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Ruthenium Olefin Metathesis Catalysts Bearing Carbohydrate-Based N-Heterocyclic Carbenes

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Abstract

Ru-based olefin metathesis catalysts containing carbohydrate-derived NHCs from glucose and galactose were synthesized and characterized by NMR spectroscopy. 2D-NMR spectroscopy revealed the presence of Ru-C (benzylidene) rotamers at RT and the rate of rotation was measured using magnetization transfer and VT-NMR spectroscopy. The catalysts were found to be effective at ring-opening metathesis polymerization (ROMP), ring closing metathesis (RCM), cross metathesis (CM), and asymmetric ring opening cross metathesis (AROCM) and showed surprising selectivity in both CM and AROCM.

Introduction

The development of powerful air-stable catalysts has made olefin metathesis an indispensable tool in a variety of fields including organic synthesis, materials science, and biochemistry.¹ Among the most versatile and robust catalysts are those based on ruthenium, the first of which was synthesized in 1992.² The continued evolution of this catalyst via replacement of one phosphine by an N-heterocyclic carbene (NHC)³ and the other by a chelating ether moiety⁴ (Chart 1) has propelled advancements in a multitude of reactions including cross metathesis (CM), ring-closing metathesis (RCM), ring-opening cross metathesis (ROCM), and ring-opening metathesis polymerization (ROMP). Nevertheless, more stable and *E/Z* selective catalysts are still required for both laboratory and industrial applications.

A common strategy for improving catalyst activity and selectivity involves modification of the NHC ligand. The majority of efforts thus far have focused on modification of the NHC backbone or aryl substituents.⁵ In general, *N*-aryl bulk was found to increase activity while increased backbone substitution decreased activity but increased catalyst lifetime.⁶ However, these structural studies were limited to catalysts with NHCs containing *N*-aryl substituents. NHC-based metathesis catalysts with *N*-alkyl groups on the other hand have received relatively little attention due to their lower stability in solution.^{7,8} Recently, certain *N*-alkyl NHCs have demonstrated remarkable activity, including the traditionally difficult RCM of tetrasubstituted olefins.⁹

One class of *N*-alkyl substituents for NHCs which have not yet been explored for metathesis applications are carbohydrates. Carbohydrates are extremely abundant molecules and

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Supporting Information Available:

¹H, ¹³C, and ³¹P-NMR spectra for catalysts **8a** and **8b** along with 2D-NMR spectra. Detailed experimental procedures and data for benzylidene rotation rate determination. This material is available free of charge via the Internet at <http://pubs.acs.org>.

comprise some of the most important biological machinery in living organisms including glycolipids, glycoproteins, and nucleic acids. Thus, it is no surprise that their synthesis¹⁰ and their biological function continue to be studied extensively.¹¹ As ligands, carbohydrates are advantageous because of their innate chirality and steric bulk in addition to their long history of synthetic manipulation and solubility in water. Indeed, carbohydrates have already shown promise as ligands for asymmetric catalysis¹² and as chiral synthons.¹³ Additionally, carbohydrates have also been used as ligand scaffolding for platinum and other metals.¹⁴ Finally, carbohydrates possess multiple, modular stereocenters and a steric environment which can be tuned through the judicious choice of alcohol protecting groups. However, carbohydrate-based NHCs have only recently been synthesized, and, to the best of our knowledge, a rigorous study of their applications in transition metal catalysis or organocatalysis has not been undertaken.¹⁵ Therefore, with the goals of developing a new structural class of highly active, stable, stereoselective olefin metathesis catalysts, and determining the potential of carbohydrate-based NHCs in catalysis, we undertook the synthesis of catalysts containing carbohydrate-based NHCs.

