

NIH Public Access

Author Manuscript

Trends Mol Med. Author manuscript; available in PMC 2012 May 1.

Published in final edited form as:

Trends Mol Med. 2011 May ; 17(5): 235–243. doi:10.1016/j.molmed.2011.01.008.

Cancer microRNAs: from subtype profiling to predictors of response to therapy

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Abstract

MicroRNAs (miRNAs) are key regulators of gene expression that regulate important oncogenes and tumor suppressors. Many miRNAs act as oncogenes or tumor suppressors, and the altered misexpression of miRNAs is a hallmark of many cancer types. Dysregulated miRNAs are a potentially powerful new tool that could be used to enable the characterization of tumor environments and identify novel and important oncogenic pathways. More recently, there has been growing interest in the field of miRNAs as biomarkers of cancer risk, diagnosis and response to therapy. Understanding the associations between miRNA expression and cancer phenotypes, and the potential of miRNA profiling in clinical applications, promises to be highly rewarding in the field of cancer research.

Keywords

microRNA; profiling; cancer; biomarker; diagnosis; prognosis

MicroRNAs in cancer

The last decade has witnessed an explosion of research into small non-coding RNAs. From viruses, to plants, to humans, these \sim 21 nucleotide RNA regulators of gene expression have been demonstrated to be involved in every biological process examined. The largest family of these noncoding RNAs, microRNAs (miRNAs), constitutes greater than 1% of the human genome, and is expected to regulate up to a third of all genes. MiRNAs are typically excised from a hairpin precursor RNA, originally part of a larger primary transcript, and function by base-pairing with target mRNAs to prevent protein translation either through mRNA repression or degradation (for an extensive review on miRNA biogenesis and function, see ref.[1]).

The role of miRNAs in many different types of human cancer has been studied. Cancer is defined by abnormal and uncontrolled cell division, a phenotype that arises from the misregulation of any number of genes. miRNAs are major regulators of gene expression, with roles in nearly every area of cell behavior, development and survival; therefore it is not surprising that miRNAs are actively altered in all types of cancers [2] (Fig. 1). Aberrant expression of miRNAs can arise from the deletion or mutation, as well as methylation, of

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miRNA encoding genes [3–5]. Many miRNAs are located at fragile sites or regions in the genome that are frequently deleted or amplified in cancer [6]. A landmark study from the Croce group found that a frequently deleted region, chromosome 13q14 in chronic lymphocytic leukemia (CLL), harbored two miRNAs, hsa-miR-15a and hsa-miR-16-1 [7]. These miRNAs represented the first tumor suppressor miRNAs to be discovered and spurred the search for other miRNAs with functions in oncogenesis [8]. Additional studies have shown that miRNA expression is commonly altered in cancer cells compared to normal adjacent cells [9,10], revealing vast potential in the study of miRNAs as a means to further understand the changes that drive cancer phenotypes.

Broad scale expression profiling has proven useful in the initial identification of miRNAs with potential importance for further investigation (Fig. 2). The development of miRNA microarrays [11], high-throughput deep sequencing [12,13], and bead-based flow cytometric miRNA analysis methods [9] has greatly facilitated this approach. Many of the now recognized mis-regulated miRNAs in cancer were first highlighted with similar techniques. Overall, the combination of miRNA expression profiles and mRNA expression profiles, coupled with knowledge of transcription factor expression patterns, forms a robust partnership that can clarify some of the complex networks occurring in cancer cells [14,15]. However, in the growing field of genetic diagnostics, the use of miRNA signatures for identification purposes is increasingly being preferred over the traditional use of mRNA profiles. MiRNA profiles have been shown to perform better than mRNA profiles, particularly when isolated from samples that are traditionally difficult to process [16]. This can largely be attributed to their increased stability [17]. Refined techniques for studying miRNAs have also helped to improve their handling and manipulation, and coupled with their robust expression and lack of transcript variants (e.g. mRNA splicing variants and isoforms) gives miRNAs greater reliability. Furthermore, discerning miRNA profiles have the potential to offer greater insight into important gene regulatory networks given that they directly influence mRNA expression. Finally, miRNA misexpression patterns, when directly compared to mRNA misexpression patterns, are better able to identify the origin of tumors of unknown primary $\left[\text{sc}_{1}[9], \text{suggesting that tumors more clearly maintain a unique "tissue"}\right]$ miRNA expression profile".

