

Published in final edited form as:

Clin Cancer Res. 2011 May 15; 17(10): 3478–3489. doi:10.1158/1078-0432.CCR-10-2372.

Measurements of tumor cell autophagy predict invasiveness, resistance to chemotherapy, and survival in melanoma

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Abstract

Purpose—Autophagy consists of lysosome-dependent degradation of cytoplasmic contents sequestered by autophagic vesicles (AV). The role of autophagy in determining tumor aggressiveness and response to therapy in melanoma was investigated in this study.

Experimental Design—Autophagy was measured in tumor biopsies obtained from metastatic melanoma patients enrolled on a phase II trial of temozolomide and sorafenib and correlated to clinical outcome. These results were compared to autophagy measurements in aggressive and indolent melanoma cells grown in two and three dimensional culture and as xenograft tumors. The effects of autophagy inhibition with either hydroxychloroquine or inducible shRNA against the autophagy gene ATG5 were assessed in three dimensional spheroids.

Results—Patients whose tumors had a high autophagic index were less likely to respond to treatment and had a shorter survival compared to those with a low autophagic index. Differences in autophagy were less evident in aggressive and indolent melanoma cells grown in monolayer culture. In contrast, autophagy was increased in aggressive compared to indolent melanoma xenograft tumors. This difference was recapitulated when aggressive and indolent melanoma cells were grown as spheroids. Autophagy inhibition with either hydroxychloroquine or inducible shRNA against ATG5 resulted in cell death in aggressive melanoma spheroids, and significantly augmented temozolomide-induced cell death.

Conclusions—Autophagy is a potential prognostic factor and therapeutic target in melanoma. Three dimensional culture mimics the tumor microenvironment better than monolayer culture and is an appropriate model for studying therapeutic combinations involving autophagy modulators. Autophagy inhibition should be tested clinically in patients with melanoma.

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Introduction

While combination regimens involving cytotoxic chemotherapies or targeted therapies have improved survival in a number of malignancies, similar approaches have failed to improve survival in patients with metastatic melanoma (1). One common mechanism of resistance to chemotherapy and targeted therapies that has more recently been recognized is autophagy. Autophagy is a catabolic process characterized by the formation of autophagic vesicles (AV) that sequester damaged organelles and proteins and target them for degradation through fusion with the lysosomes (2). Autophagy is increased in cells faced with metabolic stresses including growth factor withdrawal (3), nutrient deprivation, and hypoxia (4,5). Multiple cancer therapies, including cytotoxic chemotherapy, kinase inhibitors, proteasome inhibitors, radiation, and angiogenesis inhibitors can induce autophagy in most cancer cell lines (6). While under certain experimental conditions, stress-induced autophagy can result in the death of cancer cells in traditional two dimensional culture, stress-induced autophagy contributes significantly to the survival of tumor cells growing within the tumor microenvironment. (7). Besides metabolic or cell intrinsic stresses, therapy-induced autophagy can limit the antitumor efficacy of a number of therapies. Our previous work demonstrated that co-treatment with the autophagy inhibitor hydroxychloroquine (HCQ) could effectively block the last step of autophagy and enhance cell death induced by activation of p53 or treatment with alkylating chemotherapy in a model of Myc-induced tumorigenesis (8,9). Based on this finding and reports from other investigators that autophagy inhibition could augment the efficacy of a number of cancer therapies, numerous phase I trials combining HCQ with cytotoxic chemotherapy or targeted therapies have been launched (10). These trials are aimed at establishing the safety of the combination, but eventually the activity of the combinations will be tested in phase II trials. At this point enrollment to these trials would ideally be limited to the patients that are most likely to respond to autophagy inhibition, but currently there are no biomarkers to identify those patients.

Measuring autophagy in tissue is difficult, and most of the advances in understanding the role of autophagy in cancer has come from studying cell lines which overexpress fluorescently tagged autophagy markers, or by using knockout mouse models. There is little to no understanding of the variation of autophagy in clinical tumor samples and the significance of this variation. As a first step to address this, we measured autophagy in pre-treatment tumors obtained from metastatic melanoma patients enrolled on a phase II clinical trial of temozolomide and sorafenib(11). Here we report a striking heterogeneity in pre-treatment tumor cell autophagy in patients, and the finding that patients with high levels of autophagy in their tumors had a significantly shorter survival than those with low levels of autophagy. When melanoma cells were grown in two dimensional culture there were minimal differences in autophagy between aggressive and indolent cell lines. However, these differences were accentuated when aggressive and indolent melanoma cells were grown into xenograft tumors. Finally we report that three dimensional spheroid culture is a model that more closely reproduces autophagy observed within the in vivo tumor microenvironment than traditional two dimensional monolayer culture. Our findings provide the first evidence that autophagy is associated with aggressive melanoma and poor survival in clinical samples, and identifies a laboratory model system to study the implications of this finding.

Materials and Methods

Patient samples, tumor genotype and clinical outcomes

Cutaneous tumor biopsies were obtained from metastatic lesions from Stage IV melanoma patients with or without brain metastases enrolled on a 4-arm phase II trial of the oral

alkylating chemotherapy temozolomide and oral multikinase inhibitor sorafenib(11). For details of study rationale, patient eligibility, schedule of treatment, assessments and trial results see Amaravadi et al.(11). The study protocol was approved by the institutional review boards at the University of Pennsylvania and Dana Farber/Harvard Cancer Center. All patients provided informed consent for treatment and biopsies before enrollment. Biopsies were performed on all patients that had cutaneous metastatic lesions that were safe to biopsy, using local anesthetics followed by a punch biopsy of lesions. Isolated tumor tissue was immediately fixed in EM fixative. Mutations in *BRAF* (exons 11, 15) and *NRAS* (exons 1, 2) were from archival tumor blocks or fresh tumor biopsy as described (12). Samples were tested for mutations in exons 1–9 of *PTEN* as published(13). Exons were amplified by PCR, treated with ExoSAP and processed for sequencing using the Applied Biosystems BigDyeR Terminator v1.1 Cycle Sequencing Kit. Uni-directional sequencing was performed on an Applied Biosystems 3130xl automated sequencer. Sequence traces were analyzed with Mutation Surveyor v3.2 (www.softgenetics.com). DNA from a genomic control as well as the GenBank file for *PTEN* [NC_000010.10] were used as a reference during mutation detection. All exons were sequenced in both the forward and reverse directions. Multiplex ligation-dependent probe amplification (MLPA) was used to screen for copy number changes in *PTEN* as previously described (14). Progression-free survival was defined as the interval of time since receiving first study drug to time of clinical or radiographic progression, or death due to any cause. Kaplan-Meier estimates of progression-free survival and overall survival, 95% confidence intervals, and the Wilcoxon log rank test, used to define hazard ratios were calculated using Graphpad Prism software.