Results and Discussion

Synthesis and Characterization

Several groups have demonstrated that a carbohydrate containing imidazolium salt may be synthesized from the reaction of an alkyl or aryl imidazole with glucopyranosyl bromide.¹⁵ Along these lines, imidazolium salts **7a** and **7b** were synthesized in acceptable yields from the reaction of mesityl imidazole with 2,3,4,6-tetra-*O*-acetyl- α -D-glucopyranosyl bromide (**6a**) or 2,3,4,6-tetra-*O*-acetyl- α -D-galactopyranosyl bromide (**6b**), respectively, in the presence of silver triflate (Scheme 1) according to a previous report.¹⁵ Subsequent deprotonation with sodium *tert*-butoxide and reaction with catalyst **1** in THF afforded the desired complex (**8a**) following column chromatography on silica gel. Complex **8a** was isolated as a single anomer (β) while **8b** (along with **7b**) was isolated as a ca. 1.2:1 mixture of β : α anomers.¹⁶ Other methods of NHC ligation including deprotonation with KHMDS or transmetalation from the silver complex¹⁷ failed to give significant yields of **8a/b**.¹⁸ Both **8a** and **8b** were bench stable in the solid state and could be stored as a solution in C₆H₆ under a nitrogen or argon atmosphere for a period of at least 3 days as determined by ¹H NMR spectroscopy.

Characterization of complex **8a** at 25 °C revealed the unusual presence of two benzyldiene resonances at ca. 19.77 (s) and 20.78 (d) ppm in the ¹H NMR spectrum (C₆D₆) both of which were correlated to the main ruthenium complex. Interestingly, the benzyldiene resonances were found to exchange with one another using a 2D-NOESY experiment (Figure 1). Based on the spin multiplicities of these peaks, along with the 2D-NOESY spectrum, the observed exchange has been attributed to two rotameric species resulting from rotation about the benzyldiene C–Ru bond (Scheme 2). At room temperature, such a process is more common among molybdenum and tungsten metathesis catalysts¹⁹ but has also been observed for Ru-based catalysts.²⁰

Alkyldiene rotamers are not just structural curiosities, but also play an important role in the activity and selectivity of metathesis catalysts.¹⁹ Unfortunately, a crystal structure of either rotamer of **8a** was unobtainable despite a variety of crystallization conditions. Therefore, in order to fully characterize the unique properties of **8a**, a more in-depth structural study of the rotamers of **8a** in solution was conducted using NMR spectroscopy.

Cooling a CD₂Cl₂ solution of **8a** to –75 °C resulted in the freezing out of the benzyldiene C–Ru bond rotation as well as the appearance of a new benzyldiene resonance which can be attributed to slow rotation about the Ru–NHC bond (see Supporting Information).²¹

Moreover, at this temperature, the benzylidene *ortho* protons also became well resolved, indicating that rotation about the C(carbene)–C(phenyl) bond is facile at RT. A graphical summary of observable dynamic processes in **8a** at 25 °C is shown in Figure 3.22

From a magnetization transfer experiment²³ conducted at 25 °C, $k_{s/a}$ and $k_{a/s}$ for the benzylidene rotamers were determined to be 1.01 s^{-1} and 5.28 s^{-1} respectively.²⁴ These values correspond to a ΔG^\ddagger of 17.42 kcal/mol for the forward reaction (syn to anti) which is consistent with previous reports of Ru–C/benzylidene rotation and also with the relative site population observed at 25 °C.²⁰ Furthermore, a VT ¹H NMR spectroscopy experiment with subsequent line shape analysis (see Supporting Information) yielded a value of 17.4 ± 0.2 kcal/mol for ΔG^\ddagger at 25 °C, consistent with the value obtained from the magnetization transfer experiment. The ¹H NMR spectrum of complex **8b** looked qualitatively similar to that of **8a** although no attempt was made to determine the kinetic parameters quantitatively. These results demonstrate the structural rigidity of **8a** compared to catalysts such as **2** and **5** where bond rotation is more facile at 25 °C.^{20b}

Following characterization, both **8a** and **8b** were subjected to a series of standard reactions for ROMP, RCM, and CM in order to evaluate their activity and selectivity compared with previously reported catalysts.³⁰ Additionally, the effectiveness of **8a/b** at asymmetric reactions was also of particular interest considering the chiral nature of the carbohydrate ligand. Therefore, asymmetric ring opening cross metathesis (AROCM) was chosen as a means of evaluating the performance of **8a/b** in asymmetric reactions.