MiRNA profiles can distinguish between similar tumor environments and can provide insights into the type of cancer, associated mutations and even response to therapy (Fig. 3). In this review, we explore the associations that have been found between miRNA expression and cancer phenotypes and discuss the potential use of miRNA profiling in clinical applications.

Cancer classification by microRNA profiling

Several large scale tumor miRNA profiling studies have shown that miRNA signatures are significantly different between cancerous and matched non-cancerous tissue [9,10,18,19]. More importantly, miRNAs are often tissue-specific [20,21] and developmental- or differentiation-stage specific [22], and hence miRNA profiles can also help to define poorly differentiated cancers and determine their origin. Indeed, one study developed a classifier of 48 miRNAs from a sample of 336 primary and metastatic tumors, and was able to use this classifier to accurately predict tissue origin in 86% of an independent blind test set, including 77% of the metastatic tumors [21]. Similarly, 12 out of 17 histologically undefined tumors were correctly diagnosed through the use of a miRNA-based classifier generated from the miRNA profiles of 68 tumors [9]. By contrast, mRNA based classifiers were only able to identify the tissue of origin in 1 out of these 17 samples [9,23]. This clearly demonstrates that miRNAs have greater diagnostic value in identifying poorly differentiated tumors.

More recently, the marriage of experimental data with computational studies has enabled the creation of a novel classification technique called SFSSClass (Simultaneous Feature (miRNA) and Sample (tissue) Selection) [24]. The authors developed this classification technique by building on a previous study where they developed a cancer-miRNA network by mining the literature of experimentally verified cancer-miRNA relationships, and identifing 'modules' of commonly dysregulated miRNAs in different cancers [25]. This cancer-miRNA network enabled the identification of ~100 tissue specific miRNAs. SFSSClass was subsequently generated by the integration of a biclustering technique for simultaneous feature and sample selection (SFSS) with the cancer-miRNA network and an algorithm-based classifier. The authors then applied this integrated approach to 17 poorly differentiated tumor samples and reported that the combined analysis offers improved prediction accuracy in comparison to studies using only miRNA expression data [9,26]. This is an example of the first attempts to develop a system that amalgamates the latest miRNAcancer research findings and integrates them directly into a diagnostic tool. Given that cancers of undefined origin account for ~4% of all malignancies and are associated with poor prognosis [27,28], the continued development of miRNA classifiers has foreseeable benefits in aiding clinical diagnosis and subsequent treatment.

MicroRNAs define oncogenic features

Given that miRNA profiles distinguish between normal and cancerous tissue and identify tissues of origin, it becomes important to ask if miRNA profiles can also distinguish between the subtypes of a particular cancer, or even specific oncogenic abnormalities. Gene expression profiling has already demonstrated its effectiveness at subtyping various cancers and offers insights into the biological pathways at work beyond traditional histopathological analysis of single markers. For example, breast cancer is typically classified into one of 4 subtypes; basal-like, luminal A, luminal B and HER2 positive, and gene expression signatures suggest that each subtype arises from separate cell types which are thought to be molecularly distinct with variable morphological features [29,30]. miRNA profiles are equally discriminatory and can be far more informative as changes in their expression can provide insights into the myriad of gene permutations observed in various cancer subtypes. Indeed, many miRNAs have been shown to associate with various cancer subtypes, or to correlate with the presence or absence of specific oncogenic mutations (Table 1). Links have also been made between mis-regulated miRNAs and the target genes that are affected, thus unraveling some of the unique gene networks involved [31].