Cell lines and plasmids

Melanoma cell lines A375P, WM3918, SK-MEL-28, and C8161 were maintained in RPMI 1640 (Invitrogen) supplemented with 10% fetal bovine serum (Sigma), 50 µg/ml gentamicin and 25 mM HEPES in the presence of 5% CO₂ at 37°C. A375P GFP-LC3, C8161GFP-LC3 were generated as previously described (8). To generate C8161 tet-shControl cells, the psingle -tTS plasmid vector encoding an shRNA directed against luciferase was transfected into C8161 cells and positive clones were selected with neomycin and limiting dilution (Clontech). To generate C8161 tet-shATG5 cell lines, upper and lower strand oligonucleotides directed against ATG5 were synthesized following manufacturer's instructions. Five plasmids encoding distinct hairpin sequences were generated initially. Cotransfection of C8161 with a combination of 3 plasmid vectors (Sense strand: tet-shATG5 hp 3: CCAGATATTCTGGAATGGAAA ; tet-shATG5hp4:CCTTTCATTCAGAAGCTGTTT; tet-shATG5 hp 5: CCTGAACAGAATCATCCTTAA) yielded the highest efficiency of doxycycline inducible knockdown.

In vitro melanoma invasion assay, GFP-LC3 imaging, and immunoblotting

The BD BioCoat™ Matrigel™ invasion chamber (BD Biosciences) was used according to the manufacturer's protocol and as previously described(15,16). Briefly, 100,000 cells were plated in the top well. After 72 hours, cells from both upper and lower chamber were counted by trypan blue exclusion. Invasion rate was calculated as #lower chamber cells/ (# lower chamber + upper chamber cells). For GFP-LC3 imaging, A375P GFP-LC3 and C8161 GFP-LC3 cells were exposed to the indicated treatments and fixed with 4% paraformaldehyde for 30 minutes at room temperature, washed three times and centrifuged onto slides. Fluorescence imaging was captured at 100X magnification on a Nikon Eclipse E800 fluorescent microscope. Cells were scored as punctate if they had >4 GFP-LC3 dots. For immunoblotting, cells were lysed in RIPA buffer (1% Sodium Deoxycholate, 0.1% SDS, 1% Triton X-100, 10 mM Tris at pH 8.0, 0.14 M NaCl), protease inhibitors (Roche Diagnostics) and phosphatase inhibitor cocktail (Sigma). Immunoblotting was performed as

previously described (8) using the following antibodies (1:1000 or 1:2500): LC3 (QCB biologicals), Atg5 (Sigma), P62 /SQSTM1 (Santa Cruz), phosphor-Akt (Cell Signaling), phosphor-p70S6K (Cell signaling) actin (Sigma). Band densities from Western Blots were quantified using Adobe Photoshop CS4 Extended and ImageJ (NIH). All immunoblots presented and quantified are representative of experiments repeated on three separate occasions.

Tumor xenograft experiments

Approval for animal care and use for these experiments was provided by the Institutional Animal Care and Use Committee (IACUC) at the University of Pennsylvania. All experiments were carried out using 5 week old *Nu/Nu* nude mice obtained from Charles River Labs. Cultured cells of A375P and C8161 were respectively harvested in ice-cold PBS and expanded in vivo by subcutaneous injection into the flanks of mice (1×10^6 cell/flank). Tumor size was measured twice a week using calipers, and tumor volume was calculated using the following formula: $\text{Volume}(\text{mm}^3) = A(\text{length}) \times B(\text{width}) \times (A+B)/2$. For each tumor, sections of visually viable tumor tissue were fixed in 10% formalin for preparation of paraffin-embedded sections and H& E, and 2% glutaraldehyde for electron microscopy. Tumor lysates were achieved through manual agitation of remaining tumor tissue in RIPA buffer.

Electron microscopy

Tissue obtained from human and mouse tumors and 3D spheroids were fixed with 2% glutaraldehyde and stored at 4°C until embedding. Cells were postfixed with 2% osmium tetroxide, this was followed by an increasing gradient dehydration step using ethanol and propylene oxide. Cells were then embedded in LX-112 medium (Ladd), and sections were cut ultrathin (90 nm), placed on uncoated copper grids, and stained with 0.2% lead citrate and 1% uranyl acetate(3). Images were examined with a JEOL-1010 electron microscope (JEOL) at 80 Kv. For quantification of viable cells using electron micrographs of tumor tissue, high-powered micrographs (6000X-20,000X) of 20–25 single cells from multiple distinct low-powered fields in each tumor were obtained. Autophagic vesicles (AV) were scored by investigators who were blinded to aggressive or indolent descriptors. Morphological criteria for AV included 1) circularity 2) contrast with structures that were white or lighter than the cytoplasm 3) Vesicles with contents 4) Vesicles >200nm in size and 5) Vesicles > 200 nm interior to the plasma membrane. Vesicular structures with cristae characteristic of mitochondria in cross section, or with electron dense pigment were excluded and counted as mitochondria and melanosomes respectively. Counts were represented as box and whisker plots or mean \pm standard error of the mean.

Three dimensional culture

Melanoma spheroids were implanted in collagen using the liquid overlay technique previously described (17). Briefly, 5000 cells per well were plated on agar (1.5% in PBS) and allowed to grow for 72–96 hours. Most cell lines plated at the correct density formed spheroids in the middle of the well. Collagen matrix was formed by adding 1.3% NaHCO_3 to collagen mix (10% 10 XEMEM, 1.7 mM L-glutamine, 10% FBS, bovine collagen (Organogenesis Inc, Canton, Massachusetts)). Spheroids were harvested and resuspended in collagen matrix and plated in 24 well plates and supplemented with RPMI 1640 containing 10% serum. All spheroid experiments were conducted in triplicate. Spheroids implanted in collagen were imaged by brightfield and fluorescence microscopy at 40X. Fraction of cells invading collagen = (total area – core area) / total area. Lysates were prepared from spheroids by treating spheroids implanted in collagen with collagenase and then lysing collected spheroids in RIPA buffer. Cell death was assessed using the Live/Dead Assay (Molecular Probes) and fluorescent microscopy. Spheroid dimensions and quantification of % dead cells

was done using the lasso and magic wand tools in Adobe photoshop Extended CS4. The % dead cells = integrated pixel density of Dead/ (Live+Dead).