ROMP Activity

The ROMP of strained olefinic ring systems is one of the earliest industrial applications of olefin metathesis and remains a popular tool for modern polymer synthesis.¹ The effectiveness of catalysts **8a/b** at ROMP was examined by measuring the rate of polymerization of cyclooctadiene (COD) (Figure 5). Despite a relatively slow initiation, both catalysts were able to reach >95% conversion within 2 h at 30 °C with an initial monomer to catalyst ratio of 100:1. As expected, both **8a** and **8b** showed similar kinetic behavior. Additionally, both **8a/b** performed well compared with other metathesis catalysts, showing a much higher activity than phosphine-based catalyst **1** and similar activity to **5**. On the other hand, **8a/b** were less active than catalyst **2** which contains a completely saturated NHC ligand.²⁵

Norbornene based substrates and cyclooctene (COE) could also be polymerized effectively using **8a/b** with norbornene monomers showing an increase in rate due to the increase in ring strain. Characterization of the isolated polymers by GPC revealed high PDIs and molecular weights much larger than predicted which suggests a relatively slow catalyst initiation step compared to what is observed for fast initiating catalysts such as **2** or its bispyridine derivative.²⁶

RCM Activity

Given the good activity of the catalysts in ROMP, we next focused on testing their activity in RCM, which is generally more demanding to the catalyst than ROMP.¹ A standard reaction for testing the RCM activity of a particular catalyst is the ring closing of diethyl diallyl malonate (DEDAM) to the cyclopentene (Figure 6).³⁰ Interestingly, **8a** and **8b** showed reproducibly different kinetic behavior when exposed to DEDAM even though they only differ at one stereocenter (C4).²⁷ It is possible that the distinct behavior is due to one catalyst being more susceptible to a particular decomposition pathway. Another possibility is that the α anomer, which is observed in **8b** but not **8a**, is much more reactive than the β anomer under these specific reaction conditions.

At a catalyst loading of 1 mol%, both **8a** and **8b** showed good performance during the RCM of DEDAM compared with catalysts **2** and **5**, while **8b** displayed similar activity to catalyst **1**. Further reaction times or heating did not improve conversion significantly, but better results were achieved by increasing the catalyst loading to 5 mol% (not shown). Although we have not isolated any catalyst decomposition products, the early catalyst death of **8a/b** during RCM indicates that the catalysts are particularly susceptible to decomposition pathways involving methyldiene intermediates, similar to catalyst **1**.²⁸

CM Activity

Cross metathesis, in contrast to ROMP and RCM, does not possess a strong driving force that pushes the metathesis reaction to completion. Additionally, secondary metathesis events often change the stereochemistry of the desired product, eventually resulting in an excess of the thermodynamically more stable *E* product. Combined, these challenges often result in reactions with low yield and low selectivity. Controlling the stereochemistry of the olefin product in particular has been extraordinarily challenging although progress in this area is being made.²⁹

In order to evaluate the activity and selectivity of catalysts **8a/b**, the CM of allylbenzene and *cis*-diacetoxybutene was studied (Scheme 3).³⁰ The formation of all reaction products including the desired cross product (**12**), *trans*-diacetoxybutene, and the *E* and *Z* isomers of the homocoupled allylbenzene were monitored over time via GC (Figure 7). Catalysts **8a/b** reached similar levels of conversion compared with **1**, **2**, and **5** but maintained an exceptional *E/Z* ratio of around 3. Such a low *E/Z* ratio is unusual at high conversions where secondary metathesis events begin to favor the thermodynamic product. Furthermore, this result is also significant because the only difference between **5** and **8a/b** is the replacement of a mesityl group with a carbohydrate, indicating that carbohydrates can have a substantial effect on catalyst selectivity. However, the low *E/Z* ratio appears to be more a result of catalyst decomposition as opposed to an inherent preference for one isomer over the other since adding a fresh batch of catalyst caused the *E/Z* ratio to increase to ca. 8 over a period of 5 h. No differences in either conversion or *E/Z* ratio were observed for catalysts **8a** and **8b**.