Distinguishing clinical subtypes

Many groups have characterized miRNA signatures that associate with cancer subtypes [32– 37], and may even infer mechanisms specific to individual subtypes. MiRNA profiling studies have identified a number of miRNAs that are differentially expressed between basal and luminal breast cancer subtypes [38,39], and can specifically classify estrogen receptor (ER), progesterone receptor (PR) and HER2/neu receptor status [38,40–42]. Some of the miRNAs that associate with the luminal or basal subtype reflect their epithelial and myoepithelial origins, respectively. For instance, miR-200 associates with the luminal subtype; miR-200 family members regulate the epithelial phenotype by inhibiting zinc finger E-box binding homeobox 1 (*ZEB1*) and *ZEB2*, genes that promote epithelial to mesenchymal transition (EMT) [43,44]. Low miR-200 expression associates with tumor progression [45], likely as a result of failure to regulate EMT, a process that can drive the transformation of tumor cells into metastatic cells [43]. Lack of miR-200 in basal-type breast cancer might provide one explanation for the increased metastatic potential observed in this subtype. Additionally, the miR-200 family also plays a role in negatively regulating epidermal growth factor (EGF)-driven breast cancer cell invasion; specifically, individual members can differentially arrest cells at G1 or G2/M stages of the cell cycle [46]. EGF

receptor (EGFR) is also frequently over-expressed in basal-type breast cancer, and thus compounding the effect of low miR-200.

Interestingly, miR-145 is preferentially expressed in normal myoepithelial cells, but there is a dramatic reduction observed in basal-like triple negative tumors (ER−/PR−/HER2−); this expression change might be a consequence of disease progression in this subtype [39]. MiR-145 is a well-characterized tumor suppressor [47]. It has a pro-apoptotic effect when ectopically expressed in breast cancer cell lines [48], and can also suppress cell invasion and metastasis by silencing the metastasis gene, mucin 1 [49]. Decreased miR-145 expression is apparent in hyperplastic ducts and appears to signal compromised myoepithelial architecture. This finding suggests that loss of miR-145 could be a marker of early onset basal-type breast cancer [39].

The ability of a single miRNA to indicate a breast cancer subtype, and furthermore to predict tumor biology, in contrast to the requirement of hundreds of mRNAs to do the same, again indicates the greater predictive power of miRNAs compared to mRNAs.

Distinguishing mutational status

miRNAs have been shown to be effective in predicting the mutational status of several commonly mutated genes involved in oncogenesis. The first such association came from the Croce group, who discovered that 13 miRNAs distinguished zeta-chain associated protein kinase 70kDa (ZAP-70) protein expression and *IgVH* mutation status in CLL and were highly accurate as a predictive marker in an independent test set [50]. Likewise in acute myeloid leukemia, there is an association between miR-155 expression and *FLT3*-ITD (internal tandem dupliacations); however, miR-155 expression appears to be independent of FLT3 signaling [51–54]. Proteins involved in the mitogen-activated protein kinase (MAPK) signaling pathway are among the most commonly altered in a variety of cancers, particularly BRAF and members of the RAS family [55]. MiR-193a, a known tumor-suppressor is down-regulated in both melanoma [56] and thyroid cancers [57] which harbor the BRAF V600E oncogenic amino acid subsitution, and is a strong discriminator for this in melanomas [56]. Some predicted targets of miR-193a are linked to the MAPK pathway [56], though there has been no direct target validation studies to support this. These examples suggest a connection in the regulation of the miRNA either directly by the proteins themselves or their downstream effectors, and further studies will be needed to clarify these correlations. In contrast to the activating mutations detailed above, similar studies involving loss of function mutations, such as profiling of miRNAs in high grade serous ovarian carcinomas with breast cancer 1, early onset (*BRCA1*) and/or *BRCA2* mutations [58], indicated no significant expression changes.

Subtyping studies have also revealed associations of miRNAs with specific cytogenic features, particularly in hematological malignancies where complex karyotypes involving various chromosomal deletions and translocations characterize the majority of subtypes. In CLL, miRNAs discriminate the 11q deletion, 17p deletion, trisomy 12, 13q deletion, and normal karyotype subgroups [59]. Retinoblastoma (*RB*) deletion and translocations in multiple myeloma (MM) linked to miRNA expression, such as $t(4;14)$, $t(14;16)$, and t(11;14) have also been recently reported [60]. A number of these links can be attributed to the location of the miRNAs at or near these aberrant translocations. For example, the miRNAs that are under-expressed in correlation with retinoblastoma (*RB*) deletion in MM are located on chromosome 13, and likewise, monosomy 13 is also a feature of *RB* deletion, thus providing an explanation for the loss of these miRNAs [60]. Similarly, miR-125b-1, which is over-expressed in acute lymphoblastic leukemia (ALL) patients with $t(11;14)$, is located in the breakpoint vicinity of this translocation, and has been shown to be relocated as a result [61]. However, in the majority of observed miRNA-translocation relationships, the