Results

A high autophagic index is associated with poor survival in metastatic melanoma

To assess differences in autophagy in melanoma tumors, pre-treatment punch biopsies were performed on cutaneous tumors from 12 patients enrolled on a phase II trial of temozolomide and sorafenib (11). Samples were processed for electron microscopy. Striking differences in the the autophagic index (the number of AV per cell) were apparent in cells with intact nuclear and cytoplasmic membranes. Some tumors had a low autophagic index and displayed few AV/cell (Figure 1A, Supplemental Figure 1C) while other tumors had a high autophagic index where most cells were engorged with vesicles (Figure 1B, Supplemental Figures 1D–E). Using strict morphological criteria (see methods) the mean number of AV/cell was scored for each tumor. The two cellular structures which most resemble AV are mitochondria (circular organelle containing cristae; Supplemental figure 1A) and melanosomes (pigment containing vesicles; Supplemental Figure 1B). These structures were excluded from scoring of AV.

To determine if specific oncogenic mutations could be responsible for the observed differences in autophagy in patient tumors, genomic sequencing of tumor DNA was performed. Recurrent somatic mutations in Ras signaling involving *BRAF* and *NRAS* (50% and 10% incidence in cutaneous melanoma, respectively) are currently being used to subcategorize melanoma patients and decide treatment options (18). Activation of MAPK signaling has previously been described to promote autophagy in model systems (19). There was no significant difference in the mean number of AV/cell in patients whose tumors harbored mutations in *BRAF* or *NRAS* or who were wild type for both genes. To investigate the impact of the most common mutation in melanoma that impacts PI3K/Akt/mTOR signaling on AV number, the phosphatase and tensin homolog (*PTEN*) gene was interrogated for the presence of mutation or homozygous deletion by exon sequencing and multiplex ligation dependent probe amplification (MLPA). No mutations or homozygous deletions of *PTEN* were detected in the patient samples with adequate DNA (data not shown). To determine if a high or low autophagic index had any effect on clinical outcome, biopsied patients were separated into two groups based on their duration of progression-free survival (PFS) on temozolomide and sorafenib. Although the combination of temozolomide and sorafenib was found to have rates of 6-month progression-free survival (PFS) that met benchmarks to be considered an active regimen (20), the response rates and overall survival were no different than previously published response rates for temozolomide alone, and a randomized trial involving this regimen was not pursued (11). Patients with a median PFS of <2 months (who derived no benefit from this treatment), had tumors with a significantly higher number of AV/cell than tumors from patients with median PFS \geq 2 months who derived clinical benefit from this treatment (median 8.4 AV/cell, and 3.9 AV/cell, respectively ($p=0.01$); Figure 1C). This analysis indicated that 6 AV/cell could be used as a meaningful cutoff to distinguish tumors with high (≥ 6 AV/cell) and low (< 6 AV/cell) autophagic indices in patient tumors. Kaplan-Meier survival analysis indicated that the median survival of melanoma patients treated with temozolomide and sorafenib whose tumors had a low autophagic index was 8 months compared to a median survival of 2 months in patients whose tumors had a high autophagic index ($p=0.038$). There were no significant differences between the two groups in other known baseline characteristics that are prognostic for survival in melanoma (age, stage, elevated LDH, ECOG performance status, sex, prior therapy, prior temozolomide, presence of brain metastases (supplemental Table 1). Recent reports indicate that autophagy genes may play a role in melanosome production (21). There was no significant difference in the percentage of tumors that were

melanotic in each of these two groups, indicating that autophagy levels vary independently of pigment production in melanoma tumors.

Autophagy in aggressive and indolent melanoma cell lines grown as monolayers

To determine if the clinical observation that a high autophagic index is associated with aggressive tumor behavior, markers of autophagy were investigated in well characterized melanoma cell lines with varying degrees of invasion and metastases: C8161 (22) and SKMEL28 (23) are cell lines known to grow rapidly and invade when grown as subcutaneous xenograft tumors, whereas A375P (22) and WM3918 (Herlyn, unpublished) are cell lines which are known to grow slowly and have no invasive potential *in vivo*. In traditional monolayer culture, the growth rate of C8161 was significantly higher than A375 cells. SKMEL28 and WM3918 were the slowest growing cells (Supplemental Figure 2A). Measurement of invasive potential was performed using the Boyden chamber invasion assay. C8161 and SKMEL28 were the most invasive, while A375P and WM3918 cells were less invasive. C8161 cells were more than 7-fold more invasive than the least invasive WM3918 cell line (Figure 2A). Together these studies confirmed the grouping of aggressive (C8161 and SKMEL28) and indolent (A375P and WM3918) cell lines.

To determine if differences in tumor cell autophagy could be observed in aggressive versus indolent melanoma cell lines *in vitro*, autophagy reporter cell lines C8161 GFP-LC3 cells and A375P GFP-LC3 cells were generated. LC3 is a cytoplasmic ubiquitin-like protein which is conjugated to lipids on the surface of AV(24). The GFP-LC3 fusion protein produces a diffuse fluorescence in the absence of autophagy and a punctate fluorescence when AV accumulate. While some differences in the percentage of autophagic cells could be observed in A375PGFP-LC3 and C8161GFP-LC3 cells, there was a high percentage of punctate cells in both cell lines (Figure 2B). Pharmacological induction of autophagy with the mTOR inhibitor rapamycin or blockade of the lysosome with the autophagy inhibitor chloroquine (CQ) would in both cases lead to AV accumulation that can be visualized by the GFP-LC3 reporter. Treatment with CQ, or rapamycin resulted in an accumulation of AV in both aggressive (C8161 GFP-LC3) and indolent (A375P GFP-LC3) cell lines. Treatment of indolent or aggressive cell lines with low micromolar concentrations of HCQ did not induce cell death (Supplemental Figure 2B).