AROCM Activity

A relatively recent application of ruthenium-based olefin metathesis is the AROCM of substituted norbornenes with terminal olefins.³² Given the relative selectivity observed during CM and the chiral nature of the sugar moiety attached to the NHC ligand, AROCM was attempted with the hope of observing enantiomeric selectivity. Exposing a variety of norbornene-based substrates to catalysts **8a/b** in the presence of styrene for several hours at 40 °C resulted in complete conversion to the desired *cis* and *trans* products. As shown in Table 1, reactions ran in toluene generally outperformed those conducted in methylene chloride in terms of yield due to the greater long term stability of the catalysts in non-chlorinated solvents.³¹ Isolated yields were generally excellent while ee's were poor compared to previously reported ruthenium-based catalysts.³² The extremely low yield and relatively high ee of entry 3 in Table 1 appears to be an anomaly that is specific to that substrate.^{32c} Substrates from entries 3 and 4 were not tested with **8b** due to their relatively low isolated yield. Despite the modest levels of enantioselectivity observed, these results demonstrate the potential of carbohydrate-based ligands as tools for asymmetric catalysis. Furthermore, the variety of commercially available carbohydrates and the ability to create a unique steric environment using different protecting groups should allow for the creation of carbohydrate-based catalysts which are more stereoselective.

Conclusions

Olefin metathesis catalysts incorporating carbohydrate-based NHCs have been synthesized and their structural characteristics and reactivity evaluated. These complexes are characterized by a relatively rigid structure due to the steric bulk of the carbohydrate, and in contrast to many *N*-alkyl NHCs, show excellent stability and good reactivity in a variety of olefin metathesis reactions including ROMP, RCM, CM, and AROCM. Furthermore, they also show surprising selectivity in CM compared to other catalysts, confirming that steric bulk plays a large role in influencing olefin geometry. Similarly, observable levels of enantioselectivity due to the chiral nature of the carbohydrate were also demonstrated. These results demonstrate the viability of using carbohydrate NHCs in olefin metathesis and establish them as a unique structural class of ligand. Finally, with the potential of carbohydrate-based NHCs in olefin metathesis proven, further improvements in catalyst activity and selectivity via modification of the sugar (steric) and NHC backbone (electronic) should be possible.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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16. Determined by $^1\text{H-NMR}$ spectrum analysis of ^JHH coupling in **8b**.
17. The silver complex was formed via deprotonation by silver (I) oxide. See ref. 13b for details.
18. Unfortunately, in our hands, this methodology could not be extended to gluco- or galactopyransoyl bromides protected with benzyl (Bn), benzoyl (Bz), pivalate (Piv), or methyl (Me) groups. Attempts to form a phosphine-free catalyst via incorporation of a Hoveyda-type chelating benzylidene either by direct phosphine substitution of **3** or via cross metathesis of **8a/b** with β -methyl isopropoxy styrene were also unsuccessful.
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21. A 2D-NOESY experiment conducted at -75°C with a mixing time of 500 ms showed no exchange between the benzylidene rotamers (doublet and singlet) but small cross peaks between the two singlet resonances indicated that rotation about the Ru-NHC bond is not completely frozen out at this temperature. A low temperature VT-NMR experiment and subsequent line shape analysis yielded a ΔG^\ddagger consistent with previous reports of NHC rotation (see ref. 20), although we cannot completely rule out another process such as oxygen coordination/decoordination at this time. See Supporting Information for relevant spectra and plots.
22. Although it is tempting to theorize that an oxygen from either an acetate or the sugar is coordinating to the metal center, we found no obvious indications of this during our NMR studies.
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24. See Supporting Information for experimental details.
25. At this time, we have been unable to synthesize a saturated variant of **8a** or **8b**.
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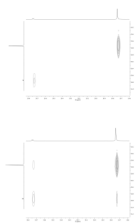


Figure 1. 600 MHz ^1H NMR NOESY for the benzylidene region of **8a** in C_6D_6 at 25 $^\circ\text{C}$. Positive peak intensity is colored red while negative intensity is colored blue. Mixing time = 0 ms (left) and 100 ms (right). Peak intensities are listed clockwise starting at the high field diagonal resonance. Left – 589.02, 49.36. Right – 1047.03, 71.91, 68.03, 30.99.