respective locations do not match [52,60]. Therefore, it is tempting to speculate that chromosomal rearrangements affect miRNA expression as a result of the translocation of miRNA regulatory elements. Indeed, in Burkitt lymphoma (BL), *c-myc* is frequently relocated from chromosome 8 to chromosome 14, where it falls under the control of the immunoglobulin enhancer locus that subsequently alters c-Myc expression [62]. Not surprisingly, c-Myc regulates many of the miRNAs that are associated with BL [63]; however, few experiments have documented similar findings for other cytogenic abnormalities.

MicroRNAs as cancer predisposing genes

Most studies have identified miRNAs that are down-regulated in cancers, in comparison to normal tissue [9,18,64–66]. Such trends can be a result of disruptions to components of the miRNA processing machinery [67], rather than individual miRNAs themselves, but nevertheless hints at innate tumor suppressor functions for miRNAs as a whole. This was effectively demonstrated in the Dicer knockout mouse: the resultant global knockdown of miRNAs in the mouse and in mouse embryonic fibroblasts (MEFs) enhanced tumorigenesis and transformation, respectively [68]. These findings also suggest that the expression of at least some miRNAs has a causal role in cancer. Indeed, one study has shown a correlation between the chromosomal location of miRNAs and cancer susceptibility loci that influence tumor development in mouse models [69].

The early discovery of a germline mutation in the hsa-miR-16-1/hsa-miR-15a primary transcript (that associates with CLL) provides additional support for the role of miRNAs as cancer predisposing genes [50,70]. Morevoer, a germline mutation in hsa-miR-125a has been reported to associate with breast cancer tumorigenesis [71]. Subsequently, a host of variants in the precursor or primary transcript of miRNAs that alter secondary structure, and consequently mature miRNA expression, have been shown to be associated with various cancer types, including familial breast and ovarian cancer lacking *BRCA1/2* mutations [72– 75], papillary thyroid carcinoma [76], gastric cancer [77], lung cancer [78,79], prostate cancer [80], head and neck squamous cell carcinoma [81], and bladder cancer [82]. Similarly, mutations in the miRNA binding sites of target genes linked to cancer have been extensively reported (for reviews see [83,84]). Many of these significantly influence cancer susceptibility [85], and one such hereditary mutation, in a putative let-7 binding site in the 3'UTR of the *KRAS* oncogene, significantly increases lung and ovarian cancer risk [86,87]. This increased risk can, in part, be attributed to the modulation of mRNA regulation as a result of altered miRNA–target binding sites affinities (Fig. 4). Identified hereditary miRNA-associated variants greatly outnumber similarly inherited mRNA/protein coding variants that are associated with increased cancer risk.[SC2]

Perhaps the most convincing evidence comes from a recent study which showed that the overexpression of a single miRNA was sufficient to give rise to pre-B-cell lymphoma in mouse models [88]. Conditional expression of miR-21 *in vivo* gave rise to extreme lymphadenopathy and various other clinical signs of haematological malignancies. Furthermore, the tumors were highly dependent on miR-21 and showed rapid regression with the loss of miR-21 expression, a process referred to as 'oncomiR addiction'. This study effectively demonstrates the role of miRNAs not only in the initiation of oncogenesis, but also in the maintenance of the oncogenic phenotype.

MicroRNAs and prognosis

Given that miRNAs are individually able to discriminate tumor origins, subtypes, oncogenic mutations, and cancer predisposition, it is logical to hypothesize that miRNAs might be able to predict cancer prognosis. A number of groups in recent years have addressed this issue

simultaneously with general miRNA profiling, and have reported success in utilizing miRNAs as prognostic markers to predict outcome. For example, in gastric cancer a robust 7-miRNA signature can predict overall survival and relapse-free survival [89]. Similarly, low miR-191 and high miR-193a levels were associated with a significantly shorter survival time as measured by Kaplan-Meier curves in melanomas [56].