Immunoblotting against the autophagy markers LC3, Atg5 and p62 was performed on the panel of 4 cell lines cultured in complete medium and melanoma cells exposed to growth factor and nutrient withdrawal (Figure 2C). LC3 can be detected by immunoblotting as an unconjugated (LC3I) species, and conjugated to the surface of autophagic vesicles (LC3II) (24). Atg5 is part of the ATG5-ATG12-Atg16 complex that takes part in assembling the autophagic vesicle (2). P62 is a cytoplasmic docking protein that binds ubiquitinated proteins and traffics them to AV for degradation. P62 binds to LC3, and is itself degraded in AV, therefore p62 levels can reflect autophagic flux. High p62 levels have been associated with genotoxic stress and implicated directly in tumorigenesis (25). While indolent melanoma cell lines had higher LC3II/LC3I ratio and lower p62 levels compared to aggressive cells, suggesting that indolent cell lines were more autophagic than aggressive cells, these differences were not significant. There was no difference in ATG5 levels between aggressive and indolent cell lines grown in complete medium. To accentuate differences in autophagy between aggressive and indolent melanoma cells, cells were grown under conditions of metabolic stress (serum and nutrient withdrawal). Under these growth conditions, the LC3II/LC3I ratio increased in both indolent and aggressive cell lines with no significant difference between the two groups (Figure 2D). Similarly no significant differences were observed in levels of ATG5, or p62 as measured by fold change from baseline. These results indicate that no significant differences in autophagy markers were observed in aggressive and indolent melanoma cells grown in complete medium or in

conditions that are known to induce autophagy. Since growth factor signaling can directly regulate autophagy through mTOR signaling, immunoblotting against phospho-Akt and phospho-p70s6K was performed. No significant differences in the phosphorylation status of Akt or p70s6K was apparent between indolent and aggressive cell lines in either complete or serum/nutrient free medium (data not shown). Taken together these results indicate that differences in autophagy are minimal in aggressive and indolent melanoma cell lines when they are grown in two dimensional cell culture.

Differences in autophagy in indolent and aggressive melanoma tumor xenografts

To investigate the differences in autophagy in indolent and aggressive melanomas in tumor xenografts, A375P and C8161 cells were implanted in the flanks of nude mice. After 33 days of growth C8161 tumors were significantly larger than A375P tumors ($181 \pm 86 \text{ mm}^3$, and $50 \pm 7 \text{ mm}^3$, respectively; figure 3A). Freshly harvested tumor tissue was analyzed by electron microscopy, and tumor cell lysates were prepared for immunoblotting. EM images were used to score AV/cell. The mean AV/cell was significantly increased in C8161 xenografts compared to A375P xenografts (median values 2.8 and 0.8, respectively; Figure 3B). Examination of low and high magnification EM images of A375P (Figure 3C) and C8161 (Figure 3D) demonstrated differences in autophagy in indolent and aggressive melanoma are accentuated in vivo within the tumor microenvironment. To confirm the differences in autophagy obtained by EM morphological criteria, tumor cell lysates from C8161 and A375P were subjected to immunoblotting against the autophagy markers LC2, p62, and ATG5 (Figure 3E). In three separate tumors for each cell line, a consistent pattern of increased expression of ATG5, decreased levels of p62 and increased levels of LC3II were observed in aggressive C8161 tumor cell lysates compared to indolent A375P tumor cell lysates. Significant differences for LC3II/LC3I and ATG5 were observed between aggressive and indolent xenografts. These findings indicate that autophagy is significantly increased in aggressive compared to indolent melanoma tumor xenografts.

Autophagy in three dimensional spheroid culture

Herlyn and colleagues have pioneered three dimensional spheroid culture to study drug resistance in melanoma (17). Having established that differences in autophagy between aggressive and indolent melanomas are striking in patients, and in xenografts but less apparent in traditional two dimensional monolayer culture, three dimensional spheroids were generated for aggressive (C8161 and SKMEL28) and indolent (A375P and WMU3918) cell lines. Briefly cells were grown into spheroids in complete medium on an agar surface and implanted into a collagen matrix. While the growth of the central spheroid was not significantly different between indolent and aggressive spheroids at 24 or 48 hours (Supplemental Figure 3A), the total spheroid area was much larger in aggressive spheroids compared to indolent spheroids (Supplemental Figure 3B). The fraction of cells invading collagen was significantly increased in aggressive compared to indolent (mean \pm SEM: 0.66 ± 0.13 , and 0.12 ± 0.07 , $p=0.004$) melanoma spheroids 48 hours after implantation into collagen. After implantation in collagen for 48 hours, the fraction of cells invading collagen was 16-fold higher in C8161 than in the least invasive A375P cell line (Figure 4A). Western blot analysis of lysates obtained from spheroids grown for 48 hours in collagen demonstrated increased LC3II/LC3I ratio, decreased p62 and significantly increased ATG5 in aggressive compared to indolent cells grown in three dimensional spheroids (Figure 4B). This combination of markers is indicative of higher levels of autophagy observed in aggressive melanoma cells grown in 3D culture than indolent melanoma cells. These results more closely resemble levels of autophagy markers found in xenografts than levels of these markers in the same cell lines grown in two dimensional culture. To characterize the autophagic index further within intact spheroids, A375PGFP-LC3 and C8161GFP-LC3 cells were grown as spheroids. GFP-LC3 fluorescence was diffuse in A375P spheroids and

punctate in C8161GFP-LC3 spheroids (Figure 4C). Electron microscopy of aggressive and indolent spheroids also demonstrated a significant increase in the number of AV/cell in C8161 spheroids compared to A375P spheroids (Figure 4D). To assess the functional importance of increased levels of autophagy observed in aggressive compared to indolent melanoma spheroids, spheroids were treated with PBS or a low dose of the autophagy inhibitor hydroxychloroquine. Cell death was observed in spheroids generated from both aggressive cell lines and not in spheroids generated in indolent cell lines (Figure 4E).

Autophagy inhibition enhances cell death in aggressive melanoma spheroids

To study the specific effects of autophagy inhibition on the survival of aggressive melanoma cells grown in three dimensional culture, stable cell subclones of aggressive C8161 melanoma cell line were generated that expressed a dox-inducible control short hairpin RNA (C8161 tet-shcontrol) or a dox-inducible shRNA directed against the essential autophagy gene ATG5 (C8161 tet-shATG5). In C8161 tet-shcontrol cells, no significant change in levels of ATG5, LC3I, or LC3II levels was observed (Figure 5A). In C8161tet-shATG cells, doxycycline treatment (dox) resulted in a significant decline in ATG5 levels, resulting in a reduced accumulation of AV as measured by a dox-associated decline in LC3II/LC3I ratios confirmed effective autophagy inhibition in these cells. Inducible knockdown of ATG5 resulted in minor growth inhibition of C8161 tet-shATG5 cells grown in two dimensional culture (Supplemental Figure 3C). To determine the effects of knockdown of ATG5 on cell survival in 3D culture, spheroids generated from C8161 tet-shcontrol and C8161tet-shATG5 cells were implanted in collagen. After 72 hours of dox, spheroids were assessed by Live/Dead assay. Dox-induced expression of control shRNA resulted in no cell death in C8161 tet-shControl cells. In C8161 tet-shATG5 spheroids dox-dependent expression of shATG5 was associated with a greater than 2-fold increase in cell death in the center of doxycycline-treated compared to untreated spheroids (Figure 5B). To determine if the cell death associated with temozolomide, the cytotoxic chemotherapy commonly used as a single agent to treat melanoma, could be augmented with combined autophagy inhibition, C8161 tet-shATG5 spheroids were treated with DMSO or temozolomide in the presence or absence of knockdown of ATG5. Cell death was observed in the periphery of cells treated with DMSO or temozolomide, but when temozolomide was combined with knockdown of ATG5, increased cell death was also observed in the center of spheroids. Quantification of cell death found that knockdown of ATG5 significantly enhanced temozolomide-induced cell death in C8161tet- shATG5 cells (Figure 5C). To determine if this result could be reproduced with pharmacological inhibition of autophagy spheroids were treated with HCQ alone and in combination with temozolomide. In two dimensional cell culture high micromolar concentrations (100 μ M) of HCQ results in minimal toxicity to C8161 and additive cytotoxicity is achieved only when combining high concentrations of temozolomide (500 μ M) and hydroxychloroquine (100 μ M) (Supplemental Figure 3D). In contrast nanomolar concentrations of HCQ can elicit cell death in C8161 3D spheroids (Supplemental Figure 3E). Nanomolar concentrations of HCQ augmented the cytotoxicity of temozolomide in three dimensional culture (Figure 5D) indicating that this combination is worthy of testing in patients.