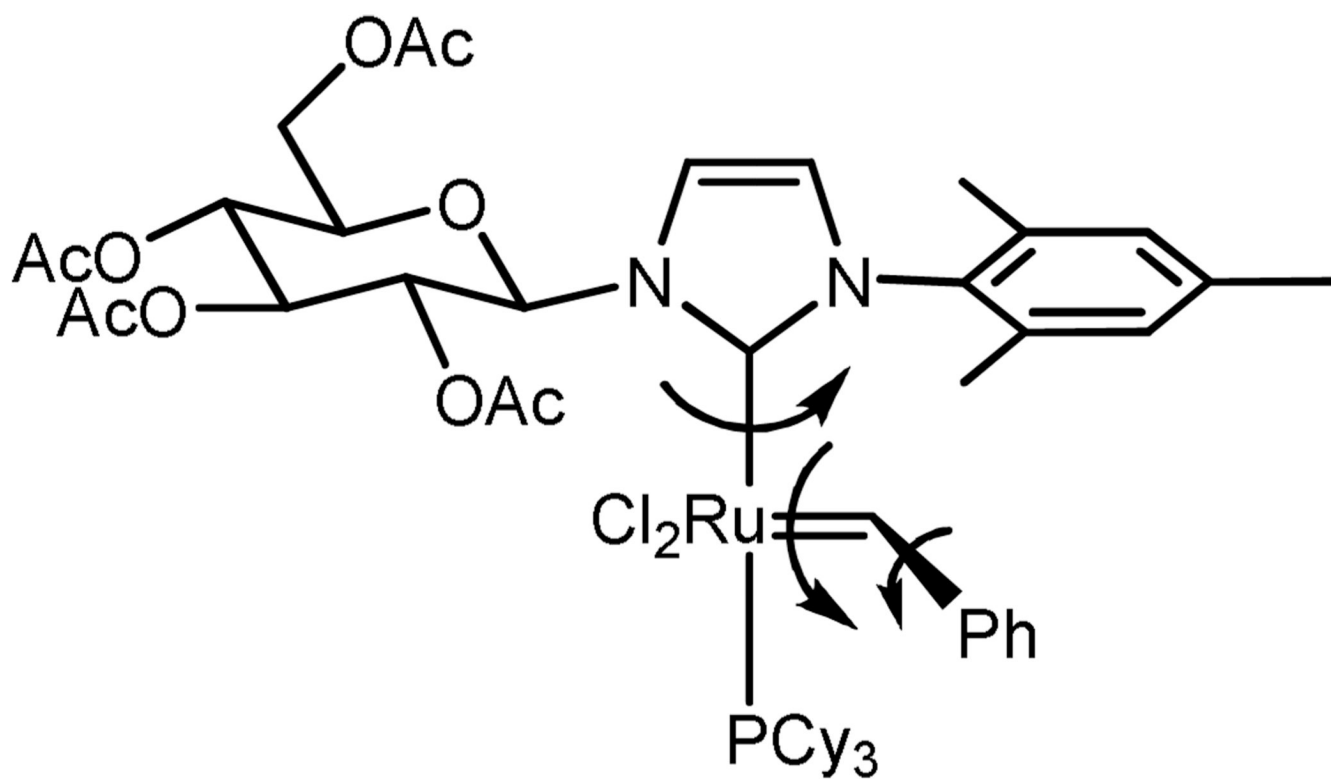


Figure 2. Summary of observable rotational processes occurring in complex **8a**.

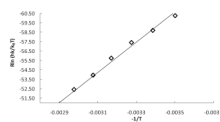


Figure 3. Eyring plot showing VT-NMR spectroscopy data for complex **8a**. $R^2 = 0.989$, $\Delta H^\ddagger = 16.1 \pm 0.8$ kcal/mol, $\Delta S^\ddagger = -4.4 \pm 2.5$ cal/(mol·K).