Although the prediction of survival might be important in a more general sense, the prediction of response to specific therapies is of far greater clinical value. For example, low miR-26 expression is an independent predictor of poor survival in patients with hepatocellular carcinoma (HCC); more importantly, however, patients with low miR-26 responded well to interferon alpha treatment with subsequent improved survival [90]. Thus, miR-26 expression might be a useful marker in selecting for patients that could benefit most from interferon alpha therapy. A number of miRNAs have also been correlated with a negative effect on patients' response to specific treatments. In various cancers, increased miR-21 expression is an indictor of poor outcome [91–93], and is also sufficient to predict poor response to adjuvant chemotherapy in adenocarcinomas [93]. High levels of miR-125b in breast cancer predict poor response to Taxol-based treatments *in vitro* [94], and a similar finding has been reported for miR-21 in pancreatic cancer patients treated with Gemcitabine [95]. These associations highlight an alternative focus for tackling drug resistance. For example, miR-21 expression in gemcitabine-resistant cells can be attenuated by treatment with a curcumin analogue, giving rise to an increase in phosphatase and tensin homolog (PTEN) protein levels [92]. Furthermore, the authors note an up-regulation of miR-200c following curcumin treatment; high miR-200c levels have also been associated with better prognosis in pancreatic cancer, possibly due to up-regulation of E-cadherin, which is often silenced in metastatic disease [96]. Thus, combination treatments that factor in the adverse effects of misregulated miRNAs might have marked efficacy in cancer therapy.

The challenges and future of microRNAs in cancer

For the purposes of risk predictors, diagnostics and prognostics, miRNAs have already been shown to be, by themselves, robust and important biomarkers, a reality that has not been achieved with mRNAs except in rare circumstances. Success at narrowing down the biology of a specific tumor to enable more accurate diagnoses is of immense benefit to both doctors and patients. As a result, there is understandably a strong drive in the scientific community to push forward the use of miRNA biomarkers into clinics. But like all big innovations, there are some kinks that need to be addressed. Ultimately, the clinical use of miRNA signatures to determine tumor cause, origin or subtype will influence patient treatment, and thus their accuracy is of paramount importance. Reported lack of consistency between similar studies therefore gives rise to some concern. Notably, Blenkiron *et al*. [38] found little agreement among miRNAs that they identified as being associated with clinicopathological factors and miRNAs identified in this context in a previous study [42]. Such differences typically arise from sample selection or preparation, experimental design and/or data analysis [97]. Indeed, the use of a different control for the normalization of data can explain some of the observed variability across studies [98,99]. Another possibility that must be considered is the dynamic and immediate regulation in miRNA levels in the stress response [100] and in hypoxia [101]; thus time of collection and processing could impact miRNA levels. Nevertheless, current studies give us cause for optimism, and if more comprehensive validation is the primary concern, then it is unlikely to remain a barrier to the development of miRNAs in diagnostics.

In terms of the use of miRNAs to predict specific oncogenic mutations, the benefits are somewhat more ambivalent. Essentially, this type of diagnostic tool might be redundant. Although it could provide secondary validation, miRNAs are an indirect method of

assessing specific gene mutations that could, and likely would regardless, be independently genotyped. Nevertheless, there might be an argument for the development of this type of miRNA diagnostic test as the first port-of-call, to simultaneously gauge the status of a variety of cancer-related genes, subsequently enabling more thorough and directed testing. More importantly, miRNA abnormalities caused by these acquired genetic mutations could provide insight into the biological function(s) of these mutations that lead to oncogenesis. Investigating these connections to identify additional mis-regulated gene networks in cancer might ultimately be more rewarding than their direct use as biomarkers.

Unlike miRNA signatures that determine tumor type or characteristics, the use of miRNA biomarkers that predict cancer risk fills a greater clinical need, as there are few known predictors of cancer risk. Some groups have reported that common miRNA mutations associated with cancer, such as hsa-miR-16-1 in CLL [50,70], is not an informative marker when evaluated in different ethnic cohorts or in other cancers [102,103]. It is therefore possible that the aptitude of certain miRNA markers could be confined to the patient groups from which their study was derived. Similar limitations exist for many of the diagnostic tests currently available in the market, which is why appropriate education and genetic counseling is essential to prevent mis-diagnosis [104]. Although immense benefit can still be derived from their use, understanding the appropriate applications of these miRNA cancer risk markers is a priority in their development.