Discussion

Autophagy is a degradative process that was originally designated as type II programmed cell death (26). Self-eating, if persistent can lead to depletion of cellular components resulting in autophagic, apoptotic or necrotic cell death (6). Autophagy can limit tumorigenesis through the clearance of damaged organelles and the mitigation of genotoxic stress (27). *BECN1* (Beclin1), an essential autophagy gene has been described as a haploinsufficient tumor suppressor gene whose monoallelic deletion results in accelerated

tumorigenesis in mouse models (28). All of these facts point to autophagy as a tumor suppressor mechanism, which implies that indolent tumors would be expected to have higher levels of autophagy than rapidly proliferating aggressive tumors. Despite this role in limiting tumor development, once tumors are established, increasing evidence indicates autophagy allows tumor cells to survive within the tumor microenvironment (5,6,29–31). Much of this evidence comes from transgenic mouse models and xenografts engineered from genetically defined cell lines. Measuring autophagy is often difficult, even in these model systems, and this has limited studies of autophagy in human tumors.

This is the first study to measure autophagy by electron microscopy in human tumors and correlate this measurement to clinical outcomes of progression-free and overall survival in cancer patients. Cutaneous metastases from patients with melanoma enrolled on a phase II clinical trial provided an ideal set of tumor samples for this purpose, because tumors were not large necrotic masses, the tissue was freshly harvested, and was not required for clinical purposes. Moreover, since autophagy is a dynamic process, measurement of autophagy in freshly obtained tissue at the time of entry into the clinical trial was more likely to be representative of the biology of the metastatic disease at the time of treatment than measurement of autophagy in archival tumor tissue.

The degree of heterogeneity that was found in tumor cell autophagy in melanoma patients was striking. Mounting evidence indicates genetic alterations in oncogenes and tumor suppressor genes can dictate autophagy levels in cancer cell lines (32,33). The role of activated kinases within the MAPK pathway has previously been described to promote autophagy(19,34). In this study, heterogeneity in autophagy levels was not explained by genotype of the *BRAF* and *NRAS* genes, key drivers of MAPK signaling, but a small but significant difference in autophagy levels between these common melanoma genotypes could be found if larger numbers of patients were included. The autophagic index (mean number of AV per cell) was significantly higher in patients that derived little or no clinical benefit from the combination of temozolomide and sorafenib. Patients that had stable disease or responded to therapy had low levels of autophagy in their tumors. A high autophagic index as determined by counting AV/cell could be indicative of increased autophagy induction, or of a blockade of clearance of autophagic vesicles. Our finding that autophagy inhibition selectively targets aggressive melanoma cells that have increased autophagic vesicles in 3D culture suggests that the high autophagic index observed in the tumors of patients with aggressive melanomas is an indication of increased autophagy induction in response to stress. These findings validate the emerging preclinical evidence in multiple models of malignancy that autophagy plays a critical role in resistance to chemotherapy and targeted therapy(10). The results of this study indicate that pre-treatment levels of autophagy can predict resistance to therapy, but additional studies are necessary before autophagic vesicles counts by EM can be considered a predictive marker.

Kaplan-Meier survival analysis indicated a 4-fold increase in the length of median overall survival in patients with low levels of autophagy compared to patients with high levels of autophagy. This finding indicates that autophagy could be a prognostic marker in addition to a predictive marker in melanoma patients. A similar conclusion was made in a recent report that found elevated levels of beclin 1 predicted poor survival in patients with nasopharyngeal carcinoma (35). Further development of assays to measure autophagy in human tissue that correlate well with the gold standard of EM are necessary to measure autophagy in larger numbers of patients using paraffin-embedded tissue. One limitation of this study is that autophagy was measured by EM in a small portion of one cutaneous tumor from each patient. Intratumoral variation in autophagy levels due to the geography of the local tumor microenvironment and inter-tumor variation in autophagy levels within the same patient were not addressed in this study. Emerging immunohistochemical autophagy

markers will shed light on the variability of autophagy levels within one or many lesions in the same patient, and this degree of variability will be critical to determine before autophagy markers become candidates for prognostic or predictive biomarkers for melanoma.

To determine if the high autophagic index found in aggressive melanomas and low autophagic index found in indolent melanomas could be modeled in the laboratory, multiple markers of autophagy were measured in four melanoma cell lines with known degrees of invasiveness grown in traditional two dimensional culture. No clear differences between indolent and aggressive cell lines were observed in the well established autophagy markers LC3 ATG5, or p62. Both aggressive and indolent cell lines increased autophagy equally in response to metabolic or therapeutic stress. In contrast, when grown as xenografts, aggressive melanoma cells had an increased autophagic index (along with increased LC3II/LC3I ratio and ATG5 levels and decreased p62 levels) compared to indolent xenografts recapitulating the finding made in human tumors.. These results underscore the emerging appreciation for how the tumor microenvironment influences tumor cell autophagy, resulting in markedly different phenotypes when cells are grown in monolayer tissue culture or when they are grown in more complex microenvironments. . Lu et al. reported experiments which found scheduled induction of autophagy produced cell death in in two dimensional culture, but contributed to autophagic cell survival when the same cell line is grown as a xenograft (7). In this ovarian cancer model the presence of cytokines (eg IGF-1), angiogenic factors (eg VEGF) and components of the extracellular matrix (eg collagen) all contribute to switch the cell fate from death to survival in autophagic cells.

