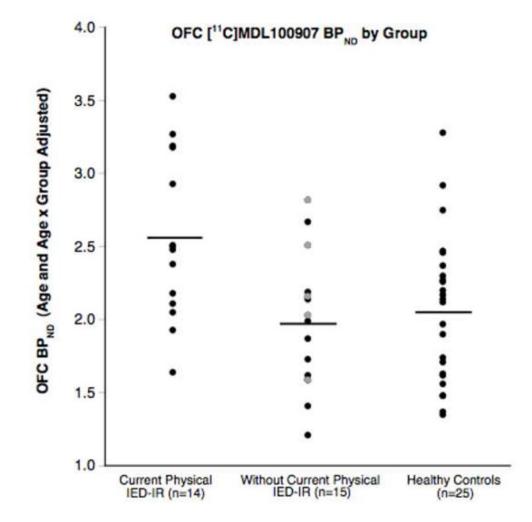
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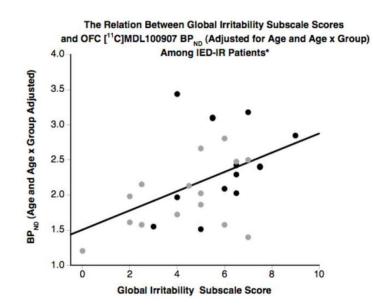
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#### Figure 1.

 $[^{11}C]MDL100907 BP_{ND}$  (adjusted for age and age x group) in the orbitofrontal cortex (OFC) of intermittent explosive disorder-integrated research criteria (IED-IR) groups with and without current physical aggression and healthy controls. The mean BP<sub>ND</sub> for each group is depicted by the horizontal line. BP<sub>ND</sub> was significantly greater in IED-IR patients with current physical aggression compared to those without current physical aggression and healthy controls. IED-IR patients without current physical aggression compared to those without current physical aggression and healthy controls. IED-IR patients without current physical aggression did not differ significantly from healthy controls. Gray circles represent the 5 patients without current physical aggression who only met criteria for verbal, but never physical, IED-IR.

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#### Figure 2.

The relation between [<sup>11</sup>C]MDL100907 BP<sub>ND</sub> (adjusted for age and age x group) in the orbitofrontal cortex (OFC)of both intermittent explosive disorder-integrated research criteria (IED-IR) groups combined and the Global Irritability subscale of the Overt Aggression Scale-Modified (OAS-M; a measure of state aggression). A positive association (r = .48, p = .009) was found between BP<sub>ND</sub> and this state measure of aggression. Black circles = IED-IR patients with current physical aggression; gray circles = IED-IR patients without current physical aggression.

Demographic and Scan Parameters by Diagnostic Group

Characteristic	Current Physical IED- IR ( <i>n</i> =14) <sup>1</sup>	Without Current Physical IED-IR (n=15) <sup>2</sup>	Controls ( <i>n</i> =25)	Group comparisons <sup>3</sup>
Age ( <i>M</i> ( <i>SD</i> ))	36.75 (10.31)	36.40 (9.25)	32.86 (10.52)	NS
Sex (% male)	71.4%	80.0%	60.0%	NS
Ethnicity (n (%))				NS <sup>4</sup>
Caucasian	50.0%	53.3%	48.0%	
Black	21.4%	13.3%	28.0%	
Hispanic	21.4%	33.3%	16.0%	
Asian	7.1%		8.0%	
Scan parameter (M (SD))				
Injected dose (mCi)	14.28 (3.65)	13.42 (3.46)	15.05 (2.63)	NS
Injected mass (µg)	3.74 (1.79)	3.83 (2.58)	5.42 (2.63)	Ctrl > Current Physical IED-IR (p = .043) Ctrl > Without Current Physical IED-IR (p = .050)
Specific activity (Ci/mmol)	1701.37 (832.02)	1651.30 (772.73)	1266.38 (595.91)	NS
Plasma free fraction (fp)	.31 (.06)	.31 (.03)	.30 (.04)	NS
Clearance (liters/hour)	181.11 (67.64)	220.62 (56.91)	172.23 (57.13)	Without Current Physical IED-IR > $Ctrl (p = .017)^5$
V <sub>ND</sub> (ml/g)	21.57 (4.03)	22.59 (3.10)	20.57 (2.91)	NS

*Note.* Ctrl = control group; IED-IR = intermittent explosive disorder-integrated research criteria; M = mean; NS = nonsignificant; SD = standard deviation;  $V_{ND} = nondisplaceable distribution volume$ 

<sup>1</sup>Personality-disorder patients who met IED-IR with current physical aggression

<sup>2</sup>Personality-disorder patients who met IED-IR without current physical aggression

 $^{3}$  3-group chi-square for dichotomous variables and 3-group ANOVA for continuous variables (with least-significance difference post-hoc tests when overall *F* significant).

 $^4$ Groups were compared on dichotomized ethnicity variable (Caucasian v. ethnic minority).