The discovery that miRNAs have prognostic value was largely a by-product of efforts to determine their diagnostic potential, but now promises to become one of the most significant clinical applications of miRNAs. That patients with seemingly identical cancer phenotypes can have contrasting responses to the same treatment is widely observed in clinics. For those patients who either do not respond, or respond negatively, to treatment, the time lost could be irrecoverably damaging. miRNAs or miRNA alterations that can predict responses to specific treatments offer a unique and highly promising opportunity for clinicians to be able to determine from the onset what the most effective treatment for an individual patient is likely to be. This presents significant benefits for improving clinical care and is as valuable an application of miRNAs as cancer risk and tumor biology diagnostics.

Concluding remarks

The knowledge surrounding miRNAs and their roles in carcinogenesis has vastly improved and expanded over recent years, and it has become clear that understanding the gene regulatory networks governed by miRNAs is vital to understanding the complex processes contributing to malignancy. While significant advances have been made for the future role of miRNAs in diagnostics, there have been far fewer reported successes in the development of miRNAs for use in therapy. This is to be expected given the more complex requirements, specifically, the challenges facing delivery, efficacy and safety of any novel therapeutic. While successful employment of miRNA therapeutics remains a promising goal, with the limited positive *in vivo* studies to date, this will unlikely precede the widespread clinical use of miRNAs first in diagnostics. Still, miRNAs are making significant strides for the benefit of cancer patients; they already help us to understand much of what we see in the clinic today and help to shape our approaches to tackling cancer for tomorrow. Thus, miRNAs remain at the forefront of disease research, and it is with great anticipation that we look forward to future discoveries and clinical applications.

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Figure 1. Causes of microRNA dysregulation in cancer

Expression changes for any number of miRNA genes in cancer can stem from cancer pathogenesis; however, such changes can also serve as a crucial contributors to malignant transformation. Such alterations can result from various mechanisms including the deletion (a) or amplification of miRNA-coding chromosomal regions (b), mutations within the miRNA or the target site sequence of the respective gene (c), epigenetic silencing of miRNA promoters (d) or the dysregulation of proteins upstream of the RNAi pathway such as cellular signaling and transcription factors. Each of these mechanisms results either in overexpression, downregulation or loss of function of specific miRNAs. Dysregulation of these regulatory units, whether the affected miRNAs act as oncogenes or tumour suppressor genes, can drastically alter cell signaling pathways, metabolism and cell cycle control.

Figure 2. Detection and analysis of cancer-specific microRNA expression patterns

Microarrays and quantitative RT-PCR techniques have been instrumental in the identification of unique miRNA expression signatures as well as mutations that affect the function of miRNAs that correlate with cancer. Combination approaches involving miRNA, mRNA, protein and clinical diagnosis have enabled more thorough characterization of many cancer regulatory networks.

Figure 3. MicroRNA-based diagnosis and prognosis in cancer

The expression signature of many miRNAs is specific to cell type and function, and also correlates with common oncogenic features. This specificity is useful as a diagnostic tool in the discrimination of tumor origins, subtypes, and oncogenic mutations. Individual miRNAs can also act as markers of cancer susceptibility; specifically, mutations in miRNAs and their binding sites are commonly associated with increased cancer risk. Furthermore, miRNAassociated mutations and aberrant expression can predict cancer prognosis and response to therapy. These features make miRNAs an appealing tool for clinical use.

Figure 4. Single nucleotide polymorphisms (SNPs) within microRNA binding sites can lead to inefficient gene silencing

MicroRNAs regulate gene expression by binding to regions containing sufficient sequence complementary in their target mRNAs, often with internal loops (not depicted). A single mismatch in base-pairing, particularly at the 5' seed region of the miRNA, can be sufficient to reduce the binding affinity between miRNA and its target mRNA and can lead to inefficient downregulation, and subsequently, an increase in protein expression.

Table 1

Cancer subtypes that can be distinguished by microRNA profiles.

a Not all distinguishing microRNAs are represented in this table

b nucleophosmin1