Our previous work on the microenvironment in melanoma tumors suggest that the increased autophagy observed in aggressive compared to indolent melanomas, may be driven by nutrient limitation or hypoxia which in turn leads to the production of reactive oxygen species (ROS). Cryogenic NADH/fluavoprotein fluorescence imaging (mitochondrial redox scanning) indicated that C8161 tumors contained were highly oxidized, characteristic of tissue starved of mitochondrial substrates while A375P melanoma xenografts were uniformly in a reduced state (36). Imaging studies demonstrated that the blood transfer rate (a surrogate of oxygen delivery) and capillary patency was significantly higher in A375P melanomas than in C8161 melanomas.(37). (38). Thus, melanoma cells in the aggressive tumors appeared to be undergoing starvation of nutrients. Despite this metabolic challenge, highly metastatic melanoma tumor cores contained few apoptotic cells whereas A375P melanoma tumors contained a significantly higher number of apoptotic cells (38). The findings of the current study along with previous work characterizing the tumor microenvironment indicates that metabolic stress-induced autophagy in aggressive melanoma cells growing within the tumor microenvironment contributes to tumor cell survival and resistance to therapy, and therefore should be a target for novel therapies.

To develop a high throughput model to study autophagy and autophagy inhibition in melanoma further, the three dimensional spheroid model described by Herlyn et al. was employed. As observed in the xenograft tumors, aggressive melanoma spheroids had higher LC3II/LC3I, and ATG5 levels and lower p62 levels than indolent melanoma spheroids. High levels of the essential autophagy protein ATG5 were detected in aggressive melanoma cells grown in spheroids and in tumor xenografts but not in the same cells grown in monolayer culture. Further studies are underway to understand the mechanism behind this differential expression and increased reliance on autophagy for survival in aggressive compared to indolent melanomas. Treatment with clinically achievable concentrations of the autophagy inhibitor HCQ, or knockdown of the essential autophagy gene ATG5 resulted in cell death in the center of aggressive but not indolent melanoma spheroids, indicating tumor cell autophagy in aggressive melanoma spheroids was promoting cell survival. Autophagy inhibition also augmented temozolomide-induced cell death in 3D spheroids demonstrating

how this model can be used to screen for drugs whose activity might be augmented by autophagy inhibition and provide the rationale for further preclinical or clinical trials. Based on these and other compelling preclinical studies (39,40) a phase I trial of temozolomide and HCQ has been launched in patients with advanced solid tumors and melanoma (NCT00714181). As the number of potential autophagy inhibitors and the number of drugs that may be limited by autophagy increase, so does the need to identify patients that are more or less susceptible to this strategy. This study indicates patients with aggressive melanoma are more likely to have higher levels of autophagy in their tumor and therefore by more susceptible to autophagy inhibition as a therapeutic strategy. Additional studies will be necessary to determine if electron microscopy or other emerging biomarkers of autophagy such as ATG5 might be employed as prognostic and/or predictive biomarkers to serve this purpose.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank Dr. Craig Thompson for his instrumental support, and Drs. Meenhard Herlyn and Adina Monica-Vultur for guidance on three dimensional culture. We thank Ray Meade in the biomedical imaging core of the Abramson Cancer Center for the outstanding preparation of electron microscopy specimens. We thank Richard Letrero and Kurt D'Andrea of the Nathanson Lab for sequencing and MLPA testing. We thank Dr. Lynn Schuchter and the Melanoma Program, Dr. Peter O'Dwyer and the Developmental Therapeutic Program. We also thank Dr. Jerry Glickson and Dr. Wafik El-Deiry for their valuable support and discussion. This work was supported by NIH grants 1K23CA120862-01A2 (R.K.A.), Penn/Wistar Skin SPORE; and pilot grants from NCI 5-U54-CA105008 ACS 78-002-29, NCI RR02305 and NCI 2U24-CA083105(L.Z.L.).

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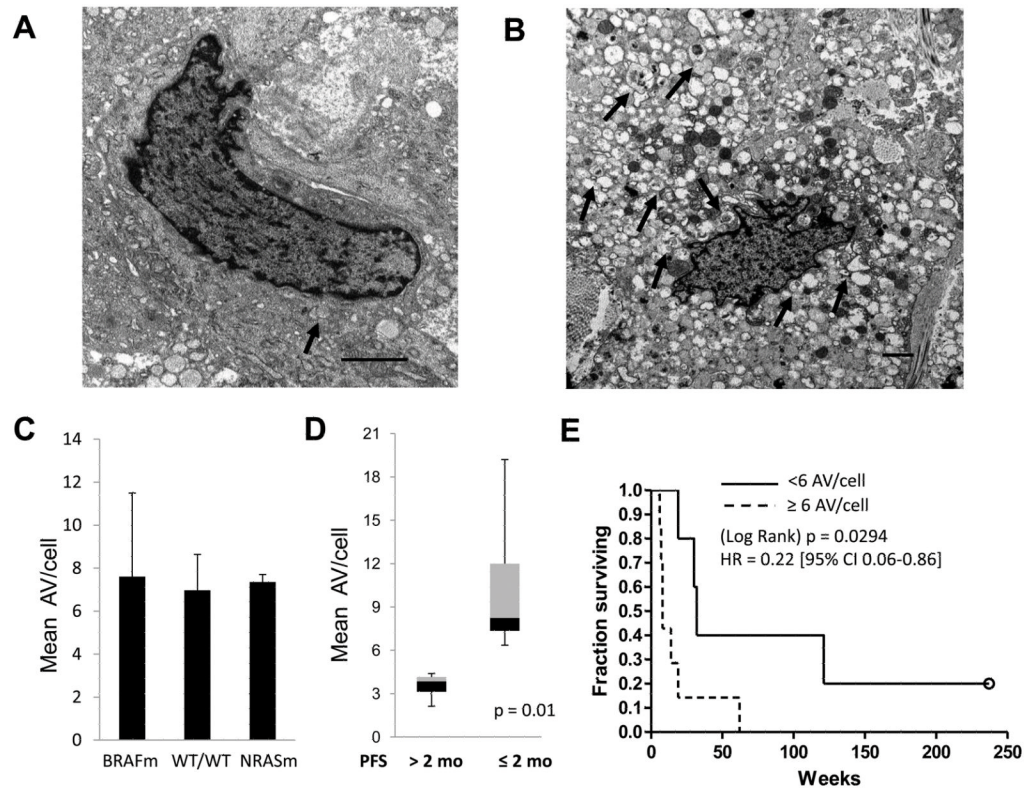