<sup>5</sup> IED-IR patients without current physical aggression were increased at the trend level compared to IED-IR patients with current physical aggression (p = .08).

#### Patient Group Comparisons on Clinical Measures

	Current Physical IED-IR ( <i>n</i> =14) <sup>1</sup>	Without Current Physical IED-IR (n=15) <sup>2</sup>	
Clinical Measure	M (SD)	M (SD)	Group comparisons <sup>3</sup>
State aggression: Assaultiveness <sup>4</sup>	50.71 (84.98)	12.10 (15.08)	$p = .006^5$
State aggression: Global Irritability <sup>6</sup>	5.96 (1.61)	4.33 (2.11)	$p = .028^7$
Trait aggression <sup>8</sup>	59.74 (7.07)	55.21 (7.73)	<i>p</i> = .112
Trait impulsivity <sup>9</sup>	54.56 (7.20)	52.52 (7.50)	<i>p</i> = .461
Trait affective lability <sup>10</sup>	1.71 (.40)	1.42 (0.54)	<i>p</i> = .111
State depressive symptoms <sup>11</sup>	15.79 (8.35)	12.79 (9.35)	<i>p</i> = .378

Note. IED-IR = intermittent explosive disorder-integrated research criteria; M = mean; SD = standard deviation

<sup>1</sup>Personality-disorder patients who met IED-IR with current physical aggression

<sup>2</sup>Personality-disorder patients who met IED-IR without current physical aggression

 $^{3}$  For Assaultiveness, Mann-Whitney test performed due to the non-normal distribution of scores (positive skew); for other measures, *t*-tests performed.

<sup>4</sup> ssaultiveness subscale of the Overt Aggression Scale-Modified (OAS-M)

<sup>5</sup>Mann-Whitney U = 42.5

 $^{6}$ Global Irritability subscale of the OAS-M

 $^{7}t(27) = 2.33$ 

<sup>8</sup> Patients were administered the Buss-Durkee Hostility Inventory and/or Buss-Perry Aggression Questionnaire, and a T score was derived for each measure; for patients who completed both questionnaires, the mean of the two T scores was used; see text for additional information.

<sup>9</sup>Barratt Impulsiveness Scale, Version-11

10 Affective Lability Scale

<sup>11</sup>Beck Depression Inventory

Region	Current Physical IED- IR $(n=14)^I$	Without Current Physical IED- IR ( <i>n</i> =15) <sup>2</sup>	Controls (n=25)	Group comparisons <sup>3</sup> : Overall $F$ (df=2,48)	d
OFC	2.44 (.79)	1.98 (.48)	2.07 (.52)	4.41	.017 Current Physical > Ctrl: .010 Current Physical > Without Current Physical: . 013 Without Current Physical v. Ctrl: .677
				Overall $\chi^2$ (d=2)	d
GEN	2.39 (.53)	2.20 (.70)	2.57 (.87)	1.83	.400
MPFC	2.49 (.63)	2.37 (.31)	2.41 (.55)	1.10	.577
ACC	2.46 (.68)	2.36 (.48)	2.39 (.55)	1.02	.602
TC	2.48 (.79)	2.26 (.34)	2.29 (.60)	1.48	.477
DLPFC	2.21 (.52)	2.09 (.30)	2.17 (.50)	0.77	.681
oc	2.23 (.59)	2.17 (.34)	2.13 (.51)	1.04	.595
SNI	2.07 (.55)	1.97 (.27)	2.00 (.38)	0.50	.780
PC	2.14 (.58)	1.93 (.35)	1.96 (.45)	3.26	.196
ENT	1.18 (.46)	1.00 (.28)	1.09 (.24)	1.08	.582
UNC	.97 (.39)	1.03 (.39)	1.09 (.40)	0.39	.825
PHG <sup>4</sup>	.86 (.26)	.91 (.18)	.97 (.22)	1.31	.520
AMYG	.69 (.20)	.52 (.13)	.62 (.17)	6.68	.035 <sup>5</sup>
Note. Mean	Note. Mean (standard deviation).				

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integrated research criteria; INS = insula; MPFC = medial prefrontal cortex; OC = occipital cortex; OFC = orbitofrontal cortex; PC = parietal cortex; PHG = parahippocampal gyrus; TC = temporal cortex; ACC = anterior cingulate cortex; AMYG = amygdala; Ctrl = control group; DLPFC = dorsolateral prefrontal cortex; ENT = entorhinal cortex; GEN = genu; IED-IR = intermittent explosive disorder-UNC = uncus

 $I_{\rm Personality-disorder}$  patients who met IED-IR with current physical aggression

 $^2\mathrm{Personality-disorder}$  patients who met IED-IR without current physical aggression

<sup>3</sup> For OFC, 3-group ANOVA, controlling for age and ageXgroup, conducted. For other regions, several of which were non-normally distributed, age-adjusted BPND values were assessed with 3-group Kruskal-Wallis H tests.