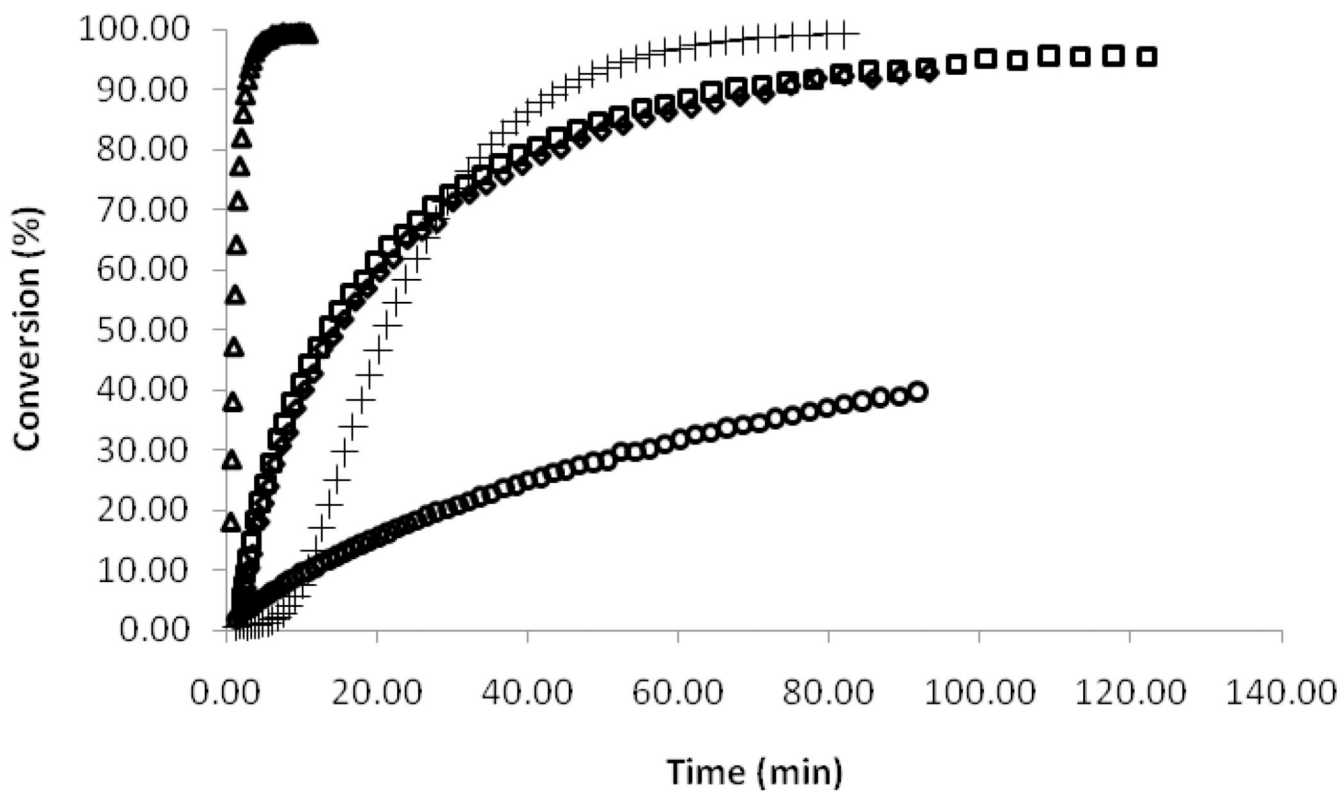


Figure 4. Conversion of COD with catalyst **1** (○), **2** (△), **5** (+), **8a** (□, 1 mol%), and **8b** (◇, 1 mol%). Conditions were 1000:1 monomer to catalyst ratio in CD_2Cl_2 (0.1 M in monomer) at 30 °C.

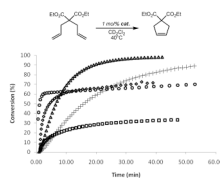


Figure 5. RCM conversion of DEDAM with catalysts **1** (○), **2** (Δ), **5** (+), **8a** (□), and **8b** (◆). Conditions were 1 mol% catalyst, 0.1 M in substrate CD₂Cl₂ at 40 °C for **8a** and **8b** and at 30 °C for **1**, **2**, and **5**.