Figure 1. Tumor cell autophagy and clinical outcome in patients with metastatic melanoma
Tumor biopsies of cutaneous metastases from Stage IV melanoma patients (n=12) treated on a phase II trial of temozolomide and sorafenib were processed for electron microscopy (EM). (A–B) Representative images of a tumor with low (A) or high (B) number of autophagic vesicles per cell (AV/cell; arrows), scale bar 2 μ m. (C) Mean \pm standard error of mean (SEM) of the average number of AV/cell by EM in tumors that had the following genotypes: BRAFm: *BRAF V600E/NRAS* wild type (WT); WT/WT: *BRAF WT/NRAS WT* or *BRAF WT/NRAS* failed NRASm: *BRAF WT/NRAS Q61K* (D) Box and whisker plots of mean AV/cell with ≤ 2 months or >2 months progression-free survival (PFS); $P=0.001$, Mann-Whitney test (E) Kaplan-Meier survival analysis for patients with tumors containing <6 AV/cell (solid) and ≥ 6 AV/cell (dashed); HR = 0.22 [95% CI 0.06–0.86] $p=0.03$ log rank test.

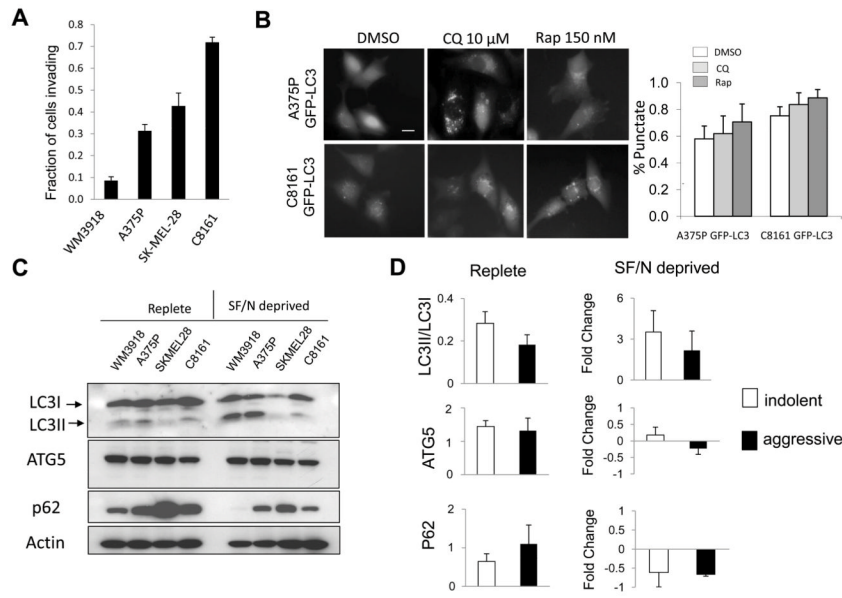


Figure 2. Invasiveness and tumor cell autophagy in aggressive and indolent melanoma cell lines (A) Invasion rate by the Boyden Chamber assay (B) Autophagy modulation with the autophagy inhibitor chloroquine (CQ) or the autophagy inducer rapamycin (Rap) in cell lines expressing the autophagy marker GFP-LC3. Diffuse fluorescence: no autophagy; punctate fluorescence: accumulation of autophagic vesicles. (C) Immunoblotting against autophagy markers in aggressive (SKMEL28, C8161) and indolent (WM3918, A375P) cell lines grown in complete medium:Replete; and in serum free (24 hours) and nutrient free medium (2 hours):SF/N deprived. (D) Gel densitometry of autophagy markers in replete and SF/N deprived media. Fold change of markers in SF/N deprived conditions were compared to Replete measurements.

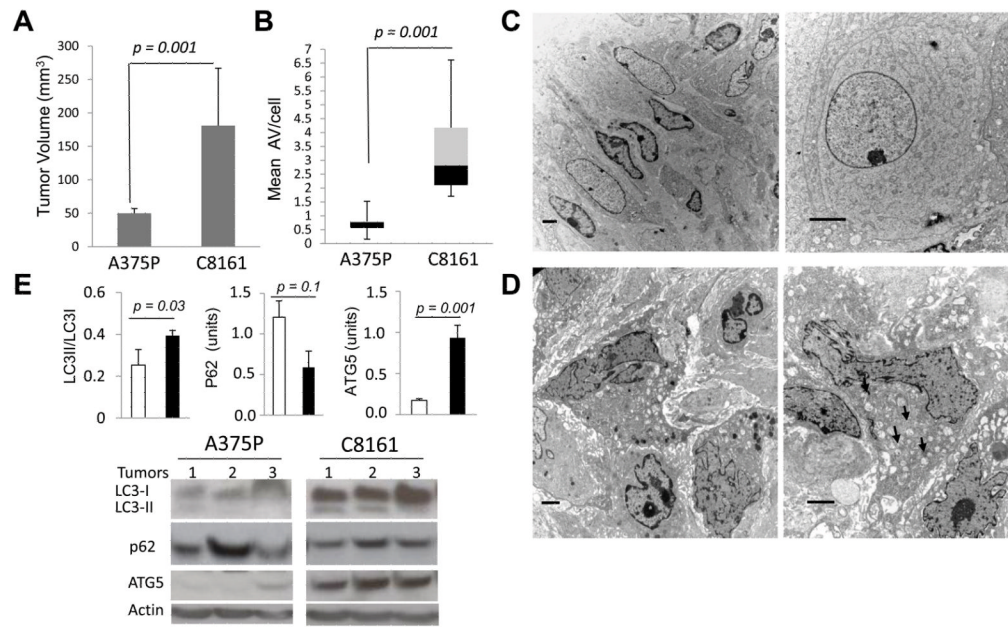


Figure 3. Tumor cell autophagy in indolent and aggressive melanoma xenografts

Tumor xenografts were generated in the flanks of nude mice using indolent A375P (n=5) and aggressive C8161 (n=7) melanoma cell lines. (A) Tumor size after 33 days, $p=0.001$, t-test (B–D) Tumors were processed for EM; (B) Mean number AV/cell; $p=0.001$, Mann-Whitney test. Representative images of tumor cells from (C) two different A375P tumors and (D) two different C8161 tumors; scale bars: $2\mu\text{m}$. (E) Lysates from three separate A375P and C8161 tumors were used for immunoblotting against the autophagy markers LC3, p62 and ATG5, and actin loading control.