<sup>4</sup> AgeX group term was significant for PHG; thus age adjustments of BPND values were performed using group-specific parameter estimates.

<sup>[11</sup>C]MDL 100907 BP<sub>ND</sub> by Diagnostic Group

Table 3

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5 This p value was not considered statistically significant when adjusting for multiple comparisons with the false discovery rate procedure.

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[11C]MDL 100907 BPp by Diagnostic Group

Region	Current Physical IED- IR $(n=14)^I$	Without Current Physical IED-IR $(n=15)^2$	Controls (n=25)	Group comparisons <sup>3</sup> : Overall F (df=2,48)	d
OFC	51.60 (16.52)	44.94 (13.22)	42.70 (12.72)	2.54	.089 Current Physical > Ctrl: .035 Current Physical > Without Current Physical: .086 Without Current Physical v. Ctrl: .971
			I	Overall $\chi^2$ ( <i>df</i> =2)	d
GEN	51.40 (14.25)	50.0 (17.90)	52.64 (17.91)	0.23	.891
MPFC	52.19 (10.63)	53.53 (10.34)	49.46 (12.24)	3.06	.217
ACC	51.51 (11.64)	53.26 (13.53)	48.65 (11.11)	1.83	.400
TC	51.54 (12.27)	51.41 (11.99)	46.95 (13.14)	2.53	.283
DLPFC	46.25 (8.38)	47.11 (9.58)	44.46 (10.78)	0.74	.692
00	46.62 (10.10)	49.05 (9.77)	43.65 (11.45)	3.26	.196
INS	43.38 (8.99)	44.79 (10.20)	40.89 (8.65)	2.69	.260
PC	44.63 (9.55)	43.54 (9.36)	39.96 (9.12)	3.49	.174
ENT	25.01 (9.76)	23.06 (8.52)	22.31 (5.73)	0.23	.893
UNC	20.61 (8.43)	23.31 (10.29)	22.40 (8.18)	0.31	.857
PHG <sup>4</sup>	18.32 (5.92)	20.60 (5.82)	19.84 (5.07)	.95	.621
AMYG	14.80 (5.51)	12.00 (4.31)	12.77 (3.84)	2.11	.349
Note. Mean (	<i>Note</i> . Mean (standard deviation).	-			

ACC = anterior cingulate cortex; AMYG = amygdala; Ctrl = control group; DLPFC = dorsolateral prefrontal cortex; ENT = entorhinal cortex; GEN = genu; IED-IR = intermittent explosive disorder-integrated research criteria; INS = insula; MPFC = medial prefrontal cortex; OFC = orbitofrontal cortex; PC = parietal cortex; PHG = parahippocampal gyrus; TC = temporal cortex; UNC = uncus

 $^{\prime}_{l}$  Personality-disorder patients who met IED-IR with current physical aggression

<sup>2</sup> Personality-disorder patients who met IED-IR without current physical aggression

<sup>3</sup> For OFC, 3-group ANOVA, controlling for age and ageXgroup, conducted. For other regions, several of which were non-normally distributed, age-adjusted BPP values were assessed with 3-group Kruskal-Wallis H tests.

<sup>4</sup> AgeXgroup term was significant for PHG; thus age adjustments of BPp values were performed using group-specific parameter estimates.

Associations of Clinical Measures with OFC [<sup>11</sup>C]MDL 100907 BP<sub>ND</sub>, controlling for age and age-by-group, across the two IED-IR patient groups (n = 29).

Clinical Measure	$r_s$ or $r^1$	р
State aggression: Assaultiveness <sup>2</sup>	.22	.263
State aggression: Global Irritability <sup>3</sup>	.48	.009
Trait aggression <sup>4</sup>	01	.955
Trait impulsivity <sup>5</sup>	04	.843
Trait affective lability <sup>6</sup>	01	.947
State depressive symptoms <sup>7</sup>	15	.436

*Note.* The two state aggression clinical measures (above the double line) were our pre-selected measures of interest; the measures below the double line were used as exploratory measures; IED-IR = intermittent explosive disorder-integrated research criteria; OFC = orbitofrontal cortex

<sup>1</sup> For Assaultiveness, Spearman correlation coefficient calculated due to non-normal distribution of scores (positive skew); for other measures, Pearson correlation coefficients calculated.

<sup>2</sup>Assaultiveness subscale of the Overt Aggression Scale-Modified (OAS-M)

 $^{3}$ Global Irritability subscale of the OAS-M

<sup>4</sup>Patients were administered the Buss-Durkee Hostility Inventory and/or Buss-Perry Aggression Questionnaire, and a T score was derived for each measure; for patients who completed both questionnaires, the mean of the two T scores was used; see text for additional information.

<sup>5</sup>Barratt Impulsiveness Scale, Version-11

<sup>6</sup>Affective Lability Scale

<sup>7</sup>Beck Depression Inventory (BDI); one patient was missing BDI data, thus n=28.