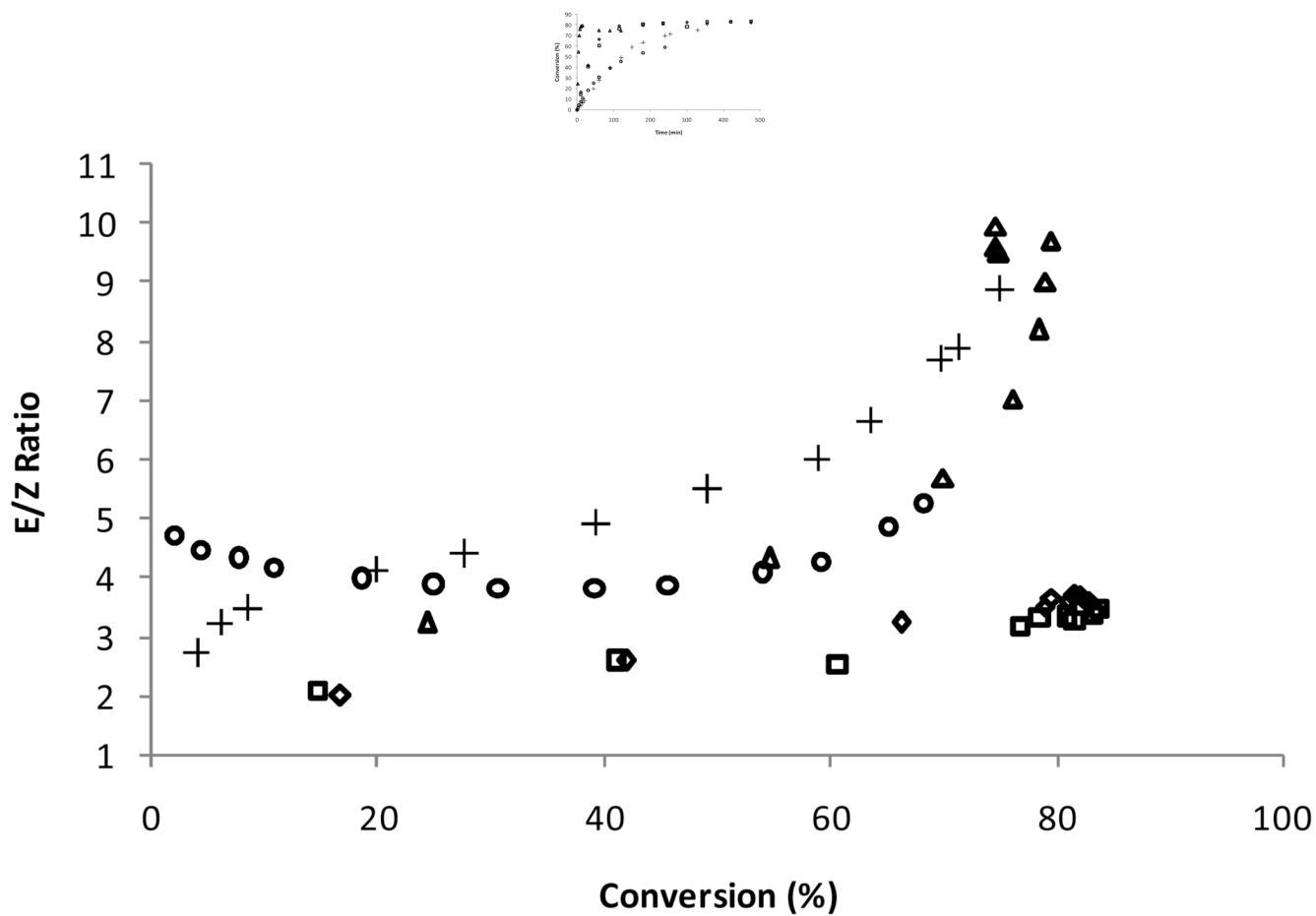
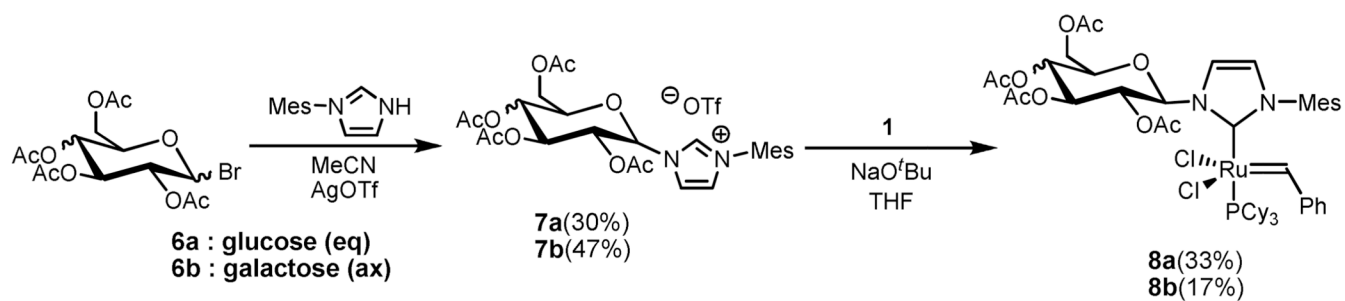
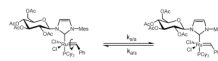