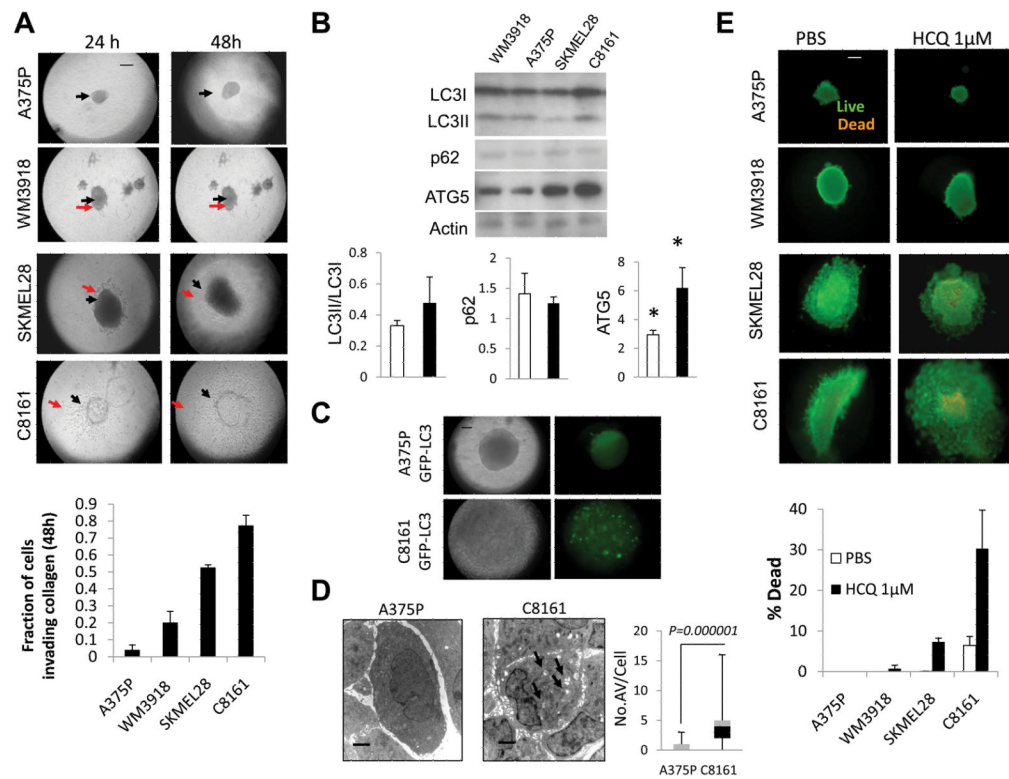


Figure 4. Growth, invasion, and tumor cell autophagy of aggressive and indolent melanoma spheroids grown in a three dimensional collagen matrix

(A) Brightfield microscopy of spheroids; black arrow: central spheroid boundary; red arrow: boundary of invasion (B) immunoblots of lysates derived from spheroids 48 hours after implantation in collagen; mean \pm SD gel densities for indolent (WM3918, A375) and aggressive (SKMEL28, C8161). (C) Brightfield and fluorescent microscopy of A375P GFP-LC3 and C8161GFP-LC3 spheroids (48 hours) (D) Electron micrographs of A375P and C8161 spheroids (48hours); arrows: autophagic vesicles. (E) Live (green)/Dead (orange) assay of melanoma spheroids in 3D culture 48 hours after the indicated treatments, HCQ: hydroxychloroquine; Scale bar: 200 μ m (A,C,E); 2 μ m (D).

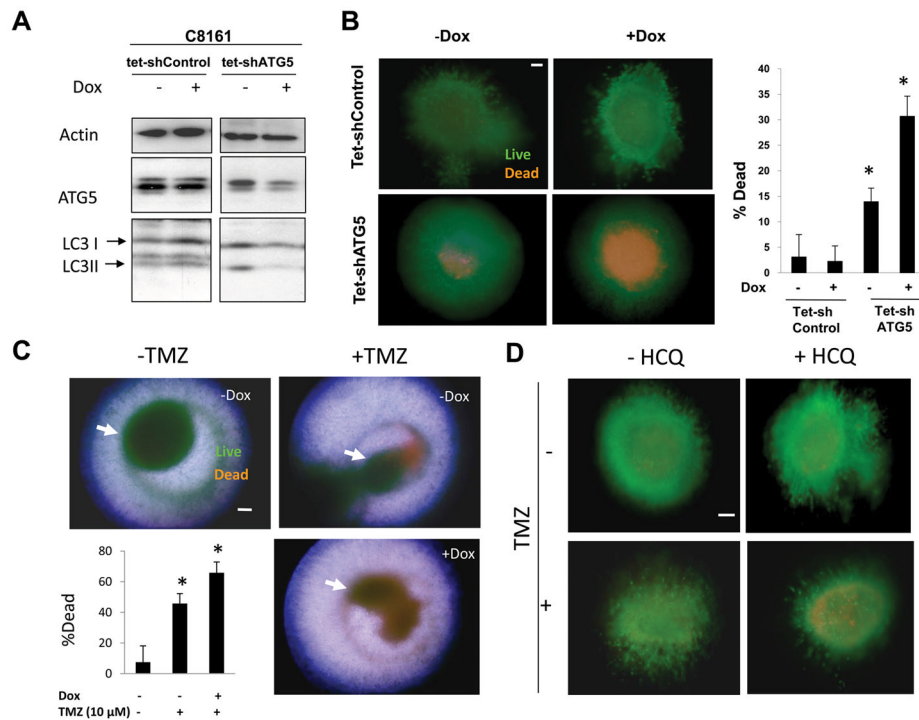


Figure 5. Autophagy inhibition in three dimensional melanoma spheroids

(A) Immunoblotting of lysates from C8161 tet-shControl and C8161 tet-shATG5 cells treated with or without doxycycline (Dox) for 72 hours. (B) C8161 tet-shControl and C8161 tet-shATG5 spheroids (arrows) were treated with or without dox for 72 hours and analyzed by Live (green)/dead (orange) assay (C) C8161 tet-shATG5 spheroids were treated with DMSO (-TMZ) or Temozolomide (+TMZ) 10 μ M with and without doxycycline treatment. Representative images are overlays of separately captured images of fluorescence microscopy for live, dead, and brightfield images of spheroid structure to better define the localization within spheroids of dead cells. (D) Fluorescence images of C8161 tet-shControl spheroids grown in three dimensional culture imaged with the Live/dead assay and treated with DMSO (-TMZ) or Temozolomide 10 μ M in the absence or presence of hydroxychloroquine (HCQ) 10 nM for 72 hours. (B-D) scale bar 200 μ m; * p <0.05.