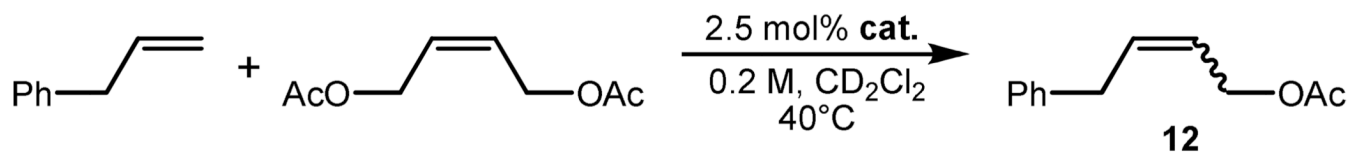
Figure 6. Conversion to desired cross product **12** and E/Z ratio using **1** (\circ), **2** (Δ), **5** (+), **8a** (\square), and **8b** (\diamond). Data for **1**, **2**, and **5** obtained at 30 °C. E/Z ratio and conversion determined by GC relative to tridecane standard.



Scheme 1.
Synthesis of catalyst **8a/b**.

**Scheme 2.**

Equilibrium depicting rotation about Ru – C/benzylidene bond with anti/syn designation denoting relative position of benzylidene phenyl group to the NHC.



Scheme 3.
Standard CM using catalysts **8a/b**.

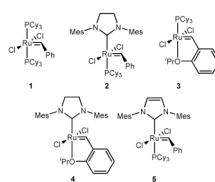
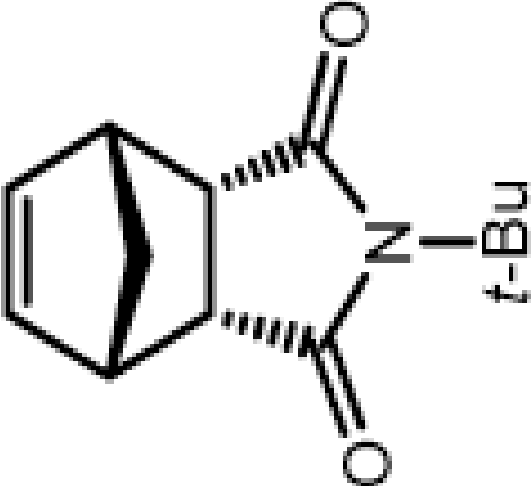
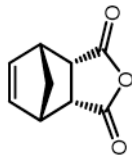
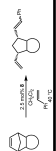


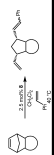
Chart 1.
Previously Reported Ruthenium-Based Catalysts for Olefin Metathesis.

Table 1

AROCM with catalysts **8a/b**.

Entry	Substrate	Catalyst	Solvent	Time (h)	ee % E(Z) ^a	Yield % (E:Z) ^b
1		8a	CH ₂ Cl ₂	8	11 (7)	43 (1.3:1)
		8a	Toluene	15	20 (7)	88 (2.7:1)
2		8a	CH ₂ Cl ₂	15	22 (n.d.)	36 (n.d.)
		8a	Toluene	15	26 (n.d.)	80 (n.d.)
		8b	Toluene	15	19 (n.d.)	73 (n.d.)





Entry	Substrate	Catalyst	Solvent	Time (h)	ee % E(Z) ^a	Yield % (E:Z) ^b
3		8a	Toluene	10	75 (4)	8 (2.7:1)
4		8a	Toluene	5	20 (4)	64 (0.7:1)

^a ee% determined by chiral HPLC.

^b Isolated yield after column chromatography.